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Mathematica Bohemica, Vol. 121 (1996), No. 2, 177-182

Persistent URL: http://dml.cz/dmlcz/126108

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121 (1996)

MATHEMATICA BOHEMICA

No. 2, 177-182

Σ-HAMILTONIAN AND Σ-REGULAR ALGEBRAIC STRUCTURES

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(Received March 23, 1995)

Summary. The concept of a Σ -closed subset was introduced in [1] for an algebraic structure $\mathscr{A} = (A, F, R)$ of type τ and a set Σ of open formulas of the first order language $L(\tau)$. The set $C_{\Sigma}(\mathscr{A})$ of all Σ -closed subsets of \mathscr{A} forms a complete lattice whose properties were investigated in [1] and [2]. An algebraic structure \mathscr{A} is called Σ -hamiltonian, if every non-empty Σ -closed subset of \mathscr{A} is a class (block) of some congruence on \mathscr{A} ; \mathscr{A} is called Σ -regular, if $\theta = \Phi$ for every two θ , $\Phi \in Con \mathscr{A}$ whenever they have a congruence class $B \in C_{\Sigma}(\mathscr{A})$ in common. This paper contains some results connected with Σ -regularity and Σ -hamiltonian property of algebraic structures.

Keywords: algebraic structure, closure system, Σ -closed subset, Σ -hamiltonian and Σ -regular algebraic structure, Σ -transferable congruence

AMS classification: 08A05, 04A05

The concept of an algebraic structure was introduced in [6] and [9]. A type of a structure is a pair $\tau = \langle \{n_i; i \in I\}, \{m_j; j \in J\} \rangle$, where n_i and m_j are non-negative integers. A structure \mathscr{A} of type τ is a triplet (A, F, R), where $A \neq \emptyset$ is a set and $F = \{f_i, i \in I\}, R = \{\varrho_j; j \in J\}$ are such that for each $i \in I, j \in J, f_i$ is an n_i -ary operation on A and ϱ_j is an m_j -ary relation on A. Denote by $L(\tau)$ a first order language containing operational and relational symbols of type τ , see [6] for some details. If $R = \emptyset$, the structure (A, F, \emptyset) is denoted by (A, F) and called an algebra. If $F = \emptyset$, the structure (A, \emptyset, R) is denoted by (A, R) and called a relational system. A relational system (A, R) is called binary if each $\varrho_j \in R$ is binary; moreover (A, R) is said to be antisymmetrical if each $\varrho_j \in R$ is an antisymmetrical relation.

Let us introduce the following concepts: for each $\gamma \in \Gamma$, where Γ is an index set, let $G_{\gamma}(x_1, \ldots, x_{k_{\gamma}}, y_1, \ldots, y_{s_{\gamma}}, z, p)$ be an open formula containing individual variables $x_1, \ldots, x_{k_{\gamma}}, y_1, \ldots, y_{s_{\gamma}}, z$ and a symbol p of an n_i -ary term of type τ ; for each $\lambda \in \Lambda$, where Λ is an index set such that $\Gamma \cap \Lambda = \emptyset$, let $G_{\lambda}(x_i, \ldots, x_{k_{\lambda}}, y_1, \ldots, y_{s_{\lambda}}, z, e_j)$ be an open formula containing individual variables $x_1, \ldots, x_{k_{\lambda}}, y_1, \ldots, y_{s_{\lambda}}, z$ and a

symbol ϱ_j of an m_j -ary relation. Put $\Sigma = \{G_\gamma; \gamma \in \Gamma\} \cup \{G_\lambda; \lambda \in \Lambda\}$. The set $\Sigma = \{G_\gamma, \gamma \in \Gamma\} \cup \{G_\lambda, \lambda \in \Lambda\}$ of formulas of a language $L(\tau)$ is called *limited* if there exists a non-negative integer n, such that $n = \max(\{k_\gamma, \gamma \in \Gamma\} \cup \{k_\lambda, \lambda \in \Lambda\})$.

Let $\mathscr{A} = (A, F, R)$ be a structure of type τ and let $B \subseteq A$.

Definition 1. A subset B of A is said to be Σ -closed if for each $\gamma \in \Gamma$, $\lambda \in \Lambda$ and every $b_1, \ldots, b_{k_{\gamma}}, b'_1, \ldots, b'_{k_{\lambda}} \in B$, $a_1, \ldots, a_{s_{\gamma}}, a'_1, \ldots, a'_{s_{\lambda}}, c, c' \in A$, if $G_{\gamma}(b_1, \ldots, b_{k_{\gamma}}, a_1, \ldots, a_{s_{\gamma}}, c, p)$ is satisfied in \mathscr{A} then $c \in B$ and if $G_{\lambda}(b'_1, \ldots, b'_{k_{\lambda}}, a'_1, \ldots, a'_{s_{\lambda}}, c', \varrho_j)$ is satisfied in \mathscr{A} then $c' \in B$.

