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# Finite-to-finite universal varieties of distributive double $p$-algebras 

V.Koubek<br>Dedicated to the memory of Zdeněk Frolík


#### Abstract

A concrete category $K$ is called finite-to-finite universal if there exists a full embedding from the category of graphs in $K$ preserving finiteness. It is shown that a variety $V$ of distributive double p-algebras is finite-to-finite universal if and only if every finite monoid $M$ is isomorphic to an endomorphism monoid of an finite algebra in $\underset{\sim}{V}$ and this is equivalent with the existence of special finite algebras in $\underset{V}{V}$. As a consequence we obtain that a variety $V$ of distributive double $p$-algebras is finite-to-finite universal just when $V$ contains a finite-to-finite universal, finitely generated subvariety.


Keywords: a distributive double p-algebra, a finite-to-finite universal category, a finite monoid universal category, a variety.

Classification: 18B10, 06D15, 20M30

## Introduction.

An algebra $\left(L ; \vee, \wedge,^{*},{ }^{+}, 0,1\right)$ of signature $(2,2,1,1,0,0)$ is a distributive double $p$-algebra (shortly dp-algebra) provided ( $L ; \vee, \wedge, 0,1$ ) is a distributive bounded lattice and * is a unary operation of pseudocomplementation (i.e. $x \leqslant a^{*}$ if and only if $x \wedge a=0$ ), ${ }^{+}$is a unary operation of dual pseudocomplementation (i.e. $x \geqslant a^{+}$ if and only if $x \vee a=1$ ).

A concrete category $K$ is representative for the category $G$ of graphs and compatible mappings, or shortly universal, if there is a full embedding functor $F: G \longrightarrow K$. If, moreover, $F$ takes the finite graphs to finitely underlied $K$-objects then $K$ is termed finite-to-finite universal. As explained in [17], the term "universal" is due to the fact that besides graphs, all other concrete categories can be represented as full subcategories in a universal category (if the set axiom (M) holds). For example every monoid (i.e. one-object category) can be represented as the endomorphism monoid $\operatorname{End}(A)$ of a suitable representing $K$-object $A$ (i.e. as a full one-object subcategory of $K$ ). If a category enjoys this weaker property then we call it a category representative for monoids, or shortly monoid universal. In this paper we shall be interested in another property: A concrete category $K$ is said to be finite monoid universal if every finite monoid $M$ can be represented as the endomorphism monoid of a suitable $K$-object $A$ with a finite underlying set. Thus, any finite-tofinite universal category is finite monoid universal (because graphs are finite monoid universal, see [17]), while obviously the converse is false.

The investigation of dp-algebras started in [2] where it was proved that the variety of all dp-algebras is finite monoid universal. In [10] it was shown that there exists a finitely generated variety of dp-algebras which is finite-to-finite universal. This result was strengthened in [12] where all universal finitely generated varieties of dp-algebras were characterized. The aim of this paper is to characterize finite-tofinite universal varieties of dp-algebras. We show that for varieties of dp-algebras the notions of finite-to-finite universality and finite monoid universality coincide. On the other hand we show that there exists a finitely generated universal variety of dp-algebras which is not finite-to-finite universal.

## 1. Preliminaries and results.

The proofs make extensive use of the topological duality introduced by H.A. Priestley [13]. A basic outline follows; for further information, see the survey papers B.A. Davey and D. Duffus [5] or H.A. Priestley [13]. A triple ( $X, \leqslant, \tau$ ) is called a Priestley space if $X$ is a set, $\leqslant$ is an ordering on $X, \tau$ is a compact topology on $X$ such that for every pair $x, y$ of elements of $X$ with $x \notin y$ there exists a clopen decreasing set $U \subseteq X$ with $y \in U, x \notin U$. Clopen decreasing sets in a Priestley space form a distributive ( 0,1 )-lattice and the inverse image map $f^{-1}$ of any continuous and order preserving mapping is a $(0,1)$-homomorphism of the corresponding lattices. This gives rise to a contravariant functor $D$ from the category of all Priestley spaces and continuous, order preserving mappings into the category of all distributive $(0,1)$-lattices and $(0,1)$-homomorphisms.

Conversely, for a distributive ( 0,1 )-lattice $L$ the triple $P(L)=(F(L), \leqslant, r)$ forms a Priestley space where $F(L)$ is the set of all prime filters, $\leqslant$ is the reversed inclusion and the topology $\tau$ is given by an open subbasis $\{\{x \in F(L) ; a \notin x\} ; a \in L\}$. For a ( 0,1 )-homomorphism $f$ denote by $P(f)$ the inverse image map, then $P(f)$ is continuous, order preserving mapping between the corresponding Priestley spaces.

Theorem 1.1[13,14]: The composite functors $P \circ D$ and $D \circ P$ are naturally equivalent to the identity functor of their domains.

For a finite distributive lattice $L, P(L)$ can be alternatively defined as a poset of all join irreducible elements with the discrete topology. This fact is used for investigation of finite distributive lattices.

For a subset $U$ of a Priestley space $X$ denote by ( $U$ ] the smallest decreasing subset of $X$ containing $U,[U)$ the smallest increasing subset of $X$ containing $U, \operatorname{Min}(U)$ the set of all minimal elements in ( $U$ ], $\operatorname{Max}(U)$ the set of all maximal elements in $[U), E x t(U)=\operatorname{Max}(U) \cup \operatorname{Min}(U)$. For an element $x$ we shall write $(x],[x)$, $\operatorname{Min}(x), \operatorname{Max}(x), \operatorname{Ext}(x)$ instead of $(\{x\}],[\{x\}), \operatorname{Min}(\{x\}), \operatorname{Max}(\{x\}), \operatorname{Ext}(\{x\})$. Further denote by $\operatorname{Mid}(X)=X \backslash E x t(X)$. For a finite distributive lattice $L$ denote by $\operatorname{Max}(L)$ the set of all maximal join irreducible elements of $L, \operatorname{Min}(L)$ the set of all minimal join irreducible elements of $L, \operatorname{Ext}(L)=\operatorname{Min}(L) \cup \operatorname{Max}(L)$, and $\operatorname{Mid}(L)$ the set of all join irreducible elements of $L$ which do not belong to $\operatorname{Ext}(L)$. The following result describes the restriction of Priestley duality to the variety of dp-algebras:

Theorem 1.2[15] or [4]: For a Priestley space $X, D X$ is a dp-algebra if and only
if $[A)$ is clopen for every clopen decreasing set $A \subseteq X,(A]$ is clopen for every clopen increasing set $A \subseteq X$.

For a continuous order preserving mapping $f: X \longrightarrow X^{\prime}$ between duals of dpalgebras, $D f$ is a homomorphism of dp-algebras if and only if $f(\operatorname{Min}(x))=\operatorname{Min}(f(x)), f(\operatorname{Max}(x))=\operatorname{Max}(f(x))$ for every $x \in X$.

A Priestley space $X$ such that $D X$ is a dp-algebra is called a dp-space, an order preserving continuous mapping $f$ between dp-spaces $X$ and $X^{\prime}$ is called a dp-map if $D f$ is a homomorphism of dp -algebras. For a variety $\underset{\sim}{V}$ of dp -algebras denote by $P(\underset{\sim}{V})$ the category of all dp-spaces $X$ with $D X \in \underset{\sim}{V}$ and all dp-maps.

A sequence $x=x_{0}, x_{1}, \ldots, x_{n}=y$ in a poset $(X, \leqslant)$ is called a path from $x$ to $y$ of length $n$ if for every $i \in\{0,1, \ldots, n-1\}, x_{i}$ is comparable with $x_{i+1}$. Then we say that $x$ and $y$ are connected. Any maximal subset of a poset with every pair of elements in it connected is called a component of $(X, \leqslant)$. We say that $(X, \leqslant)$ is connected if it has exactly one component. An ordered pair $(x, y)$ is called an arc of $(X, \leqslant)$ if $x<y$. For a dp-space $X, C$ is a component of $X$ just when $E x t(C)$ is a component of $\operatorname{Ext}(X)$. Then we have

Proposition 1.3[11,12]: If $f: X \longrightarrow Y$ is a dp-map between two dp-spaces $X, Y$ then for every component $C$ of $X$ there exists a component $C^{\prime}$ of $Y$ such that $f(C) \subseteq C^{\prime}$ and $f(E x t(C))=E x t\left(C^{\prime}\right)$.

We recall several notions and facts proved in [12]. For any dp-algebra $A$ denote by $S k(A)$ the least subalgebra of $A$ containing the set $\left\{x^{*} ; x \in A\right\} \cup\left\{x^{+} ; x \in A\right\}$ and closed under relative complementation. $S k(A)$ is called a skeleton of $A$. If $S k(A)=$ $A$ then we say then $A$ is skeletal and, moreover, if it is directly indecomposable then we say that $A$ is a frame. Obviously, any finite frame is uniquely determined by the poset of all its join-irreducible elements. For a finite frame $A$ denote by $F(A)$ the frame generated by the poset $(X, \leqslant)$ where $X$ is the set of all join irreducible elements of $A$ and $x \leqslant y$ if and only if $x \leqslant y$ in $A$ and either $x \in E x t(A)$ or $y \in \operatorname{Ext}(A)$.

