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THE RING OF ARITHMETICAL FUNCTIONS WITH UNITARY CONVOLUTION: DIVISORIAL AND TOPOLOGICAL PROPERTIES

JAN SNELLMAN

ABSTRACT. We study $(A, +, \oplus)$, the ring of arithmetical functions with unitary convolution, giving an isomorphism between $(A, +, \oplus)$ and a generalized power series ring on infinitely many variables, similar to the isomorphism of Cashwell-Everett [4] between the ring $(A, +, \cdot)$ of arithmetical functions with Dirichlet convolution and the power series ring $\mathbb{C} \llbracket x_1, x_2, x_3, \ldots \rrbracket$ on countably many variables. We topologize it with respect to a natural norm, and show that all ideals are quasi-finite. Some elementary results on factorization into atoms are obtained. We prove the existence of an abundance of non-associate regular non-units.

1. Introduction

The ring of arithmetical functions with Dirichlet convolution, which we'll denote by $(A, +, \cdot)$, is the set of all functions $\mathbb{N}^+ \to \mathbb{C}$, where \mathbb{N}^+ denotes the positive integers. It is given the structure of a commutative \mathbb{C} -algebra by component-wise addition and multiplication by scalars, and by the Dirichlet convolution

(1)
$$f \cdot g(k) = \sum_{r|k} f(r)g(k/r).$$

Then, the multiplicative unit is the function e_1 with $e_1(1) = 1$ and $e_1(k) = 0$ for k > 1, and the additive unit is the zero function **0**.

Cashwell-Everett [4] showed that $(A, +, \cdot)$ is a UFD using the isomorphism

(2)
$$(\mathcal{A}, +, \cdot) \simeq \mathbb{C} \left[x_1, x_2, x_3, \dots \right],$$

where each x_i corresponds to the function which is 1 on the i'th prime number, and 0 otherwise.

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Schwab and Silberberg [9] topologised $(A, +, \cdot)$ by means of the norm

(3)
$$|f| = \frac{1}{\min\{k \mid f(k) \neq 0\}}.$$

They noted that this norm is an ultra-metric, and that $((A, +, \cdot), |\cdot|)$ is a valued ring, i.e. that

- 1. $|\mathbf{0}| = 0$ and |f| > 0 for $f \neq \mathbf{0}$,
- 2. $|f g| \le \max\{|f|, |g|\},\$
- 3. |fg| = |f||g|.

They showed that $(\mathcal{A}, |\cdot|)$ is complete, and that each ideal is *quasi-finite*, which means that there exists a sequence $(e_k)_{k=1}^{\infty}$, with $|e_k| \to 0$, such that every element in the ideal can be written as a convergent sum $\sum_{k=1}^{\infty} c_k e_k$, with $c_k \in \mathcal{A}$.

In this article, we treat instead $(A, +, \oplus)$, the ring of all arithmetical functions with unitary convolution. This ring has been studied by several authors, such as Vaidyanathaswamy [11], Cohen [5], and Yocom [13].

We topologise \mathcal{A} in the same way as Schwab and Silberberg [9], so that $(\mathcal{A}, +, \oplus)$ becomes a normed ring (but, in contrast to $(\mathcal{A}, +, \cdot)$, not a valued ring). We show that all ideals in $(\mathcal{A}, +, \oplus)$ are quasi-finite.

We show that $(A, +, \oplus)$ is isomorphic to a monomial quotient of a power series ring on countably many variables. It is présimplifiable and atomic, and there is a bound on the lengths of factorizations of a given element. We give a sufficient condition for nilpotency, and prove the existence of plenty of regular non-units.

Finally, we show that the set of arithmetical functions supported on square-free integers is a retract of $(A, +, \oplus)$.

2. The ring of arithmetical functions with unitary convolution

We denote the integers by \mathbb{Z} , the non-negative integers by \mathbb{N} , and the positive integers by \mathbb{N}^+ . Let p_i be the *i*'th prime number. Denote by \mathcal{P} the set of prime numbers, and by $\mathcal{P}\mathcal{P}$ the set of prime powers. The integer 1 is not a prime, nor a prime power. Let $\omega(r)$ be the number of distinct prime factors of r, with $\omega(1) = 0$.

Definition 2.1. If k, m are positive integers, we define their unitary product as

(4)
$$k \oplus m = \begin{cases} km & \gcd(k, m) = 1\\ 0 & \text{otherwise} \end{cases}$$

If $k \oplus m = p$, then we write $k \parallel p$ and say that k is a unitary divisor of p.

The so-called *unitary convolution* was introduced by Vaidyanathaswamy [11], and was further studied Eckford Cohen [5].

Definition 2.2. $\mathcal{A} = \{f : \mathbb{N}^+ \to \mathbb{C}\}$, the set of complex-valued functions on the positive integers. We define the *unitary convolution* of $f, g \in \mathcal{A}$ as

(5)
$$(f \oplus g)(n) = \sum_{\substack{m \oplus p = n \\ m, n > 1}} f(m)g(n) = \sum_{d \mid n} f(d)g(n/d)$$

and the addition as

$$(f+g)(n) = f(n) + g(n).$$

The ring $(A, +, \oplus)$ is called the ring of arithmetic functions with unitary convolution.

Definition 2.3. For each positive integer k, we define $e_k \in \mathcal{A}$ by

(6)
$$e_k(n) = \begin{cases} 1 & k = n \\ 0 & k \neq n \end{cases}$$

We also define 1 **0** as the zero function, and **1** as the function which is constantly 1.

Lemma 2.4. 0 is the additive unit of A, and e_1 is the multiplicative unit. We have that

(7)
$$(e_{k_1} \oplus e_{k_2} \oplus \cdots \oplus e_{k_r})(n) = \begin{cases} 1 & n = k_1 k_2 \cdots k_r & and \gcd(k_i, k_j) = 1 \\ & for \ i \neq j \\ 0 & otherwise \end{cases}$$

hence

(8)
$$e_{k_1} \oplus e_{k_2} \oplus \cdots \oplus e_{k_r} = \begin{cases} e_{k_1 k_2 \cdots k_r} & \text{if } \gcd(k_i, k_j) = 1 \text{ for } i \neq j \\ 0 & \text{otherwise} \end{cases}$$

Proof. The first assertions are trivial. We have [10] that for $f_1, \ldots, f_r \in \mathcal{A}$,

(9)
$$(f_1 \oplus \cdots \oplus f_r)(n) = \sum_{a_1 \oplus \cdots \oplus a_r = n} f_1(a_1) \cdots f_r(a_r)$$

Since

$$e_{k_1}(a_1)e_{k_2}(a_2)\cdots e_{k_r}(a_r) = 1$$
 iff $\forall i: k_i = a_i$,

(7) follows.
$$\Box$$

Lemma 2.5. For $n \in \mathbb{N}^+$, e_n can be uniquely expressed as a square-free monomial in $\{e_k \mid k \in \mathcal{PP}\}$ (we use the convention that the empty product corresponds to the multiplicative unit e_1).

