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CODIMENSION 1 SUBVARIETIES OF \mathcal{M}_g AND REAL GONALITY OF REAL CURVES

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Abstract. Let \mathcal{M}_g be the moduli space of smooth complex projective curves of genus g. Here we prove that the subset of \mathcal{M}_g formed by all curves for which some Brill-Noether locus has dimension larger than the expected one has codimension at least two in \mathcal{M}_g . As an application we show that if $X \in \mathcal{M}_g$ is defined over \mathbb{R} , then there exists a low degree pencil $u: X \to \mathbb{P}^1$ defined over \mathbb{R} .

Keywords: moduli space of curves, gonality, real curves, Brill-Noether theory, real algebraic curves, real Riemann surfaces

MSC 2000: 14H10, 14H51, 14P99

1. INTRODUCTION

Let X be a smooth complex projective curve of genus g and let $G_d^r(X) := \{(L, V) : L \in \operatorname{Pic}^d(X), V \subseteq H^0(X, L), \dim(V) = r + 1\}$ be the scheme of all r-dimensional linear systems of degree d on X. Set $W_d^r(X) := \{L \in \operatorname{Pic}^d(X), h^0(X, L) \ge r + 1\}$. The geometry of the schemes $G_d^r(X)$ and $W_d^r(X)$ is quite well understood when X is a general curve of genus g ([1]). In particular for a general X every $G_d^r(X)$ is smooth, equidimensional, non-empty if and only if $\varrho(g, r, d) := g - (r + 1)(g + r - d) \ge 0$ and connected if and only if $\varrho(g, r, d) > 0$. It is natural to try to give upper bounds for the codimension in the moduli space \mathcal{M}_g of the set of all curves for which some of these properties fail. For all integers g, d, r, i with $g \ge 3$, 0 < d < 2g - 2, 0 < r < g and i > 0 set $B(g, r, d, i) := \{X \in \mathcal{M}_g:$ there is an irreducible component T of $G_d^r(X)$ such that the Zariski tangent space of $G_d^r(X)$ has dimension at least

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 $\varrho(g,r,d) + i$ at a general point of T and $D(g,r,d,i) := \{X \in \mathcal{M}_g \colon W^r_d(X) \text{ has}$ dimension at least $\varrho(g,r,d) + i\}$. It is possible to define several other failure loci. We will need only the following ones. For all integers x, y with x > 0 and y > 0set $E(g,d,x,y) := \{X \in \mathcal{M}_g \colon \text{ there is a } y\text{-dimensional family of } L \in \operatorname{Pic}^d(X) \text{ with}$ $h^0(X,L) \ge 2$ and $h^1(X,L^{\otimes 2}) \ge x\}$. In Section 2 we will prove the following result.

Theorem 1. For all integers g, d with $g \ge 4$ and 0 < d < 2g - 2 every irreducible component of B(g, 1, d, 3), E(g, d, 2, 1) and E(g, d, 1, 2) has codimension at least two in \mathcal{M}_q .