Proposition 1.4[12]: For a dp-algebra $A$ and the inclusion morphism $f: S k(A) \longrightarrow A$ we have:
a) for the dual dp-map $h$ of $f$ we have $h(x)=h(y)$ if and only if $E x t(x)=$ $E x t(y)$;
b) the order in the Priestley dual of $\operatorname{Sk}(A)$ is the partial order containing all pairs $\{h(x), h(y)\}$ for which $x \leqslant y$ in the dual of $A$;
c) $A$ is skeletal if and only if any pair of distinct elements $x, y$ of the dual of $A$ satisfies $\operatorname{Ext}(x) \neq \operatorname{Ext}(y)$, moreover, $A$ is a frame if its dual is a connected poset;
d) if $S k(A)$ is finite then for an arbitrary variety $\underset{\sim}{V}$ of dp-algebras we have $A \in \underset{\sim}{V}$ if and only if $S k(A) \in \underset{\sim}{V}$;
e) any endomorphism of a finite frame is invertible;
f) for every homomorphism $f: A \longrightarrow A^{\prime}$ we have $f(\operatorname{Sk}(A)) \subseteq \operatorname{Sk}\left(A^{\prime}\right)$;
g) if $A$ is a finite frame then for an arbitrary variety $\underset{\sim}{V}$ of $d p$-algebras we have $A \in \underset{\sim}{V}$ if and only if $F(A) \in \underset{\sim}{V}$.

The dp-map $h$ from a) is called skeletal. Since we shall work with dp-spaces rather than the algebras themselves, we extend all algebraic terminology to corresponding dp-spaces.

The aim of this paper is to prove the following
Theorem 1.5: For any variety $\underset{\sim}{V}$ of dp-algebras the following are equivalent:
a) $V$ is finite-to-finite universal;
b) $\tilde{V}$ is finite monoid universal;
c) $\underset{\sim}{\underset{V}{V}}$ contains a finite frame $F$ such that the poset $\operatorname{Mid}(F)$ has an order component with at least three distinct arcs, and such that the only endomorphism of $F$ whose fixed points include $\operatorname{Mid}(F)$ is the identity;
d) $\underset{\sim}{V}$ contains a finite frame $G$ such that $\operatorname{Mid}(G)$ has an order component $C$ containing exactly three distinct arcs and at most four other elements, and such that the only endomorphism of $G$ whose fixed points include $C$ is the identity.

For comparison we recall the main result from [12]:
Theorem 1.6[12]: For a finitely generated variety $\underset{\sim}{V}$ of dp-algebras the following are equivalent:
a) $V$ is universal;
b) $\tilde{V}$ contains a proper class of non-isomorphic rigid algebras;
c) $\tilde{V}$ contains an infinite rigid algebra;
d) $\underset{\sim}{V}$ contains a rigid algebra which is not skeletal;
e) every finite monoid is isomorphic to $\operatorname{End}(A)$ for some $A \in \underset{\sim}{V}$;
f) every cyclic group $C_{p}$ of prime order $p$ is isomorphic to $\operatorname{End}(A)$ for some $A \in \underset{\sim}{V} ;$
g) $\underset{\sim}{V}$ contains a finite frame $F$ such that the poset $M i d(F)$ has an order component with at least three elements, and such that the only endomorphism of $F$ whose fixed points include $\operatorname{Mid}(F)$ is the identity;
h) $\underset{\sim}{V}$ contains a finite frame $G$ such that $\operatorname{Mid}(G)$ has an order component $C$ containing exactly three elements and at most three other elements, and such that the only endomorphism of $G$ whose fixed points include $C$ is the identity.

Following Beazer [3], for a dp-algebra $A$ denote by $\boldsymbol{\Phi}_{A}$ the determination congruence of $A$, defined by $(a, b) \in \Phi_{A}$ if and only if $a^{*}=b^{*}$ and $a^{+}=b^{+}$. For any directly indecomposable algebra $A$ of finite range, the algebra $A / \Phi_{A}$ is simple [3]. By Davey's [4] description of finitely subdirectly irreducible algebras, $\Phi_{A}$ is the
least nontrivial congruence of any finite non-simple subdirectly irreducible algebra.
Analogously as in [12] we obtain
Corollary 1.7: If $\underset{\sim}{V}$ is a finite-to-finite universal variety of dp-algebras then
a) $\underset{\sim}{V}$ contains a finitely generated finite-to-finite universal subvariety $\underset{\sim}{W}$ generated by a set of no more than eight finite subdirectly irreducible algebras $A$ with the same quotient $A / \Phi_{A}$;
b) $\underset{\sim}{V}$ must have at least two finite non-isomorphic subdirectly irreducible algebras $A$ which are not simple and have the same quotient $A / \Phi_{A}$.

We return to the proof of Theorem 1.5. Any finite-to-finite universal category is finite monoid universal, see [17], thus $a) \Rightarrow b$ ). The proof of the implication $b) \Rightarrow c$ ) is given in the second section. The third section is devoted to the proof of $c) \Rightarrow d$ ). The four section contains the proof of $d) \Rightarrow a$ ). The last section contains some examples and concluding remarks.

## 2. Necessity.

Denote by $S$ the semigroup given by the table:

| $S$ | $a_{0}$ | $a_{1}$ | $a_{2}$ | $a_{3}$ | $a_{4}$ | $a_{5}$ | $a_{6}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $a_{0}$ | $a_{0}$ | $a_{1}$ | $a_{2}$ | $a_{3}$ | $a_{4}$ | $a_{5}$ | $a_{6}$ |
| $a_{1}$ | $a_{1}$ | $a_{1}$ | $a_{2}$ | $a_{3}$ | $a_{4}$ | $a_{5}$ | $a_{6}$ |
| $a_{2}$ | $a_{2}$ | $a_{2}$ | $a_{3}$ | $a_{1}$ | $a_{5}$ | $a_{6}$ | $a_{4}$ |
| $a_{3}$ | $a_{3}$ | $a_{3}$ | $a_{1}$ | $a_{2}$ | $a_{6}$ | $a_{4}$ | $a_{5}$ |
| $a_{4}$ | $a_{4}$ | $a_{4}$ | $a_{5}$ | $a_{6}$ | $a_{4}$ | $a_{5}$ | $a_{6}$ |
| $a_{5}$ | $a_{5}$ | $a_{5}$ | $a_{6}$ | $a_{4}$ | $a_{5}$ | $a_{6}$ | $a_{4}$ |
| $a_{6}$ | $a_{6}$ | $a_{6}$ | $a_{4}$ | $a_{5}$ | $a_{6}$ | $a_{4}$ | $a_{5}$ |

The aim of this section is to prove that every finite dp-space $X$ with $\operatorname{End}(X) \cong S$ satisfies
(X1) there exists an order component $C$ of $\operatorname{Mid}(S k(X))$ having at least three arcs; (X2) if $f: X \longrightarrow X$ is a dp-map such that $f(x)=x$ for every $x \in \operatorname{Mid}(X)$ then $f$ is the identity.
Note that the component of $S k(X)$ containing $C$ is a frame whose dual satisfies c). Hence if $V$ is a finite monoid universal variety of dp-algebras then the skeleton of an algebra $A$ with $\operatorname{End}(A) \cong S$ has a direct indecomposable quotient satisfying c) (since $S$ is commutative we have $\operatorname{End}(A) \cong S$ whenever $\operatorname{End}(P(A)) \cong S$ ). In this way the implication b$) \Rightarrow \mathrm{c}$ ) in Theorem 1.5 will have been proved.

In the following assume that $X$ is a finite dp-space with $\operatorname{End}(X) \cong S$. The dpmap corresponding to $a_{i}$ is denoted by $f_{i}$. Since $X$ is finite every order preserving $\operatorname{map} g: X \longrightarrow X$ satisfying $g(\operatorname{Max}(x))=\operatorname{Max}(g(x)), g(\operatorname{Min}(x))=\operatorname{Min}(g(x))$ for every $x \in X$ is a dp-map. We shall exploit this fact without any reference. For a mapping $f: Z \longrightarrow Y$ denote by $\operatorname{Im}(f)=\{y \in Y ; \exists z \in Z$ with $f(z)=y\}$. First, we immediately have

Lemma 2.1: $f_{0}$ is the identity, $\operatorname{Im}\left(f_{0}\right) \supseteq \operatorname{Im}\left(f_{1}\right)=\operatorname{Im}\left(f_{2}\right)=\operatorname{Im}\left(f_{3}\right) \supseteq \operatorname{Im}\left(f_{4}\right)=$ $\operatorname{Im}\left(f_{5}\right)=\operatorname{Im}\left(f_{6}\right)$.

