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## Some new cardinal inequalities involving a cardinal function less than the spread and the density

Shu-Hao Sun and Koo-Guan Choo

Abstract. In this paper, a cardinal function, denoted by sqL(X), which is less than both the spread and the density, is investigated in some details. We prove that, in several known inequalities involving the spread s(X), the spread s(X) can be replaced by sqL(X). A related cardinal function, denoted by qL(X), is also discussed.

Keywords: Cardinal function, cardinal inequality, spread, density, *k*-quasi-dense.

Classification: 54A25

### 1. Introduction

It is well known that in the theory of cardinal function, there are some fundamental inequalities involving the spread  $s(X) = \sup\{|D| : D \subseteq X, D$ , is discrete}. $\omega$ , for example,

> "For  $X \in \mathcal{T}_2, \psi(X) \leq 2^{\mathfrak{s}(X)}$ "; "For X compact,  $|RO(X)| \leq 2^{\mathfrak{s}(X)}$ "

and the Šapirovskii's theorem [2, Theorem 5.1]: "If  $X \in \mathcal{T}_2$  with  $s(X) \leq \kappa$ , then there is a subset S of X with  $|S| \leq 2^{\kappa}$  such that  $X = \bigcup \{\overline{D} : D \in [S]^{\leq \kappa}\}$ ."

In this paper, we will prove that, in the above inequalities, s(X) can be replaced by another cardinal function, denoted by sqL(X), which is less than both the spread and the density. Here we define a subset A of a space X with  $|A| \leq 2^{\kappa}$ , where  $\kappa$ is a cardinal, to be a strong  $\kappa$ -quasi-dense subset of X if for each family  $\mathcal{U}$  of open subsets of X, there exist a  $\mathcal{V} \in [\mathcal{U}]^{\leq \kappa}$  and a  $B \in [A]^{\leq \kappa}$  such that

$$(\cup \mathcal{V}) \cup \overline{B} \supseteq (\cup \mathcal{U}),$$

where  $[A]^{\leq \kappa}$  denotes the set  $\{B : B \subseteq A, |B| \leq \kappa\}$ . If the above property holds only for open cover  $\mathcal{U}$  of X, then we say that A is  $\kappa$ -quasi-dense. Now let us write

 $sqL(X) = \min{\{\kappa : \text{there is a strong } \kappa \text{-quasi-dense subset of } X\}},$ 

 $qL(X) = \min\{\kappa : \text{there is a } \kappa \text{-quasi-dense subset of } X\}.$ 

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**Remark:** Both the cardinal functions sqL(X) and qL(X) were introduce in [4], while the function qL(X) has been discussed further in [5].

It is immediate that  $qL(X) \leq sqL(X) \leq d(X)$ , where d(X) is the density of X. We now prove that if  $X \in T_2$ , then  $sqL(X) \leq s(X)$ . In fact, let  $\kappa$  be such that  $s(X) \leq \kappa$ . By the theorem of Šapirovskii as quoted above, there is an  $S \subseteq X$  with  $|S| \leq 2^{\kappa}$  such that  $X = \bigcup \{\overline{D} : D \in [S]^{\leq \kappa}\}$ . Thus we need only to show that the subset S is strong  $\kappa$ -quasi-dense in X. Let  $\mathcal{U}$  be a family of open subsets of X and let  $Y = \cup \mathcal{U}$  be the subspace of X. Then  $s(Y) \leq \kappa$ . By another theorem of Šapirovskii ([2,Proposition 4.8]), there is a subset B of Y with  $|B| \leq \kappa$  and a subcollection  $\mathcal{V}$  of  $\mathcal{U}$  with  $|\mathcal{V}| \leq \kappa$  such that  $Y = \overline{B} \cup (\cup \mathcal{V})$ . Therefore for each  $b \in B$ , there is a subset A(b) of S with  $|A(b)| \leq \kappa$  such that  $b \in \overline{A(b)}$ . Let  $A = \bigcup \{A(b) : b \in B\}$ . Then A is a subset of S with  $|A| \leq \kappa$  such that  $(\cup \mathcal{U}) \subseteq \overline{A} \cup (\cup \mathcal{V})$ . Hence S is strong  $\kappa$ -quasi-dense and so  $sqL(X) \leq s(X)$ . Moreover both  $sqL(X) \leq d(X)$  and  $sqL(X) \leq s(X)$  can be strict.

