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*Czechoslovak Mathematical Journal*, Vol. 55 (2005), No. 1, 87–96

Persistent URL: <http://dml.cz/dmlcz/127960>

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## THE OMEGA LIMIT SETS OF SUBSETS IN A METRIC SPACE

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(Received March 14, 2002)

*Abstract.* In this paper, we discuss the properties of limit sets of subsets and attractors in a compact metric space. It is shown that the  $\omega$ -limit set  $\omega(Y)$  of  $Y$  is the limit point of the sequence  $\{(Cl Y) \cdot [i, \infty)\}_{i=1}^{\infty}$  in  $2^X$  and also a quasi-attractor is the limit point of attractors with respect to the Hausdorff metric. It is shown that if a component of an attractor is not an attractor, then it must be a real quasi-attractor.

*Keywords:* limit set of a set, attractor, quasi-attractor, hyperspace

*MSC 2000:* 34C35, 54H20

### 1. INTRODUCTION

The pair attractor-repeller plays an important role in Conley's Theory [3], [4], which leads to applications of Conley decomposition and chain recurrence. However, Conley's definition of an attractor is discrepant with that of [1], [2]. The limit set of a neighborhood is used in the definition of an attractor [3], also Hale and Waterman [6] emphasize the importance of the limit set of a set in the analysis of the limiting behavior of a system. In this article we present some basic properties of the limit set of a subset in Section 2; it includes some considerations in the space of closed subsets which is called the hyperspace. In Section 3 the boundary conditions of an attractor neighborhood are discussed by the limit set of a subset. In the last section, we prove three theorems about quasi-attractors.

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This paper was completed while the author was visiting the Academy of Mathematics and Systems, Chinese Academy of Sciences. The author was supported by the National Science Foundation of China (Grant No. 10461003).

## 2. THE OMEGA LIMIT SETS OF SUBSETS

Let  $(X, d)$  be a metric space with metric  $d$ , on which there is a flow  $f: X \times \mathbb{R} \rightarrow X$ . For  $Y \subset X$  and  $J \subset \mathbb{R}$  we denote  $Y \cdot J = \{x \cdot t = f(x, t): x \in Y, t \in J\}$ . A set  $Y$  is invariant under  $f$  if  $Y$  is a subset in  $X$  with  $Y \cdot \mathbb{R} = Y$ . Throughout the paper for  $Y \subset X$ ,  $\text{Cl}Y$ ,  $\partial Y$ ,  $\text{Int}Y$  and  $\text{Ext}Y$  denote respectively the closure, boundary, interior and the interior of the complement of  $Y$ . If  $Y$  is a subset of  $X$ , the  $\omega$ -limit set of  $Y$  is defined to be the set  $\omega(Y) = \bigcap_{t \geq 0} \text{Cl}\{Y \cdot [t, \infty)\}$  (see [3]). When we wish to emphasize the dependence on  $f$ , we will write  $\omega(Y, f)$ . It is easy to prove that  $\omega(Y)$  is the maximal invariant set in  $\text{Cl}\{Y \cdot [0, \infty)\}$  ([4], [9]).

**Lemma 2.1.** *The following facts about  $\omega(Y)$  hold.*

- (1)  $\omega(Y) = \bigcap_{n \geq 0} \text{Cl}\{Y \cdot [n, \infty)\}$ ;
- (2) Let  $Y_i \subset X$  ( $i = 1, 2$ ), then  $\omega(Y_1 \cup Y_2) = \omega(Y_1) \cup \omega(Y_2)$ ; in particular, if  $Y \subset Z$ ,  $\omega(Y) \subset \omega(Z)$ ;
- (3)  $z \in \omega(Y)$  if and only if there are sequences  $y_n \in Y$  and  $t_n \in \mathbb{R}$  ( $n = 1, 2, \dots$ ),  $t_n \rightarrow +\infty$  as  $n \rightarrow \infty$ , such that  $\lim_{n \rightarrow \infty} y_n \cdot t_n = z$  ([6]).