Proof. By unique factorization, there is a unique way of writing $n = p_{i_1}^{a_1} \cdots p_{i_r}^{a_r}$, and (8) gives that

$$e_n = e_{p_{i_1}^{a_1} \dots p_{i_r}^{a_r}} = e_{p_{i_1}^{a_1}} \oplus \dots \oplus e_{p_{i_r}^{a_r}}.$$

Theorem 2.6. $(A, +, \oplus)$ is a quasi-local, non-noetherian commutative ring having divisors of zero. The units U(A) consists of those f such that $f(1) \neq 0$.

¹In [10], **1** is denoted e_0 , and e_1 denoted e_0 .

Proof. It is shown in [10] that $(A, +, \oplus)$ is a commutative ring, having zero-divisors, and that the units consists of those f such that $f(1) \neq 0$. If f(1) = 0 then

$$(f \oplus g)(1) = f(1)g(1) = 0$$
.

Hence the non-units form an ideal \mathfrak{m} , which is then the unique maximal ideal.

We will show (Lemma 3.10) that \mathfrak{m} contains an ideal (the ideal generated by all e_k , for k > 1) which is not finitely generated, so \mathcal{A} is non-noetherian.

3. A TOPOLOGY ON \mathcal{A}

The results of this section are inspired by [9], were the authors studied the ring of arithmetical functions under Dirichlet convolution. We'll use the notations of [3]. We regard \mathbb{C} as trivially normed.

Definition 3.1. Let $f \in \mathcal{A} \setminus \{0\}$. We define the *support* of f as

(10)
$$\operatorname{supp}(f) = \left\{ n \in \mathbb{N}^+ \mid f(n) \neq 0 \right\}.$$

We define the $order^2$ of a non-zero element by

(11)
$$N(f) = \min \operatorname{supp}(f).$$

We also define the norm of f as

$$|f| = N(f)^{-1}$$

and the degree as

(13)
$$D(f) = \min \{ \omega(k) \mid k \in \text{supp}(f) \}.$$

By definition, the zero element has order infinity, norm 0, and degree ∞ .

Lemma 3.2. The value semigroup of $(A, |\cdot|)$ is

$$|\mathcal{A} \setminus \{\mathbf{0}\}| = \{ 1/k \mid k \in \mathbb{N}^+ \} ,$$

a discrete subset of \mathbb{R}^+ .

Lemma 3.3. Let $f, g \in A \setminus \{0\}$. Let N(f) = i, N(g) = j, so that $f(i) \neq 0$ but f(k) = 0 for all k < i, and similarly for g. Then, the following hold:

- (i) $N(f g) \ge \min \{N(f), N(g)\}.$
- (ii) N(cf) = N(f) for $c \in \mathbb{C} \setminus \{0\}$.
- (iii) N(f) = 1 iff f is a unit.
- (iv) $N(f \cdot g) = N(f)N(g) \le N(f \oplus g)$, with equality iff gcd(i, j) = 1.
- (v) $N(f \oplus g) \ge \max\{N(f), N(g)\}$, with strict inequality iff both f and g are non-units.
- (vi) $D(f+g) \ge \min \{D(f), D(g)\}.$
- (vii) D(f) = 0 if and only if f is a unit.
- $\begin{array}{l} \text{(viii)} \ \ \mathrm{D}(f \oplus g) \geq \mathrm{D}(f) + \mathrm{D}(g) \geq \max \left\{ \mathrm{D}(f) \, , \, \mathrm{D}(g) \right\} \, , \\ with \ \mathrm{D}(f) + \mathrm{D}(g) > \max \left\{ \mathrm{D}(f) , \, \mathrm{D}(g) \right\} \, \textit{if } f, g \, \textit{ are non-units}. \end{array}$

 $^{^2}$ In [10] the term norm is used.

Proof. (i), (ii), and (iii) are trivial, and (iv) is proved in [10]. If $\omega(s) < \min \{D(f), D(g)\}$ then

$$s \not\in \operatorname{supp}(f) \cup \operatorname{supp}(g)$$
,

so

$$(f+g)(s) = f(s) + g(s) = 0.$$

This proves (vi). Since f is a unit iff $f(1) \neq 0$, (vii) follows.

For any a in the support of f and any b in the support of g, such that $a \oplus b \neq 0$, we have that

$$\omega(a \oplus b) = \omega(a) + \omega(b) \ge D(f) + D(g)$$
.

This proves the first inequality of (viii). Using (vii) the other assertion follows. (v) is proved similarly.

Corollary 3.4. $|f \oplus g| \leq |f||g| = |f \cdot g|$.

Proposition 3.5. $|\cdot|$ is an ultrametric function on A, making $(A, +, \oplus)$ a normed ring, as well as a faithfully normed, b-separable complete vector space over \mathbb{C} .

Proof. $((A, +, \cdot), |\cdot|)$ is a valuated ring, and a faithfully normed complete vector space over \mathbb{C} [9]. It is also separable with respect to bounded maps [3, Corollary 2.2.3]. So (A, +) is a normed group, hence Corollary 3.4 shows that $(A, +, \oplus)$ is a normed ring.

Note that, unlike $((A, +, \cdot), |\cdot|)$, the normed ring $((A, +, \oplus), |\cdot|)$ is not a valued ring, since

$$|e_2 \oplus e_2| = |\mathbf{0}| = 0 < |e_2|^2 = 1/4$$
.

In fact, defining f^n to be the n'th unitary power of n, we have that

Lemma 3.6. If f is a unit, then $1 = |f^n| = |f|^n$ for all positive integers n. If n is a non-unit, then $|f^n| < |f|^n$ for all n > 1.

