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ON SOME NEW PROPERTIES OF THE CANTOR SET

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Introduction and notations. Suppose that the real number x is expressed in the Scale g (g is a positive integer > 1)

$$(1) \quad x = \frac{c_1(x)}{g} + \frac{c_2(x)}{g^2} + \dots + \frac{c_n(x)}{g^n} + \dots$$

$0 \leq c_i(x) < g$, $i = 1, 2, \dots$ and that the digit b , $0 \leq b \leq g - 1$ occurs n_b times in the first n places of the expression (1) for x .

If $\lim_{n \rightarrow \infty} n_b/n$ exists and equal to β then we say that the digit b has frequency β .

[See HARDY and WRIGHT [9]].

We say that x is simply normal in the scale g if $\lim_{n \rightarrow \infty} n_b/n = 1/g$, for each of the $(g - 1)$ possible values of b [See [9]].

Let

$$(2) \quad \sum_{n=1}^{\infty} d_n = d_1 + d_2 + d_3 + \dots + d_n + \dots$$

be an infinite series and let $\{k_n\}$ be an ascending sequence of positive integers; then the series

$$(3) \quad \sum_{n=1}^{\infty} d_{k_n} = d_{k_1} + d_{k_2} + \dots + d_{k_n} + \dots$$

is called a subseries of the series (2).

Let each number of the interval $(0, 1]$ be expressed in the scale 2 with infinitely many digits equal to 1.

Hence, if $x \in (0, 1]$, then

$$(4) \quad x = \sum_{k=1}^{\infty} \frac{\varepsilon_k(x)}{2^k} = \frac{\varepsilon_1(x)}{2} + \frac{\varepsilon_2(x)}{2^2} + \dots$$

where $\varepsilon_k(x) = 0$ or 1, and $\varepsilon_k(x) = 1$, for infinitely many k .

We have correspondingly an infinite series

$$(5) \quad (x) = \sum_{k=1}^{\infty} \varepsilon_k(x) d_k,$$

which is a subseries of (2).

Also every subseries (3) of the series (2) can be obtained from (5), [by putting $\varepsilon_{k_n}(x) = 1$, $n = 1, 2, \dots$ and $\varepsilon_k(x) = 0$ when $k \neq k_n$, $n = 1, 2, \dots$].

Hence all subseries of (2) can be mapped onto $(0, 1]$. We say that certain property P is valid for almost all subseries of (2), if the corresponding set $\{x\}$, $x \in (0, 1]$, has the Lebesgue measure 1. For instance, we know that almost all subseries of a divergent series are divergent. [See [8]].

Let (5) be a subseries of the series (2), and let $p(n, x) = \sum_{k=1}^n \varepsilon_k(x)$. Then the numbers

$$p_1(x) = \liminf_{n \rightarrow \infty} \frac{p(n, x)}{n}, \quad p_2(x) = \limsup_{n \rightarrow \infty} \frac{p(n, x)}{n}$$

are called lower and upper asymptotic density respectively of the subseries (5) in the series (2).

If the limit $p(x) = \lim_{n \rightarrow \infty} (p(n, x)/n)$ ($= \underline{\lim} (p(n, x)/n) = \overline{\lim} (p(n, x)/n)$) exists, then we call this number asymptotic density of (5) in (2). Obviously $p_1(x), p_2(x), p(x) \in [0, 1]$ [See [12]].

Theorem 1. For almost all points $(x) = \sum_{k=1}^{\infty} (2\varepsilon_k(x)/3^k) = \sum_{k=1}^{\infty} (c_k(x)/3^k)$ of the Cantor set C , each of the digits 0, 2 has the frequency $\frac{1}{2}$.

[That is almost all points of C have nearly equal number of twos and zeros in the first n digits, where n is sufficiently large and each point is expressed in the ternary scale.]

Proof. We know the Theorem that almost all numbers are simply normal in any given scale g [See [9]].

It follows that almost all numbers of $(0, 1]$ are simply normal in the scale 2 (i.e. $g = 2$).