Now we explain our motivation for showing that some bad sublocus of \mathcal{M}_g has codimension at least two in \mathcal{M}_q . Let X be a smooth connected projective curve of genus g defined over \mathbb{R} . Hence the set $X(\mathbb{C})$ of its complex points is an oriented compact topological surface (a sphere with q handles) equipped with a complex structure. The set $X(\mathbb{R})$ is the union of n(X) disjoint circles. The real structure is uniquely determined by an anti-holomorphic involution $\sigma \colon X(\mathbb{C}) \to X(\mathbb{C})$. We have $X(\mathbb{R}) = \{P \in X(\mathbb{C}): \sigma(P) = P\}$. It is known that either $X(\mathbb{C}) \setminus X(\mathbb{R})$ is connected or $X(\mathbb{C}) \setminus X(\mathbb{R})$ has exactly two connected components (see e.g. [7, Prop. 3.1], or [11, p. 262]); following [7] we will write a(X) = 0 if $X(\mathbb{C}) \setminus X(\mathbb{R})$ is not connected and a(X) = 1 if $X(\mathbb{C}) \setminus X(\mathbb{R})$ is connected. It is known that we have $1 \leq n(X) \leq g+1$ and $n(X) \equiv g+1 \mod(2)$ if a(X) = 0 and $0 \leq n(X) \leq g$ if a(X) = 1 and that every such pair (n(X), a(X)) arises for some real smooth curve of genus q ([7, Prop. 3.1], or [11, p. 262]). The pair (n(X), a(X)) will be called the topological type of the real curve X. There exists a connected smooth Teichmüller space, T(g, c, e), parametrizing all genus g real smooth curve with fixed topological type (c, e) ([6] or [10, Th. 5.1]). We learned the notion of gonality over an arbitrary base field from [9]. For every smooth real curve X set $gon(X, \mathbb{R}) := \inf\{d \in \mathbb{Z} : \text{there}\}$ exists a real $L \in \operatorname{Pic}^{d}(X)$ with $h^{0}(X, L) \geq 2$. The integer gon (X, \mathbb{R}) is called the real gonality of the real curve X. For any such curve the case r = 1 of the existence theorem for special divisors ([1]) gives $gon(X, \mathbb{C}) \ge [\frac{1}{2}(g+3)]$. By specialization and semicontinuity, to obtain this upper bound it is sufficient to prove this bound for a general curve Y of genus g. For a general curve Y we have $gon(Y, \mathbb{C}) = \left[\frac{1}{2}(g+3)\right]$ ([1]). By [2, Th. 3.1], for every integer $g \ge 2$ and every topological type (c, e), e = 0, 1, dwith c > 0 there is an open non-empty euclidean subset U(q, c, e) of T(q, c, e) such that $gon(X, \mathbb{R}) = \left[\frac{1}{2}(g+3)\right]$ for every $X \in U(g, c, e)$. By specialization for every real curve X in the euclidean closure, V(g, c, e), of U(g, c, e) we have $gon(X, \mathbb{R}) \ge$ $\left[\frac{1}{2}(g+3)\right]$. We are interested in the corresponding problem for all real curves. In genus 8 a surprise arose: by [2, Th. 3.2], for every topological type (c, e) admissible for genus 8 and with c > 0 there is a non-empty open subset B(8, c, e) of T(8, c, e)such that every $X \in B(8, c, e)$ has $gon(X, \mathbb{R}) > [\frac{1}{2}(g+3)] = 5$. The connection with Theorem 1 is simple; every solution specializes, i.e. for every integer d the set $gon(d; g, c, e) := \{X \in T(g, c, e): gon(X, \mathbb{R}) \leq d\}$ is closed in T(g, c, e); every smooth solution generalizes, i.e. it can be extended to nearby curves, but in general non-smooth solutions do not generalize (consider a double root of a real polynomial $f \in \mathbb{R}[t]$. Hence we see that if g = 8 for all admissible types $B(8, 5, 1, 1) \cap T(8, c, e)$ disconnects T(8, c, e). Since T(8, c, e) is a smooth differential manifold, this implies that $B(8, 5, 1, 1) \cap T(8, c, e)$ has codimension one in T(8, c, e) and hence B(8, 5, 1, 1)has a component of codimension one in \mathcal{M}_8 . Using Theorem 1 in Section 3 we will prove the following result.

Theorem 2. For every integer $g \ge 3$ and every smooth real curve X of genus g with $X(\mathbb{R}) \neq \emptyset$ we have $gon(X, \mathbb{R}) \le [\frac{1}{2}(g+3)] + 3$.

The case of curves without real points is more delicate, because such curves have only real linear systems of even degree. In Section 3 we will prove the following result.

Theorem 3. For every integer $g \ge 3$, let u(g) be the first even integer bounded above by $[\frac{1}{2}(g+3)] + 6$, i.e. set $u(g) := 2([\frac{1}{4}(g+3)] + 6$. Then for every smooth real curve X of genus g with $X(\mathbb{R}) = \emptyset$ we have $gon(X, \mathbb{R}) \le u(g)$.

