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ON CLOSED MAPPINGS

SZYMON PLEWIK, Katowice

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1. Introduction. Back in early stages of the general topology M. Frèchet [3] introduced dimensional types of topological spaces. If a space X is homeomorphic with some subspace of a space Y, then X has a smaller or equal dimensional type than Y, in symbols $dX \leq dY$. Dimensional types of metric separable spaces were investigated by S. Banach [1], K. Kuratowski [4], [6], W. Sierpiński [6], [9] and others. One can find some information about this in Sierpiński's *General Topology* [10] p. 130 or in Kuratowski's *Topology* I [5] p. 112 and 433.

We generalize dimensional types in the following manner. If a space X is the image of some subspace of a space Y under a closed mapping, then X has a smaller or equal closed-map type than Y, in symbols $ctX \leq ctY$. If $ctX \leq ctY$ and doesn't hold $ctY \leq ctX$, then X has a smaller closed-map type than Y, in symbols ctX < ctY. If there doesn't hold $ctX \leq ctY$ or $ctY \leq ctX$, then spaces X and Y are incomparable.

Obviously $dX \leq dY$ implies $ctX \leq ctY$. The inverse implication is not true. It is a well-known fact that the Cantor set C can be mapped continuously onto a non-void closed interval J. So $ctC \leq ctJ$, $ctJ \leq ctC$ and $dC \leq dJ$, but $dJ \leq dC$ doesn't hold.

In this paper we investigate closed-map types of first countable spaces, exactly hereditarily normal and hereditarily separable ones. Nice non-metrizable examples are the Songenfrey line and its uncountable subspaces. Theorem 1 is a main tool for proving other results. Other theorems are applications of Theorem 1 and in few cases their proofs are similar to those of S. Banach [1], K. Kuratowski [4], [6] and W. Sierpiński [6], [9] on dimensional types.

All undefined notations are as in Engelking's General Topology [2]. The cardinality of a set X is denoted by |X|. The cardinal number assigned to the set of real numbers is denoted by c and is called *continuum*. By c^+ we denote the least cardinal number greater than c. If $|X| = \lambda$, then 2^{λ} denotes the cardinality of the family of all subsets of X. By $f \upharpoonright M$ we denote the restriction of a function f to the set M. A mapping means a continuous function. A mapping $f: X \to Y$ is a closed mapping if it is closed as a function from X onto f(X). If X is a topological space and $A \subset X$, then \overline{A} denotes the closure of A.

2. The main theorem. The proof of the below lemma is straightforward, so we omit it.

Lemma 1. Suppose X and Y are first countable spaces with X a T_1 -space. A mapping $f: X \to Y$ is closed iff each sequence $\{x_n: n = 1, 2, ...\} \subset X$, such that $\lim f(x_n) = y$ and $y \neq f(x_n)$ for all n, has a limit point in $f^{-1}(y)$. \Box

Lemma 2. Let X and Y be first countable Hausdorff spaces. If $f: A \to f(A) \subset Y$ and $g: B \to g(B) \subset Y$ are closed mappings, $D \subset A \subset \overline{D} \subset X$, $D \subset B \subset \overline{D} \subset X$, $f \upharpoonright D = g \upharpoonright D$, $t \in f(A) \cap g(B)$ and $f^{-1}(t)$ is a nowhere dense, relative \overline{D} , subset, then $f^{-1}(t) = g^{-1}(t)$.

Proof. Suppose $t \in f(A) \cap g(B)$ and $f^{-1}(t)$ is a nowhere dense, relative \overline{D} , subset. Assume to the contrary that $b \in g^{-1}(t) \setminus f^{-1}(t)$. There exists a sequence $E = \{a_1, a_2, \ldots\} \subset D \setminus f^{-1}(t)$ such that $\lim a_n = b$, since spaces X and Y are Hausdorff $b \notin A$ and the set E is closed relative A. Whence the set f(E) is closed relative f(A) and $t \notin f(E)$. On the other hand $t \in \overline{g(E)}$, since f(E) = g(E), $\lim g(a_n) = g(b) = t$ and $t \in f(A)$. So we have $t \in f(A) \cap \overline{f(E)} = f(E)$, a contradiction. Thus we have $g^{-1}(t) \subset f^{-1}(t)$. The inclusion $f^{-1}(t) \subset g^{-1}(t)$ one can prove in a similar way. \Box

Let X and Y be topological spaces and $f: A \to Y$ be a function. A function $h: B \to Y$ is a X, Y-expansion of f if $h \upharpoonright A = f$, $A \subset B \subset \overline{A} \subset X$ and $t \in f(A)$ implies $f^{-1}(t) = h^{-1}(t)$. Any X, Y-expansion of f is a closed X, Y-expansion of f if it is a closed mapping.