That is, if $x = \sum_{k=1}^{\infty} (\varepsilon_k(x)/2^k) \in (0, 1]$, $\varepsilon_k(x) = 0$ or 1 and $\varepsilon_k(x) = 1$, for infinitely many k and if the digit 1 (or 0), (i.e. $b = 1$ or 0), occurs n_b times among the first n numbers $\varepsilon_1(x), \varepsilon_2(x), \dots, \varepsilon_n(x)$, then

$$(6) \quad \lim_{n \rightarrow \infty} \frac{n_b}{n} = \frac{1}{2}, \quad \text{for almost all } x \in (0, 1].$$

Now consider the Cantor series $2/3 + 2/3^2 + \dots + 2/3^n + \dots$ we form the Cantor point

$$x = \sum_{k=1}^{\infty} \frac{2\varepsilon_k(x)}{3^k}, \text{ corresponding to } x = \sum_{k=1}^{\infty} \frac{\varepsilon_k(x)}{2^k}.$$

It follows from (6) that the digit 2 (and also 0) has the frequency $\frac{1}{3}$ in the expression for (x) , for almost all $(x) \in C$.

Hence the theorem.

Note 1. For any Cantor point $x = \cdot\delta 1 = \cdot\delta 022 \dots$ (Scale 3), (which is the left hand end point of an interval complementary to the Cantor set C , and δ is a finite complex of 0's and 2's), we have

$$\lim_{n \rightarrow \infty} \frac{n_2}{n} = 1, \quad \lim_{n \rightarrow \infty} \frac{n_0}{n} = 0$$

(n_b is the number of b 's in the first n digits of $\cdot\delta 1$, $b = 2, 0$). For the Cantor point $x = \cdot\delta$, which is the right hand end point of a contiguous interval, $\lim_{n \rightarrow \infty} (n_2/n) = 0$ and $\lim_{n \rightarrow \infty} (n_0/n) = 1$.

Note 2. If we represent the numbers in $(0, 1]$ in the ternary scale as

$$x = \frac{c_1(x)}{3} + \frac{c_2(x)}{3^2} + \dots + \frac{c_k(x)}{3^k} + \dots, \text{ where } c_i(x) = 0, 1, 2$$

and $N_n(r, x)$ as the number of $c_k(x)$ in the first n terms, each having the integral value $r (= 0, 1, 2)$, then we know that $\lim_{n \rightarrow \infty} (N_n(r, x)/n) = \frac{1}{3}$, for almost all x in $(0, 1]$, [9].

If we denote this set of simply normal numbers (of measure 1) by N_3 , then we know that the set N_3 is of First Category [See [13]].

Also, if we denote the derived set of the sequence

$$\frac{N_1(r, x)}{1}, \frac{N_2(r, x)}{2}, \dots, \frac{N_n(r, x)}{n}, \dots \equiv \left\{ \frac{N_n(r, x)}{n} \right\}$$

by $\{N_n(r, x)/n\}'_n$, it has been shown by TIBOR ŠALÁT [13] that, for all $x \in (0, 1]$, except for a set of the first Category (F.C.), [including N_3]

$$\left\{ \frac{N_n(r, x)}{n} \right\}'_n = [0, 1], \text{ for each } r (= 0, 1, 2).$$

If we now consider the perfect set C (the Cantor set) instead of the whole interval

$[0, 1]$, where each point (in the scale 3) x is given as $x = \sum_{k=1}^{\infty} (2\varepsilon_k(x)/3^k)$, $\varepsilon_k(x) = 0, 1$, we have seen above in Theorem 1 that

$$\lim_{n \rightarrow \infty} \frac{N_n(r, x)}{n} = \frac{1}{2},$$

for each r ($= 0$ or 2) for almost all $x \in C$.

We can, therefore, say that ‘Almost all numbers belonging to Cantor set C are simply normal’ (with respect to C). We denote the set of such numbers by $N_{3,2}$. (It should be noticed that none of Cantor points can be simply normal with reference to the whole interval $[0, 1]$ and the scale 3, as none of the Cantor points contain the digit 1, as $x = 1/3 = 0/3 + 2/3^2 + 2/3^3 + \dots$, and so on.)

The question now arises, whether the other two properties mentioned above hold good for the Cantor set as well: That is

(i) Is the set $N_{3,2}$ (= the set of simply normal numbers of Cantor set C , as defined above) of first category with respect to C ?

