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# ON CLOSED AND INDUCTIVELY CLOSED IMAGES OF PRODUCTS OF METRIC SPACES

B. S. KLEBANOV, MOSCOW

### 1. INTRODUCTION

Let C be a class of topological spaces. The class of all spaces which are continuous closed images of products of spaces of this class will be called the  $\varphi$ -extension of C. We shall be mainly interested in the  $\varphi$ -extension of the class of metric spaces. The spaces which belong to this class will be called  $\varphi$ -spaces. The problem of studying  $\varphi$ -spaces was raised by V. V. Filippov. The class of  $\varphi$ -spaces includes such important and well-studied types of spaces as dyadic spaces (i.e., continuous Hausdorff images of generalized Cantor cubes) and Lašnev spaces (i.e., continuous closed images of metric spaces). Dyadic and Lašnev spaces have been studied separately. The joint investigation of these two classes was stimulated by the well-known theorems stating that in them the property of a space of being first-countable is equivalent to its metrizability. So, the question naturally arises if a first-countable  $\varphi$ -space is metrizable. We have got a positive answer to the question. This fact, in our opinion, convincingly demonstrates the use of studying  $\varphi$ -spaces.

In the final section we investigate properties of spaces which are images of products of metric spaces under inductively closed mappings (these mappings generalize closed ones). The study provides us with further information on  $\varphi$ -spaces.

### 2. PRELIMINARIES

Throughout the paper all mappings are assumed to be continuous and *onto*, and all spaces are  $T_1$ . Products of spaces (i.e., Cartesian products endowed with the Tychonoff topology) are denoted by  $\prod \{X_t: t \in T\}$  or shorter  $\prod_t X_t$ . The symbols  $p_t$ and  $p_s$  denote the projections of the product onto its factor  $X_t$  and subproduct  $\prod \{X_t: t \in S\}$ . For a family of sets  $\gamma \cup \gamma$  stands for  $\bigcup \{U: U \in \gamma\}$ . The closure, the interior and the boundary of a set A are denoted by Cl A. Int A and Fr A respectively. For references we use in the main [1]; original papers are quoted only if we can not refer to that book. The notation of cardinal functions follows [1].

In this note we want to offer, leaving out details, the main ideas used in the study of closed and inductively closed images of products of metric spaces. Striving for conciseness of the exposition we have omitted details in many proofs. Certain statements are given without proof.

## 3. CLOSED IMAGES OF PRODUCTS OF METRIC SPACES (*q*-SPACES)

We recall that a subset of a  $T_1$ -space X is  $\sigma$ -discrete in X if it can be represented as a countable union of closed discrete subsets of X. Every metric space M has a dense subset that is  $\sigma$ -discrete in it, since M has a  $\sigma$ -discrete base.

**Theorem 1.** Let  $X = \prod \{X_t: t \in T\}$ , where each  $X_t$  contains a dense subset which is  $\sigma$ -discrete in  $X_t$  (in particular,  $X_t$  is a metric space), and let  $f: X \to Y$  be a closed map. Then  $Y = Y_0 \cup Y_1$ , where  $\operatorname{Int} f^{-1}(y) = \emptyset$  for  $y \in Y$  iff  $y \in Y_0$  and  $Y_1$  is  $\sigma$ discrete in Y.

Proof. Define  $Y_0 = \{y \in Y: \operatorname{Int} f^{-1}(y) = \emptyset \text{ and } Y_1 = Y \setminus Y_0$ . For each  $y \in Y_1$  take a finite  $T(y) \subseteq T$  and a non-empty open set  $U(y) \subseteq \prod\{X_t: t \in T(y)\}$  such that  $p_{T(y)}^{-1}(U(y)) \subseteq f^{-1}(y)$ . Let  $B_t$  be a  $\sigma$ -discrete subset of  $X_t$ . The set  $\prod\{B_t: t \in T(y)\}$  being dense in  $\prod\{X_t: t \in T(y)\}$ , there is a point  $a_y \in U(y) \cap \prod\{B_t: t \in T(y)\}$ . Put  $A(y) = p_{T(y)}^{-1}(a_y)$  and  $\gamma = \{A(y): y \in Y_1\}$ .