Proof. The first assertion is trivial, so suppose that f is a non-unit. From Corollary 3.4 we have that $|f^n| \leq |f|^n$. If |f| = 1/k, k > 1, i.e. $f(k) \neq 0$ but f(j) = 0 for j < k, then $f^2(k^2) = 0$ since $\gcd(k, k) = k > 1$. It follows that $|f^2| > |f|^2$, from which the result follows.

Recall that in a normed ring, a non-zero element f is called

- topologically nilpotent if $f^n \to 0$,
- power-multiplicative if $|f^n| = |f|^n$ for all n,
- multiplicative if |fg| = |f||g| for all g in the ring.

Theorem 3.7. Let $f \in ((A, +, \oplus), |\cdot|)$, $f \neq \mathbf{0}$. Then the following are equivalent:

- (1) f is topologically nilpotent,
- (2) f is not power-multiplicative,
- (3) f is not multiplicative³ in the normed ring $(A, +, \oplus), |\cdot|)$,

³This is not the same concept as multiplicativity for arithmetical functions, i.e. that f(nm) = f(n)f(m) whenever gcd(n,m) = 1. However, since the latter kind of elements satisfy f(1) = 1, they are units, and hence multiplicative in the normed-ring sense.

- (4) f is a non-unit,
- (5) |f| < 1.

Proof. Using [3, 1.2.2, Prop. 2], this follows from the previous Lemma, and the fact that for a unit f,

$$1 = |f^{-1}| = |f|^{-1}.$$

3.1. A Schauder basis for $(A, |\cdot|)$.

Definition 3.8. Let \mathcal{A}' denote the subset of \mathcal{A} consisting of functions with finite support. We define a pairing

(14)
$$\langle f, g \rangle = \sum_{k=1}^{\infty} f(k)g(k)$$

Theorem 3.9. The set $\{e_k \mid k \in \mathbb{N}^+\}$ is an ordered orthogonal Schauder base in the normed vector space $(\mathcal{A}, |\cdot|)$. In other words, if $f \in \mathcal{A}$ then

(15)
$$f = \sum_{k=1}^{\infty} c_k e_k, \qquad c_k \in \mathbb{C}$$

where

- (i) $|e_k| \rightarrow 0$,
- (ii) the infinite sum (15) converges w.r.t. the ultrametric topology,
- (iii) the coefficients c_k are uniquely determined by the fact that

$$\langle f, e_k \rangle = f(k) = c_k$$

(iv)

(17)
$$\max_{k \in \mathbb{N}^+} \left\{ |c_k| |e_k| \right\} = \left| \sum_{k=1}^{\infty} c_k e_k \right|.$$

The set $\{e_1\} \cup \{e_p \mid p \in \mathcal{PP}\}\$ generates a dense subalgebra of $((\mathcal{A}, +, \oplus), |\cdot|)$.

Proof. It is proved in [9] that this set is a Schauder base in the topological vector space $(\mathcal{A}, |\cdot|)$. It also follows from [9] that the coefficients c_k in (3.9) are given by $c_k = f(k)$.

It remains to prove orthogonality. With the above notation,

$$|f| = \left| \sum_{k=1}^{\infty} c_k e_k \right| = 1/j,$$

where j is the smallest k such that $c_k \neq 0$. Recalling that \mathbb{C} is trivially normed, we have that

$$|c_k||e_k| = \begin{cases} |e_k| = 1/k & \text{if } c_k \neq 0\\ 0 & \text{if } c_k = 0 \end{cases}$$

so $\max_{k \in \mathbb{N}^+} \{|c_k||e_k|\} = 1/j$, with j as above, so (17) holds.

By Lemma 2.5 any e_k can be written as a square-free monomial in the elements of $\{e_p \mid p \in \mathcal{PP}\}$. The set $\{e_k \mid k \in \mathbb{N}^+\}$ is dense in \mathcal{A} , so $\{e_p \mid p \in \mathcal{PP}\}$ generates a dense subalgebra.

Let $J \subset \mathfrak{m}$ denote the ideal generated by all e_k , k > 1.

Lemma 3.10. *J* is not finitely generated.

Proof. The following proof was provided by the anonymous referee. Consider the following ideal I in A:

$$I = \{ f \in \mathcal{A} \mid f(1) = 0, \ \forall p \in \mathcal{P} : f(p) = 0 \}.$$

Then the units of \mathcal{A}/I are precisely the elements of the form g+I, where $g \in \mathcal{A}$, $g(1) \neq 0$. Moreover, for any $f, g \in \mathcal{A}$ such that $f(1) = a \in \mathbb{C}$, g(1) = 0, we have $(f+I) \oplus (g+I) = (ag) + I = a(g+I)$. Assume that J is finitely generated ideal, say $J = (b_1, \ldots, b_r)$. Then $b_1(1) = \cdots = b_r(1) = 0$ and any element of J is of the form $\sum_{i=1}^r f_i \oplus b_i$ for suitable $f_1, \ldots f_r \in \mathcal{A}$. We have

$$\left(\sum_{i=1}^{r} f_i \oplus b_i\right) + I = \sum_{i=1}^{r} (f_i + I) \oplus (b_i + I) = \sum_{i=1}^{r} a_i (b_i + I),$$

where $a_i = f_i(1) \in \mathbb{C}$, which belongs to the finitely dimensional linear subspace of \mathcal{A}/I generated by $b_1 + I, \ldots, b_r + I$. This is a contradiction with the fact that the linear subspace of \mathcal{A}/I generated by $e_k + I$, k > 1, is of infinite dimension. \square

Definition 3.11. An ideal $I \subset \mathcal{A}$ is called quasi-finite if there exists a sequence $(g_k)_{k=1}^{\infty}$ in I such that $|g_k| \to 0$ and such that every element $f \in I$ can be written (not necessarily uniquely) as a convergent sum

(18)
$$f = \sum_{k=1}^{\infty} a_k \oplus g_k, \qquad a_k \in \mathcal{A}.$$

Lemma 3.12. m is quasi-finite.

Proof. By Theorem 3.9 the set $\{e_k \mid k > 1\}$ is a quasi-finite generating set for \mathfrak{m} .

Since all ideals are contained in \mathfrak{m} , it follows that any ideal containing $\{e_k \mid k > 1\}$ is quasi-finite. Furthermore, such an ideal has \mathfrak{m} as its closure. In particular, J is quasi-finite, but not closed.