We prove
Lemma 2.2: There exists an order component $C$ of $X$ with $\operatorname{End}(C) \cong S$.
Proof : First we show that for every order component $C$ and every $i \in 6, f_{i}(C) \subseteq$ $C$ whenever $f_{i}^{3}(C) \subseteq C$. Assume that $f_{i}(C)$ is a subset of an order component $C^{\prime} \neq C$ and define $g: X \longrightarrow X$ such that $g(x)=x$ for every $x \in X \backslash\left(C \cup C^{\prime}\right)$, $g(x)=f_{i}(x)$ for $x \in C, g(x)=f_{i}^{2}(x)$ for every $x \in C^{\prime}$. Then $g$ is a dp-map of $X$ with $g^{2}, g^{4} \neq g-\mathrm{a}$ contradiction. Whence for every $i \in 6$ and for every order component $C$ we have that $f_{i}^{2}(C) \subseteq f_{i}(C)$. Assume that there exist an order component $C$ and $i \in 6$ with $f_{i}(C) \cap C=\emptyset$. By Lemma 2.1 we can assume that $i=4$. If $f_{4}(x)=x$ for every $x \in X \backslash C$ then define $g: X \longrightarrow X$ such that $g(x)=f_{5}(x)$ for $x \in X \backslash C, g(x)=x$ for $x \in C$. In this case $g$ is an invertible dp-map and since $f_{5}$ is not idempotent we conclude that $g$ is not an identity - a contradiction. Therefore there exist an order component $C^{\prime} \neq C$ and $x \in C^{\prime}$ with $f_{4}(x) \neq x$. Define $g_{0}, g_{1}: X \longrightarrow X$ such that $g_{0}(x)=g_{1}(x)=x$ for $x \in X \backslash\left(C \cup C^{\prime}\right), g_{0}(x)=x$, $g_{1}(x)=f_{4}(x)$ for $x \in C, g_{0}(x)=f_{4}(x), g_{1}(x)=x$ for $x \in C^{\prime}$. Both $g_{0}$ and $g_{1}$ are idempotent non-identical dp-maps of $X$ distinct from $f_{4}$ - a contradiction. Thus for every order component $C$, and every $i \in 6$ we have $f_{i}(C) \subseteq C$. Hence $\operatorname{End}(X) \cong \Pi\{\operatorname{End}(C) ; \mathrm{C}$ is a component of $X\} \cong S$ and therefore there exists a component $C$ of $X$ with $\operatorname{End}(C) \cong S$.

Let $Z$ be a finite dp-space with a skeletal mapping $h: Z \longrightarrow Y$. An idempotent order preserving mapping $g: Z \longrightarrow Z$ is called contracting if the following hold
a) for every $x \in Z, g(x)=x$ whenever $x \in E x t(Z)$ or $h(x)$ belongs to a component of $\operatorname{Mid}(Z)$ with at least three arcs;
b) for every $x \in Z, g(x) \in h^{-1}(h(x))$;
c) if $y \in Y$ such that either $y \in E x t(Y)$ or a component of $\operatorname{Mid}(Y)$ containing $y$ has at most two elements then $g\left(h^{-1}(y)\right)$ is a singleton;
d) if $\left\{y_{0}<y_{1}>y_{2}\right\}$ or $\left\{y_{0}>y_{1}<y_{2}\right\}$ is an order component of $\operatorname{Mid}(Y)$, then $g^{-1}\left(h\left(y_{i}\right)\right)$ is a singleton for $i \in\{0,2\}$ and if there exists no order component $C$ of $\operatorname{Mid}(Z)$ with $C \cap h^{-1}\left(y_{i}\right) \neq$ then $\left|g^{-1}\left(h\left(y_{1}\right)\right)\right|=2$, else $g\left(h^{-1}\left(\left\{y_{i} ; i \in 3\right\}\right)\right)$ is a shortest path connecting $g\left(h^{-1}\left(y_{0}\right)\right)$ and $g\left(h^{-1}\left(y_{2}\right)\right)$;
Lemma 2.3: For every finite poset $Z$ there exists a contracting mapping.
Proof : It is easy to construct an idempotent order preserving mapping satisfying a), b), and c). To fulfil d) it suffices to apply Lemma 3.10 from [9].

A subset $Z^{\prime}$ of a finite order connected dp-space $Z$ is called a block if $B=h^{-1}(x)$ for an $x \in \operatorname{Ext}(Y)$ or $B=h^{-1}(C)$ for a component of $\operatorname{Mid}(Y)$ where $h: Z \longrightarrow Y$ is a skeletal dp-map of $Z$. A block $B$ is said to be contractible if either $B=h^{-1}(x)$ for $x \in \operatorname{Ext}(Y)$ or $B=h^{-1}(C)$ for an order component $C$ of Mid $(Y)$ containing at most two arcs.
Lemma 2.4: If $g$ is a contracting mapping of a connected finite dp-space $Z$ then for every idempotent dp-map $f: Z \longrightarrow Z$ with $\operatorname{Im}(f) \subseteq \operatorname{Im}(g)$ we have that

## $f \upharpoonright B=g \mid B$ for every contractible block.

Proof : By a) and b) in the definition of a contracting mapping we obtain that $g(\operatorname{Max}(x))=\operatorname{Max}(g(x))$ and $g(\operatorname{Min}(x))=\operatorname{Min}(g(x))$ for every $x \in Z$. If $f$ is an idempotent dp-map then $f \mid E x t(Z)$ is the identity, thus $f$ preserves each set $h^{-1}(y)$ for $y \in \operatorname{Mid}(Y)$. The rest is clear.

By Lemma 2.2 we can assume in the following that $X$ is order connected. Assume that $h: X \longrightarrow Y$ is a skeletal dp-map.

Lemma 2.5: Every contracting mapping of $X$ is the identity.
Proof : Assume that $g$ is a non-identical contracting mapping. Then there exists a contractible block $B$ such that $g \upharpoonright B$ is not the identity. First we prove that $B$ is unique. Assume that there exists a contractible block $B^{\prime}$ distinct from $B$ such that $g$ is not identical on $B^{\prime}$. Define two mappings $g_{0}, g_{1}: X \longrightarrow X$ as follows: $g_{0}(x)=g_{1}(x)=x$ for every $x \in X \backslash\left(B \cup B^{\prime}\right), g_{0}(x)=g(x), g_{1}(x)=x$ for $x \in B$, $g_{0}(x)=x, g_{1}(x)=g(x)$ for $x \in B^{\prime}$. Since $g$ is idempotent we obtain that $g, g_{0}, g_{1}$ are three distinct idempotent nonidentical mappings. Obviously, $g_{0}, g_{1}$ are dp-maps - a contradiction with $\operatorname{End}(X) \cong S$. Thus $B$ is a unique contractible block of $X$ on which $g$ is not the identity. Assume $g=f_{1}$. Then by Lemmas 2.1 and 2.4 there exists a block $B^{\prime}$ (it is not contractible) such that $f_{4} \upharpoonright B$ is not the identity. Define $g_{0}: X \longrightarrow X$ such that $g_{0}(x)=x$ for all $x \in X \backslash B^{\prime}, g_{0}(x)=f_{4}(x)$ for $x \in B^{\prime}$. Since $f_{4}$ is idempotent we obtain by a direct inspection that $g_{0}$ is an idempotent nonidentical dp-map distinct from $g$ and $f_{4}-\mathrm{a}$ contradiction. Thus $g=f_{4}$. If $f_{5} \upharpoonright g(B)$ is the identity then $g_{0}: X \longrightarrow X$ defined by $g_{0}(x)=f_{5}(x)$ for $x \in X \backslash B, g_{0}(x)=x$ for $x \in B$ is an invertible non-identical dp-map because $f_{5}$ is one-to-one on the set $\operatorname{Im}(g)$ and $f_{5} \neq g$ - a contradiction. Thus $f_{5}(g(B)) \cap g(B)=\emptyset$, moreover, $B^{\prime}=g^{-1}\left(f_{5}(g(B))\right)$ is a contractible block of $X$. Since $f_{2} \circ f_{4}=f_{4} \circ f_{2}=f_{5}$ we conclude that $f_{2}(B) \subseteq B^{\prime}$ and $f_{2} \circ f_{1}=f_{2}$ implies that $f_{2}$ is one-to-one on $f_{1}(B)$. Since $f_{1} \neq f_{4}$ we conclude that $f_{4}$ is one-to-one neither on $f_{1}(B)$ nor on $f_{2}(B)$. Thus $g$ is not one-to-one on the contractible block $B^{\prime}$ distinct from $B$ - a contradiction.