For undefined notations and terminologies, we refer to [3]. We will use the Pol-Šapirovskii technique for the proofs of our main results.

### 2. Main theorems

First let us recall the following definition. Let X be a topological space. Then a family  $\mathcal{U}$  of nonempty open subsets of X is said to be a pseudo-local base for a point  $p \in X$ , if  $\{p\} = \bigcap \{U : U \in \mathcal{U}\}$ . Then

 $\psi(p, X) = \min\{|\mathcal{U}| : \mathcal{U} \text{ is a pseudo-local base for } p\}.\omega_0,$ 

$$\psi(X) = \sup\{\psi(p, X) : p \in X\}.$$

Theorem 1. For  $X \in \mathcal{T}_2, \psi(X) \leq 2^{sqL(X)}$ .

**PROOF**: Let  $sqL(X) = \kappa$  and A with  $|A| \leq 2^{\kappa}$  be a strong  $\kappa$ -quasi-dense subset in X. Let p be any point in X. If  $q \in X$  with  $q \neq p$ , then there is an open subset  $V_q$  of q with  $p \notin \overline{V_q}$  since  $X \in \mathcal{T}_2$ . Thus

$$\bigcup \{V_q: q \in X - p\} \quad \forall = \{p\}.$$

Since A is strong  $\kappa$ -quasi-dense; for such family  $\{ \downarrow \}_{j \in J}$ , we can find  $\{q_{\alpha}\}_{\alpha < \kappa} \subseteq X - \{p\}$ and  $B \in [A]^{\leq \kappa}$  with

$$\bigcup_{\alpha<\kappa}V_{q_{\alpha}}\cup\overline{B}\supseteq X-\{p\}.$$

Let  $\mathcal{U}_1 = \{X - \overline{D} : D \subseteq B, p \notin \overline{D}\}$ . Then  $|\mathcal{U}_1| \leq 2^{\kappa}$ . Let  $\mathcal{U}_2 = \{X - \overline{V_{q_{\alpha}}} : \alpha < \kappa\}$ and let  $\mathcal{U}_p = \mathcal{U}_1 \cup \mathcal{U}_2$ . Then  $\{p\} = \cap \mathcal{U}_p$ . In fact, let

$$q \in X - \{p\} \subseteq \bigcup_{\alpha < \kappa} V_{q_{\alpha}} \cup \overline{B}$$

If  $q \in \bigcup_{\alpha < \kappa} V_{q_{\alpha}}$ , then  $q \in V_{q_{\alpha}}$ , for some  $\alpha' < \kappa$ , so that  $q \notin X - \overline{V_{q_{\alpha'}}}$  and  $q \notin \cap \mathcal{U}_2$ . If  $q \in \overline{B}$ , then  $q \in \overline{B} \cap V_q \subseteq \overline{B \cap V_q} \subset \overline{V_q}$ , and by choosing  $D = B \cap V_q$ , we see that  $q \in \overline{D}$ . But  $p \notin \overline{V_q}$ , thus  $p \notin \overline{D}$  and so  $X - \overline{D} \in \mathcal{U}_1$ . Hence  $q \notin \cap \mathcal{U}_1$  and therefore  $\{p\} = \cap \mathcal{U}_p$ . As  $|\mathcal{U}_p| \le |\mathcal{U}_1| + |\mathcal{U}_2| \le 2^{\kappa}$ , we conclude that  $\psi(p, X) \le 2^{\kappa}$  and hence  $\psi(X) \le 2^{\kappa} = 2^{sqL(X)}$ . This completes the proof. Corollary. ([2, Proposition 4.11]). For  $X \in \mathcal{T}_2, \psi(X) \leq 2^{\mathfrak{s}(X)}$ .

**Example.** Let  $X_1$  be the Niemytzki plane,  $X_2$  be the space **R** with the topology  $\tau = \{V - A : V \text{ is the usual open set in$ **R** $and A is countable}, and let <math>Y = X_1 \oplus X_2$ . Then  $sqL(X) = \omega$ , but  $d(Y) \ge d(X_2) > \omega$  and  $s(Y) \ge s(X_1) \ge 2^{\omega}$ .