Theorem 3.13. All (non-zero) ideals in A are quasi-finite. In fact, given any subspace I we can find

$$(19) G(I) := (g_k)_{k=1}^{\infty}$$

such that for all $f \in I$,

(20)
$$\exists c_1, c_2, c_3, \dots \in \mathbb{C}, \qquad f = \sum_{i=1}^{\infty} c_i g_i.$$

So all subspaces possesses a Schauder basis.

Proof. We construct G(I) in the following way: for each

$$k \in \{ \mathcal{N}(f) \mid f \in I \setminus \{\mathbf{0}\} \} =: \mathcal{N}(I)$$

we choose a $g_k \in I$ with $N(g_k) = k$, and with $g_k(k) = 1$. In other words, we make sure that the "leading coefficient" is 1; this can always be achieved since the coefficients lie in a field. For $k \notin N(I)$ we put $g_k = \mathbf{0}$.

To show that this choice of elements satisfy (20), take any $f \in I$, and put $f_0 = f$. Then define recursively, as long as $f_i \neq \mathbf{0}$,

$$n_i := N(f_i),$$

$$\mathbb{C} \ni a_i := f_i(n_i),$$

$$\mathcal{A} \ni f_{i+1} := f_i - a_i q_{n_i}.$$

Of course, if $f_i = \mathbf{0}$, then we have expressed f as a linear combination of

$$g_{n_1},\ldots,g_{n_{i-1}},$$

and we are done. Otherwise, note that by induction $f_i \in I$, so $n_i \in N(I)$, hence $g_{n_i} \neq 0$. Thus $N(f_{i+1}) > N(f_i)$, so $|f_{i+1}| < |f_i|$, whence

$$|f_0| > |f_1| > |f_2| > \cdots \to 0$$
.

But

$$f_{i+1} = f - \sum_{j=1}^{i} a_j g_{n_j},$$

so

$$F_i := \sum_{j=1}^i a_j g_{n_j} \to f \,,$$

which shows that $\sum_{j=1}^{\infty} a_j g_j = f$.

4. A FUNDAMENTAL ISOMORPHISM

4.1. The monoid of separated monomials. Let

$$(21) Y = \left\{ y_i^{(j)} \mid i, j \in \mathbb{N}^+ \right\}$$

be an infinite set of variables, in bijective correspondence with the integer lattice points in the first quadrant minus the axes. We call the subset

(22)
$$Y_i = \left\{ y_i^{(j)} \mid j \in \mathbb{N}^+ \right\}$$

the *i'th column* of Y.

Let [Y] denote the free abelian monoid on Y, and let \mathcal{M} be the subset of separated monomials, i.e. monomials in which no two occurring variables come from the same column:

(23)
$$\mathcal{M} = \left\{ y_{i_1}^{(j_1)} y_{i_2}^{(j_2)} \cdots y_{i_r}^{(j_r)} \mid 1 \le i_i < i_2 < \cdots i_r \right\}.$$

We regard \mathcal{M} as a monoid-with-zero, so that the multiplication is given by

(24)
$$m \oplus m' = \begin{cases} mm' & mm' \in \mathcal{M} \\ 0 & \text{otherwise} \end{cases}$$

Note that the zero is exterior to \mathcal{M} , i.e. $0 \notin \mathcal{M}$. The set $\mathcal{M} \cup \{0\}$ is a (non-cancellative) monoid if we define $m \oplus 0 = 0$ for all $m \in \mathcal{M}$.

Recall that \mathcal{PP} denotes the set of prime powers. It follows from the fundamental theorem of arithmetic that any positive integer n can be uniquely written as a square-free product of prime powers. Hence we have that

(25)
$$\begin{aligned} \Phi: Y \to \mathcal{PP} \,, \\ y_i^{(j)} \mapsto p_i^j \end{aligned}$$

is a bijection which can be extended to a bijection

(26)
$$\Phi: \mathcal{M} \to \mathbb{N}^+,$$

$$1 \mapsto 1,$$

$$y_{i_1}^{(j_1)} \cdots y_{i_r}^{(j_r)} \mapsto p_{i_1}^{j_1} \cdots p_{i_r}^{j_r}.$$

If we regard \mathbb{N}^+ as a monoid-with-zero with the operation \oplus of (4), then (26) is a monoid-with-zero isomorphism.

4.2. The ring A as a generalized power series ring, and as a quotient of $\mathbb{C}[Y]$. Let R be the large power series ring on [Y], i.e. R = C[Y] consists of all formal power series $\sum c_{\alpha}y^{\alpha}$, where the sum is over all multi-sets α on Y.

Let S be the generalized monoid-with-zero ring on \mathcal{M} . By this, we mean that S is the set of all formal power series

(27)
$$\sum_{m \in \mathcal{M}} f(m)m, \qquad f(m) \in \mathbb{C}$$

with component-wise addition, and with multiplication

(28)
$$\left(\sum_{m \in \mathcal{M}} f(m)m\right) \oplus \left(\sum_{m \in \mathcal{M}} g(m)m\right) = \left(\sum_{m \in \mathcal{M}} h(m)m\right),$$

$$h(m) = (f \oplus g)(m) = \sum_{s \oplus t = m} f(s)g(t).$$

Define

(29)
$$\sup \left(\sum_{m \in [Y]} c_m m \right) = \{ m \in [Y] \mid c_m \neq 0 \} ,$$

(30)
$$\operatorname{supp}(\sum_{m \in \mathcal{M}} c_m m) = \{ m \in \mathcal{M} \mid c_m \neq 0 \}.$$

Let furthermore

(31)
$$\mathfrak{D} = \{ f \in R \mid \operatorname{supp}(f) \cap \mathcal{M} = \emptyset \} .$$

Theorem 4.1. S and $\frac{R}{\mathfrak{D}}$ and \mathcal{A} are isomorphic as \mathbb{C} -algebras.

Proof. The bijection (26) induces a bijection between S and A which is an isomorphism because of the way multiplication is defined on S. In detail, the isomorphism is defined by

(32)
$$S \ni \sum_{m \in \mathcal{M}} c_m m \mapsto f \in \mathcal{A},$$
$$f(\Phi(m)) = c_m.$$

For the second part, consider the epimorphism

$$\phi: R \to S \,,$$

$$\phi\left(\sum_{m \in [Y]} c_m m\right) = \sum_{m \in \mathcal{M}} c_m m \,.$$

Clearly, $\ker(\phi) = \mathfrak{D}$, hence $S \simeq \frac{R}{\ker(\phi)} = \frac{R}{\mathfrak{D}}$.