*Proof.* We only prove the necessity of (3), the other parts are straightforward. Since  $z \in \omega(Y) = \bigcap_{n \geq 0} \text{Cl}\{Y \cdot [n, \infty)\}$ ,  $z$  is in  $\text{Cl}\{Y \cdot [n, \infty)\}$  for each  $n$ , thus there are  $y_n \in Y$  and  $t_n \geq n$  such that  $d(z, y_n \cdot t_n) < 1/n$ , i.e.,  $\lim_{n \rightarrow \infty} d(z, y_n \cdot t_n) = 0$  and  $\lim_{n \rightarrow \infty} t_n \geq \lim_{n \rightarrow \infty} n = +\infty$ . □

**Lemma 2.2.** *For any  $Y \subset X$ ,  $\omega(Y) = \omega(\text{Cl}Y)$  holds.*

*Proof.* Since the flow  $f: X \times \mathbb{R} \rightarrow X$  is continuous,  $\text{Cl}\{f(Y \times [t, +\infty))\} \supset f(\text{Cl}\{Y \times [t, +\infty)\})$  for each  $t \geq 0$ , which implies  $\text{Cl}\{Y \cdot [t, +\infty)\} \supset (\text{Cl}Y) \cdot [t, +\infty)$ . So it follows that  $\text{Cl}\{Y \cdot [t, +\infty)\} \supset \text{Cl}\{(\text{Cl}Y) \cdot [t, +\infty)\}$ . The converse inclusion is obvious, thus for each  $t \geq 0$ ,  $\text{Cl}\{Y \cdot [t, +\infty)\} = \text{Cl}\{(\text{Cl}Y) \cdot [t, +\infty)\}$ . Now  $\bigcap_{t \geq 0} \text{Cl}\{Y \cdot [t, +\infty)\} = \bigcap_{t \geq 0} \text{Cl}\{(\text{Cl}Y) \cdot [t, +\infty)\}$  or  $\omega(Y) = \omega(\text{Cl}Y)$  follows. □

It is worth noting that if  $Y \subset X$  is connected and  $\text{Cl}\{Y \cdot [0, +\infty)\}$  is compact, then  $\omega(Y)$  is connected and compact. The compactness of  $\text{Cl}\{Y \cdot [0, +\infty)\}$  is crucial, it is always true if  $X$  is compact. On the other hand, it is easy to find a counterexample such that  $\omega(Y)$  is disconnected if  $\text{Cl}\{Y \cdot [0, +\infty)\}$  is not compact. According to Lemma 2.1 (3) it follows that  $\omega(\{x\}) = \omega(x)$ , that is,  $\omega(\{x\})$  is just the usual  $\omega$ -limit set of  $x$ . However, the set  $\Lambda(Y) = \bigcup_{x \in Y} \omega(x)$  is generally much smaller than  $\omega(Y)$ .

From now on we assume that  $(X, d)$  is a compact metric space. Let  $2^X = \{A: A \text{ is a nonempty closed subset of } X\}$  be the hyperspace of  $X$ . Given two sets  $A$  and  $B$  in  $2^X$ , let  $H_d(A, B) = \inf\{\varepsilon > 0: A \subset N_d(B, \varepsilon) \text{ and } B \subset N_d(A, \varepsilon)\}$ , where  $N_d(A, \varepsilon) = \{x \in X: d(x, a) < \varepsilon \text{ for some } a \in A\}$ .  $H_d$  is a metric on  $2^X$ , called the Hausdorff metric. The topology it induces makes  $2^X$  a compact space and is consistent with the Vietoris topology on  $2^X$ . For these basic facts we refer to [8, Chapter 4]. For the flow  $f$  defined on  $X$  we put  $S = \{A: A \text{ is nonempty and invariant under } f\}$ , which is the collection of invariant sets of  $f$  and is a closed subset in  $2^X$  [5].