Denote by $C_{\Sigma}(\mathscr{A})$ the set of all Σ -closed subsets of \mathscr{A} .

Since the concept of Σ -closed subsets is defined by the set of universal formulas, $B = \cap \{B_{\delta}; \delta \in \Delta\}$ is also a Σ -closed subset of \mathscr{A} , provided B_{δ} has this property for each $\delta \in \Delta$. Thus we have

Lemma 1. Let $\mathscr{A} = (A, F, R)$ be a structure of type τ and let Σ be a set of open formulas of the language $L(\tau)$. Then the set $C_{\Sigma}(\mathscr{A})$ of all Σ -closed subsets of \mathscr{A} forms a complete lattice with respect to set inclusion with the greatest element A.

Corollary 1. For any \mathscr{A} , Σ and $M \subseteq A$ there exists the least Σ -closed subset $C_{\mathscr{A}}(M)$ containing M.

If $M = \{a_1, \ldots, a_n\}$ then we will write briefly $C_{\mathscr{A}}(M) = C_{\mathscr{A}}(a_1, \ldots, a_n)$.

If the set Σ is implicitly known, we will use only the lattice $C_{\Sigma}(\mathscr{A})$ to specify the closure system; we will use the more familiar notation of $C_{\Sigma}(\mathscr{A})$ provided it was introduced before, see the following examples.

Examples.

(1) Let $\mathscr{A} = (A, \leqslant)$ be an ordered set. Put $\Gamma = \emptyset$, $\Lambda = \{1\}$, $k_1 = 2$, $s_1 = 0$ and $\Sigma = \{G_1\}$, where $G_1(x_1, x_2, z, \leqslant)$ is the formula $(x_1 \leqslant z \text{ and } z \leqslant x_2)$. Then the Σ -closed subsets of \mathscr{A} are just the convex subsets of (A, \leqslant) .

(2) Let $\mathscr{A} = (A, F)$ be an algebra, $F = \{f_i; i \in I\}$. Let $\Lambda = \emptyset$, $\Gamma = I$, $k_i = n_i$, $s_i = 0$ for $i \in I$. Put $\Sigma = \{G_i; i \in I\}$, where $G_i(x_i, \ldots, x_{n_i}, z, f_i)$ is the formula $(f_i(x_1, \ldots, x_{n_i}) = z)$. Then the Σ -closed subsets of \mathscr{A} are subalgebras of $\mathscr{A} = (A, F)$, and $C_{\Sigma}(\mathscr{A}) = \operatorname{Sub} \mathscr{A}$.

(3) Let $\mathscr{R} = (R, +, ., 0)$ be a ring, $\Lambda = \emptyset$, $\Gamma = \{1, 2, 3\}$, $k_1 = 2$, $k_2 = k_3 = 1$, $s_1 = 0$, $s_2 = s_3 = 1$ and $\Sigma = \{G_1, G_2, G_3\}$, where G_1 is a formula $(x_1 - x_2 = z)$, G_2 is the formula $(x_1 \cdot y_1 = z)$ and G_3 is the formula $(y_1 \cdot x_1 = z)$. Then the Σ -closed subsets of \mathscr{R} are ideals of \mathscr{R} and $C_{\Sigma}(\mathscr{R}) = \operatorname{Id} \mathscr{R}$, the lattice of all ideals of \mathscr{R} . Analogously we can introduce the left or right ideals of \mathscr{R} .

(4) Similarly, if $\mathscr{L} = (L, \lor, \land)$ is a lattice, $\Lambda = \emptyset$, $\Gamma = \{1, 2\}$, $k_1 = 2$, $k_2 = 1$, $s_1 = 0$, $s_2 = 1$, $\Sigma = \{G_1, G_2\}$, where G_1 is the formula $(x_1 \lor x_2 = z)$ and G_2 is the formula $(x_1 \land y_2 = z)$, then the Σ -closed subsets are lattice ideals, i.e. $C_{\Sigma}(\mathscr{L}) = \operatorname{Id} \mathscr{L}$.