We assert that the family  $\gamma$  is  $\sigma$ -discrete in X. Obviously, it suffices to show that for each  $n \ge 1$  the family  $\gamma_n = \{A(y): y \in Y_1, |T(y)| = n\}$  is  $\sigma$ -discrete in X. The last can be proved by induction with respect to n.

Choose a point in every element of  $\gamma$ . Thus we obtain a set W which is  $\sigma$ -discrete in X. As f is closed, the set  $f(W) = Y_1$  is  $\sigma$ -discrete in Y. This completes the proof.

**Theorem 2.** Let  $X = \prod_{t} X_{t}$ , where each  $X_{t}$  is a metric space, and let  $f: X \to Y$  be a closed map onto a q-space. Then  $\operatorname{Fr} f^{-1}(y)$  is compact for each  $y \in Y$ .

We shall remind that Y is called a q-space [2] provided for each  $y \in Y$  there exists a countable set of neighbourhoods of y,  $\{O_n(y)\}_{n\in\mathbb{N}}$ , such that, if  $y_n \in O_n(y)$ , then the sequence  $\{y_n\}_{n\in\mathbb{N}}$  has a convergent subsequence. Note that both countably compact spaces and spaces of pointwise countable type are q-spaces. Actually the last theorem is valid in the much more general case: one can suppose that all  $X_i$ 's are isocompact (see [3])  $\theta$ -spaces (see [4]) (every normal weakly paracompact space is an isocompact  $\theta$ -space). In this generalized formulation Theorem 2 is an extension of the corresponding result of Michael [2] (the subject goes back to Vaĭnšteĭn's lemma [1; 4.4.16]. The theorem has been proved also by Čertanov [5]. From Theorem 2 one readily deduces

Corollary 1. Under the assumptions of Theorem 2 there exists a closed subspace

 $X^*$  of X such that the restriction  $f|X^*$  is a perfect map and  $f(X^*) = Y$ . In particular, f will be  $\sigma$  k-covering map.

The property of being a regular space is multiplicative, hereditary and preserved under perfect maps. Hence Corollary 1 yields

**Corollary 2.** If a  $\varphi$ -space is a q-space, then it is regular.

**Corollary 3.** A countably compact  $\varphi$ -space is a dyadic space.

**Proof.** Let f be a closed map of the product of metric spaces  $X = \prod X_t$  onto

a countably compact space Y. Since a countably compact space is a q-space, we can choose a subspace  $X^*$  of X as in Corollary 1. Therefore, by virtue of the well-known properties of countably compact spaces [1; 3.10.4, 3.10.10], the set  $F = f^{-1}(Y) \cap X^*$  is countably compact. Consequently, each  $p_t(F)$  is compact. Clearly,  $f(\prod p_t(F)) = Y$ ,

which is - by Corollary 2 - a Hausdorff space. It remains to notice that the continuous Hausdorff images of products of metric compact spaces are precisely the dyadic spaces.

The next theorem is one of our main results.

**Theorem 3.** Let  $X = \prod \{X_t : t \in T\}$ , where each  $X_t$  is a metric space. If X admits a closed map onto a non-discrete q-space, then the family  $\{X_t\}_{t \in T}$  contains at most countably many non-compact spaces.

For the proof we shall need the following

**Lemma 1.** Let  $X = \prod \{X_t : t \in T\}$ . If X has a non-empty compact  $G_{\delta}$ -subset, then the family  $\{X_t\}_{t \in T}$  contains at most countably many non-compacts spaces.