**Definition 2.4.** Let  $\{A_i\}_{i=1}^\infty$  be a sequence of subsets of  $(X, T)$ , where  $T$  is the topology induced by  $d$ . We define  $\limsup A_i$  and  $\liminf A_i$  as follows [8, P56]:

$$\begin{aligned} \limsup A_i &= \{x \in X: \text{for each } U \in T \text{ such that } x \in U, \\ &\quad U \cap A_i \neq \emptyset \text{ for infinitely many } i\}; \\ \liminf A_i &= \{x \in X: \text{for each } U \in T \text{ such that } x \in U, U \cap A_i \neq \emptyset \\ &\quad \text{for all but finitely many } i\}. \end{aligned}$$

If  $\limsup A_i = \liminf A_i = A$ , we write  $\lim A_i = A$ . In addition, we define  $M^1 = \limsup A_i$ ,  $M^2 = \bigcap_{k=1}^\infty \left\{ \bigcup_{i \geq k} A_i \right\}$  and  $M^3 = \bigcap_{k=1}^\infty \text{Cl} \left\{ \bigcup_{i \geq k} A_i \right\}$ .

**Lemma 2.5.** For any sequence  $\{A_i\}_{i=1}^\infty$  of subsets of  $X$ , the equality  $M^1 = M^3$  holds.

*Proof.* For any  $x \in M^3$ , by the definition we have  $x \in \text{Cl} \left\{ \bigcap_{i \geq k} A_i \right\}$  for each  $k \geq 1$ , which implies that for any open neighborhood  $U$  of  $x$ ,  $U \cap \left( \bigcup_{i \geq k} A_i \right) \neq \emptyset$ . Thus for each  $k$  there is an  $i_k \geq k$  with  $U \cap A_{i_k} \neq \emptyset$ , and it follows obviously that  $x \in M^1$  and  $M^3 \subset M^1$ .

Conversely, for any  $x \in M^1$  and any open neighborhood  $U$  of  $x$  there are infinitely many positive integers  $i_k$  such that  $U \cap A_{i_k} \neq \emptyset$ . Then  $U \cap \left( \bigcup_{i \geq k} A_i \right) \neq \emptyset$  for each  $k$ , that is,  $x \in \text{Cl} \left\{ \bigcup_{i \geq k} A_i \right\}$ . It follows that  $x \in \bigcap_{k=1}^\infty \text{Cl} \left\{ \bigcup_{i \geq k} A_i \right\} = M^3$ , so  $M^1 \subset M^3$ .  $\square$

By the definition  $M^2 \subset M^3$  holds; furthermore,  $\text{Cl}\{M^2\} \subset M^3$  since

$$\text{Cl} \left\{ \bigcap_{k=1}^\infty \bigcup_{i \geq k} A_i \right\} \subset \bigcap_{k=1}^\infty \text{Cl} \left\{ \bigcup_{i \geq k} A_i \right\},$$

but the converse is not true. The following example shows that  $\text{Cl}\{M^2\}$  may be a proper subset of  $M^1 = M^3$ .

**Example.** Let  $X = [0, 2]$ ,  $A_i = [1/(i+1), 1/i] \cap \{2\}$ , then  $\bigcup_{i \geq k} A_i = (0, 1/k] \cap \{2\}$ , which implies  $M^2 = \{2\}$ . On the other hand, for any open neighborhood  $U = [0, a)$  ( $a > 0$ ) there are infinitely many  $i$  satisfying  $U \cap A_i \neq \emptyset$ , which implies  $0 \in M^1$ . In fact  $M^1 = M^3 = \{0, 2\}$ , thus  $\text{Cl}\{M^2\}$  is a proper set of  $M^1$ .

**Lemma 2.6** [8, P57]. *If  $X$  is a compact metric space and  $\{A_i\}_{i=1}^\infty$  is a sequence of closed subsets in  $2^X$ , then  $\lim A_i = A$  if and only if  $\{A_i\}_{i=1}^\infty$  converges to  $A$  in  $2^X$  with respect to the Hausdorff metric.*

**Theorem 2.7.** *For  $Y \subset X$ ,  $\omega(Y)$  is the limit point in  $2^X$  of the sequence  $\{(\text{Cl}Y) \cdot [i, \infty)\}_{i=1}^\infty$ .*

*Proof.* Since  $A_i = (\text{Cl}Y) \cdot [i, \infty)$  is decreasing, it is easy to verify that  $\liminf A_i = \limsup A_i$ . Hence by Lemma 2.6  $M^1 = M^3$  is the limit point of  $\{(\text{Cl}Y) \cdot [i, \infty)\}$  in  $2^X$ . Now since  $\omega(Y) = \omega(\text{Cl}Y)$  (Lemma 2.2), the conclusion follows.  $\square$

**Remark.** We can also consider the  $\alpha$ -limit set of a set defined by  $\alpha(Y) = \bigcap_{t \geq 0} \text{Cl}\{Y \cdot (-\infty, -t]\}$ ,  $\alpha(\{x\}) = \alpha(x)$ , and similar results hold.