Let us exemplify this isomorphism by noting that e_n , where n has the square-free factorization $n=p_1^{a_1}\cdots p_r^{a_r}$, corresponds to the square-free monomial $y_1^{(a_1)}\cdots y_r^{(a_r)}$, and that

(33)
$$\mathbf{1} = \sum_{m \in \mathcal{M}} m = \prod_{i=1}^{\infty} \left(1 + \sum_{j=1}^{\infty} y_i^{(j)} \right).$$

What does its inverse μ^* correspond to?

Definition 4.2. For $m \in \mathcal{M}$, we denote by $\omega(m)$ the number of occurring variables in m (by definition, $\omega(1) = 0$). For

$$S\ni f=\sum_{m\in\mathcal{M}}c_mm$$

we put

(34)
$$D(f) = \min \{ \omega(m) \mid c_m \neq 0 \}$$

if $f \neq 0$ and $D(\mathbf{0}) = \infty$. Then $\omega(\Phi(m)) = \omega(m)$, and if f and g correspond to each other via the isomorphism (32), then D(f) = D(g).

It is known (see [10]) that

(35)
$$\mu^*(r) = (-1)^{\omega(r)}.$$

We then have that μ^* corresponds to

$$(36) \mathbf{1}^{-1} = \frac{1}{\prod_{i=1}^{\infty} \left(1 + \sum_{j=1}^{\infty} y_i^{(j)}\right)} = \prod_{i=1}^{\infty} \frac{1}{1 + \sum_{j=1}^{\infty} y_i^{(j)}} = \sum_{m \in \mathcal{M}} (-1)^{\omega(m)} m.$$

Recall that $f \in \mathcal{A}$ is a multiplicative arithmetic function if f(nm) = f(n)f(m) whenever gcd(n, m) = 1. Regarding $f \neq \mathbf{0}$ as an element of S we have that f is

multiplicative if and only if it can be written as

(37)
$$f = \prod_{i=1}^{\infty} \left(1 + \sum_{j=1}^{\infty} c_{i,j} y_i^{(j)} \right).$$

It is now easy to see that the multiplicative functions form a group under multiplication.

4.3. The continuous endomorphisms. In [9], Schwab and Silberberg characterized all continuous endomorphisms of $(A, +, \cdot)$, the ring of arithmetical functions with Dirichlet convolution. We give the corresponding result for $A = (A, +, \oplus)$:

Theorem 4.3. Every continuous endomorphism θ of the \mathbb{C} -algebra $S \simeq \mathcal{A}$ is defined by

(38)
$$\theta(y_i^{(j)}) = \gamma_{i,j},$$

where

(39)
$$\gamma_{i,j}\gamma_{i,k} = 0 \quad \text{for all} \quad i, j, k$$

and

(40)
$$\gamma_{a_1(n),b_1(n)} \dots \gamma_{a_r(n),b_r(n)} \to 0$$
 as $n = p_{a_1(n)}^{b_1(n)} \dots p_{a_r(n)}^{b_r(n)} \to \infty$.

Proof. Recall that $S \simeq \frac{R}{\mathfrak{D}}$, where $R = \mathbb{C}[\![Y]\!]$ and \mathfrak{D} is the closure of the ideal generated by all non-separated quadratic monomials $y_i^{(j)}y_i^{(k)}$. Since the set of square-free monomials in the $y_i^{(j)}$'s form a Schauder base of S, any continuous C-algebra endomorphism θ of S is determined by its values on the $y_i^{(j)}$'s, and must fulfill (40). Since $y_i^{(j)}y_i^{(k)}=0$ in S, we must have that

$$\theta(0) = \theta(y_i^{(j)} y_i^{(k)}) = \theta(y_i^{(j)}) \theta(y_i^{(k)}) = \gamma_{i,j} \gamma_{i,k} = 0.$$

5. Nilpotent elements and zero divisors

Definition 5.1. For $m \in \mathbb{N}^+$, define the *prime support* of m as

$$psupp(m) = \{ p \in \mathcal{P} \mid p \mid m \}$$

and (when m > 1) the leading prime as

(42)
$$\operatorname{lp}(m) = \min \operatorname{psupp}(m).$$

For $n \in \mathbb{N}^+$, put

(43)
$$A^{(n)} = \{ k \in \mathbb{N}^+ \mid p_n \mid k \text{ but } p_i \nmid k \text{ for } i < n \} = \{ k \in \mathbb{N}^+ \mid lp(k) = p_n \} .$$

Then $\mathbb{N}^+ \setminus \{1\}$ is a disjoint union

(44)
$$\mathbb{N}^+ \setminus \{1\} = \bigsqcup_{i=1}^{\infty} A^{(i)}.$$

Definition 5.2. If $f \in \mathcal{A}$ is a non-unit, then the *canonical decomposition* of f is the unique way of expressing f as a convergent sum

(45)
$$f = \sum_{i=1}^{\infty} f_i, \quad f_i = \sum_{k \in A^{(i)}} f(k)e_k.$$

The element f is said to be of polynomial type if all but finitely many of the f_i 's are zero. In that case, the largest N such that $f_N \neq \mathbf{0}$ is called the filtration degree of f.

Lemma 5.3. If $f \in A$ is a non-unit with canonical decomposition (45), then

$$(46) f_i = \sum_{j=1}^{\infty} e_{p_i^j} \oplus g_{i,j},$$

where $r \leq i$, $p_r \mid n$ implies that $g_{i,j}(n) = 0$. For any n there is at most one pair (i,j) such that

$$\left(e_{p_i^j} \oplus g_{i,j}\right)(n) \neq 0.$$

More precisely, if

$$n = p_{i_1}^{j_1} \cdots p_{i_r}^{j_r}, \qquad i_1 < \cdots < i_r,$$

then $(e_{p_a^b} \oplus g_{a,b})$ (n) may be non-zero only for $a = i_1$, $b = j_1$.

Definition 5.4. For $k \in \mathbb{N}$, define

(47)
$$I_k = \{ f \in \mathcal{A} \mid f(n) = 0 \text{ for every } n \text{ such that } \gcd(n, p_1 p_2 \cdots p_k) = 1 \}$$
.