Lemma 3. Suppose X is a first countable hereditarily normal space, and Y is a first countable regular space. If A is a dense subset of X and $f: A \rightarrow Y$ is a closed mapping, then there exists the maximal X, Y-expansion of f.

Proof. Take F(x) = f(x) for $x \in A$ and F(x) = h(x) whenever $x \in h^{-1}(t)$, $t \notin f(A)$ and h is a closed X, Y-expansion of f. The function F is well defined because of Lemma 2.

Suppose to the contrary that F is not continuous. Thus there has to exist a sequence x_1, x_2, \ldots such that $\lim x_n = b$ and the sequence $F(x_1), F(x_2), \ldots$ isn't convergent to F(b). Since the space Y is regular we can assume that there is an open neighbourhood W of the point F(b) such that $F(x_n) \notin \overline{W}$ for all n, if need be we can take a suitable subsequence. Let h_n be a closed X, Y-expansion of f such that $h_n(x_n)$ exists and let $x_n^m \in h_n^{-1}(Y \setminus \overline{W}) \cap A$ be such that $\lim x_n^m = x_n$. Take $E = \{x_n^m : n, m = 1, 2, \ldots\}$. Since $b \in \overline{E}$ there exists a sequence $\{y_1, y_2, \ldots\} \subset E$ such that $\lim y_n = b$. Let h be a closed X, Y-expansion of f such that $\lim y_n = f(y_n) \notin \overline{W}$ and $\lim h(y_n) = h(b) = F(b) \in W$ because of h is continuous, a contradiction. Thus the function F is continuous.

Suppose $F^{-1}(b) \neq \emptyset$, $\lim a_n = b$ and $F(y_n) = a_n \neq b$ for all *n*. Assume to the contrary that the sequence $y_1, y_2, ...$ has no limit points in $F^{-1}(Y)$, if a limit point of this sequence exists, then it has to belong to $F^{-1}(b)$ because of *F* is continuous, but this doesn't contrary the fact that *F* is a closed mapping. Sets $F^{-1}(b)$ and $\{y_1, y_2, ...\}$ are disjoint and closed relative $F^{-1}(Y)$. Since *X* is hereditarily normal there exists an open set *V* such that $F^{-1}(b) \subset V$ and $\{y_1, y_2, ...\} \cap \overline{V} = \emptyset$. Let *h* be a closed *X*, *Y*-expansion of *f* such that $h^{-1}(b)$ is non-empty and let $y_n^m \in A \setminus \overline{V}$

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be such that $\lim y_n^m = y_n$. The set $D = \{\underline{y_n^m}: n, m = 1, 2, ...\}$ has no limit points in the set $F^{-1}(b)$. On the other hand $b \in h(D)$ and therefore there exists a sequence $\{q_1, q_2, ...\} \subset h(D) = F(D)$ such that $\lim q_n = b$. Let $p_n \in F^{-1}(q_n) \cap D$ for all n. Since Lemma 1 the set $\{p_1, p_2, ...\} \subset D$ has a limit point in $h^{-1}(b) = F^{-1}(b)$ because of h is a closed mapping, a contradiction. Thus F is a closed mapping. \Box

Theorem 1. Suppose X is a first countable hereditarily normal and hereditarily separable space, Y is a regular space and $|Y| \leq c$. There exists a family S satisfying the following:

- (i) S consists of closed mappings from X into Y,
- (ii) $|S| \leq \mathfrak{c}$,

(iii) for each $B \subset X$ and for each closed mapping $h: B \to h(B) \subset Y$ there exists $f \in S$ and a countable set $E \subset h(B)$ such that $f \upharpoonright B \setminus h^{-1}(E) = h \upharpoonright B \setminus h^{-1}(E)$ and $f^{-1}(t) = h^{-1}(t)$ for each $t \in h(B) \setminus E$.