### 3. ATTRACTORS AND ATTRACTOR NEIGHBORHOODS

To the flow  $f$  on the compact metric space  $X$  there corresponds the backward flow  $f^*$  defined by  $f^*(x, t) = f(x, -t)$ , then  $\omega(x, f^*) = \alpha(x, f)$ . If  $A$  is a closed subset, we define the set  $\tilde{\alpha}(A, f) = \{x: \omega(x, f) \cap A \neq \emptyset\}$ .

**Definition 3.1** [3]. A set  $A$  is called an attractor for  $f$  if  $A$  admits a neighborhood  $N$  such that  $A = \omega(N, f)$ .

**Lemma 3.2.** *Assume that  $N$  is a closed subset of  $X$  such that  $x \in \partial N$  implies  $x \cdot \mathbb{R}^- \cap \text{Ext} N \neq \emptyset$  and let  $A = \{x: x \cdot \mathbb{R} \subset N\}$ . Then if  $A \neq \emptyset$ ,  $A$  is an attractor.*

*Proof.* Let  $N_1 = \{x \in N: x \cdot \mathbb{R}^+ \subset N\}$ , then  $A \subset N_1 \subset N$ . Also it is easy to verify that  $N_1 \cdot \mathbb{R}^+ \subset N$  and  $\text{Cl}\{N_1 \cdot \mathbb{R}^+\} \subset N$ , which implies  $\omega(N_1) \subset N$  and  $\omega(N_1) = A$ . Now we only need to prove that  $N_1$  is a neighborhood of  $A$ . If on the contrary,  $A \cap \partial N_1 \neq \emptyset$ , let  $x_0 \in A \cap \partial N_1$ . Obviously  $N$  is a neighborhood of  $A$ . From  $x_0 \in A$  it follows that  $x_0 \cdot \mathbb{R} \subset \text{Int} N$ ; furthermore, for  $x_0 \in \partial N_1$  there exists a sequence  $\{x_n\}$  satisfying  $x_n \in N \setminus N_1$  and  $\lim_{n \rightarrow \infty} x_n = x_0$ . Define  $t_n = \max\{t \geq 0: x_n \cdot [0, t] \subset N\}$ , then  $\lim_{n \rightarrow \infty} t_n = +\infty$  since  $x_0 \cdot \mathbb{R} \subset \text{Int} N$ . Because of  $x_n \notin N_1$ ,  $t_n$  is finite and  $x_n \cdot t_n \in \partial N$ . The compactness of  $\partial N$  implies that there

is a convergent subsequence of  $\{x_n \cdot t_n\}$ , by restriction to a subsequence we may suppose that  $\lim_{n \rightarrow \infty} x_n \cdot t_n = y \in \partial N$ . Thus for  $y \in \partial N$  there exists a  $T > 0$  such that  $y \cdot (-T) \in \text{Ext } N$ ; choose  $n_0$  large enough such that  $(x_{n_0} \cdot t_{n_0}) \cdot (-T) \in \text{Ext } N$  and  $t_{n_0} > T$ , that is  $x_{n_0} \cdot (t_{n_0} - T) \in \text{Ext } N$  and  $0 < t_{n_0} - T < t_{n_0}$ , which is contradictory to the definition of  $t_n$ . Hence  $A \cap \partial N_1 = \emptyset$  and  $N_1$  is a neighborhood of  $A$ .  $\square$

**Remark 3.3.** (1) If  $N$  satisfies  $\omega(x, f^*) \cap \text{Ext } N \neq \emptyset$  for each  $x \in \partial N$ , the conclusion of Lemma 3.2 is also true.

