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ALTERNATING CONNECTIVITY OF TOURNAMENTS

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This paper continues to investigate the concepts introduced in [2] in the case of tournaments. A tournament is a digraph in which any two different vertices u, v are joined exactly by one directed edge (either uv, or vu) and no loops exist. The concepts of (+-)-path, (-+)-path, (+-)-connectivity, (-+)-connectivity and alternating connectivity were defined in [2].

Theorem 1. Let a tournament T with the vertex set V have a source u and no sink. Then T is (+-)-connected, but not (-+)-connected. The equivalence classes of the relation of being (-+)-connected are $\{u\}$ and $V \div \{u\}$.

Remark. A tournament can have at most one source and at most one sink.

Proof. Let v, w be two vertices of T. As T has no sink, there exist vertices v', w'so that $\overline{vv'}, \overline{ww'}$ are edges of T. As u is a source, there exist edges $\overline{uv'}, \overline{uw'}$. Thus $P = [v, \overline{vv'}, v', \overline{v'u}, u, \overline{uw'}, w', \overline{w'w}, w]$ is a (+-)-path between v and w. As the vertices v, w were chosen arbitrarily, the tournament T is (+-) connected. The source uforms an equivalence class of the relation of being (-+)-connected, because it cannot be joined by a (-+)-path with any other vertex; the first edge of such a path would be incoming into u which is impossible. If v, w are two vertices of T both different from u, then there exist edges $\overline{uv}, \overline{uw}$ and $P' = [v, \overline{vu}, u, \overline{uw}, w]$ is a (-+)path between v and w. Thus $V - \{u\}$ is an equivalence class of the relation of being (-+)-connected.

Theorem 1'. Let a tournament T with the vertex set V have a sink u and no source. Then T is (-+)-connected, but not (+-)-connected. The equivalence classes of the relation of being (+-)-connected are $\{u\}$ and $V - \{u\}$.

Proof is dual to that of Theorem 1.

Theorem 2. Let a tournament T with the vertex set V have a source u and a sink v. Then the equivalence classes of the relation of being (+-)-connected are $\{v\}$ and $V - \{v\}$ and the equivalence classes of the relation of being (-+)-connected are $\{u\}$ and $V - \{u\}$.

Proof is analogous to the proof of Theorem 1.

Theorem 3. Let T be a tournament without a sink which is not strongly connected. Then T is (+-)-connected.

Proof. The reduced graph R [1] of the tournament T is evidently an acyclic tournament. An acyclic tournament is evidently also transitive. Thus the vertices of R, i.e. the quasicomponents of T, are totally ordered so that for two quasicomponents Q_1, Q_2 we have $Q_1 \prec Q_2$ if and only if $Q_1 \neq Q_2$ and there exists and edge in T outgoing from a vertex of Q_1 and incoming into a vertex of Q_2 . (As T is a tournament, from any vertex of Q_1 an edge goes into any vertex of Q_2 .) Assume that there exists no greatest element in this ordering and consider two vertices u and v of T. Let Q_1 and Q_2 be the quasicomponent of T containing u and v respectively. There exists a quasicomponent Q_3 such that $Q_1 \prec Q_3$, $Q_2 \prec Q_3$. Choose a vertex w of Q_3 . There exist edges \overline{uw} , \overline{vw} and $P = [v, \overline{vw}, w, \overline{wu}, u]$ is a (+-)-path between u and v. Now assume that the above defined order has the greatest element; let this quasicomponent be Q_0 . Consider again two vertices u and v. If none of them is in Q_0 , the proof is the same as in the preceding case. Let u be in Q_0 and v in some $Q_1 \neq Q_0$. If Q_0 consists of a single vertex, this vertex is a sink; this is excluded by the assumption. Thus Q_0 is a strongly connected subtournament of T with more than one vertex; therefore there exists an edge \overline{uw} such that w is contained also in Q_0 . As $Q_1 \neq Q_0$, we have $Q_1 \prec Q_0$ and there exists also the edge \overrightarrow{vw} . Then $P = [u, \overrightarrow{uw}, w, \overrightarrow{wv}, v]$ is a (+-)-path between u and v. Now let both u and v be in Q_0 . As Q_0 is a strongly connected subtournament of T, there exist vertices w, x in Q_0 such that $\overline{uw}, \overline{vx}$ are edges of T. If w = x, the proof is finished. If $w \neq x$, we choose a vertex y not belonging to Q_0 . There exist edges \overline{yw} , \overline{yx} in T and $P = [u, \overline{uw}, w, \overline{wy}, y, \overline{yx}, x, \overline{xv}, v]$ is a (+-)-path between u and v.