Lemma 5.5. I_k is an ideal in $(A, +, \oplus)$.

Proof. It is shown in [8] that the I_k 's form an ascending chain of ideals in $(\mathcal{A}, +, \cdot)$. They are also easily seen to be ideals in $(\mathcal{A}, +, \oplus)$: if

$$f \in I_k, g \in \mathcal{A}$$
 and $\gcd(n, p_1 p_2 \cdots p_k) = 1$

then

$$(f \oplus g)(n) = \sum_{d \mid \mid n} f(d)g(n/d) = 0,$$

since $gcd(d, p_1p_2 \cdots p_k) = 1$ for any unitary divisor of n.

For any $h \in \mathcal{A}$, the annihilator $\operatorname{ann}(h) \subset \mathcal{A}$ is the ideal consisting of all elements $g \in \mathcal{A}$ such that $gh = \mathbf{0}$.

Theorem 5.6. Let $N \in \mathbb{N}^+$, then

where \overline{AW} denotes the topological closure of the ideal generated by the set W.

Proof. If $f \in I_N$ then for all k

(48)
$$(f \oplus e_{p_1 \cdots p_N})(k) = \sum_{a \oplus p_1 \cdots p_N = k} f(a)e_{p_1 \cdots p_N}(p_1 \cdots p_N)$$

$$= \sum_{a \oplus p_1 \cdots p_N = k} f(a) = 0,$$

so $f \in \operatorname{ann}(e_{p_1 \cdots p_N})$. Conversely, if $f \in \operatorname{ann}(e_{p_1 \cdots p_N})$ then $(f \oplus e_{p_1 \cdots p_N})(k) = 0$ for all k, hence if $\operatorname{gcd}(n, p_1 \cdots p_N) = 1$ then

(49)
$$0 = (f \oplus e_{p_1 \cdots p_N})(np_1 \cdots p_N) = f(n)e_{p_1 \cdots p_N}(p_1 \cdots p_N) = f(n)$$

hence $f \in I_N$.

If $f \in I_N$ then for j > N we get that $f_j = \mathbf{0}$, since

$$f_j(k) = \begin{cases} 0 & \text{if } k \notin A^{(j)} \\ f(k) = 0 & \text{if } k \in A^{(j)} \end{cases}$$

Hence $f = \sum_{i=1}^{N} f_i$. Conversely, if f can be expressed in this way, then $f(k) = f_{j_1}(k) = 0$ for $k = p_{j_1}^{a_1} \cdots p_{j_r}^{a_r}$ with $N < j_1 < \cdots < j_r$.

The last equality follows from Theorem 3.9.

Theorem 5.7. Let $f \in A$ be a non-unit. The following are equivalent:

- (i) f is of polynomial type.
- (ii) $f \in \bigcup_{k=0}^{\infty} I_k$,
- (iii) There is a finite subset $Q \subset \mathcal{P}$ such that f(k) = 0 for all k relatively prime to all $p \in Q$.
- (iv) $f \in \bigcup_{N=1}^{\infty} \operatorname{ann}(e_{p_1 p_2 \cdots p_N}).$
- (v) There is a positive integer N such that f is contained in the topological closure of the ideal generated by the set

$$\{e_{p_i^a} \mid a, i \in \mathbb{N}^+, i \leq N\}$$
.

If f has finite support, then it is of polynomial type. If f is of polynomial type, then it is nilpotent.

Proof. Clearly, a finitely supported f is of polynomial type. The equivalence (i) \iff (ii) \iff (iii) \iff (iv) \iff (v) follows from the previous theorem. If f is of polynomial type, say of filtration degree N, then

$$(50) f = \sum_{i=1}^{N} f_i$$

and we see that if f^{N+1} is the N+1'st unitary power of f, then f^{N+1} is the linear combination of monomials in the f_i 's, and none of these monomials is square-free. Since $f_i \oplus f_i = \mathbf{0}$ for all i, we have that $f^{N+1} = \mathbf{0}$. So f is nilpotent. \square

Lemma 5.8. The elements of polynomial type forms an ideal.

Proof. By the previous theorem, this set can be expressed as

$$\bigcup_{n=1}^{\infty} I_n \,,$$

which is an ideal since I_n form an ascending chain of ideals.

Question 5.9. Are all [nilpotent elements, zero divisors] of polynomial type? If one could prove that the zero divisors are precisely the elements of polynomial type, then by Lemma 5.8 it would follow that Z(A) is an ideal, and moreover a prime ideal, since the product of two regular elements is regular (in any commutative ring). Then one could conclude [6] that $(A, +, \oplus)$ has few zero divisors, hence is additively regular, hence is a Marot ring.

Theorem 5.10. $(A, +, \oplus)$ contains infinitely many non-associate regular non-units.

Proof. Step 1. We first show that there is at least one such element. Let $f \in \mathcal{A}$ denote the arithmetical function

$$f(k) = \begin{cases} 1 & \text{if } k \in \mathcal{PP} \\ 0 & \text{otherwise} \end{cases}$$

Then f is a non-unit, and using a result by Yocom [13, 8] we have that f is contained in a subring of $(A, +, \oplus)$ which is a discrete valuation ring isomorphic to $\mathbb{C}[\![t]\!]$, the power series ring in one indeterminate. This ring is a domain, so f is not nilpotent.

We claim that f is in fact regular. To show this, suppose that $g \in \mathcal{A}$, $f \oplus g = \mathbf{0}$. We will show that $g = \mathbf{0}$.

Any positive integer m can be written $m = q_1^{a_1} \cdots q_r^{a_r}$, where the q_i are distinct prime numbers. If r = 0, then m = 1, and g(1) = 0, since

$$0 = (f \oplus g)(2) = f(2)g(1) = g(1).$$

For the case r=1, we want to show that $g(q^a)=0$ for all prime numbers q. Choose three different prime powers $q_1^{a_1}$, $q_2^{a_2}$, and $q_3^{a_3}$. Then

$$0 = f \oplus g(q_i^{a_i}q_j^{a_j}) = f(q_i^{a_i})g(q_j^{a_j}) + f(q_j^{a_j})g(q_i^{a_i}) = g(q_j^{a_j}) + g(q_i^{a_i})\,,$$

when $i \neq j, i, j \in \{1, 2, 3\}$. In matrix notation, these three equations can be written as

$$\begin{bmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix} \begin{bmatrix} g(q_1^{a_1}) \\ g(q_2^{a_2}) \\ g(q_3^{a_3}) \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

from which we conclude (since the determinant of the coefficient matrix is non-zero) that $0 = g(q_1^{a_1}) = g(q_2^{a_2}) = g(q_3^{a_3})$.