(ii) Is it true that except for a set of first category (with respect to C) including $N_{3,2}$, for other points $x \in C$, which form a residual set (with respect to C),

$$\left\{ \frac{N_n(r, x)}{n} \right\}'_n = [0, 1] \quad \text{for } r = 0, 2?$$

Since C is mapped onto $[0, 1]$, that the answers to both the above questions are in the affirmative may be conjectured from Tibor Šalát's Theorem [13]:

For all $x \in (0, 1]$,

$$\left[x = \sum_{k=1}^{\infty} \frac{\varepsilon_k(x)}{2^k}, \quad \varepsilon_k(x) = 0, 1 \right] \left\{ \frac{N_n(r, x)}{n} \right\}'_n = [0, 1],$$

with the exception of a set of the first category, for each r ($= 0, 1$).

We give below a formal theorem:

Theorem 2. For all $x \in C$, with the exception of points of a set of the first category in C

$$\left\{ \frac{N_n(r, x)}{n} \right\}'_n = [0, 1], \quad (r = 0, 2)$$

holds.

Proof. The proof of this theorem follows as a Corollary to the following theorem of P. KOSTYRKO [10]:

Let

$$a_n > 0, \quad A = \sum_{n=1}^{\infty} a_n < +\infty, \quad a_n > R_n = \sum_{k=1}^{\infty} a_{n+k}, \quad (n = 1, 2, \dots).$$

Let W denote the set of all numbers x of the form $x = \sum_{n=1}^{\infty} \varepsilon_n a_n$, where $\varepsilon_n = 1$ or -1 ($n = 1, 2, \dots$). Let $f(n, x)$ denote the number of k 's, $k \leq n$, for which $\varepsilon_k = 1$. Then for all $x \in W$ with the exception of points of a set of the first category we have,

$$\left\{ \frac{f(n, x)}{n} \right\}' = [0, 1].$$

If we now put $a_n = 1/3^n$ ($n = 1, 2, \dots$), the conditions $a_n > 0$, $A = \sum a_n$ and $a_n > R_n (= 1/2 \cdot 3^n)$ are all satisfied. In view of the fact that the Cantor set C is obtained by a translation of W ($C = W + A = W + 1/2$, since $A = \sum (1/3^n) = 1/2$), the above theorem follows from P. Kostyrko's result [10].

Theorem 3. *Almost all points of the Cantor set C have each an asymptotic density $\frac{1}{2}$ in the Cantor series*

$$\frac{2}{3} + \frac{2}{3^2} + \dots + \frac{2}{3^k} + \dots$$

Proof. Let x be a point of $(0, 1]$ given by

$$(A) \quad x = \sum_{k=1}^{\infty} \frac{\varepsilon_k(x)}{2^k}$$

where $\varepsilon_k(x) = 0$ or 1 and $\varepsilon_k(x) = 1$, for infinitely many k 's.

We have correspondingly the Cantor point

$$(B) \quad (x) = \frac{2\varepsilon_1(x)}{3} + \frac{2\varepsilon_2(x)}{3^2} + \dots + \frac{2\varepsilon_k(x)}{3^k} + \dots$$

which is a subseries of $\sum_{k=1}^{\infty} (2/3^k)$.

Now, number of twos in the first n terms of (B) in the right hand side is the same as

$$\sum_{k=1}^n \varepsilon_k(x) = p(n, x) = n_b, \quad (b = 1).$$

Hence

$$\lim_{n \rightarrow \infty} \frac{p(n, x)}{n} = \lim_{n \rightarrow \infty} \frac{n_b}{n}.$$

Since by Theorem 148 page 125 [9], $\lim_{n \rightarrow \infty} (n_b/n) = \frac{1}{2}$ ($b = 1, 0$), for almost all $x \in (0, 1]$, it follows that $\lim_{n \rightarrow \infty} (p(n, x)/n) = \frac{1}{2}$, for almost all $(x) \in \mathbf{C}$. Hence the theorem.

We know from Randolph's Theorem [11] that every point $\in [0, 1]$ lies midway between a pair of Cantor points. BOSE MAJUMDER [See [6]] gave an alternative proof of this theorem. He further showed that almost all points of $[0, 1]$ are each midway between a continuum number of pairs of Cantor points [6].