Proof. Let P be a countable subset of X and let $f: P \to Y$ be a continuous function. If there exists a closed mapping $h: A \to Y$ such that $P \subset A \subset \overline{P} \subset X$ and $h \upharpoonright P = f$, then let F be the maximal closed X, Y-expansion of $h \upharpoonright h^{-1}(h(P))$, it exists because of Lemma 3. Let us fix a particular F for a function f. Let S be the family of all such mappings F. Obviously the family S has the cardinality of at most continuum, i.e. $|S| \leq c$.

Suppose $B \subset X$ and $f: B \to f(B) \subset Y$ is a closed mapping. Let $P \subset B$ be a dense in B and countable subset. Take $F \in S$, which is assigned to $f \upharpoonright P$, and let E = f(P). Thus we have $f \upharpoonright B \setminus f^{-1}(E) = F \upharpoonright B \setminus f^{-1}(E)$ and if $t \in f(B) \setminus E$, then $F^{-1}(t) =$ $= f^{-1}(t)$ because of Lemma 2. \square

3. A generalization of Kuratowski's theorem [4].

Lemma 4. ([5] p. 425). If X is an infinite set of the cardinality λ and S is a family of the cardinality of at most λ of functions from subsets of X onto subsets of the cardinality λ of X, then there exists a family F of the cardinality 2^{λ} of subsets of X such that conditions Y, $Z \in F$ and $Y \neq Z$ imply $|f(Z) \setminus Y| = \lambda$, for each function $f \in S$. \Box

Theorem 2. In any hereditarily separable, hereditarily normal and first countable space of the cardinality continuum there is a family of 2^{c} subspaces whose closed-map types are imcomparable.

Proof. Let S be the family of closed mappings obtained by virtue of Theorem 1 in the case X = Y, where X is as in hypotheses. If $f \in S$, then let f_* be some fixed one-to-one function such that $f(f_*(x)) = x$, for each $x \in f(X)$. Take the family $S_* =$ $= \{f_*: f \in S \text{ and } |f(X)| = c\}$ and make use of Lemma 4. The family F of subspaces of X, which we obtain, is that we required. Indeed, let A, $B \in F$, $A \neq B$ and $D \subset A$. Suppose to the contrary that $h: D \to B$ is a closed mapping onto B. Let $P \subset D$ be a countable set such that $D \subset \overline{P}$ and let $f \in S$ be the closed mapping assigned to $h \upharpoonright P$. We have $|f_*(B) \smallsetminus A| = \mathfrak{c}$ and $f_*(B) \smallsetminus A \subset f_*(B) \lor D$, a contradiction, because the set $f_*(B) \lor D$ is countable. \square

Theorem 2 is a generalization of the similar result on dimensional types, see K. Kuratowski [4] and [5] p. 433.

4. A generalization of Banach's result [1]. The below lemma is a modification of Banach's Lemme from [1]. One can prove it by making some changes in the proof of Banach's Lemme.

Lemma 5. Suppose λ is an infinite cardinal number and $\tau < \lambda$. Let E be a set of the cardinality λ and let S be a family of functions from subsets of E onto subsets of E. If $|S| \leq \lambda$ and $|f^{-1}(t)| \leq \tau$ for each $f \in S$ and $t \in E$, then there exists a family $\{H_{\alpha}: \alpha < \lambda\}$ of subsets of E such that the following holds:

(i) $\{H_{\alpha}: \alpha < \lambda\}$ is a partition of E,

(ii) if $\gamma < \lambda$, then $|\bigcup \{H_{\alpha} : \alpha < \gamma\}| < \lambda$,

(iii) if $f \in S$, then there is $\gamma < \lambda$ such that $f(H_{\alpha}) \subset H_{\alpha}$ for each $\alpha > \gamma$. \Box

A space X is unperfect if its compact subspaces are countable, e.g. a metric space is unperfect if it lacks any copy of the Cantor set. We will need the following Michael's result [8]. If X is a paracompact space, Y is a first countable space and $f: X \to Y$ is a closed mapping, then the boundary of $f^{-1}(t)$ is compact for each $t \in Y$.

