Robin D. Thomas Series-parallel graphs and well- and better-quasi-orderings

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## COMMENTATIONES MATHEMATICAE UNIVERSITATIS CAROLINAE 25,4 (1984)

## SERIES-PARALLEL GRAPHS AND WELL- AND BETTER-QUASI-ORDERINGS Robin THOMAS

Abstract: We discuss some results concerning well- and better-quasi-ordering series-parallel graphs.

Key words and phrases: Series-parallel graph, well-quasiordering, better-quasi-ordering.

Classification: 05099

The well-quasi-ordering theory (abbr. wqo) deals with sets on which a quasi-ordering (i.e. reflexive and transitive relation) is defined. Such a set Q is said to be well-quasi-ordered by a quasi-ordering  $\leq$  if for any f:  $\omega \longrightarrow Q$  there are i < jsuch that  $f(i) \leq f(j)$ . An important quasi-ordering is "the minor" defined on the class of all graphs as follows:  $G \ll H$  if H contains a subgraph contractable onto G. Now we are able to state the so-called Wagner's conjecture, which plays a prominent role in the wgo theory.

(Conjecture) The class of all finite graphs is woo by  $\leq$ . This conjecture, if true, implies the Kuratowski's theorem for higher surfaces. <sup>x)</sup> But there are other properties of graphs, which should be useful to characterize in terms of a Kuratowski-

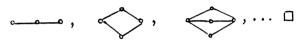
x) The proof of the Kuratowski's theorem for higher surfaces has been recently announced by Robertson and Seymour.

like theorem, perhaps for relations different from  $\preccurlyeq$ . In the light of this, the following theorems may be thought of as negative results.

<u>Theorem 1</u>. (i) The class of outerplanar graphs is wo by  $\neq_c$ .

(ii) The class of series-parallel graphs (= graphs which contain no subdivision of  $K_4$ ) is not work by  $\prec_c$ , where  $G \prec_c H$  if H itself can be contracted onto G.

Proof of (ii): The bad sequence is given by



<u>Theorem 2</u>. (i) The class of series-parallel graphs is woo by  $\prec$ :

(ii) The class of planar graphs is not woo by  $\prec_i$ , where G  $\prec_i$  H if H contains an induced subgraph contractable onto G.

Proof of (ii): The bad sequence is given by



The methods in wqo theory are based on the following well-known

<u>Key lemma</u>: If Q is wqo, then  $Q^{<\omega} = \{$  the set of all finite sequences of elements of Q} is wqo by the following canonical quasi-ordering (which is denoted  $\leq$  as well):  $(a_1, \ldots, a_n) \leq (b_1, \ldots, b_m)$  if there is a strictly increasing map f:  $\{1, \ldots, n\} \rightarrow \{1, \ldots, m\}$  such that  $a_i \leq b_{f(i)}$ .

<u>Proof</u>: Since now on, X, Y will always denote infinite subsets of  $\omega$ . We call a sequence f:X  $\longrightarrow Q^{<\omega}$  good, if there

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are  $i < j \in X$  such that  $f(i) \leq f(j)$  and we call it bad otherwise. Let  $f: X \longrightarrow Q^{<\omega}$ ,  $g: Y \longrightarrow Q^{<\omega}$ . We define f < \*g if

- (1) XSY
- (2)  $f(i) \leq g(i)$  for any  $i \in X$

(3) The sequence f(i) is shorter than g(i) for any if X. We claim that there is a minimal (with respect to < \*) bad f:  $: \omega \rightarrow Q^{<\omega}$ . Indeed, choose f(1) so that it is a first term of a bad sequence of elements of  $Q^{<\omega}$  and the sequence f(1) is the shortest possible. Then choose f(2) so that f(1), f(2) (in that order) are first two terms of a bad sequence of elements of  $Q^{<\omega}$  and the sequence f(2) is the shortest possible. Continuing this process we get a bad f:  $\omega \rightarrow Q^{<\omega}$ . We claim that this is the desired one. For if there is a bad g < \*f,  $g: X \rightarrow$  $\rightarrow Q^{<\omega}$ , then the sequence  $h: Y \rightarrow Q^{<\omega}$  defined by

 $Y = X \cup \{i: i < \min X\}$ 

$$h(i) = \begin{cases} f(i) & i < \min X \\ g(i) & i \in X \end{cases}$$

is bad which contradicts the choice of f. Define

> $f_1(i) =$  the first term of f(i) $f_2(i) =$  the rest of f(i).

Clearly

(4)  $f_1 < * f_1 f_2 < * f_1$ 

By Ramsey theorem there is an  $X \leq \omega$  such that either  $f_1(i) \leq f_1(j)$  for any  $i < j \in X$  or  $f_1(i) \neq f_1(j)$  for any  $i < j \in X$ . The latter case is impossible since  $f_1 \wedge X < *f$  and f is minimal bad. By the same argument there is a  $Y \leq X$  such that  $f_2(i) \leq 4 f_2(j)$  for any  $i < j \in Y$ . Fix such i, j. We have

(5)  $f_1(i) \leq f_1(j)$  and  $f_2(i) \leq f_2(j)$  implies  $f(i) \leq f(j)$ 

which contradicts the badness of f.  $\Box$ 

Sketch of the proof of Theorems 1(i) and 2(i): We are trying to imitate the proof of the Key lemma. Thus we consider mappings f:  $X \rightarrow G$ , g:  $Y \rightarrow G$ , where G is the corresponding class of graphs. Then condition (3) can be replaced by

(3') f(i) has less vertices than g(i). Sequences  $f_1, f_2$  satisfying (4),(5) can be defined due to a characterization of series-parallel graphs - see [1].  $\Box$ 

The detailed proofs will appear elsewhere, for Theorem 2 see [5]. We have considered finite graphs so far, only very little is known in case of infinite graphs. Nash-Williams, inventing a new stronger concept called better-quasi-ordering (bqo) has proved that the class of trees (finite or infinite) is wqo (in fact bqo). A nice explanation of the bqo theory can be found in [4]. Using this theory and ideas of Laver [2] we obtained

<u>Theorem 3</u>. The class of all (finite or infinite) seriesparallel graphs is woo (in fact boo) by  $\prec$ .

The proof of Theorem 3 is based on a characterization of (infinite) series-parallel graphs, which is in the spirit of Laver's scattered type characterization [2]. We are not going to state this theorem here, because it requires some additional definitions. Another important feature of the proof of Theorem 3 is that any series-parallel graph can be written as a countable union of series-parallel graphs, each of them contains no infinite path. That is an easy consequence of our characterization theorem for series-parallel graphs. The details will appear elsewhere.

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