Corollary 2.6: There exists a component of Mid $(Y)$ having at least three arcs.
Proof : If every component of $Y$ has at most two arcs then by Lemmas 2.4 and 2.5 every idempotent dp-map of $X$ is the identity - a contradiction.

We have shown that $X$ satisfies (X1). The following lemma gives the proof of (X2).
Lemma 2.7: Every dp-map $f$ of $Y$ into itself such that $f(y)=y$ for every $y \in$ $\operatorname{Mid}(Y)$ is the identity.

Proof : Define a mapping $f_{0}: X \longrightarrow X$ such that $f_{0}(x)=x$ for every $x \in$ $\operatorname{Mid}(X)$, and for $x \in \operatorname{Ext}(X), f_{0}(x)$ is an element of $\operatorname{Ext}(X)$ satisfying $h\left(f_{0}(x)\right)=$ $(f(h(x)))$. Since $f \upharpoonright \operatorname{Ext}(X)$ and $h \upharpoonright E x t(X)$ are one-to-one the definition of $f_{0}$ is correct. Moreover, $f_{0}$ is a dp-map of $X$ into itself because $f$ is a dp-map of $Y$ and $f(y)=y$ for every $y \in \operatorname{Mid}(Y)$. Since $f_{0}(x)=x$ for every $x \in \operatorname{Mid}(X)$ we conclude that $f_{0}$ is invertible, hence $f_{0}$ is the identity and so is $f$.

Thus any finite dp-space $X$ with $\operatorname{End}(X) \cong S$ fulfils (X1) and (X2). Whence the implication $b) \Rightarrow c$ ) in Theorem 1.5.

## 3. Smaller frames.

The aim of this section is to prove of the implication $c) \Rightarrow d$ ) in Theorem 1.4. The proof is analogous to the proof in [12] and therefore we give only a brief proof. Clearly, if a poset $P$ has at least three arcs then one of the following posets is a subposet of $P$ :
C

We prove
Proposition 3.1:If $A$ is a finite frame algebra satisfying
(P1) Mid(A) contains an order component having at least three arcs,
(P2) every endomorphism $f$ of $A$ such that $f(x)=x$ for every join irreducible element in $\operatorname{Mid}(A)$ is the identity,
then there exists a subalgebra $B$ of a quotient of $F(A)$ which is a frame and satisfies
(Y1) one of the order components of $\operatorname{Mid}(B)$ is isomorphic to one of the posets $S_{0}, S_{1}, S_{2}, S_{3}$ and $\operatorname{Mid}(B)$ has at most four other components all being singletons,
(Y2) every endomorphism $f$ of $B$ satisfying $f(x)=x$ for every join irreducible element $x$ in the more-element component of $\operatorname{Mid}(B)$ is the identity.

Obviously, the implication $c) \Rightarrow d$ ) immediately follows from Proposition 3.1. If $A$ is a finite frame satisfying (P1) and (P2) then clearly there exists a subalgebra $B^{\prime}$ of $A$ being a frame and satisfying (Y1) or a subalgebra of $B^{\prime \prime}$ of $A$ satisfying (Y1) and (Y2) (but it can not be a frame).

For an element $a \in A$ denote by $\bar{a}$ the greatest element with $\bar{a} \neq a$. We say that an element $a \in \operatorname{Mid}(A)$ is min-defective if $\operatorname{Max}(v)=\operatorname{Max}(a)$ for every $v \in \operatorname{Min}(a)$ and $a \in \operatorname{Mid}(A)$ is $\max -$ defective if $\operatorname{Min}(v)=\operatorname{Min}(a)$ for every $v \in \operatorname{Max}(a)$. We recall two auxiliary lemmas proved in [12]
Lemma 3.2[12]: Let $A$ be a finste frame such that $|\operatorname{Mid}(A)| \geqslant 2$. Then the subalgebra $B$ of $A$ generated by the set $T(A)=\operatorname{Mid}(A) \cup\{\bar{a} ; a \in \operatorname{Mid}(A)\}$ satisfies $\operatorname{Mid}(B) \cong \operatorname{Mid}(A)$ and for every pair $x, y \in \operatorname{Mid}(A)$ if $\operatorname{Min}(x) \backslash \operatorname{Min}(y) \neq \emptyset$ in $A$ then so is in $B$, if $\operatorname{Max}(x) \backslash \operatorname{Max}(y) \neq 0$ in $A$ then so is in $B$.

Moreover the algebra $B$ is a frame whenever
(1) for every min-defective a which is minimal in $\operatorname{Mid}(A)$ there is some $y \in$ $\operatorname{Mid}(A)$ such that $\operatorname{Min}(a) \cap \operatorname{Min}(y)$ and $\operatorname{Min}(a) \backslash \operatorname{Min}(y)$ are both nonvoid,
(2) for every max-defective $a$ which is maximal in $\operatorname{Mid}(A)$ there is some $y \in$ $\operatorname{Mid}(A)$ such that $\operatorname{Max}(a) \cap \operatorname{Max}(y)$ and $\operatorname{Max}(a) \backslash M a x(y)$ are both nonvoid.

For $a \in \operatorname{Mid}(A)$ define

$$
\begin{aligned}
& M(a)=\{y \in \operatorname{Mid}(A) ; \operatorname{Min}(a) \cap \operatorname{Min}(y) \neq \emptyset \neq \operatorname{Min}(a) \backslash \operatorname{Min}(y)\} \\
& N(a)=\{y \in \operatorname{Mid}(A) ; \operatorname{Max}(a) \cap \operatorname{Max}(y) \neq \emptyset \neq \operatorname{Max}(a) \backslash \operatorname{Max}(y)\} .
\end{aligned}
$$

Lemma 3.3[12]: For every $a \in \operatorname{Mid}(A)$ which is min-defective and minimal in $\operatorname{Mid}(A)$ we have that $M(a) \neq \emptyset$, and moreover, every $x \in M(a)$ is not max-defective and either is not min-defective or $a \in M(x)$.

For every $a \in \operatorname{Mid}(A)$ which is max-defective and maximal in Mid $(A)$ we have that $N(a) \neq \emptyset$, and moreover, every $x \in N(a)$ is not min-defective and either is not max-defective or $a \in N(x)$.

We prove Proposition 3.1. $X=P(A)$ is a poset of all join irreducible elements of $A$. Since $A$ satisfies (P1) there exists a subposet $Y$ of $X$ on the same set satisfying
(i) $\operatorname{Ext}(Y)=\operatorname{Ext}(X)$,
(ii) there exists exactly one more-element order component of $\operatorname{Mid}(\mathrm{Y})$ which is isomorphic to one of the following posets $S_{0}, S_{1}, S_{2}, S_{3}$.
By Theorem 1.2, $B^{\prime}=D(Y)$ is a dp-algebra with $F(A)=F\left(B^{\prime}\right)$. Denote by $Z=D(F(A))$. Clearly, the identity is a dp-map from $Z$ onto $Y$, hence $B^{\prime}$ is a quotient algebra of $F(A)$, see [13]. By a direct inspection we obtain that $B^{\prime}$ satisfies ( P 1 ) and (P2). By Lemma 3.3 there exists a subset $Y^{\prime}$ of $Y$ such that the restriction to $Y^{\prime}$ of the order of $Y$ satisfies

1) $\operatorname{Ext}\left(Y^{\prime}\right)=\operatorname{Ext}(Y)$,
2) one of the order components of $M i d\left(Y^{\prime}\right)$ is isomorphic to one of the posets $S_{0}, S_{1}, S_{2}, S_{3}$ and $\operatorname{Mid}\left(Y^{\prime}\right)$ has at most four other components all being singletons,
3) for every min-defective $a$ which is minimal in $\operatorname{Mid}\left(Y^{\prime}\right)$ there is some $y \in$ $\operatorname{Mid}\left(Y^{\prime}\right)$ such that $\operatorname{Min}(a) \cap \operatorname{Min}(y)$ and $\operatorname{Min}(a) \backslash \operatorname{Min}(y)$ are both nonvoid,
4) for every max-defective $a$ which is maximal in $\operatorname{Mid}\left(Y^{\prime}\right)$ there is some $y \in$ $\operatorname{Mid}\left(Y^{\prime}\right)$ such that $\operatorname{Max}(a) \cap \operatorname{Max}(y)$ and $\operatorname{Max}(a) \backslash \operatorname{Max}(y)$ are both nonvoid,
5) for every pair $a, b \in \operatorname{Mid}\left(Y^{\prime}\right)$ such that $\{a\}$ and $\{b\}$ are order components of $i d\left(Y^{\prime}\right)$ there exists an element $c$ in the non-singleton component of $\operatorname{Mid}\left(Y^{\prime}\right)$ such that one of the following conditions holds:
A) $\operatorname{Min}(a) \cap \operatorname{Min}(c) \neq 0 \neq \operatorname{Min}(c) \backslash \operatorname{Min}(a)$ and either