(5) Let $\mathscr{L} = (L, \lor, \land)$ be a lattice, $\Gamma = \{1, 2\}, \Lambda = \{1'\}, k_1 = k_2 = k_{1'} = 2, s_1 = s_2 = s_{1'} = 0, \Sigma = \{G_1, G_2, G_{1'}\}$, where G_1 is the formula $(x_1 \lor x_2 = z), G_2$ the formula $(x_1 \land x_2 = z)$ and $G_{1'}$ is the formula $(x_1 \land z = x_1 \text{ and } x_2 \lor z = x_2)$. Then the Σ -closed subsets form the convex sublattices of \mathscr{L} .

(6) Let $\mathscr{G} = (G, ., ^{-1}, e)$ be a group, let p(x, y) be the term $p(x, y) = yxy^{-1}$ and $\Sigma = \{G_1, G_2, G_3, G_4\}$, where $G(x_1, x_2, z, .)$ is the formula $(x_1 \cdot x_2 = z), G_2(x_1, z, ^{-1})$ is the formula $(x_1^{-1} = z), G_3(z, e)$ is the formula (e = z) and $G_4(x_1, y_1, z, p)$ is the formula $(p(x_1, y_1) = z)$. Then $C_{\Sigma}(\mathscr{G})$ is the lattice of normal subgroups of \mathscr{G} . This lattice will be denoted by $N(\mathscr{G})$.

(7) Example (1) can be generalized as follows: For a binary relational system $\mathscr{A} = (A, R)$ with $R = \{\varrho_j; j \in J\}$ we call $C_{\Sigma}(\mathscr{A})$ the lattice of convex subsets if $\Sigma = \{G_j; j \in J\}$ and every $G_j(x_1, x_2, z)$ is the formula $(x_1\varrho_j z \text{ and } z\varrho_j x_2)$; we denote $C_{\Sigma}(\mathscr{A})$ by Conv \mathscr{A} .

(8) Example (5) can be generalized as follows: An algebraic structure $\mathscr{A} = (A, F, R)$ is called a binary algebraic structure if a relational system (A, R) is binary. Let \mathscr{A} be a binary algebraic structure, $\mathscr{A}_1 = (A, F)$, $\mathscr{A}_2 = (A, R)$, $\Sigma = \Sigma_1 \cup \Sigma_2$, where $\Sigma_1 = \{G_{\gamma}; \gamma \in \Gamma\}$ and $\Sigma_2 = \{G_{\lambda}; \lambda \in \Lambda\}$. The lattice $C_{\Sigma}(\mathscr{A})$ is called the lattice of convex subalgebras of \mathscr{A} if $C_{\Sigma_1}(\mathscr{A}_1) = \operatorname{Sub} \mathscr{A}_1$ and $C_{\Sigma_2}(\mathscr{A}_2) = \operatorname{Conv} \mathscr{A}_2$; $C_{\Sigma}(\mathscr{A})$ is denoted by $C \operatorname{Sub} \mathscr{A}$.

The concept of the Hamiltonian group is well-known in the group theory. A group is Hamiltonian if each of its subgroups is normal. This concept was generalized for algebras in [8]: an algebra \mathscr{A} is Hamiltonian if each of its subalgebras is a class of some congruence on \mathscr{A} . Hamiltonian algebras were characterized in [7].

An important concept of universal algebra is that of a regular algebra, i.e. an algebra \mathscr{A} such that any two congruences on \mathscr{A} coincide whenever they have a congruence class in common.

In this paper we generalize the concept of the Hamiltonian algebra by the concept of the Σ -hamiltonian algebraic structure and the concept of the regular algebra by the concept of the Σ -regular algebraic structure. Furthermore, we will formulate some conditions for Σ -regularity and Σ -hamiltonian property of the algebraic structures and we also show the relation between these concepts.

Definition 2. Let $\mathscr{A} = (A, F, R)$ be an algebraic structure of type τ and let Σ be a set of open formulas of the language $L(\tau)$. The structure \mathscr{A} is called Σ -hamiltonian if each non-empty Σ -closed subset of \mathscr{A} is a class of some congruence on \mathscr{A} .

Examples.

(9) If $\mathscr{G} = (G, ., ^{-1}, e)$ is an Abelian group and $C_{\Sigma}(\mathscr{G}) = \operatorname{Sub}\mathscr{G}$, then \mathscr{G} is a Σ -hamiltonian algebraic structure.

(10) If $\mathscr{G} = (G, ., ^{-1}, e)$ is a group and $C_{\Sigma}(\mathscr{A}) = N(\mathscr{G})$, then \mathscr{G} is a Σ -hamiltonian structure.

(11) Let $\mathscr{R} = (R, +, ., 0)$ be a ring and $C_{\Sigma}(\mathscr{R}) = \operatorname{Id} \mathscr{R}$. Then \mathscr{R} is Σ -hamiltonian. (12) Let $\mathscr{D} = (D, \lor, \land, 0)$ be a distributive lattice and $C_{\Sigma}(\mathscr{D}) = \operatorname{Id} \mathscr{D}$. Then \mathscr{D} is Σ -hamiltonian.