2. Proof of Theorem 1

In the case of spanned pencils, the Petri map is essentially equivalent to the multiplication map $H^0(X, L) \otimes H^0(X, L) \to H^0(X, L^{\otimes 2})$. More precisely, for any smooth curve X and $L \in \text{Pic}^d(X)$ with $h^0(X, L) = 2$ and |L| = |F| + B with |F| base point free pencil and $B \ge 0$ the base locus of |L|, as in the base point free pencil trick the multiplication map induces an exact sequence

(1)
$$0 \to L \otimes F^* \to H^0(X, F) \otimes L \to L \otimes F \to 0.$$

The exact sequence (1) shows that the excess dimension of the Zariski tangent space of $W_d^1(X)$ at L is just $H^1(X, F^{\otimes 2} \otimes B)$. For a spanned pencil F the condition $H^1(X, F^{\otimes 2}) = 0$ has a very nice geometric interpretation: we have $h^1(X, F^{\otimes 2}) \neq 0$ if and only if F is the limit of two different g_d^1 's in a family of curves ([3]). We will not need this nice interpretation.

Remark 1. Since we may ignore finitely many irreducible subvarieties of \mathcal{M}_g with codimension at least two, we will always consider curves without non-trivial automorphisms, i.e. we will always work on the smooth locus of \mathcal{M}_g . Fix any such

 $Y \in \mathcal{M}_g$ and take $L \in \operatorname{Pic}(Y)$ with L spanned, $h^0(Y, L) = 2$ and $x := h^1(Y, L^{\otimes 2}) > 0$. For x general points P_1, \ldots, P_x of Y we have $h^1(Y, L^{\otimes 2}(P_1 + \ldots + P_x)) = 0$ (e.g. use Serre duality if $x \ge 2$).

Proof of Theorem 1. The idea is to use Remark 1. To carry over this idea we prefer to use induction on g, the cases g = 2 and g = 3 being trivial. Hence we assume $q \ge 4$ and that the result is true for curves of genus at most q-1. In order to obtain a contradiction we assume the existence of an irreducible subvariety G of \mathcal{M}_g with dim(G) = 3g - 4 and such that the corresponding result is false for all curves $X \in G$. Let T be the closure of G in $\overline{\mathcal{M}}_q$. Since \mathcal{M}_q has no complete subvariety of dimension 3q - 4 (see [5] for much more), T intersects the boundary $\overline{\mathcal{M}}_{q} \setminus \mathcal{M}_{q}$. Since $\overline{\mathcal{M}}_{q}$ has only quotient singularities, we may easily check using a local smooth finite covering that T intersects at least one of the irreducible components of $\overline{\mathcal{M}}_g \setminus \mathcal{M}_g$ in an algebraic set of codimension ≤ 1 . $\overline{\mathcal{M}}_g \setminus \mathcal{M}_g$ has $[\frac{1}{2}g] + 1$ irreducible components $Y_i, 0 \leq i \leq \lfloor \frac{1}{2}g \rfloor$: a general member of Y_0 is given by an irreducible curve with a unique node, while a general member of Y_i , $1 \le i \le \lfloor \frac{1}{2}g \rfloor$, is the union of two smooth curves, one of genus g - i and the other one of genus *i*, linked at a unique point. First assume that T contains an irreducible hypersurface F of Y_0 . Fix a general $X \in F$ and let $\pi: Y \to X$ be the normalization. Either F is the closure of the irreducible curves of arithmetic genus q with two ordinary nodes or its general member has a unique node. First assume that F is the closure of the irreducible curves of arithmetic genus g with two ordinary nodes. Since $\dim(F) = 3g - 5$, Y is a general curve of genus g-2 and the 4 points $\pi^{-1}(\operatorname{Sing}(X))$ are general points of Y. Fix $L \in \operatorname{Pic}(X)$ with L spanned, $h^0(X,L) = 2$ and $h^1(X,L^{\otimes 2}) \ge 2$. Fix an effective divisor $B \ge 0$ such that $h^1(X, L^{\otimes 2}(B)) = 2$ (Remark 1). Set $R = \pi^*(L)$. We have $h^1(Y, \mathbb{R}^{\otimes 2}) \ge h^1(X, \mathbb{L}^{\otimes 2}) - 2$ with equality if and only if $h^0(Y, \mathbb{R}) = h^0(X, \mathbb{L})$. Since Y is general, we have $h^1(X, R^{\otimes 2}) = 0$ by a corollary of the Gieseker-Petri Theorem. Hence we obtain a contradiction unless $h^1(X, L^{\otimes 2}) = 2$ and $h^0(Y, R) =$ $h^0(X,L)$. This case is excluded in the statement of Theorem 1 but even avoiding this observation in this case by Remark 1 we obtain that the set of all offending line bundles on Y has dimension at least two more than the ones on X, obtaining a contradiction by the inductive assumption for genus g-2. Now assume that X has a unique node. Since $\dim(F) = 3q - 5$, either Y is a general curve of genus q - 1and one of the two points of $\pi^{-1}(\operatorname{Sing}(X))$ is a general point of Y or Y is a general member of an irreducible codimension one subvariety G' of \mathcal{M}_{q-1} and the two points of $\pi^{-1}(\operatorname{Sing}(X))$ are general points of Y. Fix $L \in \operatorname{Pic}(X)$ with $h^0(X, L) = 2$ and L spanned. Fix an effective divisor $B \ge 0$ such that $h^1(X, L^{\otimes 2}(B)) \ge 2$; again, the case $h^1(X, L^{\otimes 2}(B)) = 2$ is excluded in the statement of Theorem 1 but we need to handle it when at the end of the proof we will consider the case in which L is not locally free. Thus $h^1(X, L^{\otimes 2}) \ge 2$. Set $R = \pi^*(L)$. We have $h^1(Y, R^{\otimes 2}) \ge h^1(X, L^{\otimes 2}) - 2$ with equality if and only if $h^0(Y, R) = h^0(X, L)$. Hence if Y has general moduli, then the corollary of the Gieseker-Petri Theorem just used gives a contradiction. Now assume that Y has not general moduli. Thus Y must be the general member of a hypersurface Z of \mathcal{M}_{q-1} , while the two points of $\pi^{-1}(\operatorname{Sing}(X))$ are general in Y. We apply Remark 1 and obtain that the set of all offending line bundles on Y has dimension at least one more than the dimension of the set of all offending line bundles on X. We conclude by induction on q using in the inductive assumption the full statement of Theorem 1 involving the algebraic sets E(*, *, *, *). Now assume that T contains an irreducible hypersurface F of Y_i with $1 \leq i \leq \lfloor \frac{1}{2}g \rfloor$. First assume that a general $X \in F$ has a unique singular point, i.e. assume that X is the union of two smooth curves, X_1 of genus g - i and X_2 of genus i, linked at one point P. If $i \ge 2$ at least one of the two components of X, say X_2 , must have general moduli. Fix $L \in \text{Pic}(X)$ with L spanned, $h^0(X, L) \ge 2$ and $h^1(X, L) \ge 2$. Hence $L|X_1$ and $L|X_2$ are both spanned and at least one of them is not trivial. For any spanned $M \in \operatorname{Pic}(X)$ consider the Mayer-Vietoris exact sequence