(2) In the proof of Lemma 3.2 we only need the compactness of  $\partial N$ , so the result is also true if  $\partial N$  is compact and  $X$  is not.

**Lemma 3.4.** *A nonempty compact invariant set  $A$  is an attractor if and only if  $A$  has an open neighborhood  $N$  satisfying  $\bigcap_{t \geq 0} N \cdot t = A$ .*

*Proof.* If  $A$  is an attractor,  $A$  admits an open neighborhood  $N$  such that  $\omega(N, f) = A$ . Since  $A$  is an invariant subset of  $N$ ,  $A \cdot t = A$  for each  $t \geq 0$ , thus  $N \cdot t \supset A \cdot t = A$  and  $\bigcap_{t \geq 0} N \cdot t \supset A$ . On the other hand  $N \cdot [t, +\infty) \supset N \cdot t$ , thus  $A = \bigcap_{t \geq 0} \text{Cl}\{N \cdot [t, +\infty)\} \supset \bigcap_{t \geq 0} N \cdot t$ . Thus it follows that  $A = \bigcap_{t \geq 0} N \cdot t$ .

Conversely if  $A$  has an open neighborhood  $N$  satisfying  $\bigcap_{t \geq 0} N \cdot t = A$ , choose a closed neighborhood  $M$  of  $A$  satisfying  $A \subset M \subset N$ . Since  $A \cap \partial M = \emptyset$ , for each  $x \in \partial M$  there is a  $t_x > 0$  such that  $x \notin N \cdot t_x$ , which implies  $x \cdot (-t_x) \notin N$ . Then  $x \cdot (-t_x) \notin M$ , by Lemma 3.2 we see that  $A$  is an attractor.  $\square$

**Definition 3.5** [3]. An attractor neighborhood means a closed subset  $N$  of  $X$  such that for  $x \in \partial N$ ,  $\omega(x, f^*) \subset \text{Ext } N$ .

If  $A$  is an attractor, the set  $\tilde{\alpha}(A, f) = \{x: \omega(x, f) \cap A \neq \emptyset\}$  is called the basin of attraction of  $A$ . As a matter of fact,  $\tilde{\alpha}(A, f)$  is just the set  $\{x: \omega(x, f) \subset A\}$  for an attractor  $A$  and it is an open invariant set.

**Remark.** Moeckel [7] pointed out that a better definition of attractor neighborhood is: a closed subset  $N$  of  $X$  such that  $\omega(N, f)$  lies in the interior of  $N$ .

Note that the maximal invariant set  $A = \{x: x \cdot \mathbb{R} \subset N\}$  in an attractor neighborhood  $N$  may be empty, then  $N \subset \tilde{\alpha}(A, f)$  does not hold. The result of [3, Lemma 2.2A] is wrong. In general  $N \subset \tilde{\alpha}(A, f)$  is not true even when  $A$  is nonempty. For example, see the following figure where the closed region  $abcd$  is an attractor neighborhood,  $A = \{O\}$  is a stable focus, but  $N \not\subset \tilde{\alpha}(A, f)$  since  $\tilde{\alpha}(A, f)$  is the open disc which does not contain the arc  $\widehat{ab}$ .

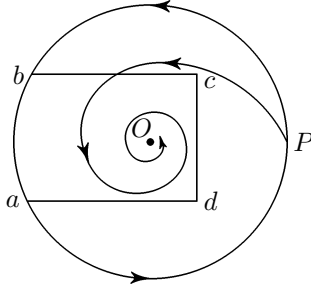


Fig. 1

**Theorem 3.6.** Assume that  $N$  is a closed subset of  $X$ , then for each  $x \in \partial N$ ,  $\alpha(x) \cap \text{Ext } N \neq \emptyset$  holds if and only if  $x \cdot \mathbb{R}^- \cap \text{Ext } N \neq \emptyset$  ( $x \in \partial N$ ).