Now for the general case, r > 1. We need to show that that

(51)
$$g(q_1^{a_1} \cdots q_r^{a_r}) = 0$$

whenever $q_1^{a_1}, \dots, q_r^{a_r}$ are pair-wise relatively prime prime powers.

Choose N pair-wise relatively prime prime powers $q_1^{a_1}, \ldots, q_N^{a_N}$. For each r+1-subset $q_{s_1}, \ldots, q_{s_{r+1}}$ of this set we get a homogeneous linear equation

(52)
$$0 = f \oplus g(q_{s_1} \dots q_{s_{r+1}})$$
$$= g(q_{s_2} \dots q_{s_{r+1}}) + g(q_{s_1} q_{s_3} \dots q_{s_{r+1}}) + \dots + g(q_{s_1} \dots q_{s_r}).$$

The matrix of the homogeneous linear equation system formed by all these equations is the incidence matrix of r-subsets (of a set of N elements) into r+1-subsets. It has full rank [12]. Since it consists of $\binom{N}{r+1}$ equations and $\binom{N}{r}$ variables, we get that for sufficiently large N, the null-space is zero-dimensional, thus the homogeneous system has only the trivial solution. It follows, in particular, that (51) holds.

Thus, g(m) = 0 for all m, so f is a regular element.

Step 2. We construct infinitely many different regular non-units. Consider the element \tilde{f} , with

$$\tilde{f}(k) = \begin{cases} c_k & k \in \mathcal{PP} \\ 0 & \text{otherwise} \end{cases}$$

and where the c_k 's are "sufficiently generic" non-zero complex numbers, then we claim that \tilde{f} , too, is a regular non-unit. With g, m, r as before, we have that, for r = 0,

$$0 = f \oplus g(p^a) = f(p^a)g(1) = c_{p^a}g(1).$$

We demand that $c_{n^a} \neq 0$, then g(1) = 0.

For a general r, we argue as follows: the incidence matrices that occurred before will be replaced with "generic" matrices whose elements are c_k 's or zeroes, and which specialize, when setting all $c_k = 1$, to full-rank matrices. They must therefore have full rank, and the proof goes through.

Step 3. Let g be a unit in \mathcal{A} , and \tilde{f} as above. We claim that if $g \oplus f$ is of the above form, i.e. supported on \mathcal{PP} , then g must be a constant. Hence there are infinitely many non-associate regular non-units of the above form.

To prove the claim, we argue exactly as before, using the fact that $g \oplus \tilde{f}$ is supported on \mathcal{PP} . For $m = q_1^{a_1} \cdots q_r^{a_r}$ as before, the case r = 0 yields nothing:

$$0 = g \oplus \tilde{f}(1) = \tilde{f}(1)g(1) = 0g(1) = 0,$$

neither does the case r = 1:

$$w = g \oplus \tilde{f}(q^a) = \tilde{f}(q^a)g(1)$$
,

so g(1) may be non-zero. But for r=2 we get

$$0 = g \oplus \tilde{f}(q_1^{a_1}q_2^{a_2}) = \tilde{f}(q_1^{a_1})g(q_2^{a_2}) + g(q_1^{a_1})\tilde{f}(q_2^{a_2}),$$

and also

$$\begin{split} 0 &= g \oplus \tilde{f}(q_1^{a_1}q_3^{a_3}) = \tilde{f}(q_1^{a_1})g(q_3^{a_3}) + g(q_1^{a_1})\tilde{f}(q_3^{a_3}) \,, \\ 0 &= g \oplus \tilde{f}(q_2^{a_2}q_3^{a_3}) = \tilde{f}(q_2^{a_2})g(q_3^{a_3}) + g(q_1^{a_1})\tilde{f}(q_3^{a_3}) \,, \end{split}$$

which means that

$$\begin{bmatrix} \tilde{f}(q_2^{a_2}) & \tilde{f}(q_1^{a_1}) & 0 \\ \tilde{f}(q_3^{a_3}) & 0 & \tilde{f}(q_1^{a_1}) \\ 0 & \tilde{f}(q_3^{a_3}) & \tilde{f}(q_2^{a_2}) \end{bmatrix} \begin{bmatrix} g(q_1^{a_1}) \\ g(q_2^{a_2}) \\ g(q_3^{a_3}) \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

By our assumptions, the coefficient matrix is non-singular, so only the zero solution exists, hence $g(q_1^{a_1}) = 0$.

An analysis similar to what we did before shows that $g(q_1^{a_1}\cdots q_r^{a_r})=0$ for r>1.

With the same method, one can easily show that the characteristic function on \mathcal{P} is regular.

6. Some simple results on factorisation

Cashwell-Everett [4] showed that $(A, +, \cdot)$ is a UFD. We will briefly treat the factorisation properties of $(A, +, \oplus)$. Definitions and facts regarding factorisation in commutative rings with zero-divisors from the articles by Anderson and Valdes-Leon [1, 2] will be used.

First, we note that since $(A, +, \oplus)$ is quasi-local, it is présimplifiable, i.e. $a \neq \mathbf{0}$, $a = r \oplus a$ implies that r is a unit. It follows that for $a, b \in A$, the following three conditions are equivalent:

- (1) a, b are associates, i.e. $A \oplus a = A \oplus b$.
- (2) a, b are strong associates, i.e. $a = u \oplus b$ for some unit u.
- (3) a, b are very strong associates, i.e. $A \oplus a = A \oplus b$ and either $a = b = \mathbf{0}$, or $a \neq \mathbf{0}$ and $a = r \oplus b \implies r \in U(A)$.

We say that $a \in \mathcal{A}$ is *irreducible*, or an *atom*, if $a = b \oplus c$ implies that a is associate with either b or c.

Theorem 6.1. $(A, +, \oplus)$ is atomic, i.e. all non-units can be written as a product of finitely many atoms. In fact, $(A, +, \oplus)$ is a bounded factorial ring (BFR), i.e. there is a bound on the length of all factorisations of an element.