Theorem 3. If X is an unperfect, hereditarily separable, hereditarily paracompact and first countable space with |X| = c and Y is a regular and first countable space with $|Y| \leq c$, then there exists a family $\{A_{\alpha}: \alpha < c\}$ such that the following holds:

(i) for each $\alpha < \mathfrak{c}$, there is $A_{\alpha} \subset X$ such that $|A_{\alpha}| = \mathfrak{c}$,

(ii) for each $B \subset Y$, if there are distinct α , $\beta < \mathfrak{c}$ with $ctB \leq ctA$ and $ctB \leq ctA$, then $|B| < \mathfrak{c}$.

Proof. Let S be a family of closed mappings as in Theorem 1. If $f \in S$, then let $Z = \bigcup \{f^{-1}(t): t \in Y \text{ and } f^{-1}(t) \text{ is nowhere dense relative } f^{-1}(Y)\}$. Take $f_* = f \upharpoonright Z$ and $S_* = \{f_*: f \in S\}$. Now we make use of Lemma 5. Let us observe that $f^{-1}(t)$ is always countable because of Michael's result [8]. We obtain a family $\{H_{\alpha}: \alpha < c\}$ as in Lemma 5. Let $\{P_{\alpha}: \alpha < c\}$ be a family of pairwise disjoint subsets of $\{\alpha: \alpha < c\}$ such that $|P_{\alpha}| = c$ for each $\alpha < c$. Sets $A_{\alpha} = \bigcap \{H_{\beta}: \beta \in P_{\alpha}\}$ are that we required. \Box

Theorem 3 is a generalization of the result on dimensional types obtained in S. Banach $\lceil 1 \rceil$.

5. Metric cases. It is well-known facts that any metric separable space is embeddable in the Hilbert cube Q and that any metric compact space is the image of the Cantor set C under a closed mapping, among others Q is the image of C under some closed mapping. Therefore any metric separable space is the image of some subspace of C under a closed mapping. Thus if we consider the closed-map type of a space X, then an interesting case is, when X lacks any copy of the Cantor set, i.e. X is unperfect.

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Lemma 6. Suppose X is a metric separable space. If there is a closed mapping f from X onto the Cantor set C, then X contains some copy of C.

Proof. Let $A \subset C$ be a copy of the Cantor set such that if $t \in A$, then $f^{-1}(t)$ is a nowhere dense subset of X, whence $f^{-1}(t)$ is compact by virtue of Vaĭnšteĭn's Lemma [2] p. 356. The set $f^{-1}(A)$ being an uncountable compact metric space, because of Lubben's result [2] p. 236, contains some copy of the Cantor set. \Box

From the above lemma we obtain immediately the following.

Theorem 4. If a metric separable space X is unperfect, then its closed-map type is smaller than the closed-map type of the Cantor set C, i.e. ctX < ctC. \Box

If we use Theorem 1 and make appropriate changes in proofs in [6], then we obtain the following:

Theorem 5. If X is a metric separable space and the closed-map type of X is smaller than the closed-map type of the Cantor set C, then there exists a metric space Y such that ctX < ctY < ctC. \Box

Theorem 6. There exists a family $\{A_{\alpha}: \alpha < c^+\}$ of metric separable spaces such that $\alpha < \beta < c^+$ implies $ctA_{\alpha} < ctA_{\beta}$. \Box

We don't know for which metric separable space X one can assume that the family as in Theorem 6 is contained in X. Can it be any metric separable space X with |X| = c?

Theorem 7. Suppose X is a metric separable space with $|X| = \mathfrak{c}$. There exists a family $\{A_{\alpha}: \alpha < \mathfrak{c}\}$ of subspaces of X such that $|A_{\alpha}| = \mathfrak{c}$ for each $\alpha < \mathfrak{c}$ and if $ctB \leq ctA_{\alpha}, ctB \leq ctA_{\beta}$ and $\alpha \neq \beta$, then $|B| < \mathfrak{c}$.