Theorem 3'. Let T be a tournament without a source which is not strongly connected. Then T is (-+)-connected.

Proof is dual to that of Theorem 3.

Before presenting the last theorem we shall prove some lemmas.

Lemma 1. Let T be a tournament which is not acyclic. Then T contains at least one cycle of the length three.

Proof. As T is not acyclic, we may choose a cycle C_1 in it. If the length of C_1 is three, the proof is finished. Assume that this length is $l_1 > 3$. Let u_1, \ldots, u_{l_1} be the vertices of C_1 and $\overrightarrow{u_i u_{i+1}}$ for $i = 1, \ldots, l_1 - 1$ and $\overrightarrow{u_{l_1} u_1}$ be the edges of C_1 . Consider

the vertices u_1 and u_3 . As T is a tournament, it contains either the edge u_1u_3 , or the edge u_3u_1 . In the second case the vertices u_1, u_2, u_3 with the edges u_1u_2, u_2u_3, u_3u_1 form a cycle of the length three. In the first case there exists a cycle C_2 of the length $l_2 = l_1 - 1$ with the vertices $u_1, u_3, ..., u_{l_1}$. If $l_2 = 3$, the proof is finished; if not, we repeat the procedure with C_2 instead of C_1 . In this manner we proceed until we obtain a cycle of the length three, which occurs after at most $l_1 - 3$ steps.

Lemma 2. Let T be a tournament with the vertex set V without sources and sinks. Let $u \in V$ be such a vertex that $\{u\}, V \doteq \{u\}$ are equivalence classes of the relation of being (+-)-connected. Then the outdegree of u in T is 1 and the indegree of the vertex v such that \overline{uv} is in T is also 1. The equivalence classes of the relation of being (-+)-connected are $\{v\}, V \doteq \{v\}$.

Proof. The outdegree of u cannot be zero, because T does not contain sinks. Assume that there exist two vertices v_1, v_2 such that $v_1 \neq v_2$ and $\overrightarrow{uv_1}$ and $\overrightarrow{uv_2}$ are edges of T. As T is a tournament, the vertices v_1 and v_2 must be joined by an edge. Without any loss of generality let this edge be $\overline{v_1v_2}$. Let w be an arbitrary vertex of $V \doteq \{u\}$. As the set $V \doteq \{u\}$ is an equivalence class of the relation of being (+-)connected, the vertices v_1 and w are (+-)-connected. There exists a (+-)-path $P = [v_1, \ldots, w]$ between v_1 and w. The path $P_2 = [u, \overline{uv_2}, v_2, \overline{v_2v_1}, v_1, \ldots, w]$ is a (+-)-path between u and w and the vertices u and w are (+-)-connected, which is a contradiction with the assumption that $\{u\}$ and $V - \{u\}$ are the equivalence classes of the relation of being (+-)-connected. We have proved that the outdegree of u must be one. Let v be the terminal vertex of the unique edge outgoing from u. Assume that there exists a vertex $x \in V - \{u\}$ such that \overrightarrow{xv} is in T. Then $P_3 =$ = $[u, \overline{uv}, v, \overline{vx}, x]$ is a (+-)-path between u and x and x is (+-)-connected with u, which is again a contradiction. Thus also the indegree of v must be one. The vertex vis (-+)-connected with no vertex except itself, because any (-+)-path from v can only have the form $[v, \overline{vu}, u, \overline{uv}, v, \dots, v]$. Thus $\{v\}$ is an equivalence class of the relation of being (+-)-connected. Now let a, b be two vertices of $V - \{v\}$. As T is without sinks, there exist vertices a', b' of V such that $\overline{a'a}, \overline{b'b}$ are edges of T. If a' = u or b' = v, then according to the above proved a = v or b = v respectively, which was excluded. Thus $a' \in V - \{u\}$, $b' \in V - \{u\}$ and these two vertices are (+-)-connected. Let $P_4 = [a', ..., b']$ be a (+-)-path between a' and b'. Then $P_5 = [a, \overline{aa'}, a', \dots, b', \overline{b'b}, b]$ is a (-+)-path between a and b and these two vertices are (-+)-connected. As a, b were chosen arbitrarily from $V \doteq \{u\}$, this set is an equivalence class of the relation of being (-+)-connected in T.