Proof of Theorem 3. By virtue of Lemma 1 it suffices to find in X a non-empty compact  $G_{\delta}$ -set. Let  $f: X \to Y$  be a closed map onto a non-discrete q-space. Theorems 1 and 2 imply that  $Y = Y_0 \cup Y_1$ , where for  $y \in Y$  Int  $f^{-1}(y) = \emptyset$  iff  $y \in Y_0$ ,  $f^{-1}(y)$ is compact for each  $y \in Y_0$  and  $Y_1$  is  $\sigma$ -discrete in Y. Two cases are possible.

1) Assume that  $Y_0 \neq \emptyset$ . Fix a  $y_0 \in Y_0$  and a countable family  $\{O_n(y_0)\}$  as in the definition of a q-space. By Corollary 2 we can suppose that  $\operatorname{Cl} O_{n+1}(y_0) \subseteq O_n(y_0)$  for each n. Since Y is a q-space,  $F = \bigcap_n O_n(y_0)$  is a closed countably compact  $G_{\delta}$ -subset of Y. As  $Y_0$  is a  $G_{\delta}$ -set in Y, one can find, using the regularity of Y, a closed countably compact  $G_{\delta}$ -subset  $F_1$  of Y such that  $y_0 \in F_1 \subseteq F \cap Y_0$ . The restriction of f to  $R = f^{-1}(F_1)$  is a perfect map. Therefore R is a closed countably compact  $G_{\delta}$ -set in X. Since R is closed in the compact set  $\prod\{p_t(R): t \in T\}$ , it is also compact. Finally,  $f^{-1}(y_0) \subseteq R$ , so that  $R \neq \emptyset$ .

2) Let now  $Y_0 = \emptyset$ , i.e., Int  $f^{-1}(y) \neq \emptyset$  for each  $y \in Y$ . Since Y is a non-discrete q-space, there exists a countable set  $C \subseteq Y$  having an accumulation point, say  $y^*$ . For every  $y \in C$  choose a point  $a_y \in \text{Int } f^{-1}(y)$ . Clearly, there exists a finite  $T(y) \subseteq T$  such that the set  $A(y) = p_{T(y)}^{-1} p_{T(y)}(a_y)$  is contained in  $f^{-1}(y)$ . As f is closed, there is

a point  $b \in \operatorname{Fr} f^{-1}(y^*) \cap \operatorname{Cl} \bigcup \{A(y): y \in C\}$ . Let  $S = \bigcup \{T(y): y \in C\}$  and  $R = p_S^{-1} p_S(b)$ . One verifies that the inclusion  $R \subseteq \operatorname{Fr} f^{-1}(y^*)$  holds. By Theorem 2  $\operatorname{Fr} f^{-1}(y^*)$  is compact, and so is its closed subset R. The set S being at most countable,  $p_S(b)$  is a  $G_{\delta}$ -set in  $p_S(X)$ , and hence R is a  $G_{\delta}$ -set in X. The proof is finished.

Theorem 3 impels us to distinguish the following special case if a  $\varphi$ -space. A space is called a  $\psi$ -space if it is a closed image of the product of the from  $\prod_t C_t \times M$ , where each  $C_t$  is a metric compact space and M is a metric space. The  $\psi$ -space can be defined equivalently as a closed image of the product of a dyadic and a metric space. Notice that every  $\psi$ -space is paracompact. The almost metrizable spaces [6] are important examples of  $\psi$ -spaces.

The last theorem yields

**Corollary 4.** In the realm of q-spaces the concepts of a  $\varphi$ -space and a  $\psi$ -space are equivalent.

**Theorem 4.** Let Y be a  $\varphi$ -space that is also a q-space. Then Y is a paracompact p-space.

Proof. By Corollary 4 Y is a  $\psi$ -space. Applying Corollary 1, we conclude that Y is a perfect image of a closed subspace of the product of a compact space and a metric one. But the subspaces like this are exactly the paracompact *p*-spaces (see [7; Ch. V. 228, Ch. VI. 60]. To complete the proof it remains to notice that the property of being a paracompact *p*-space is preserved under prefect maps (see [8]).