Proof. We will need the following Lanšev's result [7]. If X is a metric space and $f: X \to Y$ is a closed mapping, then $Y = Y_0 \cup Y_1 \cup Y_2 \cup ...$, where $f^{-1}(y)$ is compact for each $y \in Y_0$ and Y_n are discrete for all n. If X is, additionally, separable, then Y_0 is a metrizable and separable subspace, cf. the Hanai-Morita-Stone theorem [2] p. 356, and $\bigcup \{Y_n: n = 1, 2, ...\}$ is a coutable subspace.

We can assume that X is an unperfect space, if need be we can take a suitable subspace. By virtue of Theorem 3 there exists a family $\{A_{\alpha}: \alpha < c\}$ of subspace of X such that $|A_{\alpha}| = c$ and if B is a subspace of the Hilbert cube, $ctB \leq ctA_{\alpha}, ctB \leq ctA_{\beta}$ and $\alpha \neq \beta$, then |B| < c. This family is that we required. Suppose to the contrary that there are $\alpha \neq \beta$ and a space B such that |B| = c, $ctB \leq ctA_{\alpha}$ and $ctB \leq ctA_{\beta}$. Thus there are $H \subset A_{\alpha}, G \subset A_{\beta}$ and closed mappings $h: H \to B = h(H), g: G \to B =$ = g(G). Let $B_* = \{y \in B: h^{-1}(y) \text{ and } g^{-1}(y) \text{ are compact}\}$. The space B_* is metrizable, separable and $|B_*| = c$ because of Lašnev result, a contradiction, since B_* is embeddable in the Hilbert cube, $ctB_* \leq ctA_{\alpha}$ and $ctB_* \leq ctA_{\beta}$.

In next two theorems we assume that the cardinal number c is regular. We don't know if this assumption can be omitted.

Theorem 8. If X is a metric separable space with |X| = c, then there is a family $\{B_{\alpha}: \alpha < c\}$ of subspaces of X such that $\alpha < \beta$ implies $ctB_{\alpha} < ctB_{\beta}$.

Proof. Let $\{A_{\alpha}: \alpha < c\}$ be a family as in Theorem 7. Take $B_{\alpha} = \bigcup \{A_{\beta}: \beta \leq \alpha\}$. If $\alpha < \beta < c$, then $ctB_{\alpha} \leq ctB_{\beta}$ since $B_{\alpha} \subset B_{\beta}$. We will show that $ctB_{\beta} \leq ctB_{\alpha}$ doesn't hold. Suppose to the contrary that there are $H \subset B_{\alpha}$ and a closed mapping $h: H \to A_{\beta} = h(H) \subset B_{\beta}$. We have $|A_{\beta} \cap h(A_{\gamma})| < c$ for each $\gamma \leq \alpha$. Whence $|A_{\beta}| = |\bigcup \{A_{\beta} \cap h(A_{\gamma}): \gamma \leq \alpha\}| < c$, a contradiction, because of c is regular. \Box

Theorem 9. If X is a metric separable space with |X| = c, then there exists a family $\{B_{\alpha}: \alpha < \lambda\}$ of subspaces of X such that if $\alpha < \beta$, then $ctB_{\beta} < ctB_{\alpha}$.

Proof. Let $\{A_{\alpha}: \alpha < c\}$ be a family as in Theorem 7. Take $B_{\alpha} = \bigcap \{A_{\beta}: \alpha \leq \beta < \lambda\}$. If $\alpha < \beta < \lambda$, then $ctB_{\beta} \leq ctB_{\alpha}$ because of $B_{\beta} \subset B_{\alpha}$. We will show that $ctB_{\alpha} \leq ctB_{\beta}$ doesn't hold. Suppose to the contrary that there are $H \subset B_{\beta}$ and a closed mapping $h: H \to A_{\alpha} = h(H) \subset B_{\alpha}$. We have $|A_{\alpha} \cap h(A_{\gamma})| < c$ for each γ such that $\beta \leq \gamma < \lambda$. Whence $|A_{\alpha}| = |\bigcup \{A_{\alpha} \cap h(A_{\gamma}): \beta \leq \gamma < \lambda\}| < c$, a contradiction. \Box

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Author's address: Instytut Matematyki Uniwerstetu Śląskiego, ul. Bankowa 14, 40 007 Katowice, Poland.