Lemma 2'. Let T be a tournament with the vertex set V without sources and sinks. Let $v \in V$ be such a vertex that $\{v\}, V \rightarrow \{v\}$ are equivalence classes of the relation of being (-+)-connected. Then the indegree of u in T is 1 and the outdegree of the vertex u such that \overline{uv} is in T is also 1. The equivalence classes of the relation of being (+-)-connected are $\{u\}, V \rightarrow \{u\}$. **Lemma 3.** Let T be a tournament with the vertex set V with at least four vertices without sources and sinks. Let u, v be two of its vertices such that $\{u\}$, $V \div \{u\}$ are equivalence classes of the relation of being (+-)-connected and $\{v\}$, $V \div \{v\}$ are equivalence classes of the relation of being (-+)-connected in T. Let T_1 a be tournament obtained from T by adding a new vertex w and joining it by exactly one directed edge with any vertex of V so that w is neither a source nor a sink in T_1 . Then either T_1 is alternatingly connected or $\{u\}$, $(V \cup \{w\}) \div \{u\}$ are equivalence classes of the relation of being (+-)-connected and $\{v\}$, $(V \cup \{w\}) \div \{v\}$ are equivalence classes of the relation of being (-+)-connected in T_1 .

Proof. According to Lemmas 2 and 2' the outdegree of u and the indegree of vare equal to 1 and \overline{uv} is an edge of T. At first assume that \overline{wu} and \overline{vw} are edges of T_1 . Then the outdegree of u and the indegree of v also in T_1 are equal to one. Analogously as in the preceding lemmas we can prove that $\{u\}$ is an equivalence class of the relation of being (+-)-connected and $\{v\}$ is an equivalence class of the relation of being (-+)-connected also in T_1 . Any two vertices of $V - \{u\}$ remain (+-)-connected also in T_1 . Now let $x \in V \rightarrow \{u\}$. If $x \neq v$, then \overline{xu} is in T and also in T_1 . The path $P_1 = [x, \overline{xu}, u, \overline{uw}, w]$ is a (+-)-path in T_1 and therefore x and w are (+-)connected in T_1 . If x = r, then for any $x' \in V - \{u\}$ the edge xx' is in T. We have $x' \neq v$, thus $x' \in V \doteq \{u\}$. The vertex u is also in $V \doteq \{v\}$ and the edge wu is in T_1 . The vertices x' and u are therefore (-+)-connected and there exists a (-+)-path $P_2 = [x', ..., u]$ in T and also in T_1 . The path $P_3 = [v, vx', x', ..., u, uw, w]$ is a (+-)-path in T_1 and therefore v and w are (+-)-connected in T_1 . We have proved that $(V \cup \{w\}) \doteq \{u\}$ is an equivalence class of the relation of being (+-)-connected in T_1 . Dually we prove that $(V \cup \{w\}) \doteq \{v\}$ is an equivalence class of the relation of being (-+)-connected in T_1 . Now assume that \overline{uw} is an edge of T_1 . If \overline{vw} is also in T_1 , then $P_4 = [u, \overline{uw}, w, \overline{vw}, v]$ is a (+-)-path in T_1 and the vertices u, v are (+-)connected. Now let x be a vertex of V such that \overrightarrow{wx} is in T_1 ; such a vertex must exist because w is not a sink. We have $x \neq u$, $x \neq v$. The edge vx is also in T_1 , thus $P_5 =$ $= [w, \overline{wx}, x, \overline{xv}, v]$ is a (+-)-path in T_1 and the vertices v and w are also (+-)connected. As $V - \{u\}$ is an equivalence class of the relation of being (+-)-connected in T and the vertices u and w are both (+-)-connected with the vertex $v \in V \doteq \{u\}$, the set $V \cup \{w\}$ is an equivalence class of the relation of being (+-)connected in T_1 and the tournament T_1 is (+-)-connected. According to [2] it is also (-+)-connected and thus it is alternatingly connected. If \overline{wv} is in T_1 , the path $P_6 = [u, uv, v, vw, w]$ is a (+-)-path in T_1 and therefore u and w are (+-)-connected in T_1 . Let $x \in V \doteq \{u; v\}$; there exists the edge \overrightarrow{vx} . If \overrightarrow{wx} is in T_1 , then $P_7 =$ = [v, vx, x, xw, w] is a (+-)-path in T_1 between v and w and these vertices are (+-)-connected. If \overrightarrow{xw} is in T_1 , then $P_8 = [u, \overrightarrow{uw}, w, \overrightarrow{wx}, x]$ is a (+-)-path between u and x and these vertices are (+-)-connected. This means that either u or w is (+-)-connected with some vertex of $V \doteq \{u\}$. As $V \doteq \{u\}$ is an equivalence class of the relation of being (+-)-connected, we see that one of the vertices u, w is (+-)-