Proof. It follows from Lemma 3.3 that the non-unit f has a factorisation into at most $\mathrm{D}(f)$ atoms. \square

Example 6.2. We have that $e_2 \oplus (e_{2^k} + e_3) = e_6$ for all k, hence e_6 has an infinite number of non-associate irreducible divisors, and infinitely many factorisations into atoms.

Example 6.3. The element $h = e_{30}$ can be factored as $e_2 \oplus e_3 \oplus e_5$, or as $(e_6 + e_{20}) \oplus (e_2 + e_5)$.

These examples show that $(A, +, \oplus)$ is neither a half-factorial ring, nor a finite factorisation ring, nor a weak finite factorisation ring, nor an atomic idf-ring.

7. The subring of arithmetical functions supported on square-free integers

Let $SQF \subset \mathbb{N}^+$ denote the set of square-free integers, and put

(53)
$$\mathfrak{C} = \{ f \in \mathcal{A} \mid \operatorname{supp}(f) \subset \mathcal{SQF} \} .$$

For any $f \in \mathcal{A}$, denote by $p(f) \in \mathfrak{C}$ the restriction of f to \mathcal{SQF} .

Theorem 7.1. $(\mathfrak{C}, +, \oplus)$ is a subring of $(A, +, \oplus)$, and a closed \mathbb{C} -subalgebra with respect to the norm $|\cdot|$. The map

(54)
$$p: \mathcal{A} \to \mathfrak{C},$$
$$f \mapsto p(f)$$

is a continuous \mathbb{C} -algebra epimorphism, and a retraction of the inclusion map $\mathfrak{C} \subset \mathcal{A}$.

Proof. Let $f, g \in \mathfrak{C}$. If $n \in \mathbb{N}^+ \setminus \mathcal{SQF}$ then (f+g)(n) = f(n) + g(n) = 0, and cf(n) = 0 for all $c \in \mathbb{C}$. Since $n \in \mathbb{N}^+ \setminus \mathcal{SQF}$, there is at least on prime p such that $p^2 \mid n$. If m is a unitary divisor of m, then either m or n/m is divisible by p^2 . Thus

$$(f \oplus g)(n) = \sum_{m \parallel n} f(m)g(n/m) = 0.$$

If $f_k \to f$ in \mathcal{A} , and all $f_k \in \mathfrak{C}$, let $n \in \operatorname{supp}(f)$. Then there is an N such that $f(n) = f_k(n)$ for all $k \geq N$. But $\operatorname{supp}(f_k) \subset \mathcal{SQF}$, so $n \in \mathcal{SQF}$. This shows that \mathfrak{C} is a closed subalgebra of \mathcal{A} .

It is clear that p(f+g)=p(f)+p(g) and that p(cf)=cp(f) for any $c\in\mathbb{C}$. If n is not square-free, we have already showed that

$$0 = (p(f) \oplus p(g))(n) = p((f \oplus g))(n).$$

Suppose therefore that n is square-free. Then so is all its unitary divisors, hence

$$p(f \oplus g)(n) = (f \oplus g)(n) = \sum_{m \mid n} f(m)g(n/m)$$
$$= \sum_{m \mid n} p(f)(m)p(g)(n/m) = (p(f) \oplus p(g))(n).$$

We have that p(f) = f if and only if $f \in \mathfrak{C}$, hence p(p(f)) = p(f), so p is a retraction to the inclusion $i : \mathfrak{C} \to \mathcal{A}$. In other words, $p \circ i = \mathrm{id}_{\mathfrak{C}}$.

Corollary 7.2. The multiplicative inverse of an element in $\mathfrak C$ lies in $\mathfrak C$.

Proof. If $f \in \mathfrak{C}$, $f \oplus g = e_1$ then

$$e_1 = p(e_1) = p(f \oplus g) = p(f) \oplus p(g) = f \oplus p(g),$$

hence g = p(g), so $g \in \mathfrak{C}$.

Alternatively, we can reason as follows. If f is a unit in \mathfrak{C} then we can without loss of generality assume that f(1) = 1. By Theorem 3.7, $g = -f + e_1$ is topologically nilpotent, hence by Proposition 1.2.4 of [3] we have that the inverse of

 $e_1 - g = f$ can be expressed as $\sum_{i=0}^{\infty} g^i$. It is clear that g, and every power of it, is supported on \mathcal{SQF} , hence so is f^{-1} .

Corollary 7.3. $(\mathfrak{C}, +, \oplus)$ is semi-local.

Proof. The units consists of all $f \in \mathfrak{C}$ with $f(1) \neq 0$, and the non-units form the unique maximal ideal.

Remark 7.4. More generally, given any subset $Q \subset \mathbb{N}^+$, we get a retract of $(\mathcal{A}, +, \oplus)$ when considering those arithmetical functions that are supported on the integers $n = p_1^{a_1} \cdots p_r^{a_r}$ with $a_i \in Q \cup \{0\}$. This property is unique for the unitary convolution, among all regular convolutions in the sense of Narkiewicz [7].

In particular, the set of arithmetical functions supported on the exponentially odd integers (those n for which all a_i are odd) forms a retract of $(\mathcal{A}, +, \oplus)$. It follows that the inverse of such a function is of the same form.

Let $T = \mathbb{C}[x_1, x_2, x_3, \dots]$, the large power series ring on countably many variables, and let J denote the ideal of elements supported on non square-free monomials.

Theorem 7.5. $(\mathfrak{C}, +, \oplus) \simeq T/J$. This algebra can also be described as the generalized power series ring on the monoid-with-zero whose elements are all finite subsets of a fixed countable set X, with multiplication

(55)
$$A \times B = \begin{cases} A \cup B & \text{if } A \cap B = \emptyset \\ 0 & \text{otherwise} \end{cases}$$

Proof. Define η by

(56)
$$\eta: T \to \mathcal{A}$$

$$\eta(\sum_{m} c_{m} m) = \sum_{m \text{ square-free}} c_{m} e_{m},$$

where for a square-free monomial $m = x_{i_1} \cdots x_{i_r}$ with $1 \le i_1 < \cdots < i_r$ we put $e_m = e_{p_{i_1} \cdots p_{i_r}}$. Then $\eta(T) = \mathfrak{C}$, $\ker \eta = J$. It follows that $\mathfrak{C} \simeq T/J$.

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