- $\operatorname{Min}(b) \supseteq \operatorname{Min}(c)$ or $\operatorname{Min}(b) \cap \operatorname{Min}(c)=0$,
B) $\operatorname{Min}(b) \cap \operatorname{Min}(c) \neq 0 \neq \operatorname{Min}(c) \backslash \operatorname{Min}(b)$ and either $\operatorname{Min}(a) \supseteq \operatorname{Min}(c)$ or $\operatorname{Min}(a) \cap \operatorname{Min}(c)=\theta$,
C) $\operatorname{Max}(a) \cap \operatorname{Max}(c) \neq \emptyset \neq \operatorname{Max}(c) \backslash \operatorname{Max}(a)$ and either $\operatorname{Max}(b) \supseteq \operatorname{Max}(c)$ or $\operatorname{Max}(b) \cap \operatorname{Max}(c)=\emptyset$,
D) $\operatorname{Max}(b) \cap \operatorname{Max}(c) \neq \neq \operatorname{Max}(c) \backslash M a x(b)$ and either $\operatorname{Max}(a) \supseteq \operatorname{Max}(c)$ or $\operatorname{Max}(a) \cap M a x(c)=\emptyset$.
By Proposition 1.4, $D\left(Y^{\prime}\right)$ is a frame and since the inclusion of $Y^{\prime}$ into $Y$ is a dp-map we obtain that $B^{\prime \prime}=D\left(Y^{\prime}\right)$ is a quotient of $B^{\prime}$. We apply Lemma 3.2 to $B^{\prime \prime}$ and we obtain an algebra $B$. By Lemma $3.2, B$ is a frame satisfying (Y1) (because $B^{\prime}$ satisfies (Y1) by 2)). Note that the elements of $Y^{\prime}$ can be considered as elements of $B^{\prime}$. Let $f$ be an endomorphism of $B$ such that $f(x)=x$ for every $x$ belonging to the non-singleton order component $C$ of $\operatorname{Mid}(B)$. By Proposition $1.4, f$ is an automorphism of $B$, hence according to 5), Lemma 3.2, and Theorem 1.2 we conclude that $f(x)=x$ for every $x \in \operatorname{Mid}\left(Y^{\prime}\right)$ and thus $f(\bar{x})=\bar{x}$ for every $x \in \operatorname{Mid}\left(Y^{\prime}\right)$. We show that $f$ fixes the generators of $B$ and thus $f$ is the identity of $B$ and thus $B$ satisfies (Y2). Proposition 3.1 is proved.


## 4. Finite-to-finite universality.

In this section we prove that every variety $V$ of dp-algebras containing a finite frame $A$ satisfying (Y1) and (Y2) is finite-to-finite universal. This will complete the proof of Theorem 1.5. The proof is based on an idea in [10] and [12] and we will work only with dp-spaces and dp-maps. We shall substitute suitable Priestley spaces instead of several elements of the dual $Y$ of the frame $A$. The following two technical lemmas formalize this idea.

Lemma 4.1: Let $X$ be a frame. Assume that a family $\left\{Z_{y} ; y \in X^{\prime}\right\}$ of non-empty Priestley spaces and a relation $R$ satisfying
(*) if $(u, v) \in R$ then $u \in Z_{x}, v \in Z_{y}$ for some distinct $x, y \in X^{\prime}$ with $x<y$,
(**) if $x, y \in X^{\prime}$ and $y$ covers $x$ in $X^{\prime}$ then there exist $u \in Z_{x}, v \in Z_{y}$ with $(u, v) \in R$,
(***) for every $x \leqslant y \leqslant z, x, y, z \in X^{\prime}$ and for every closed set $U \subseteq Z_{y}$ the sets $\left\{v \in Z_{x}\right.$; there exists $u \in U$ with $\left.(v, u) \in R\right\}$ and $\left\{v \in Z_{x}\right.$; there exists $u \in U$ with $(u, v) \in R\}$ are closed,
are given where $X^{\prime} \subseteq \operatorname{Mid}(X)$. Define $(Z, \leqslant, \sigma)$ as follows:

1) $Z=\left(X \backslash X^{\prime}\right) \cup\left(\cup\left\{Z_{y} ; y \in X^{\prime}\right\}\right)$,
2) $\leqslant$ is the smallest ordering such that $u \leqslant v$ whenever either $u, v \in X \backslash X^{\prime}$ and $u \leqslant v$ in $X$ or $u, v \in Z_{y}$ for some $y \in X^{\prime}$ and $u \leqslant v$ in $Z_{y}$ or $u \in X \backslash X^{\prime}, v \in Z_{y}$ for some $y \in X^{\prime}$ with $u \leqslant y$ in $X$ or $v \in X \backslash X^{\prime}, u \in Z_{y}$ for some $y \in X^{\prime}$ with $y \leqslant v$ in $X$ or $(u, v) \in R$.
3) the topology $\sigma$ is the union of topologies of $Z_{y}, y \in X^{\prime}$ and the discrete topology on $X \backslash X^{\prime}$.
Further, let $\psi: Z \longrightarrow X$ be the mapping with $\psi(x)=x$ for every $x \in X \backslash X^{\prime}$, $\psi(x)=y$ for $x \in Z_{y}, y \in X^{\prime}$.

Then $Z=(Z, \leqslant, \sigma)$ is an order connected dp-space with $X \cong S k(Z)$ and $\psi$ is a skeletal $d p$-map from $Z$ onto $X$.
Proof : The topology $\sigma$ is compact being a finite union of compact topologies. Furthermore, for every $U \subseteq X$, the set $\psi^{-1}(U)$ is clopen in $\sigma$. We prove that $Z$ is
a Priestley space. Let $u \nless v$ be distinct elements of $Z$. Set $[\psi(u)) \cap(\psi(v)]=T$ in $X$. For every $t \in T$ the set $U_{t}=[y) \cap Z_{t}$ is closed increasing in $Z_{t}$ by (***). Since $Z_{\psi(v)}$ is a Priestley space there exists a clopen decreasing set $V_{\psi(v)}$ with $v \in V_{\psi(v)}$, $U_{\psi(v)} \cap V_{\psi(v)}=\emptyset$ because $v \notin U_{\psi(v)}$. Let $t \in T$ and assume that for every $t^{\prime} \in T$ with $t^{\prime}>t$ we constructed a clopen decreasing set $V_{t^{\prime}} \subseteq Z_{t^{\prime}}$, with $V_{t^{\prime}} \cap U_{t^{\prime}}=0$ and such that for every $t^{\prime \prime} \in T, t^{\prime \prime}>t^{\prime}$ we have $V_{t^{\prime \prime}} \cap Z_{t^{\prime}} \subseteq V_{t^{\prime}}$. Set $W_{t}=\left\{w \in Z_{t}\right.$; there are $t^{\prime} \in T, t^{\prime}>t$ and $w^{\prime} \in V_{t^{\prime}}$ with $w<w^{\prime}$ in $\left.Z\right\}$. By (***), $W_{t}$ is closed decreasing in $Z_{t}$ and $W_{t} \cap U_{t}=0$. Since $Z_{t}$ is a Priestley space there exists a clopen decreasing set $V_{t} \subseteq Z_{t}$ with $W_{t} \subseteq V_{t}, U_{t} \cap V_{t}=0$. Set $V=\left(\bigcup\left\{V_{t} ; t \in T\right\}\right) \cup\left(\bigcup\left\{\psi^{-1}(x)\right.\right.$; $x \in(\psi(v)] \backslash T\})$. Then $V$ is clopen decreasing and $v \in V, u \notin V$. Thus $Z$ is a Priestley space. Since for every $x \in \operatorname{Ext}(Z)$ we have that $[x)=\psi^{-1}([\psi(x)))$, $(x]=\psi^{-1}((\psi(x)])$ are clopen we conclude by Theorem 1.2 that $Z$ is a dp-space. By Proposition 1.4 we obtain that $\psi$ is a skeletal dp-map from $Z$ onto $X$, thus $X \cong S k(Z)$.

We say that $Z$ is created by means of $X,\left\{Z_{y} ; y \in X^{\prime}\right\}$ and $R$.
Lemma 4.2: Let $Z$ (or $Z^{\prime}$ ) be created by means of $X,\left\{Z_{y} ; y \in X^{\prime}\right\}$, (or $\left\{Z_{y}^{\prime} ; y \in\right.$ $\left.X^{\prime}\right\}$ ) and $R$ (or $R^{\prime}$, respectively) where $X^{\prime} \subseteq \operatorname{Mid}(X)$. Assume that for every $y \in$ $X^{\prime}, f_{y}: Z_{y} \longrightarrow Z_{y}^{\prime}$ is a continuous order preserving mapping. Define $f: Z \longrightarrow Z^{\prime}$ such that $f(x)=x$ for $x \in X \backslash X^{\prime}, f(x)=f_{y}(x)$ for $x \in Z_{y}, y \in X^{\prime}$.

