Marek Wójtowicz The lattice copies of  $\ell_1$  in Banach lattices

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MAREK WÓJTOWICZ

Abstract. It is known that a Banach lattice with order continuous norm contains a copy of  $\ell_1$  if and only if it contains a lattice copy of  $\ell_1$ . The purpose of this note is to present a more direct proof of this useful fact, which extends a similar theorem due to R.C. James for Banach spaces with unconditional bases, and complements the  $c_0$ - and  $\ell_{\infty}$ -cases considered by Lozanovskii, Mekler and Meyer-Nieberg.

Keywords: Banach lattice, order continuous norm, embedding of  $\ell_1$ Classification: 46B42, 46B45

## 1. Introduction

This note deals with the known result below a proof of which can be obtained from several dispersed (but fundamental) theorems (see e.g. [12, Propositions 2.5.13 and 2.5.15]; or [16, Theorems 1.5, 3.2, 4.1, 4.6 and 4.7]); we present a more direct approach depending on the notion of a weakly compactly generated Banach space.

**Theorem.** Let E be a Banach lattice with an order continuous norm. Then the following two conditions are equivalent.

- (i)  $\ell_1$  is embeddable in E.
- (ii)  $\ell_1$  is lattice embeddable in E.

Let us recall that a Banach lattice G is [lattice] embeddable in a Banach lattice E provided that there exists a topological [and lattice] isomorphism from G into E. It is easily seen that  $G = \ell_p$   $(1 \le p < \infty)$  is [lattice] embeddable in E if and only if E has a normalized [and pairwise disjoint] sequence equivalent to the standard basis of  $\ell_p$  (see [3, p. 217]).

The Theorem extends a similar result due to R.C. James (see e.g. [9, Theorem 1.c.9]):

**Proposition 1.** If X is a Banach space with an unconditional Schauder basis  $(x_n)$ , then  $\ell_1$  is embeddable in X iff there is a normalized block basic sequence  $(y_n)$  of  $(x_n)$  equivalent to the unit vector basis of  $\ell_1$  iff every normalized block basic sequence  $(y_n)$  of  $(x_n)$  (and hence,  $(x_n)$  also) weakly tends to 0.

As is known ([10, p. 2 and Proposition 1.a.7]), every Banach space with an unconditional Schauder basis, endowed with the coordinatewise ordering, can be viewed as a Banach lattice with order continuous norm. Thus, the second condition in Proposition 1 simply means that  $\ell_1$  is lattice embeddable in X. The Theorem complements also the now classical  $c_0$ - and  $\ell_{\infty}$ -cases obtained by Lozanovskii, Mekler, and Meyer-Nieberg ([3, Theorems 14.9 and 14.12]; cf. [6], [15], [17]).

Combining the Theorem with Proposition 2.3.11 of [12] asserting that every lattice copy in a Banach lattice is complementable in it we obtain immediately the following

**Corollary 1.** If a Banach lattice E has order continuous norm then E contains a copy of  $\ell_1$  if and only if E contains a complemented copy of  $\ell_1$ .

The connections between the lattice embeddability of  $\ell_1$  into a Banach lattice E and the topological properties of its unit ball  $B_E$  provided E has order continuous norm are well described in the monograph [12] (see Theorem 2.4.14, Corollary 2.5.10, Propositions 2.5.13, and 2.5.15). Here we present yet another consequence of the Theorem. Recall ([9, p. 8]) that a Schauder basis  $(x_n)$  of a Banach space X is called *shrinking* if it fulfills the third equivalent condition in Proposition 1. For our purposes we generalize this notion by saying that the unit ball  $B_E$  of a Banach lattice E is shrinking if every pairwise disjoint sequence  $(x_n)$ in  $B_E$  weakly tends to 0. Since property (i) in the Theorem is invariant under linear homeomorphisms, and since every normalized and pairwise disjoint sequence in a Banach lattice E forms an unconditional Schauder basis, from Proposition 1 we obtain immediately:

**Corollary 2.** Let E and F be two Banach lattices with order continuous norms. If E and F are linearly homeomorphic, then the unit balls  $B_E$  and  $B_F$  are shrinking simultaneously.

In particular, if a Banach space X has two different partial orderings  $\leq_1$  and  $\leq_2$ , say, under which  $X_i := (X, \leq_i)$ , i = 1, 2 are Banach lattices, then the unit ball  $B_X$  is shrinking with respect to both the orderings.

The last corollary extends to the case of Banach lattices with order continuous norms the following known property which follows from Proposition 1: Let  $(x_n)$  and  $(y_n)$  be two unconditional Schauder bases of a Banach space X. Then the bases are shrinking simultanously. The construction of many nonequivalent unconditional Schauder bases in a given Banach space X having at least two nonequivalent unconditional bases is given in ([9, p. 118]; cf. the remark on p. 153 concerning Orlicz spaces).