(2)
$$0 \to M \to M | X_1 \oplus M | X_2 \to M | \{P\} \to 0.$$

Since $M|X_1$ and $M|X_2$ are spanned, we obtain $h^0(X,M) = h^0(X_1,M|X_1) +$ $h^{0}(X, M | X_{2}) - 1$ and $h^{1}(X, M) = h^{1}(X_{1}, M | X_{1}) + h^{1}(X, M | X_{2})$. Take $M = L^{\otimes 2}$. If $M|X_2$ is not trivial, we obtain a contradiction either for degree reasons (case $i \leq 2$) or by the generality of X_2 and the inductive assumption. Hence we may assume $L^{\otimes 2}|X_2 \cong \mathcal{O}_{X_2}$. We may avoid this case for the following reason; the curve X must be a limit of coverings $\{u_t: X_t \to \mathbb{P}^1\}$ with $\deg(u_t) = \deg(L)$ and X_t smooth; by the theory of admissible coverings due to Harris and Mumford ([8]) this family has as limit when X_t goes to X an admissible $\deg(L)$ covering of a curve E such that X is the stable resuction of E and in the corresponding covering both X_1 and X_2 are mapped non-trivially to a copy of \mathbb{P}^1 . Now assume that X has at least two singular points. Counting dimensions, we see that either X has two irreducible components, one of them being smooth and with general moduli, or it has 3 irreducible components, all of them with general moduli and linked as a tree at general points of the components. We conclude as in the previous case. Now we drop the assumption of the existence of a spanned $L \in \operatorname{Pic}(X)$ of the same degree as the one on a general element of G and with $h^1(X, L^{\otimes 2}) \ge 2$. In the general case in the limit we only have a rank one torsion free sheaf A with $h^0(X, A) \ge 2$ and with $h^1(X, (A^{\otimes 2})^{**}) \ge 2$. For the formal classification of finitely generated torsion free modules on the completion of a local of a node, see [12, pp. 162-163] (both for the case in which the node is on one irreducible component of X and the case in which the node is on the intersection of two irreducible components of X). Let R be the subsheaf of A spanned by $H^0(X, A)$. Thus R is a spanned rank one torsion free sheaf on X with deg(R) \leq deg(A) and $h^0(X, R) = h^0(X, A) \geq 2$. First assume $X \in Y_i$, $i \neq 0$, $X = X_1 \cup X_2$ and call $u: X_1 \to X$ and $v: X_2 \to X$ the inclusions. Set $R_1 := u^*(R)/$ Tors $(u^*(R))$ and $R_2 := v^*(R)/$ Tors $(v^*(R))$. The sheaves R_1 and R_2 are spanned line bundles. We have $0 \leq$ lenght(Tors $(u^*(R))) \leq 1$, $0 \leq$ lenght(Tors $(v^*(R))) \leq 1$ and deg $(R) - 2 \leq$ deg $(R_1) +$ deg $(R_2 \leq$ deg(R). We do the same for the torsion free sheaf $R^{\otimes 2^{**}}$; $R_1^{\otimes 2}$ (resp. $R_2^{\otimes 2}$) is the corresponding line bundle on X_1 (resp. X_2). By Riemann-Roch we have $h^1(X_1, R_1^{\otimes 2}) + h^1(X_2, R_2^{\otimes 2}) \geq h^1(X, (R^{\otimes 2})^{**}) - 1 \geq 2$. Since at least one of the curves X_1 and X_2 has general moduli, we obtain a contradiction. In the same way, just loosing one condition (but with a smaller degree) with respect to the case in which L is locally free, we handle the case $F \subset Y_0$.