**Theorem 2.** If  $X \in \mathcal{T}_2$  with  $sqL(X) \leq \kappa$ , then there is a subset S of X with  $|S| \leq 2^{\kappa}$  such that  $X = \bigcup \{\overline{D} : D \in [S]^{\leq \kappa}\}$ . In particular,  $d(X) \leq 2^{sqL(X)}$ .

**PROOF**: Let A be a strong  $\kappa$ -quasi-dense subset of X. Since  $X \in \mathcal{T}_2$ , it follows from Theorem 1 that  $\psi(X) \leq 2^{\kappa}$ .

For each  $p \in X$ , let  $\mathcal{U}_p$  be a pseudo-local base for p with  $|\mathcal{U}_p| \leq 2^{\kappa}$ . By transfinite induction, construct a sequence  $\{S_{\alpha} : 0 \leq \alpha < \kappa^+\}$  and a sequence  $\{\mathcal{U}_{\alpha} : 0 < \alpha < \kappa^+\}$  of open collections in X such that

 $\begin{array}{ll} (\mathrm{i}) & |S_{\alpha}| \leq 2^{\kappa}, & 0 \leq \alpha < \kappa^{+}; \\ (\mathrm{ii}) & \mathcal{U}_{\alpha} = \{ V \in \mathcal{U}_{p} : p \in \bigcup_{\beta < \alpha} S_{\beta} \}, & 0 < \alpha < \kappa^{+}; \end{array}$ 

(iii) if  $\mathcal{V} \in [\mathcal{U}_{\alpha}]^{\leq \kappa}$ ,  $B \in [A]^{\leq \kappa}$  and  $\overline{B} \cup (\cup \mathcal{V}) \neq X$ , then  $S_{\alpha} - (\overline{B} \cup (\cup \mathcal{V})) \neq \emptyset$ . Now let

$$S = \left(\bigcup_{\alpha < \kappa^+} S_{\alpha}\right) \cup A.$$

Then S is the required subset. First, we note that  $|S| \leq \kappa^+ . 2^{\kappa} + 2^{\kappa} = 2^{\kappa}$ . Next, let  $p \in X$ . If  $p \in S$ , then nothing to prove. If  $p \notin S$ , then  $p \notin \bigcup_{\alpha < \kappa^+} S_{\alpha}$ . For each  $q \in \bigcup_{\alpha < \kappa^+} S_{\alpha}$ ,  $q \neq p$  so that we can choose a  $V_q \in \mathcal{U}_q$  such that  $p \notin V_q$ , and hence  $p \notin \bigcup_{\alpha < \kappa^+} S_{\alpha}$ }. On the other hand, since A is strong  $\kappa$ -quasi-dense, there is  $B \in [A]^{\leq \kappa}$  and  $M \in \left[\bigcup_{\alpha < \kappa^+} S_{\alpha}\right]^{\leq \kappa}$  such that  $\left(\bigcup_{q \in M} V_q\right) \cup \overline{B} \supseteq \bigcup_{\alpha < \kappa^+} S_{\alpha} \} \supseteq \bigcup_{\alpha < \kappa^+} S_{\alpha}$ .

It remains to prove that  $p \in \overline{B}$ . If  $p \notin \overline{B}$ , then  $\left(\bigcup_{q \in M} V_q\right) \cup \overline{B} \neq X$ . Since  $|M| \le \kappa$ , there is  $\alpha' < \kappa^+$  such that  $M \subseteq S_{\alpha'}$ ; that is  $\{V_q : q \in M\} \in [\mathcal{U}_{\alpha'}]^{\le \kappa}$ . Hence, by (iii),  $S_{\alpha'+1} - \left(\left(\bigcup_{q \in M} V_q\right) \cup \overline{B}\right) \neq \emptyset$ , which contradicts the fact that  $\left(\bigcup_{q \in M} V_q\right) \cup \overline{B} \supseteq \bigcup_{\alpha < \kappa^+} S_\alpha \supseteq S_{\alpha'+1}$ .

This completes the proof.