Theorem 1. Let $\mathscr{A} = (A, F, R)$ be an algebraic structure and $C_{\Sigma}(\mathscr{A})$ a set of its Σ -closed subsets. Then the following conditions are equivalent:

(1) \mathscr{A} is Σ -hamiltonian;

(2) for each (n + 1)-ary term q and for every a, b, $a_1, \ldots, a_n \in A$ we have $q(b, a_1, \ldots, a_n) \in C_{\mathscr{A}}(a, b, q(a, a_1, \ldots, a_n))$.

Proof. (1) \Rightarrow (2): Let \mathscr{A} be a Σ -hamiltonian structure, $B \in C_{\Sigma}(\mathscr{A})$ and let B be generated by elements $a, b, q(a, a_1, \ldots, a_n) \in A$, i.e. $B = C_{\mathscr{A}}(a, b, q(a, a_1, \ldots, a_n))$. Then B is a congruence class of some $\theta \in \text{Con }\mathscr{A}$, i.e. it is a class of congruence $\theta(B)$ which is generated by the relation $B \times B$. However, $a, b \in B$, then $\langle a, b \rangle \in \theta$, hence $\langle q(a, a_1, \ldots, a_n), q(b, a_1, \ldots, a_n) \rangle \in \theta$, i.e. $q(b, a_1, \ldots, a_n)$ and $q(a, a_1, \ldots, a_n)$ belong to the same class, thus $q(b, a_1, \ldots, a_n) \in B$.

 $(2) \Rightarrow (1)$: Let $B \in C_{\Sigma}(\mathscr{A})$ and suppose that (2) holds and B is not a class of any congruence $\theta \in \operatorname{Con} \mathscr{A}$. Then there exist $a, b \in B$ such that $q(a, a_1, \ldots, a_n) \in B$ but $q(b, a_1, \ldots, a_n) \notin B$ for some (n + 1)-ary term q and $a_1, \ldots, a_n \in A$. Thus $a, b, q(a, a_1, \ldots, a_n) \in B$ implies $C_{\mathscr{A}}(a, b, q(a, a_1, \ldots, a_n)) \subseteq B$, and $q(b, a_1, \ldots, a_n) \in C_{\mathscr{A}}(a, b, q(a, a_1, \ldots, a_n)) \subseteq B$ according to (2), a contradiction. Hence (2) implies (1).

Theorem 2. Let $\mathscr{A} = (A, F, R)$ be an algebraic structure and $0 \in A$. Let $C_{\Sigma}(\mathscr{A})$ be a system of Σ -closed subsets of \mathscr{A} such that $0 \in B$ for every $B \in C_{\Sigma}(\mathscr{A})$ and, furthermore, for every $a, b \in B$ there exists $d \in B$ such that $\theta(0, a) \vee \theta(0, b) = \theta(0, d)$. Then the condition

(*) $C_{\mathscr{A}}(a)$ is a class of the congruence $\theta(0, a)$ for each $a \in A$

implies that \mathscr{A} is Σ -hamiltonian.

Proof. Let $B \in C_{\Sigma}(\mathscr{A})$. Then $B = \vee \{C_{\mathscr{A}}(x); x \in B\}$ in the lattice $(C_{\Sigma}(\mathscr{A}), \subseteq)$. Put $\theta = \vee \{\theta(0, x); x \in B\}$ in the lattice $(\operatorname{Con} \mathscr{A}, \subseteq)$. Then:

(a) $\langle a, 0 \rangle \in \theta(0, a)$ and $\langle 0, b \rangle \in \theta(0, b)$ for every $a, b \in B$, hence $\langle a, b \rangle \in \theta(0, a) \cdot \theta(0, b) \subseteq \theta(0, a) \vee \theta(0, b) \subseteq \theta$. Thus $B \times B \subseteq \theta$, i.e. there exists a class C of the congruence θ such that $B \subseteq C$.

(b) Suppose that B is not a class of the congruence θ . Then there exist $d \in B$, $c \notin B$ such that $\langle c, d \rangle \in \theta$. Since the lattice Con \mathscr{A} is algebraic, there exist elements $b_1, \ldots, b_n \in B$ such that $\langle c, 0 \rangle \in \theta(0, b_1) \lor \theta(0, b_2) \lor \ldots \lor \theta(0, b_n)$. By the assumption there exists $h \in B$ such that $\langle c, 0 \rangle \in \theta(0, h)$. Hence $c \in C_{\mathscr{A}}(h) \subseteq B$ by (*), a contradiction. Thus B is a class of θ .