Then $f$ is a dp-map if and only if for every $(u, v) \in R$ we have that $(f(u), f(v)) \in$ $R^{\prime}$.

Proof : Since $f$ is continuous and $f(\operatorname{Max}(x))=\operatorname{Max}(f(x)), f(\operatorname{Min}(x))=$ $\operatorname{Min}(f(x))$ for every $x \in Z$ it suffices to verify that $f$ is order preserving. Obviously, $f$ is order preserving if and only if $(f(u), f(v)) \in R^{\prime}$ whenever $(u, v) \in R$ because every $f_{y}$ is order preserving.

We say that a dp-map $f$ is created by means of $\left\{f_{y} ; y \in Y\right\}$.
Further we recall two statements proved in [1]. Define the following category $T^{3}$ : the objects are Priestley spaces $(X, \leqslant, r)$ with a decomposition $\{U, V, W, T\}$ of $X$ into non-empty clopen subsets such that $U, V, U \cup W \cup V, W \cup T$ are decreasing sets and $U \cup V, V \cup W, T$ are not decreasing; the morphisms are all order preserving continuous mappings which preserve the decomposition of $X$. Then it holds:

Theorem 4.3[1]: The dual category of $T^{3}$ is finite-to-finite universal.
Denote by $T_{n}$ the category of Priestley spaces with $n$ distinguished points which are open and extremal and morphisms are all order preserving continuous mappings which preserve the distinguished points.

Lemma 4.4[1]:The category $T_{5}$ contains a full subcategory $Q$ dually isomorphic to a finite-to-finite universal category. The category $\boldsymbol{Q}$ is formed by Priestley spaces $X$ with constants $a_{0}, a_{1}, \ldots, a_{4} \in \operatorname{Min}(X)$ such that $([A)]=X$, and $|(x] \cap A| \neq 1$ for any $x \in X \backslash A$ where $A=\left\{a_{i} ; i \in 5\right\}$. Every morphism $g$ of $Q$ satisfies $g^{-1}\left\{g\left(a_{i}\right)\right\}=\left\{a_{i}\right\}$ for all $i \in 5$.

To prove that $T_{3}$ is finite-to-finite universal we shall define a functor $\Phi: T_{5} \longrightarrow T_{3}$ similarly as in [6]. For any object $Q=\left(X, \tau, \leqslant,\left\{a_{0}, a_{1}, \ldots, a_{4}\right\}\right)$ of $\underset{\sim}{Q} \operatorname{set} A=$ $\left\{a_{i} ; i \in 5\right\}$ and define

$$
\begin{aligned}
& \Phi(Q)=\left(Y, \sigma, \leqslant,\left\{c_{0}, c_{1}, c_{3}\right\}\right) \text { as follows: } \\
& F=\bigcup\left\{E_{i} ; i \in 5\right\} \cup D, \\
& Y=(X \backslash A) \cup F,
\end{aligned}
$$

where all unions are disjoint, $D=\left\{d_{i} ; i<52\right\}$ and, for $i \in 5, E_{i}=\left\{e_{i, k} ; 1 \leqslant k \leqslant\right.$ 14\}.

The partial order on $(Y, \leqslant)$ is the least order for which
(i) $d_{2 i} \leqslant d_{2 i+1}$ and $d_{2 i+2} \leqslant d_{2 i+1}$ for $i \in 26$ with the addition modulo 52 ;
(ii) for every $i \in 5, e_{i, 2 j} \leqslant e_{i, 2 j-1}, e_{i, 2 j+1}$ when $1 \leqslant j \leqslant 6$, and $e_{i, 14} \leqslant e_{i, 13}$;
(iii) for every $i \in 5, d_{8+2 i} \leqslant e_{i, 1}$ and $e_{i, 14} \leqslant d_{43-2 i}$;
(iv) for every $i \in 5, e_{i, 8} \leqslant x \in X \backslash A$ if and only if $a_{i} \leqslant x$ in $(Q, \leqslant)$;
(v) for every $x, y \in X \backslash A, x \leqslant y$ if and only if $x \leqslant y$ in $Q$.

The topology $\sigma$ of $\Phi(Q)$ is the union topology given by the discrete topology on the finite set $F$ and by the clopen subspace $X \backslash A$ of $Q$. It is easily seen that $\Phi(Q)$ is an object of $T_{3}$. Set $c_{0}=d_{0}, c_{1}=d_{6}, c_{2}=d_{28}$.

Since every morphism $\varphi: Q \longrightarrow Q^{\prime}$ of $Q$ satisfies $\varphi(X \backslash A) \subseteq X^{\prime} \backslash A$, the extension of $\varphi \upharpoonright(X \backslash A)$ to $\Phi(Q)$ by the identity mapping $i d_{F}$ of $F$ is a continuous order preserving mapping $\Phi(\varphi)$ satisfying $\Phi(\varphi)\left(c_{i}\right)=c_{i}$ for $i \in\{0,1,2\}$. The functor $\Phi$ is obviously an embedding, and moreover, $\Phi$ preserves the finite Priestley spaces.

Let $\psi: \Phi(Q) \longrightarrow \Phi\left(Q^{\prime}\right)$ be an order preserving continuous mapping such that $Q$ and $Q^{\prime}$ are objects of $\underset{\sim}{Q}$ and $\left\{\psi\left(c_{i}\right) ; i \in\{0,1,2\}\right\}=\left\{c_{i} ; i \in\{0,1,2\}\right\}$. We aim to show that $\psi=\Phi(\varphi)$ for some $\varphi: Q \longrightarrow Q^{\prime}$.

First we note that the shortest path from $c_{0}$ to $c_{1}$ is $c_{0}=d_{0}, d_{1}, \ldots, d_{6}=c_{1}$, the shortest path from $c_{1}$ to $c_{2}$ is $c_{1}=d_{6}, d_{7}, d_{8}, \ldots, d_{28}=c_{2}$, and the shortest path from $c_{0}$ to $c_{2}$ is $c_{0}=d_{0}, d_{51}, d_{50}, \ldots, d_{28}=c_{2}$, and their lengths are distinct. Hence we conclude hat $\psi$ must preserve these paths and therefore $\psi \upharpoonright D$ is the identity.

Since, for each $i \in 5$, the shortest path connecting $d_{8+2 i}$ to $d_{43-2 i}$ is that consisting entirely of elements of $E_{i}$, the restriction of $\psi$ to each $E_{i}$ must be the identity mapping. Altogether, $\psi$ is the identity on the poset $F$.

If $x \in X \backslash A \subseteq Y$ satisfies $a_{i} \leqslant x$ for some $i \in 5$, then $a_{j} \leqslant x$ for some $j \in 5$ distinct from $i$. By (iv), $e_{i, s} \leqslant x$ and $e_{j, 8} \leqslant x$ in $\Phi(Q)$; since $\psi$ fixes all elements of $F$ and because no elements of $F$ lie above distinct $e_{i, 8}$ and $e_{j, 8}$, it follows that $\psi(x) \in X^{\prime} \backslash A$. By the definition, $\left(X^{\prime} \backslash A\right] \subseteq X^{\prime} \cup\left\{e_{i, 8} ; i \in 5\right\}$, and from $([A)]=X$ it now follows that

$$
\psi\left((X \backslash A) \cup\left\{e_{i, 8} ; i \in 5\right\}\right) \subseteq\left(\dot{X}^{\prime} \backslash A\right) \cup\left\{e_{i, 8} ; i \in 5\right\}
$$

Since the latter space is homeomorphic and order isomorphic to $Q^{\prime}$, the mapping $\psi \upharpoonright Q$ is a morphism in $T_{5}$, and $\psi=\Phi(\psi \backslash Q)$ as was to be shown.

Observe that the same result holds if we set $c_{0}=d_{51}, c_{1}=d_{5}, c_{2}=d_{27}$. Thus we can summarize

Theorem 4.5 The category $T_{3}$ contains a full subcategory $\underset{\sim}{U}$ dually isomorphic to a finite-to-finite universal category such that
a) every order preserving continuous map $f$ between two objects from $\underset{\sim}{U}$ such that $\left\{f\left(c_{i}\right) ; i \in\{0,1,2\}\right\}=\left\{c_{i} ; i \in\{0,1,2\}\right\}$ satisfies $f\left(c_{i}\right)=c_{i}$ for every $i \in\{0,1,2\}$,
b) for every object $\left(X, \leqslant, r,\left\{c_{0}, c_{1}, c_{2}\right\}\right)$ in $\underset{\sim}{U}$ we have for every $i \in\{0,1,2\}$ that $c_{i} \in \operatorname{Min}(X)$ (or $c_{i} \in \operatorname{Max}(X)$ ).