3. Proofs of Theorems 2 and 3

Set $A(g,c,e) := \{X \in T(g,c,e) \colon \operatorname{gon}(X,\mathbb{R}) \leq \lfloor \frac{1}{2}(g+1) \rfloor$ Proof of Theorem 2. $\{3\}$ By specialization A(q, c, e) is a closed subset of T(q, c, e) and Theorem 2 is equivalent to the assertion that A(g, c, e) contains a dense open subset of T(g, c, e). By [2, Th. 3.1], for every integer $q \ge 2$ and every topological type (c, e) with c > 0and e = 0, 1 there is a non-empty open (for the euclidean topology) subset U(g, c, e)of T(g,c,e) corresponding to smooth real curves with real gonality $\left[\frac{1}{2}(g+3)\right]$. By Theorem 1 the set of all curves $X \in \mathcal{M}_q$ with $L \in \operatorname{Pic}(X), h^0(X, L) = 2, L$ spanned, $\deg(L) = [\frac{1}{2}(g+3)]$ and with $h^1(X, L^{\otimes 2}) \ge 3$ has codimension at least two in \mathcal{M}_q . We know that the subset of \mathcal{M}_g parametrizing curves with non-trivial automorphisms has codimension at least two in \mathcal{M}_g and hence that its real part does not disconnect any connected component U(g, c, e) of the semialgebraic subset of \mathcal{M}_g formed by real curves. Take a pair (X, L) with $X \in U(g, c, e), c > 0, \deg(L) = [\frac{1}{2}(g+3)],$ $h^0(X, L^{\otimes 2}) = 2, L$ spanned and $x := h^1(X, L^{\otimes 2}) > 0.$ Since $X(\mathbb{R})$ is infinite, for x general points P_1, \ldots, P_x of any component of $X(\mathbb{R})$ we have $h^1(X, L^{\otimes 2}(P_1 + \ldots +$ P_x)) = 0 (Remark 1). Non-base point free pencils propagate to nearby curves, even to spanned complete pencils ([4, Prop. A.3]). Hence for each degree $d \ge \lfloor \frac{1}{2}(g +$ [3)] + 2 outside a subset which does not disconnect U(q, c, e) we may find a smooth pencil of degree d. For every real curve Y with real structure induced by an antiholomorphic involution σ and all integers r, d the schemes $W_d^r(Y)$ and $G_d^r(Y)$ are real and $W_d^r(Y)_{reg}(\mathbb{R})$ is either empty or a real manifold of dimension $\varrho(g, r, d)$ whose members propagate to nearby real curves as σ -invariant linear systems; here we use that if $Y(\mathbb{R}) \neq \emptyset$, then every σ -invariant line bundle is real ([7, Prop. 2.2]). Hence by the existence theorem of a degree $\left[\frac{1}{2}(g+3)\right]$ real pencil for an euclidean non-empty open subset $U_1(g, c, e)$ of U(g, c, e) ([2, Th. 3.1]) we obtain the existence of a dense open subset $U_2(g, c, e)$ of U(g, c, e) such that every $X \in U_2(g, c, e)$ has a real pencil of degree $[\frac{1}{2}(g+3)] + 3$; indeed, by Theorem 1 outside a non-disconnecting subset of U(g, c, e) every point on the closure of $U_1(g, c, e)$ in U(g, c, e) has a real pencil of degree at most $[\frac{1}{2}(g+3)] + 3$ which propagates to nearby curves, i.e. for all nearby curves X we have $W^1_{[\frac{1}{2}(g+3)]+3}(X)_{\mathrm{reg}}(\mathbb{R}) \neq \emptyset$. By specialization every $X \in U(g, c, e)$ has a real pencil of degree at most $[\frac{1}{2}(g+3)] + 3$, proving Theorem 2.