Example. Let $\mathscr{D} = (D, \lor, \land, 0)$ be a distributive lattice with zero 0 and $C_{\Sigma}(\mathscr{D}) = C \operatorname{Sub} \mathscr{D}$ (0 means a nullary operation). Then the assumption and condition (*) of Theorem 2 are fulfilled, see e.g. Theorem 1 in [5], thus \mathscr{D} is a Σ -hamiltonian structure.

Definition 3. Let $\mathscr{A} = (A, F, R)$ be an algebraic structure of type τ , let Σ be a set of open formulas of the language $L(\tau)$ and let $C_{\Sigma}(\mathscr{A})$ be the closure system. The structure \mathscr{A} is called Σ -regular if $\theta = \Phi$ for $\theta, \Phi \in \operatorname{Con} \mathscr{A}$ whenever they have a congruence class $B \in C_{\Sigma}(\mathscr{A})$ in common; \mathscr{A} is called strongly Σ -regular if every $B \in C_{\Sigma}(\mathscr{A})$ is a class of exactly one congruence on \mathscr{A} .

The following proposition is evident:

Lemma 2. If an algebraic structure is strongly Σ -regular, then it is also Σ -regular.

Definition 4. We say that an algebraic structure $\mathscr{A} = (A, F, R)$ has Σ -transferable congruences, if for every $a, b, c \in A$ and $[c]_{\theta(a,b)} \in C_{\Sigma}(\mathscr{A})$ there exist elements $d_1, \ldots, d_n \in [c]_{\theta(a,b)}$ such that $\theta(a, b) = \theta(c, d_1, \ldots, d_n)$.

Theorem 3. Let $\mathscr{A} = (A, F, R)$ be an algebraic structure of type τ and let Σ be a set of open formulas of the language $L(\tau)$. Then the following conditions are equivalent:

(i) A is Σ-regular;

(ii) \mathscr{A} has Σ -transferable congruences.

Proof. (i) \Rightarrow (ii): Let \mathscr{A} be Σ -regular, $a, b \in A$ and $[c]_{\theta(a,b)} \in C_{\Sigma}(\mathscr{A})$. Then, by the Σ -regularity we have $\theta(a, b) = \theta([c]_{\theta(a,b)}) = \theta(\{c\} \times [c]_{\theta(a,b)})$. Since the lattice Con \mathscr{A} is algebraic, i.e. compactly generated, there exists a finite subset $F \subseteq [c]_{\theta(a,b)}$ such that $\theta(a, b) = \theta(\{c\} \times F)$. If $F = \{d_1, \ldots, d_n\}$ then $\theta(a, b) = \theta(c, d_1, \ldots, d_n)$, i.e. the structure \mathscr{A} has Σ -transferable congruences.

(ii) \Rightarrow (i): Let $\theta_1, \theta_2 \in \text{Con } \mathscr{A}$ and let $B \in C_{\Sigma}(\mathscr{A})$ be their common congruence class. Then B is also a class of the congruence $\theta_1 \cap \theta_2$. Thus we can suppose without loss of generality that $\theta_1 \subseteq \theta_2$. Further suppose $\langle a, b \rangle \in \theta_2$ and $c \in B$. By the

 Σ -transferability we obtain the existence of elements $d_1, \ldots, d_n \in [c]_{\theta(a,b)} \subseteq B$ with $\theta(a,b) = \theta(c,d_1,\ldots,d_n)$, i.e. $\langle c,d_1 \rangle \in B \times B$. Hence $\langle c,d_i \rangle \in \theta_1$ for $i = 1,\ldots,n$, thus $\theta(a,b) = \theta(c,d_1) \vee \ldots \vee \theta(c,d_n) \subseteq \theta_1$. Then $\langle a,b \rangle \in \theta_1$, i.e. $\theta_2 \subseteq \theta_1$. So we have $\theta_1 = \theta_2$ and \mathscr{A} is Σ -regular.

It is evident that every strongly Σ -regular algebraic structure is also Σ -hamiltonian. Hence every strongly Σ -regular structure is Σ -regular and Σ -hamiltonian by Lemma 2. Conversely, if a structure \mathscr{A} is Σ -hamiltonian and Σ -regular, then by the first property, every $B \in C_{\Sigma}(\mathscr{A})$ is a class of at least one congruence on \mathscr{A} and, by Σ -regularity, B is a class of at most one congruence on \mathscr{A} . Thus we have

Theorem 4. An algebraic structure is strongly Σ -regular if and only if it is Σ -regular and Σ -hamiltonian.

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