**Remark.** Our results generalize the theorem of Šapirovskii [2, Theorem 5.1] as quoted above.

Now, recall another inequality [2, Theorem 5.3]: For  $X \in \mathcal{T}_3$ ,  $nw(X) \leq 2^{s(X)}$ , where  $nw(X) = \min\{|\mathcal{V}| : \mathcal{V} \text{ is a net for } X\}$  is the net weight for X. Using Theorem 2, we can strengthen the above result in replacing the spread s(X) by sqL(X).

Theorem 3. For  $X \in \mathcal{T}_3$ ,  $nw(X) \leq 2^{sqL(X)}$ .

**PROOF**: Let  $sqL(X) = \kappa$ . By Theorem 2, there is a subset S of X with  $|S| \leq 2^{\kappa}$ and  $X = \bigcup \{\overline{A} : A \subseteq S, |A| \leq \kappa\}$ . Then the family  $\mathcal{N} = \{\overline{N} : N \subseteq S, |N| \leq \kappa\}$  can be easily checked to be a net in X of cardinality  $\leq 2^{\kappa}$  (cf. [2, Theorem 5.3]). Hence  $nw(X) \leq 2^{sqL(X)}$ .

**Remark.** The result of Theorem 3 was also announced in [4, Theorem 2.12]; but in our proof, the result of Theorem 2, which was not mentioned in [4], is essential.

**Remark.** The following inequality follows immediately from Theorem 3: For  $X \in \mathcal{T}_3, |X| \leq 2^{sqL(X)\psi(X)}$ .

Recall that a space X is said to be of point-countable type if for each point  $p \in X$ , there is a compact set K such that  $p \in K$  and K has countable character. Note that for  $X \in T_2$  of point-countable type,  $\psi(p, X) = \chi(p, X)$  and  $\psi(X) = \chi(X)$ , where  $\chi(p, X)$  is the character at p and  $\chi(X)$  is the character of X.

Next, consider the following inequality involving the cardinality |RO(X)| of regular open subsets of X [2, Corollary 7.7]: If  $X \in \mathcal{T}_2$  is compact, then  $|RO(X)| \leq 2^{\mathfrak{s}(X)}$ . In fact, the above inequality holds if  $X \in \mathcal{T}_2$  is of point-countable type (cf. [2, p.30]). We will prove that this inequality can be improved.

**Theorem 4.** If  $X \in T_2$  is of point-countable type, then

$$|RO(X)| \le 2^{sqL(X)}.$$

**PROOF**: For any space X, we have  $|RO(X)| \leq \pi w(X)^{c(X)}$ , where  $\pi w(X)$  is the

 $\pi$ -weight of X and c(X) is the cellularity of (X). Clearly  $c(X) \leq sqL(X)$ . Hence

$$\begin{aligned} |RO(X)| &\leq \pi w(X)^{sqL(X)} \\ &= (\pi \chi(X)d(X))^{sqL(X)} \\ &= \pi \chi(X)^{sqL(X)}d(X)^{sqL(X)} \\ &\leq \pi \chi(X)^{sqL(X)} \left(2^{sqL(X)}\right)^{sqL(X)}, \quad \text{(using Theorem 2)} \\ &= \pi \chi(X)^{sqL(X)} \\ &\leq \chi(X)^{sqL(X)} \\ &= \psi(X)^{sqL(X)}, \quad \text{(since X is of point-countable type)} \\ &\leq \left(2^{sqL(X)}\right)^{sqL(X)}, \quad \text{(using Theorem 1)} \\ &= 2^{sqL(X)}. \end{aligned}$$

As an immediate consequence, we have:

**Corollary.** If  $X \in T_3$  is of point-countable type, then  $w(X) \leq 2^{sqL(X)}$ , where w(X) is the weight of X.

In the last part of the paper, we will establish some new inequalities involving the cardinal function qL(X). First, let us recall the following definitions (cf. [2, p.54]). Let  $\mathcal{U}$  be an open collection of X and  $p \in X$ . Then

$$ord(p,\mathcal{U}) = |\{U \in \mathcal{U} : p \in U\}|;$$
  

$$ord(\mathcal{U}) = \sup\{ord(p,\mathcal{U}) : p \in X\};$$
  

$$psw(X) = \min\{ord(\mathcal{U}) : \text{ for any } p \in X, \bigcap\{U \in \mathcal{U} : p \in U\} = \{p\}\}.$$

Theorem 5. For  $X \in \mathcal{T}_1, d(X) \leq psw(X)^{qL(X)}$ .