*P r o o f.* The necessity is clear, we only prove the sufficiency. If  $x \cdot \mathbb{R}^- \cap \text{Ext } N \neq \emptyset$  for each  $x \in \partial N$ , then there is a  $t_1 < 0$  such that  $x \cdot t_1 \in \text{Ext } N$ . If  $x \cdot (-\infty, t_1] \cap N = \emptyset$ , it follows that  $\alpha(x) \subset \text{Cl}\{\text{Ext } N\}$ ; otherwise, by the connectedness of  $x \cdot (-\infty, t_1]$  there is a  $t_2 (< t_1)$  such that  $x \cdot t_2 \in \partial N$ . Thus there is a  $t_3 (< t_2)$  such that  $x \cdot t_3 \in \text{Ext } N$ . Now we proceed as above and consider two cases:

(I) If  $x \cdot (-\infty, T] \cap N = \emptyset$  for some  $T (< 0)$ , then  $\alpha(x) \subset \text{Cl}\{\text{Ext } N\}$ . Since  $\alpha(x)$  is invariant, it follows from  $x \cdot \mathbb{R}^- \cap \text{Ext } N \neq \emptyset$  that  $\alpha(x) \cap \text{Ext } N \neq \emptyset$ , for every  $x \in \partial N$ .

(II) There is a sequence  $t_{2n+1} \rightarrow -\infty$  such that  $x \cdot t_{2n+1} \in \text{Ext } N$ , then by the compactness of  $\text{Cl}\{\text{Ext } N\}$  it follows that  $\alpha(x) \cap \text{Cl}\{\text{Ext } N\} \neq \emptyset$ . Hence  $\alpha(x) \cap \text{Ext } N \neq \emptyset$ .  $\square$

The following Fig. 2 shows that the condition  $\alpha(x) \subset \text{Ext } N \neq \emptyset$  for each  $x \in \partial N$  is stronger than  $x \cdot \mathbb{R}^- \cap \text{Ext } N \neq \emptyset$  ( $x \in \partial N$ ), where  $N$  is the closed region  $abcd$ .

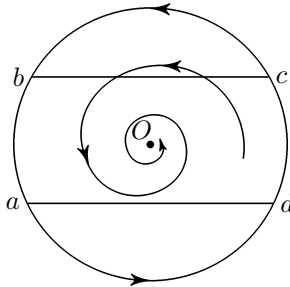


Fig. 2

**Theorem 3.7.** If  $N$  is a closed subset of  $X$  satisfying  $x \cdot \mathbb{R}^- \cap \text{Ext } N \neq \emptyset$  for any  $x \in \partial N$ , let  $A = \{x \in N : x \cdot \mathbb{R} \subset N\} \neq \emptyset$ . Then there is a subset  $M$  of  $N$  such that  $A \subset M$  and  $\alpha(x) \subset \text{Ext } M$  for each  $x \in \partial M$ , i.e.,  $N$  has a subset  $M$  that is an attractor neighborhood.

**Proof.** Let  $N_1 = \{x \in N : x \cdot \mathbb{R}^+ \subset N\}$ , then by the proof of Lemma 3.2,  $N_1$  is a neighborhood of  $A$  and  $\omega(N_1) = A$ . Since for any neighborhood  $U$  of  $A$  there exists a  $t > 0$  such that  $N_1 \cdot [t, \infty) \subset U$ , we see that  $N_1 \cdot [T, \infty) \subset \text{Int } N_1$  for some  $T$ . Now it is easy to conclude that  $x \cdot (-\infty, -\tau] \cap N_1 = \emptyset$  for any  $x \in \partial N_1$  and some  $\tau > 0$ ; otherwise, for any  $t_n > 0$  there is a  $x_n \in \partial N_1$  such that  $x_n \cdot (-\infty, -t_n] \cap N_1 \neq \emptyset$ . Choose a  $t_n > T$  and  $z \in x_n \cdot (-\infty, -t_n] \cap N_1$ , then  $z = x_n \cdot (-t'_n) \in N_1$  with  $t'_n \geq t_n > T$ . Hence  $z \cdot [T, \infty) = (x_n \cdot (-t'_n)) \cdot [T, \infty) = x_n \cdot [T - t'_n, \infty) \subset \text{Int } N_1$ . On the other hand, because of  $T - t'_n < 0$ , it follows that  $x_n \in x_n \cdot [T - t'_n, \infty) \subset \text{Int } N_1$ , which is contradictory to  $x_n \in \partial N_1$ . Define  $M = N_1 \cdot T \subset \text{Int } N_1$ . Since  $A$  is an invariant set in  $\text{Int } N_1$ ,  $M = N_1 \cdot T \supset A \cdot T = A$ , and the map  $f(\cdot, -T) : \partial M \rightarrow \partial N_1$  is a homeomorphism. Thus for any  $x \in \partial M$ ,  $x \cdot (-\infty, -\tau - T] \cap N_1 = \emptyset$  holds, it follows that  $\alpha(x) \subset \text{Cl}\{\text{Ext } N_1\}$  and  $\alpha(x) \cap M = \emptyset$ .  $\square$