connected with all vertices of $V \div \{u\}$ and so is the other, because u and w are (+-)-connected. Thus the tournament T_1 is (+-)-connected and also alternatingly connected.

Lemma 4. Let T be an alternatingly connected tournament with the vertex set V. Let T_1 be a tournament obtained from T by adding a new vertex w and joining it by exactly one directed edge with any vertex of V so that w is neither a source nor a sink in T_1 . Then T_1 is also alternatingly connected.

Proof. It suffices to prove that w is (+-)-connected in T_1 with an arbitrary vertex u of T. Both u and w are not sinks; thus there exist vertices u', w' in V such that $\overrightarrow{uu'}, \overrightarrow{ww'}$ are edges of T_1 . The vertices u' and w' are (-+)-connected in T and also in T_1 . Thus there exists a path $P_1 = [u', ..., w']$. The path $P_2 = [u, \overrightarrow{uu'}, u', ...$..., w', $\overrightarrow{w'w}, w]$ is a (+-)-path between u and w in T_1 .

Lemma 5. Let $\{T_i\}_{i < a}$ be a transfinite sequence of alternatingly connected tournaments of the limit ordinal number α such that for $\iota < \varkappa < \alpha$ the tournament T_i is a proper subtournament of T_{α} . Then the tournament $T_{\alpha} = \bigcup_{\iota < \alpha} T_{\iota}$ is alternatingly connected.

Proof. Let u, v be two vertices of T_{α} . According to the definition there exist ordinal numbers ι, \varkappa less than α such that u is in T_{ι} and v is in T_{\varkappa} . Let $\lambda = \max(\iota, \varkappa)$. The vertices u, v are both contained in T_{λ} and are (+-)-connected in it. Therefore they are (+-)-connected also in T_{α} whose subtournament T_{λ} is.

Lemma 6. Let $\{T_i\}_{i < \alpha}$ be a transfinite sequence of tournaments without sources and sinks of the limit ordinal number α such that for $i < \varkappa < \alpha$ the tournament T_i is a proper subtournament of T_{\varkappa} . Let u, v be such vertices of T_0 that for any $i < \alpha$ the equivalence classes of the relation of being (+-)-connected in T_i are $\{u\}$, $V_i \doteq \{u\}$ and the equivalence classes of the relation of being (-+)-connected in T_i are $\{v\}, V_i \doteq \{v\}$ where V_i is the vertex set of T_i . Then in the tournament $T_{\alpha} = \bigcup_{i < \alpha} T_i$ the equivalence classes of the relation of being (+-)-connected are $\{u\}, V_{\alpha} \doteq \{u\}$ and the equivalence classes of the relation of being (-+)-connected are $\{v\}, V_{\alpha} \doteq \{v\}$ where V_{α} is the vertex set of T_{α} .