PROOF: Let  $psw(X) = \lambda$ ,  $qL(X) = \kappa$ ,  $\mathcal{U}$  an open cover of X such that for any  $p \in X$ ,  $\{p\} = \bigcap \{U \in \mathcal{U} : p \in U\}$  and  $ord(\mathcal{U}) = \lambda$  and let A be  $\kappa$ -quasi-dense subset of X. We write  $\mathcal{U}_p = \{U \in \mathcal{U} : p \in U\}$ . Use transfinite induction to construct a sequence  $\{B_{\alpha} : 0 \leq \alpha < \kappa^+\}$  of subsets of X and a sequence  $\{\mathcal{U}_{\alpha} : 0 < \alpha < \kappa^+\}$  of open collections in X such that

(i) 
$$|B_{\alpha}| \leq \lambda^{\kappa}$$
,  $0 \leq \alpha < \kappa^{+}$ ;  
(ii)  $\mathcal{U}_{\alpha} = \{V : V \in \mathcal{U}_{p}, p \in \bigcup_{\beta < \alpha} B_{\beta}\}, \quad 0 < \alpha < \kappa^{+}$ ;  
(iii) If  $\mathcal{V} \in [\mathcal{U}_{\alpha}]^{\leq \kappa}, D \in [A]^{\leq \kappa}$  with  $(\cup \mathcal{V}) \cup \overline{D} \neq X$ , then  $B_{\alpha} - ((\cup \mathcal{V}) \cup \overline{D}) \neq \emptyset$ .  
Let  $S = \bigcup_{\alpha < \kappa^{+}} B_{\alpha} \cup A$ , then  $|S| \leq \kappa^{+} \cdot \lambda^{\kappa} + 2^{\kappa} = \lambda^{\kappa}$ . It remains to show that  $\overline{S} = X$ .

If  $p \in X - \overline{S}$ , then  $p \notin \overline{\bigcup_{\alpha < \kappa^+} B_{\alpha}}$ , so each  $q \in \overline{\bigcup_{\alpha < \kappa^+} B_{\alpha}}$ , we have  $q \neq p$  and thus there is  $V_q \in \mathcal{U}_q$  with  $p \notin V_q$ , and that  $\{V_q : q \in \overline{\bigcup_{\alpha < \kappa^+} B_{\alpha}}\} \supseteq \overline{\bigcup_{\alpha < \kappa^+} B_{\alpha}}$ . Hence there is a subset  $M \subseteq \overline{\bigcup_{\alpha < \kappa^+} B_{\alpha}}$  with  $|M| \leq \kappa$  and  $D \in [A]^{\leq \kappa}$  such that

(1) 
$$\bigcup_{q \in M} V_q \cup \overline{D} \supseteq \overline{\bigcup_{\alpha < \kappa^+} B_{\alpha}}$$

since A is  $\kappa$ -quasi-dense. Now for any  $q \in M$ ,  $V_q \cap (\bigcup_{\alpha < \kappa^+} B_{\alpha}) \neq \emptyset$ , thus we can choose  $b(q) \in V_q \cap (\bigcup_{\alpha < \kappa^+} B_{\alpha})$  so that  $V_q \in \mathcal{U}_{b(q)}$ . Since  $|\{b(q) : q \in M\}| \leq \kappa$ , there is  $\alpha' < \kappa^+$  with  $\{b(q) : q \in M\} \subseteq B_{\alpha'}$ ; that is  $\{V_q : q \in M\} \in [\mathcal{U}_{\alpha'}]^{\leq \kappa}$ . Since  $p \notin \overline{S}, p \notin \overline{A}$  and so  $p \notin \overline{D}$ ; that is  $\left(\bigcup_{q \in M} V_q\right) \cup \overline{D} \neq X$ . Then use (iii) to conclude that  $B_{\alpha'} - \left(\left(\bigcup_{q \in M} V_q\right) \cup \overline{D}\right) \neq \emptyset$ , contradicting (1). This completes the proof.

**Remark.** It follows from the theorem that, for  $X \in \mathcal{T}_1$ ,

$$|X| \le psw(X)^{qL(X)psw(X)} = 2^{qL(X)psw(X)}.$$

However, a better inequality has been proved in [6],: For  $X \in \mathcal{T}_1$ ,

$$|X| \le 2^{L^*(X)psw(X)},$$

and it is easy to show that  $L^*(X) \leq qL(X)$ .