Seeking to generalize theorems concerning  $\varphi$ -spaces, we have come to a consideration of the  $\varphi$ -extension of stratifiable [9] spaces. The class of stratifiable spaces contains metric spaces; however, the property of being stratifiable is more flexible: it is not only hereditary and countably-multiplicative, but also is invariant under closed maps. An analysis of our proofs shows that Theorems 1-3 remain true for the spaces belonging to the  $\varphi$ -extension of stratifiable spaces. Let us observe that Theorem 4 partially holds for the spaces of that kind, viz., one can assert that Y is paracompact, but not necessarily a *p*-space.

The following theorem plays the key role in the proofs of metrization theorems for  $\varphi$ -spaces.

**Theorem 5.** Assume that each dyadic subspace of the  $\psi$ -space Y is metrizable. Then Y is a Lašnev space.

The combined application of Theorems 3 and 5, together with criteria on the metrizability of dyadic spaces and Lašnev spaces, allows to get a series of theorems on the metrization of  $\varphi$ -spaces. In particular, the following important theorem holds.

**Theorem 6.** A first-countable  $\varphi$ -space is metrizable.

To prove Theorem 6 one should apply first Corollary 4 from Theorem 3, then [1; 3.12.12(e)] and Theorem 5, and finally [1; 4.4.17]. Let us mention that our paper [10] contains stronger than Theorem 6 results.

We conclude this section with the result which generalizes Vaĭnšteĭn's theorem on closed images of complete metric spaces [1; 4.5.13(e)].

**Theorem 7.** Let X be a product of complete metric spaces. If a metric space Y is a closed image of X, then Y is completely metrizable.

Proof. Suppose that Y is non-discrete (the contrary case is obvious). Then Theorem 3 is applicable. It is well-known that both compact and complete metric spaces are Čech-complete. Since compactness is multiplicative, we deduce that X can be considered as a countable product of Čech-complete spaces. Hence, X is Čech-complete [1; 3.9.8]. Applying Corollary 1 and the properties of Čech-completeness [1; 3.9.6, 3.9.10], we obtain that the metric space Y is Čech-complete, i.e., is metrizable in a complete manner.

## 4. INDUCTIVELY CLOSED IMAGES OF PRODUCTS OF METRIC SPACES

A map  $f: X \to Y$  is called *inductively closed* if there exists a set  $X^* \subseteq X$  such that the restriction  $f|X^*$  is a closed map and  $f(X^*) = Y$ .

This definition is due to V. V. Filippov. Clearly, closed maps and retractions are inductively closed.

Following [1], for a space X by e(X) we denote the *extent* of X, i.e.,  $\aleph_0 \sup \{|F|: F \text{ is a closed discrete subset of } X\}$ . Observe that e(X) does not exceed both the Lindelöf number and the hereditary Souslin number of X.

The fundamental result of the section is

**Theorem 8.** Let  $X_0 \subseteq \prod_t X_t$ , where each  $X_t$  is a metric space, and let  $f: X_0 \to Y$ 

be a closed map. Assume that one of the following holds:

Case 1.  $e(Y) \leq \tau$ ,

Case 2. Y is countably compact.

Then for each t there exists a subspace  $Z_t$  of  $X_t$  – with  $d(Z_t) \leq \tau$  in Case 1 and which is compact in Case 2 – such that  $f(\prod_t Z_t \cap T_0) = Y$ .

(Likeness between Cases 1 and 2 is explained by the fact that countable compactness of a space means finiteness of its closed discrete subsets).

For the proof we need

**Lemma 2.** Let  $\alpha$  be a disjoint open cover of a space X and  $f: X \to Y$  be a closed map. If  $e(Y) \leq \tau$  (resp. Y is countably compact), then there exists a subfamily  $\beta \subseteq \alpha$  such that:

a)  $|\beta| \leq \tau$  (resp. finite); b)  $|f(U)| > \tau$  (resp. infinite) for all  $U \in \beta$ ; c)  $|f(\bigcup \alpha \setminus \beta)| \leq \tau$  (resp. finite).