According to the above argument, it is not assured that  $\omega(N) \subset N$  for an attractor neighborhood  $N$ . We will prove the next theorem to get a condition for  $\omega(N) \subset N$ .

**Theorem 3.8.** *If  $N$  is a closed subset of  $X$  satisfying  $x \cdot \mathbb{R}^- \cap \text{Ext } N \neq \emptyset$  for any  $x \in \partial N$ , let  $A = \{x \in X : x \cdot \mathbb{R} \subset N\} \neq \emptyset$ . Then  $N \subset \tilde{\alpha}(A, f)$  if and only if  $\omega(N) \subset N$ .*

**Proof.** Suppose  $\omega(N) \subset N$ . For any  $x \in N$ ,  $\omega(x) = \omega(\{x\}) \subset \omega(N) \subset N$ . Since  $A$  is the maximal invariant set in  $N$ , it follows  $\omega(x) \subset \omega(N) \subset A$ . Hence by the definition we have  $N \subset \tilde{\alpha}(A, f)$ .

Next assume that  $N \subset \tilde{\alpha}(A, f)$ . By the proof of Lemma 3.2,  $A$  is an attractor; at the same time, the positively invariant set  $N_1 = \{x \in N : x \cdot \mathbb{R}^+ \subset N\}$  is a neighborhood of  $A$  satisfying  $A = \omega(N_1)$ . Since  $N \subset \tilde{\alpha}(A, f)$ , i.e.,  $\omega(x) \subset A$  for every  $x \in N$ , there is a  $t_x > 0$  such that  $x \cdot t_x \in \text{Int } N_1$ . It follows that there is a neighborhood  $B_x(\delta_x) = \{y : d(x, y) < \delta_x\}$  such that  $B_x(\delta_x) \cdot t_x \subset \text{Int } N_1$ . Now by the compactness of  $N$  there exists a  $T > 0$  such that  $N \cdot [T, +\infty) \subset N_1$ , which implies  $\omega(N) \subset \omega(N_1) = A \subset N$ .  $\square$

#### 4. QUASI-ATTRACTORS

**Definition 4.1.** An invariant set  $A$  is called a quasi-attractor if  $A$  is the intersection of attractors. A quasi-repeller of  $f$  means a quasi-attractor of  $f^*$ .

If the compact metric space  $X$  is connected, the only sets which are both attractors and repellers are  $X$  and  $\emptyset$ ; however, a non-trivial invariant set may be both a quasi-attractor and a quasi-repeller. Also it is not generally true that a component of an attractor is an attractor. Conley [3] proved the following result:



**Lemma 4.2** [3, Theorem 2.4A]. *Any closed-open subset of an attractor is an attractor.*

**Theorem 4.3.** *If a component  $A_0$  of an attractor  $A$  is not an attractor, then  $A_0$  is a real quasi-attractor.*

*Proof.* Firstly we assert that any open neighborhood of  $A_0$  meets the other components of  $A$ . Otherwise, let  $U$  be an open neighborhood of  $A_0$  disjoint with the other components of  $A$ . Thus  $U \cap A = A_0$ . Since  $A_0$  is a component of the closed set  $A$ , it is also a closed subset in  $U$ , so we may choose  $U$  to be a closed neighborhood with  $U \cap A = A_0$ , hence  $A_0$  is a closed-open subset of  $A$ . It follows from Lemma 4.2 that  $A_0$  is an attractor, which is a contradiction.