Proof of Theorem 3. First we will check the existence of a non-empty euclidean open subset $U_1(g, 0, 1)$ of U(g, 0, 1) such that every $X \in U_1(g, 0, 1)$ has a real pencil of degree the first even integer $\geq \left[\frac{1}{2}(g+3)\right]$. We start with a genus g real hyperelliptic curve Y with $Y(\mathbb{R}) = \emptyset$ and such that the hyperelliptic pencil $R \in \operatorname{Pic}^2(Y)$ is defined over \mathbb{R} ([7, 6.1 and 6.2]). Call $\sigma: Y \to Y$ the anti-holomorphic involution giving the real structure of Y. Let y be the first integer such that $4y + 3 \ge q + 2$. Since σ is not the hyperelliptic involution, for any y general points P_1, \ldots, P_y of $Y(\mathbb{C})$ we have $h^0(Y, R(P_1 + \ldots + P_y + \sigma(P_1) + \ldots + \sigma(P_y))) = 2, h^0(Y, R^{\otimes 2}(2P_1 + \ldots + \sigma(P_y))) = 2$ $2P_y + 2\sigma(P_1) + \ldots + 2\sigma(P_y)) = 3$ and $h^1(Y, R^{\otimes 2}(2P_1 + \ldots + 2P_y + 2\sigma(P_1) + \ldots + 2P_y)) = 0$ $2\sigma(P_y)) = 0$. Hence the real pencil $R(P_1 + \ldots + P_y + \sigma(P_1) + \ldots + \sigma(P_y))$ propagates to an open neighborhood of Y ([4, Appendix]). Since for every $X \in U(g, 0, 1)$ near Y we have a smooth pencil near $R(P_1 + \ldots + P_y + \sigma(P_1) + \ldots + \sigma(P_y)))$, we also have a pencil invariant for the anti-holomorphic involution. Now we continue as in the proof of Theorem 2 because if $X \in U(q, 0, 1)$, L is real, σ induces the real structure of X and $h^1(X, L^{\otimes 2}) \leq 2z$, then for z general points P_1, \ldots, P_z of $X(\mathbb{C})$ we have $h^1(X, L^{\otimes 2}(P_1 + \ldots + P_z + \sigma(P_1) + \ldots \sigma(P_z))) = 0$ and the pencil $L(P_1 + \ldots + P_z + \sigma(P_1) + \ldots \sigma(P_z))$ is σ -invariant. We have a new difficulty with respect to the proof of Theorem 2. Since c > 0, it is not true that, calling σ the antiholomorphic involution inducing the real structure, every σ -invariant line bundle is real, but in our situation we have more: we have a morphism $f: X \to \mathbb{P}^1$ which is invariant with respect to the usual real structure of \mathbb{P}^1 . Every such morphism f is induced by a real spanned line bundle because the graph of f is a real subcurve of $X \times \mathbb{P}^1$.

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