Proof. If x, y are two vertices of $V_{\alpha} - \{u\}$, we prove analogously to the proof of Lemma 5 that they are (+-)-connected. Now assume that u and some vertex $x \in V_{\alpha}$ are (+-)-connected in T_{α} . There exists a (+-)-path P between u and x in T_{α} . Let V(P) be the set of vertices of P and for a given $y \in V_{\alpha}$ let $\beta(y)$ be the least ordinal number such that $y \in V_{\beta(y)}$; such a number must exist because of the well-ordering of the set of ordinal numbers less than α . Let $\beta(P) = \max_{y \in V(P)} \beta(y)$. As V(P) is a finite set, this maximum exists. The path P is contained in $T_{\beta(P)}$ and therefore $T_{\beta(P)}$ is (+-)connected, which is a contradiction. The rest of the assertion can be proved dually. **Theorem 4.** Let T be a tournament with three vertices. Then only two cases can occur:

- (1) T is a cycle of the length 3 (Fig. 1a). Then any equivalence class of the relation of being (+-)-connected, as well as of the relation of being (-+)-connected, consists only of one vertex.
- (2) T is acyclic (Fig. 1b). Then if u, v, w are vertices of T and $u \prec v \prec w$, then the equivalence classes of the relation of being (+-)-connected are $\{u\}, \{v, w\}$ and the equivalence classes of the relation of being (-+)-connected are $\{u, v\}, \{w\}$.

The assertion is evident.



Theorem 5. Let T be a strongly connected tournament with at least four vertices Then either T is alternatingly connected, or there exist two vertices u, v in T such that the equivalence classes of the relation of being (+-)-connected are $\{u\}$, $V \div \{u\}$ and the equivalence classes of the relation of being (-+)-connected are $\{v\}, V \div \{v\}$ where V is the vertex set of T.

Proof. We shall carry out the proof by the method of transfinite induction. At first we shall investigate tournaments with four vertices. Let T be such a tournament. If a tournament is strongly connected, it is not acyclic. Therefore according to Lemma 1 it contains a cycle of the length 3. Consider the vertex of T not belonging to this cycle. It is neither a source nor a sink, because of the strong connectivity of T. Thus either its indegree is 1 and its outdegree is 2, or its indegree is 2 and its outdegree is 1. We see that in both these cases we obtain a tournament isomorphic to the tournament on Fig. 2. In this tournament the equivalence classes of the relation of being (+-)-connected are $\{u\}, V \doteq \{u\}$ and the equivalence classes of the relation of being (-+)-connected are $\{v\}, V \doteq \{v\}$ which can be easily verified. Now let T be a strongly connected tournament with more than four vertices. It contains a cycle C of the length three; let a, b, c be its vertices, $\vec{ab}, \vec{bc}, \vec{ca}$ its edges. If C does not belong to any subgraph of T isomorphic to the graph on Fig. 2, then for any vertex x of T not belonging to C either the edges $\overrightarrow{ax}, \overrightarrow{bx}, \overrightarrow{cx}$ or the edges $\overrightarrow{xa}, \overrightarrow{xb}, \overrightarrow{xc}$ exist. If for each vertex x not belonging to C the edges $\overrightarrow{ax}, \overrightarrow{bx}, \overrightarrow{cx}$ exist, the circuit C is a quasicomponent of T, which is a contradiction with the assumption that T is strongly connected. The same holds if for each vertex x not belonging to C the edges $\overrightarrow{xa}, \overrightarrow{xb}, \overrightarrow{xc}$



Fig. 2.

exist. Therefore, if X is the set of all vertices x of T not belonging to C such that the edges $\overrightarrow{ax}, \overrightarrow{bx}, \overrightarrow{cx}$ exist and Y is the set of all vertices y of T not belonging to C such that the edges $\overrightarrow{ya}, \overrightarrow{yb}, \overrightarrow{yc}$ exist, then both X and Y are non-empty. As T is strongly connected, there exists at least one $x \in X$ and $y \in Y$ such that \overrightarrow{xy} is in T. Thus a, x, y form a cycle in T and the edges $\overrightarrow{ab}, \overrightarrow{bx}, \overrightarrow{yb}$ exist. The subgraph of T induced by the vertices a, b, x, y is isomorphic to the graph on Fig. 2. We have proved that such a graph is a subgraph of every strongly connected tournament with more than four vertices. Thus we use the transfinite induction according to the number of vertices; this proof follows from Lemmas 3, 4, 5, 6. Obviously if we consider infinite tournaments, the Axiom of Choice is used.

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