We shall construct a full embedding from $T^{3}$ or $\underset{\sim}{U}$ into $P(\underset{\sim}{V})$. Let $Y$ be the dual of a frame algebra satisfying (Y1) and (Y2). Denote by $C$ the unique non-singleton order component of $\operatorname{Mid}(Y)$.

First we assume that $C \cong S_{2}$. We shall construct a full embedding $\Psi: T^{3} \longrightarrow$ $P(\underset{\sim}{V})$ preserving the finite Priestley spaces. Assume that $C=\left\{c_{0}<c_{1}>c_{2}<c_{3}\right\}$. For $\left(X, \leqslant, \tau, V_{i} ; i \in 4\right) \in T^{3}$, let $\Psi\left(X, \leqslant, \tau, V_{i} ; i \in 4\right)$ be created by means of $Y$, $\left\{V_{i} ; c_{i} \in C\right\}$ and $R=\{(u, v) ; u \leqslant v$ in $X$ and there exist distinct $i, j \in 4$ with $\left.u \in V_{i}, v \in V_{j}\right\}$ (we recall that $V_{0}, V_{2}, V_{0} \cup V_{1} \cup V_{2}, V_{2} \cup V_{3}$ are decreasing sets and $V_{0} \cup V_{1}, V_{1} \cup V_{2} \cup V_{3}$ are not decreasing ones). From the properties of decomposition $\left\{V_{i} ; i \in 4\right\}$ we get that $R$ has the properties $\left({ }^{*}\right),\left({ }^{* *}\right)$, and $\left({ }^{* * *)}\right.$ from Lemma 4.1. For a morphism $f:\left(X, \leqslant, \tau, V_{i} ; i \in 4\right) \longrightarrow\left(X^{\prime}, \leqslant, \tau, V_{i}^{\prime} ; i \in 4\right)$ of $T^{3}$ the morphism $\Psi f$ is created by means of $\left\{f \mid V_{i} ; c_{i} \in 4\right\}$. By Lemmas 4.1 and 4.2 and by Proposition 1.4 we easily obtain that $\Psi$ is an embedding functor from $T^{3}$ into $P(\underset{\sim}{V})$. We prove

Propcsition 4.6: $\Psi$ is a full embedding from $T^{3}$ into $P(\underset{\sim}{V})$ preserving the finite Priestle:1 spaces.
Proof : Let $f:(Z, \leqslant, \sigma) \longrightarrow\left(Z^{\prime}, \leqslant, \sigma\right)$ be a dp-map where $\Phi\left(X, \leqslant, \tau, V_{i} ; i \in 4\right)=$ $(Z, \leqslant, \sigma), \Phi\left(X^{\prime}, \leqslant, \tau, V_{i}^{\prime} ; i \in 4\right)=\left(Z^{\prime}, \leqslant, \sigma\right)$ for objects $\left(X, \leqslant, \tau, V_{i} ; i \in 4\right),\left(X^{\prime}, \leqslant\right.$ $\left., \tau, V_{i}^{\prime} ; i \in 4\right)$ of $T^{3}$. Since $S k(Z)=S k\left(Z^{\prime}\right)=Y$ by Proposition 1.4 there exists a dp-map $\bar{f}: Y \longrightarrow Y$ with $\varphi_{Z^{\prime}} \circ f=\bar{f} \circ \varphi_{Z}$ where $\varphi_{Z}: Z \longrightarrow Y, \varphi_{Z^{\prime}}: Z^{\prime} \longrightarrow Y$ are skeletal dp-maps. Since $Y$ satisfies (Y2) we conclude that $\bar{f}$ is the identity because $S_{2}$ is automorphism free. Hence $f \upharpoonright(Y \backslash C)$ is the identity and $f\left(V_{i}\right) \subseteq V_{i}^{\prime}$ for every $i=0,1,2,3$. Since $f$ is a dp-map we conclude that $f \mid X:\left(X, \leqslant, \tau, V_{i} ; i \in 4\right) \longrightarrow$ ( $X^{\prime}, \leqslant, \tau, V_{i}^{\prime} ; i \in 4$ ) is a morphism of $T^{3}$, and moreover, $\Psi f \mid X=f$, thus $\Psi$ is full. The rest is clear.

Secondly, assume that $C \cong S_{3}$ where $C=\left\{c_{0}<c_{1}<c_{2}\right\}$. We shall define a full embedding $\Lambda: \underset{\sim}{U} \longrightarrow P(\underset{\sim}{V})$.

Define Priestley spaces $D$ and $E: D$ is a poset on the set $\left\{d_{i} ; i \in 7\right\}$ such that $d_{2 i+1}<d_{2 i}, d_{2 i+2}$ for $i \in 3, E$ is the poset on the set $\left\{e_{0}, e_{1}\right\}$ where $e_{0}<e_{1}$ (the topology in both cases is, of course, discrete). For an object $Z=\left(Z, \leqslant, \sigma, y_{i} ; i \in 3\right)$ of $\underset{\sim}{U}$ define $\Lambda Z=(W, \leqslant, \eta)$ where $W$ is created by means $Y,\left\{V_{c} ; c \in C\right\}$ and $R$ where $V_{c_{0}}=Z, V_{c_{1}}=E, V_{c_{2}}=D, R=\left\{\left(y_{2}, d_{0}\right),\left(e_{0}, d_{2}\right),\left(y_{0}, d_{6}\right),\left(y_{1}, e_{1}\right)\right\}$. For a morphism $f: Z \longrightarrow Z$ ' of $U \sim$ a morphism $\Lambda f$ is created by means of $\left\{f_{c} ; c \in C\right\}$ where $f_{c_{0}}=f$, and $f_{c_{1}}, f_{c_{2}}$ are the identities. By Lemmas 4.1 and 4.2 and by Proposition
1.4 we easily obtain that $\Lambda$ is an embedding functor from $\underset{\sim}{U}$ into $P(\underset{\sim}{V})$. We prove that $\Lambda$ is full. To this end we assume that $f: \Lambda Z \longrightarrow \Lambda Z^{\prime}$ is a dp-map where $Z$ and $Z^{\prime}$ are objects of $\underset{\sim}{U}$. Since $S k(\Lambda Z)=S k\left(\Lambda Z^{\prime}\right)=Y$ there exists according to Proposition 1.4 a dp-map $\bar{f}: Y \longrightarrow Y$ such that $\varphi_{\Lambda Z^{\prime}} \circ f=\bar{f} \circ \varphi_{\Lambda Z}$ where $\varphi_{\Lambda Z}: \Lambda Z \longrightarrow Y, \varphi_{\Lambda Z^{\prime}}: \Lambda Z^{\prime} \longrightarrow Y$ are skeletal dp-maps. Since $S_{3}$ is automorphism free we conclude by (Y2) that $\bar{f}$ is the identity. Hence $f(y)=y$ for every $y \in Y \backslash C$, $f(D) \subseteq D, f(E) \subseteq E$ and $f(Z) \subseteq Z^{\prime}$. Since $(f(u), f(v)) \in R$ for every $(u, v) \in R$ we obtain $f\left(e_{0}\right)=e_{0}, f\left(e_{1}\right)=e_{1}, f\left(y_{1}\right)=y_{1}$, and $f\left(d_{2}\right)=d_{2}$. For $X \in\{D, Y\}$ and $x, y \in X$ denote by $D(x, y)$ the length of a shortest path from $x$ to $y$ in $X$, then we have $D\left(y_{0}, y_{1}\right)<D\left(y_{0}, y_{2}\right)$ and $D\left(d_{0}, d_{2}\right)<D\left(d_{2}, d_{6}\right)$ and thus $f\left(d_{0}\right)=d_{0}$, $f\left(d_{6}\right)=d_{6}, f\left(y_{0}\right)=y_{0}, f\left(y_{2}\right)=y_{2}$ because $f$ preserves the ordering. Therefore $f \upharpoonright D$ and $f \upharpoonright E$ is the identity and $f \upharpoonright Z$ is a morphism of $\underset{\sim}{U}$ from $Z$ into $Z^{\prime}$. Then $\Lambda(f \mid Z)=f$ and $\Lambda$ is full. Obviously $\Lambda$ preserves finite Priestley spaces. Thus we proved
Proposition 4.7: $\Lambda: \underset{\sim}{U} \longrightarrow P(\underset{\sim}{V})$ is a full embedding preserving the finite Priestley spaces.

Finally, assume that $C \cong S_{0}$ where $C=\left\{c_{0}<c_{1}, c_{2}, c_{3}\right\}$. We shall construct a full embedding $\Omega$ from $\underset{\sim}{U}$ into $P(\underset{\sim}{V})$.