Proof of Theorem 8. Without loss of generality we can assume that dim  $X_t = 0$  for any  $X_t$ . Indeed, as shown by Morita [1; 4.4.J], one can find a metric space  $X'_t$ 

with dim  $X'_t = 0$  which admits a perfect map, say  $h_t$ , onto  $X_t$ . Since  $h = \prod_t h_t$  is a perfect map [1; 3.7.7], so is the map g defined as the restriction of h to  $X'_0 = h^{-1}(X_0)$ . Therefore the map  $f \circ g: X'_0 \to Y$  is closed. If we have found in each  $X'_t$ a subspace  $Z'_t$  with the required properties, then  $Z_t = h_t(Z'_t)$  will suit our purposes. Further we shall suppose that dim  $X_t = 0$ .

We shall give first the proof for Case 1, so that let  $e(Y) \leq \tau$ . Since dim  $X_t = 0$ , each open cover of any subset of  $X_t$  has a refinement consisting of disjoint open sets of arbitrarily small diameter [1; 7.3.1]. Using this fact and Lemma 2, for each  $X_t$ and n = 1, 2, ... one can construct by recursion with respect to n a family  $\lambda_{nt}$  and its subfamily  $\mu_{nt}$  such that: (1)  $\lambda_{nt}$  consists of disjoint open subsets of  $p_t(X_0)$ , each having diameter <1/n; (2)  $\bigcup \lambda_{1t} = p_t(X_0)$  and  $\bigcup \lambda_{n+1,t} = \bigcup \mu_{nt}$ ; (3)  $|\mu_{nt}| \leq \tau$ ; (4)  $|f(p_t^{-1}(W))| > \tau$  for all  $W \in \mu_{nt}$ ; (5)  $|f(\bigcup \{p_t^{-1}(W): W \in \lambda_{nt} \setminus \mu_{nt}\})| \leq \tau$ .

Put  $M_{nt} = \bigcup \mu_{nt}$  and  $M_t = \bigcap_{n \ge 1} M_{nt}$ . One can show that  $d(M_t) \le \tau$ . If  $f(\prod_t M_t \cap X_0) = Y$ , the proof is completed. Otherwise, for every point  $y \in Y \setminus (\prod_t M_t \cap X_0)$  choose a point in  $f^{-1}(y)$ . Let R be the set of all so chosen points. It turns out that  $|p_t(R) \setminus M_t| \le \tau$  for each t. Let  $Z_t = M_t \cup p_t(R)$ . The preceding inequalities imply that  $d(Z_t) \le \tau$ . Evidently,  $f(\prod Z_t \cap X_0) = Y$ .

The proof for Case 2 is similar to that for Case 1. Making use of the equality dim  $X_t = 0$  and Lemma 2, one can construct, for each  $X_t$  and  $n \ge 1$ , a family  $\lambda_{nt}$  and its subfamily  $\mu_{nt}$  such as follows. They satisfy conditions (1) and (2) indicated above, as well as conditions (3)-(5), in which one should replace " $\le \tau$ " by "finite" and "> $\tau$ " by "infinite". The set  $M_t$  is defined as earlier. It appears that  $M_t$  is compact. Let us consider the case when  $Y \setminus f(\prod_t M_t \cap X_0) \neq \emptyset$ . Define R as above. One can prove that if the set  $p_t(R) \setminus M_t$  is infinite, then any its infinite subset has an accumulation point in  $M_t$ . Thus the set  $Z_t = M_t \cup p_t(R)$  is compact. Besides,  $f(\prod_t Z_t \cap X_0) = Y$ .