**Remarks. 1.** It should be noted that the assumption of the Theorem regarding order continuity of the norm is essential. It is well known that a C(K)-lattice, with K infinite compact Hausdorff, has no order continuous norm ([16, Theorem 1.4]), and it is easy to check that every normalized and pairwise disjoint sequence in every infinitely dimensional C(K)-lattice spans a lattice copy of  $c_0$ . Therefore no C(K)-lattice can have a lattice copy of  $\ell_1$ , even if it possesses isometric copies of

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 $\ell_1$  (this is the case for K = [0, 1] or  $K = \beta \mathbb{N}$ ). One should also mention the paper by I. Polyrakis [14] in which he proves that C[0, 1] contains a *lattice-subspace* (i.e., a closed vector subspace which is a vector lattice with the ordering induced from C[0, 1]) that is order isomorphic to  $\ell_1$ .

2. The following more subtle example than the above one shows that the notion of the order continuity of the norm in the Theorem cannot be replaced by  $\sigma$ -order continuity (which means that any *sequence* which decreases to 0 necessarily norm converges to 0). Let  $E = \ell_{\infty}/c_0$ . It is known that the natural (=quotient) norm of E is  $\sigma$ -order continuous without being order continuous ([13, Example 8]; cf. [2, p. 406]). Moreover, since  $\ell_{\infty}$  contains a copy of  $\ell_1$  and  $c_0$  does not, from the result of Diestel (asserting that the noncontainment of a copy of  $\ell_1$  is a threespace property ([5, Lemma 8 and note]; cf. [4])) it follows that E contains a copy of  $\ell_1$ . On the other hand, E is order isometric to the Banach lattice  $C(\beta \mathbb{N} \setminus \mathbb{N})$ and therefore, by Remark 1,  $\ell_1$  cannot be lattice embeddable in E.

## 2. Proof of the Theorem

We start with a lemma in the proof of which the WCG-property plays an important role. Let us recall that a Banach space X is said to be weakly compactly generated (WCG) provided that there exists a weakly compact set V such that its linear span lin V is dense in X. If E is a linear lattice, then  $A_e$  [ $B_e$ , respectively] denotes the principal ideal [principal band, respectively] in E generated by an element  $e \in E$ . We have  $B_e = A_e^{dd}$ , where for a nonempty set  $C \subset E$  the symbol  $C^d$  denotes the band { $x \in E : |x| \land |c| = 0$  for all  $c \in C$ }. Note that  $A_e$  is always order dense in  $B_e$ . If  $E = e^{dd}$  then e is called a weak order unit of E.

**Lemma 1.** Let *E* be a Dedekind  $\sigma$ -complete Banach lattice. If *E* has a weak order unit, then the following two conditions are equivalent.

- (i)  $\ell_{\infty}$  is lattice embeddable in E.
- (ii) E has  $\ell_{\infty}$  as a quotient.

PROOF: (i)  $\Rightarrow$  (ii) Since in every Banach space X a closed copy of  $\ell_{\infty}$  is complemented in X ([9, p. 105]).

(ii)  $\Rightarrow$  (i) By the result of Lozanovskii, Mekler and Meyer-Nieberg (see e.g. [3, Theorem 14.9]), we have to show that the norm of E is not order continuous. To this end, notice that if (ii) holds then E cannot be a WCG-space (since the WCG-property is inherited by quotients ([8, Proposition 2.1]), and  $\ell_{\infty}$  is not a WCG-space ([12, Lemma 5.4.11)]). On the other hand, every Banach lattice H with order continuous norm and a weak order unit e, say, is generated by the weakly compact set [-e, e] ([12, Theorem 2.4.2(vi)]), since the principal ideal  $A_e = \bigcup_{n=1}^{\infty} n[-e, e]$  is norm dense in  $e^{dd} = H$  (see [12, Corollary 2.4.4(xiii)]). Consequently, from our assumptions it follows that the norm of E is not order continuous indeed.

We shall also use the following result obtained independently by Lozanovskii (announced in [11] and proved in [1, Theorem, p. 732]) and Kühn ([7]; cf. [12, Proposition 2.3.12]):

**Lemma 2.** Let *E* be a Banach lattice. Then *E* contains a sublattice order isomorphic to  $\ell_1$  if and only if  $E^*$  contains a sublattice order isomorphic to  $\ell_{\infty}$ .

PROOF OF THE THEOREM: (i)  $\Rightarrow$  (ii) Let  $(x_n)$  be a Schauder basic sequence in *E* which is equivalent to the unit vectors of  $\ell_1$ . Put

$$e := \sum_{n=1}^{\infty} \frac{1}{2^n} |x_n|,$$

and  $G = e^{dd}$ . Then G has both a weak order unit and order continuous norm. It follows that the Banach lattice  $F = G^*$  has a weak order unit also (see e.g. [12, Theorem 2.4.9(ii)]). Moreover, the lattice F is Dedekind  $\sigma$ -complete, and since  $\ell_1$  is evidently embeddable in G, the Banach space F has  $\ell_{\infty}$  as a quotient. Now Lemmas 1 and 2 imply that  $\ell_1$  is lattice embeddable in G, and hence in E also. (ii)  $\Rightarrow$  (i) Obvious.

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