We now prove the following

Theorem 4. *Each point λ of $(0, 1)$ is the midpoint of a unique pair of Cantor points if and only if λ itself is a Cantor point.*

Proof. It has already been seen [6] that, taking

$$0 \leq \frac{1}{2}(d + 1) = \lambda = \sum_{i=1}^{\infty} \frac{\delta_i}{3^i}, \quad \delta_i = \begin{cases} 0 \\ 1 \\ 2 \end{cases}, \quad \text{if } d \in [-1, 1],$$

we get

$$\frac{d}{2} = \lambda - \frac{1}{2} = \sum_{i=1}^{\infty} \frac{v_i}{3^i}, \quad v_i = \begin{cases} -1 \\ 0 \\ 1 \end{cases}.$$

Generally this representation is unique. But if $\frac{1}{2}d$ (and hence $\lambda - \frac{1}{2}$) has more than one such representation, then there are only two such representations and $\frac{1}{2}d$ (and hence $\lambda - \frac{1}{2}$) is given by,

$$\frac{d}{2} = \begin{cases} \cdot v_1 v_2 \dots v_{k-1} (-1) 111 \dots \\ \cdot v_1 v_2 \dots v_{k-1} (0) (-1) (-1) (-1) \dots \end{cases}$$

or else by

$$\frac{d}{2} = \begin{cases} \cdot v_1 v_2 \dots v_{k-1} (0) (1) (1) (1) \dots \\ \cdot v_1 v_2 \dots v_{k-1} (1) (-1) (-1) (-1) \dots \end{cases}, \quad v_i = \begin{cases} -1 \\ 0 \\ 1 \end{cases}.$$

Now since

$$d = \sum_{i=1}^{\infty} \frac{2v_i}{3^i} = \sum_{i=1}^{\infty} \frac{2(\beta_i - \alpha_i)}{3^i} = \sum_{i=1}^{\infty} \frac{2\beta_i}{3^i} - \sum_{i=1}^{\infty} \frac{2\alpha_i}{3^i} = y - x,$$

where

$$y \in \mathbf{C}, \quad x \in \mathbf{C}.$$

By choosing

$$\begin{aligned} \alpha_i &= 1, \quad \beta_i = 0 & \text{if } v_i &= -1 \\ \alpha_i &= 0, \quad \beta_i = 1 & \text{if } v_i &= 1 \end{aligned}$$

and either

$$\begin{cases} \alpha_1 = 0 \\ \beta_1 = 0 \end{cases} \quad \text{or} \quad \begin{cases} \alpha_i = 1 \\ \beta_i = 1 \end{cases} \quad \text{if } v_i = 0.$$

Hence $d = \sum_{i=1}^{\infty} (2v_i/3^i)$ is uniquely representable as $d = y - x$, $y \in C$, $x \in C$, if and only if no v_i is a zero, i.e. if and only if no δ_i is an 1, that is if and only if $\lambda = (d + 1)/2$ is a Cantor point. And in this case $y - x = d$ or $y - x = 2\lambda - 1$ or $2\lambda = y + (1 - x)$ or $2\lambda = y + x'$ where $y \in C$, $x' \in C$ (as the Cantor set C is symmetrical).

Hence the theorem.

Corollary. *Each Cantor point is the arithmetic mean of a unique pair of Cantor points.*

We know that the set N_3 of simply normal numbers in $[0, 1]$ in the scale 3 has the measure 1 [9] and also the set T_c of numbers $d \in [0, 1]$, each being the difference of continuum number c of pairs of elements of the Cantor set C has the measure 1 [See BOAS [1] and BOSE MAJUMDER [5]].

Hence the set $E = N_3 \cap T_c$ is also of measure 1 [See BOSE MAJUMDER and DAS GUPTA [7]]. We thus have the theorem:

Theorem 5. *Excepting possibly for a set of measure zero, every point in $[0, 1]$ which is expressible as the difference of a pair of Cantor points in continuum number of ways is necessarily a simply normal number in the scale 3 and vice versa.*

Note 1. That the two sets are not identical can be seen from the fact that there exists $d \in [0, 1]$ which belongs to T_c but does not belong to N_3 . For instance, let $d = \cdot\delta$ (scale 3), where δ is a complex containing a finite number of zeros and twos and thus ending with a 2. This represents the right hand end point of a contiguous interval of the Cantor set C . As this representation of d does not contain any 1, it follows that this can not be a simply normal number. But it is known that [See [2], [3]] this d can be expressed as the difference of a pair of Cantor points in continuum number of ways. Hence $\cdot\delta \in T_c$, but $\cdot\delta \notin N_3$.

Note 2. Though T_c and N_3 are each of measure 1, it is interesting to note that T_c is a residual set [See [4]], but N_3 is a set of the first category [See [13]].

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