From Theorem 8 we infer

**Theorem 9.** Let f be an inductively closed map of  $\prod_{i=1}^{t} X_i$ , where each  $X_i$  is a metric

space, and let  $P \subseteq f(X)$ . Assume that one of the following holds:

Case 1.  $e(P) \leq \tau$ ,

Case 2. P is countably compact.

Then for each t there exists a subspace  $Z_t$  of  $X_t$  – with  $d(Z_t) \leq \tau$  in Case 1 and which is compact in Case 2 – such that  $f(\prod Z_t) \supseteq P$ .

Let us proceed to applications of Theorem 9.

**Theorem 10.** Let Y be an inductively closed image of a product of metric spaces and  $e(Y) \leq \tau$ . Then we have:

(a) the Šanin number of  $Y \leq \tau$ ;

- (b) if Y is a Hausdorff space and  $\psi(Y) \leq 2^{\tau}$ , then  $d(Y) \leq \tau$ ;
- (c) if Y is a Hausdorff space and  $\psi(Y) \leq \tau$ , then  $nw(Y) \leq \tau$ .

Proof. Theorem 9 implies that Y can be considered as a continuous image of  $\prod\{Z_t: t \in T\}$ , where  $Z_t$  is metric and  $d(Z_t) \leq \tau$  for each  $t \in T$ . By virtue of the Šanin theorem [1; 2.7.11],  $\check{s}(\prod\{Z_t: t \in T\}) \leq \tau$ . Continuous mappings do not increase the Šanin number, thus (a) is proved. In case (b) Gleason's factoring theorem (cf. [1; 2.7.13]) implies that there exists an  $S \subseteq T$  with  $|S| \leq 2^{\tau}$  such that  $\prod\{Z_t: t \in S\}$  can be mapped onto Y. By [1; 2.3.15]  $d(\prod\{Z_t: t \in S\}) \leq \tau$ , therefore  $d(Y) \leq \tau$ . Finally, to prove (c), we apply again Gleason's theorem and find an  $S' \subseteq T$  with  $|S'| \leq \tau$  such that  $\prod\{Z_t: t \in S'\}$  admits a map onto Y. Each  $Z_t$  being metric,  $d(Z_t) = w(Z_t)$ . Hence  $w(\prod\{Z_t: t \in S'\}) \leq \tau$  [1; 2.3.13], so that  $nw(Y) \leq \tau$ .

As it is seen from the proof, Theorem 10(c) for  $\tau = \aleph_0$  can be strengthened as follows.

**Theorem 11.** Let a Hausdorff space Y be an inductively closed image of a product of metric spaces. If  $e(Y) = \aleph_0$  and  $\psi(Y) = \aleph_0$ , then Y is a closed image of a metric space of weight  $\aleph_0$ .

Applying first the assertion of Theorem 9 for Case 1 and then a technique of Arhangel'skii [11], one can obtain

**Theorem 12.** Let X be a product of metric spaces,  $f: X \to Y$  be a closed map,  $e(Y) \leq \tau$ , and the tightness of  $Y \leq \tau$ . Then there exists a closed set  $R \subseteq X$  such that  $w(R) \leq \tau$  and f(R) = Y.

In particular, if  $\tau = \aleph_0$  in Theorem 12, then Y is a closed image of a metric space of weight  $\aleph_0$ .

The statement of Theorem 9 for Case 2 yields

**Corollary 5.** Let a Hausdorff space Y be an inductively closed image of a product of metric spaces. If a set  $P \subseteq Y$  is countably compact, then P is contained in a dyadic subspace of Y.

Notice that the above corollary generalizes Corollary 3. Since dyadicity is hereditary with respect to non-empty closed  $G_{\delta}$ -sets [1; 4.5.10], Corollary 5 implies that if the set P is, moreover, a non-empty closed  $G_{\delta}$ -set, then it is a dyadic space.

Let us note in conclusion that a more detailed exposition of the problems considered in this note can be found in [12].

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Author's address: Leninskii prospekt, 95, kv. 233, 117313 Moscow, USSR.