For an object $Z$ of $\tilde{U}$ a dp-space $\Omega Z$ is created by means of $Y,\left\{Z_{c} ; c \in C\right\}$ and $R$ where $Z_{c_{0}}=\tilde{Z}$ and $Z_{c_{i}}=\left\{z_{i}\right\}$ for $i=1,2,3$ are singleton dp-spaces, $R=\left\{\left(y_{i}, z_{i+1}\right) ; i \in 3\right\}$. For a morphism $f: Z \longrightarrow Z^{\prime}$ of $\underset{\sim}{U}$ a dp-map $\Omega f$ is created by means $\left\{f_{c} ; c \in C\right\}$ where $f_{c_{0}}=f, f_{c_{i}}\left(z_{i}\right)=z_{i}$ for every $i=1,2,3$. According to Lemmas 4.1 and 4.2 and Proposition 1.4, $\Omega$ is an embedding functor from $U$ into $P(V)$. We prove that $\Omega$ is full. To this end we assume that $f: \Omega Z \longrightarrow \Omega \tilde{Z}^{\prime}$ is a dp -map. Since $S k(\Omega Z)=S k\left(\Omega Z^{\prime}\right)=Y$ there exists by Proposition 1.4 a dp -map $\bar{f}: Y \longrightarrow Y$ such that $\varphi_{\Omega Z^{\prime}} \circ f=\bar{f} \circ \varphi_{\Omega Z}$ where $\varphi_{\Omega Z}: \Omega Z \longrightarrow Y, \varphi_{\Omega Z^{\prime}}: \Omega Z^{\prime} \longrightarrow Y$ are skeletal dp-maps. Since $Y$ fulfils (Y1) we have $\bar{f}(C)=C$ and therefore $\bar{f}\left(c_{0}\right)=$ $\left(c_{0}\right)$. Hence we have $f(Z) \subseteq Z^{\prime}$ and $f\left(\left\{z_{i} ; i=1,2,3\right\}\right)=\left\{z_{i} ; i=1,2,3\right\}$. Thus we conclude that also $f\left(\left\{y_{i} ; i \in 3\right\}\right)=\left\{y_{i} ; i \in 3\right\}$. Theorem 4.5 a$)$ implies that $f\left(y_{i}\right)=y_{i}$ for every $i \in 3$, because $f$ is continuous and order preserving. Whence $f\left(z_{i}\right)=z_{i}$ for every $i=1,2,3$ and $f \dagger Z$ is a morphism of $U$ from $Z$ into $Z^{\prime}$. Further we obtain that $\bar{f}\left(c_{i}\right)=c_{i}$ for every $i \in 4$ and by (Y2), $\bar{f}$ is the identity. Therefore $f(y)=y$ for every $y \in Y \backslash C$ and $\Omega f \mid Z=f$. Since $\Omega$ preserves finite Priestley spaces we proved

Proposition 4.8: $\Omega: \underset{\sim}{U} \longrightarrow P(\underset{\sim}{V})$ is a full embedding preserving the finite Priestley spaces.

If $C \cong S_{1}$ the proof is dual. We summarize these results:
Theorem 4.9: If $\underset{\sim}{V}$ is a variety of dp-algebras containing a finite frame fulfilling (Y1) and (Y2) then $\underset{\sim}{V}$ is finite-to-finite universal.

The proof of Theorem 1.5 is complete.

## 5. Conclusions.

If $Y$ is a dual of a finite frame then for every $y \in \operatorname{Mid}(Y)$ denote by $B(y)$ the subposet of $Y$ induced on the set $\operatorname{Ext}(Y) \cup\{y\}$. Obviously, $B(y)$ is a dp-space and Davey [4] proved that $D(B(y))$ is a subdirectly irreducible algebra. Moreover, for every variety $\underset{\sim}{V}$ of dp-algebras we have $Y \in P(\underset{\sim}{V})$ if and only if $B(y) \in P(\underset{\sim}{V})$ for every $y \in \operatorname{Mid}(Y)$, see [12].Thus d) of Theorem 1.5 implies a) of Corollary 1.7. Moreover, it is easy to see that a variety $V$ of dp-algebras generated by exactly one subdirectly irreducible algebra is not finite-to-finite universal. The proof of Corollary 1.7 is complete.

We show that there exists a finite-to-finite universal variety $\underset{\sim}{V}$ of dp-algebras generated by two subdirectly irreducible algebras. Let $A_{0}$ be a dp-algebra such that the poset of its join irreducible elements is isomorphic to $\{a, b\} \cup\left\{c_{i} ; i \in 5\right\}$ where $a$ is the biggest element and $b>c_{i}$ for $i \in 4$ and let $A_{1}$ be a dp-algebra such that the poset of its join irreducible elements is isomorphic to $\{a, b\} \cup\left\{c_{i} ; i \in 5\right\}$ where $a$ is the biggest element and $b>c_{i}$ for $i \in 2$. Consider the variety $V$ generated by $A_{0}$ and $A_{1}$. Then $\underset{\sim}{V}$ contains a finite frame $A$ such that the poset of its join irreducible element is $\{\tilde{a}, b\} \cup\left\{c_{1} ; i \in 3\right\} \cup\left\{d_{i} ; i \in 5\right\}$ where $a$ is the biggest element, $b>c_{i}$ for $i \in 3$ and $c_{i}>d_{i}, d_{i+1}$ for $i \in 3$. By a direct inspection we obtain that $A$ satisfies (Y1) and (Y2), whence $\underset{\sim}{V}$ is finite-to-finite universal.

Finally we give an example of a finitely generated universal variety which is not finite-to-finite universal. First consider a dp-algebra $A$ such that the poset $X$ of its join irreducible elements is $\left\{a_{i} ; i \in 7\right\}$ where $a_{0}<a_{1}>a_{2}<a_{3}>a_{4}<a_{5}$, and $a_{3}>a_{6}$.

Lemma 5.1: The algebra $A$ is simple and if $B$ is a subalgebra of $A$ then $B$ is either three-element or two-element chain.

Proof : By Beazer [3], $A$ is simple. Assume that $h$ is the dual dp-map of the inclusion of $B$ in $A$. Then $h$ is surjective see [13] and by a direct inspection we obtain that the dual of $B$ is either a two element chain or a singleton (see Theorem 1.2 for a characterization of dp-maps).

Let $Y$ be a poset such that $Y=X \cup\left\{b_{i} ; i \in 3\right\}$ where $a_{3}>b_{0}>b_{1}, b_{2}$ and $b_{1}>a_{2}, b_{2}>a_{4}$. Set $A^{\prime}=D(Y)$, then $A^{\prime}$ is a dp-algebra and let $V$ be a variety of dp-algebras generated by $A^{\prime}$. By Proposition $1.4, A^{\prime}$ is a frame and by Theorem 1.6 we conclude that $\underset{\sim}{V}$ is universal since $Y$ is automorphism free and $\left\{b_{1} ; i \in 3\right\}$ is a component of $\operatorname{Mid}(\tilde{Y})$.

Lemma 5.2: If $B$ is a subdirectly irreducible algebra in $V$ then either $B$ is a chain of at most four elements, or $B \cong A$ or the poset of its $\tilde{j}$ join irreducible elements is isomorphic to the subposet of $Y$ on the set $X \cup\left\{b_{i}\right\}$ for some $i \in 3$.

Proof : Denote $Y_{i}$ the subposet of $Y$ on $X \cup\left\{b_{i}\right\}$, for $i \in 3$. By Davey [4], $D\left(Y_{i}\right)$ is a subdirectly irreducible algebra and the dp-algebras $D\left(Y_{i}\right), i \in 3$, generate $V$, see [12]. Since the congruence lattice of dp-algebras is distributive and $D(Y)$ is
finite we obtain by Jónsson Lemma (see [8] or [7]) that every subdirectly irreducible algebra in $\underset{\sim}{V}$ is a quotient of a subalgebra of $D\left(Y_{i}\right)$ for some $i \in 3$. By Lemma 5.1 we obtain that for every $i \in 3$ every proper subalgebra of $D\left(Y_{i}\right)$ is a chain with at most four elements. The rest follows from the result of Davey [4].

Assume that $\underset{\sim}{V}$ is finite-to-finite universal, then by Theorem 1.5 there exists a frame $D \in \underset{\sim}{V}$ satisfying (Y1). Let $Z$ be the dual of $D$ then for every $z \in \operatorname{Mid}(Z)$, the subposet $Z(z)$ of $Z$ on the set $E x t(Z) \cup\{z\}$ is the dual of a subdirectly irreducible algebra in $\underset{\sim}{V}$. From Lemma 5.2 we immediately obtain that $\operatorname{Ext}(Z) \cong X$ and thus by Lemma 5.2 we conclude that $D$ is a quotient of the frame $F\left(A^{\prime}\right)$. Then every component of $\operatorname{Mid}(Z)$ has at most two arcs and this is a contradiction. Thus

Theorem 5.3: $V$ is a finitely generated universal variety of dp-algebras which is not finite monoid universal.

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