**Corollary.** [4, Theorem 1.11]. For  $X \in \mathcal{T}_3$ ,  $d(X) \leq psw(X)^{qL(X)}$ .

**Lemma.** For any topological space X,  $sqL(X) \leq \Psi(X)qL(X)$ , where  $\Psi(X) = \min{\kappa : \text{every closed subset in } X \text{ is the intersection of } \leq \kappa \text{ open sets }}.$ 

**Theorem 6.** For  $X \in \mathcal{T}_3, K(X) \leq 2^{qL(X)\Psi(X)}$ , where K(X) denotes the number of all compact subsets of X.

PROOF: Let  $qL(X)\Psi(X) = \kappa$ . By the above lemma, we have  $sqL(X) \leq \kappa$ . Then using second remark of Theorem 3 to conclude that  $|X| \leq 2^{sq(X)}\psi(X) = 2^{\kappa}$ . Since  $\psi(X) \leq \Psi(X) \leq \kappa$  and  $X \in \mathcal{T}_3$ , for each  $p \in X$ , we can choose a collection  $\mathcal{V}_p$ of open neighborhoods of p, closed under finite intersections, such that  $|\mathcal{V}_p| \leq \kappa$ and  $\bigcap \{\overline{\mathcal{V}} : \mathcal{V} \in \mathcal{V}_p\} = \{p\}$ . Let  $\mathcal{V} = \bigcup_{p \in X} \mathcal{V}_p$ , let  $\mathcal{W}$  be all unions of  $\leq \kappa$  elements of  $\mathcal{V}$ , and let  $\mathcal{G} = \{W \cup (\overline{D} \cap (X - K)) : W \in \mathcal{W}, D \in [A]^{\leq \kappa}\}$ , where A is a

of V, and let  $\mathcal{G} = \{W \cup (D \cap (X - K)) : W \in W, D \in [A]^{-n}\}$ , where A is a strong  $\kappa$ -quasi-dense subset in X. It remains to prove that the complement of every compact subset of X is the union of  $\leq \kappa$  elements of  $\mathcal{G}$ . Let  $K \subseteq X$  be compact. Since  $\Psi(X) \leq \kappa$ , we see that  $X - K = \bigcup \{F_{\alpha} : 0 \leq \alpha < \kappa\}$  with each

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 $F_{\alpha}$  closed. Fix  $\alpha < \kappa$ . Then for each  $p \in F_{\alpha}$ , use compactness of K to obtain  $V_p \in \mathcal{V}_p$  such that  $K \cap V_p = \emptyset$ . Since  $\{V_p : p \in F_{\alpha}\} \supseteq F_{\alpha}$  and  $sqL(X) \le \kappa$ , we can find  $W_{\alpha} \in \mathcal{W}$  and  $D_{\alpha} \in [A]^{\le \kappa}$  such that  $W_{\alpha} \cup \overline{D_{\alpha}} \supseteq \{V_p : p \in F_{\alpha}\} \supseteq F_{\alpha}$ . Let  $G_{\alpha} = W_{\alpha} \cup (\overline{D_{\alpha}} \cap (X - K))$ . Then  $G_{\alpha} \in \mathcal{G}, G_{\alpha} \cap K = \emptyset$  and  $X - K = \bigcup_{\alpha < \kappa} G_{\alpha}$ .

This completes the proof.

**Remark.** This result gives a partial extension of the following [2, Theorem 9.5]: For  $X \in \mathcal{T}_2$ ,  $K(X) \leq 2^{e(X)\Psi(X)}$ . In fact, it can be easily checked that  $e(X)\Psi(X) = s(X)\Psi(X)$  and so  $e(X)\Psi(X) \geq qL(X)\Psi(X)$ .

**Example.** The following example shows that the inequality in the remark can be strict. Let X be the Niemytzki plane. Then  $e(X)\Psi(X) = 2^{\omega}\omega = 2^{\omega}$ . But  $qL(X)\Psi(X) = d(X)\Psi(X) = \omega$  so that  $e(X)\Psi(X) > qL(X)\Psi(X)$ .

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