Define  $U_n = N(A_0, 1/n) = \{x: d(x, A_0) < 1/n\}$  ( $n = 1, 2, \dots$ ) and  $A_n = \bigcup \{A_\alpha: A_\alpha \text{ is a component of } A \text{ such that } A_\alpha \cap U_n \neq \emptyset\}$ . Then we assert that  $\bigcap_{n \geq 1} A_n = A_0$ . Actually,  $A_0 \subset \bigcap_{n \geq 1} A_n$  is clear. Conversely, let  $x \in \bigcap_{n \geq 1} A_n \subset A$ , if  $x \notin A_0$ , denote  $\bar{A}$  the component of  $A$  such that  $x \in \bar{A}$ . Since the attractor  $A$  is closed, all the components of  $A$  are closed subsets. Thus  $A_0$  and  $\bar{A}$  are disjoint closed subsets, or  $\inf\{d(x, y): x \in A_0, y \in \bar{A}\} = \delta > 0$ . Choose  $n$  large enough such that  $1/n < \delta$ , then  $A_n \cap \bar{A} = \emptyset$ , which implies  $x \notin A_n$ . This is a contradiction. Hence  $\bigcap_{n \geq 1} A_n = A_0$  holds.

Since  $A$  is an attractor, it admits an open neighborhood  $N$  with  $\omega(N) = A$ . Choose  $n_0$  large enough such that  $U_n \subset N$  for  $n \geq n_0$ , and in the sequel we always assume  $n \geq n_0$ . Define  $N_n^1 = \{x \in N: \omega(x) \subset A_n\}$  and  $N_n^2 = \{x \in N: \omega(x) \subset (A \setminus A_n)\}$ . Then  $N = N_n^1 \cup N_n^2$  and  $N_n^1 \cap N_n^2 = \emptyset$  since  $\omega(x)$  is connected and lies in a component of  $A$ . Now for any  $x \in N_n^1$  there exists a ball  $B_\delta(x) = \{y: d(y, x) < \delta\}$  with  $B_\delta(x) \subset N_n^1$ , otherwise, there is a sequence  $\{x_n\}$  such that  $x_n \rightarrow x$  ( $n \rightarrow \infty$ ) and  $\omega(x_n) \subset A \setminus A_n$ . Let  $L$  be a component of  $A$  with  $\omega(x) \subset L$ ,  $L \subset A_n$  is clear. Thus by the dependence of initial value there is a sequence  $\{t_n\}$  such that  $d(x_n \cdot t_n, L) \rightarrow 0$  and  $t_n \rightarrow \infty$ . From Lemma 2.1 (3) the limit points of  $\{x_n \cdot t_n\}$  ( $t_n \rightarrow \infty$ ) belong to  $\omega(N_n^2)$ , so it follows that  $\omega(N_n^2) \cap L \neq \emptyset$ . This is a contradiction, since  $N_n^2$  is positively invariant and  $N_n^2 \supset \omega(N_n^2) = A \setminus A_n$ . Hence  $N_n^1$  is an open set with  $\omega(N_n^1) = A_n$ , i.e.,  $A_n$  is an attractor, which implies that  $A_0$  is a real quasi-attractor.  $\square$

**Lemma 4.4** [2, P39, 6.4A]. *In a compact metric space  $X$  there are at most countably many attractors.*

**Theorem 4.5.** *A quasi-attractor is a limit point of attractors in  $2^X$  with respect to the Hausdorff metric.*

*Proof.* Let  $A$  be a quasi-attractor, then by Lemma 4.4 we have  $A = \bigcap_{i=1}^{\infty} A_i$ , where  $A_i$  are attractors. Define  $B_i = \bigcap_{k=1}^i A_k$ . Since the intersection of finite attractors is still an attractor, hence  $\{B_i\}$  is a decreasing sequence of attractors and  $A = \bigcap_{i=1}^{\infty} B_i$ . A similar argument as in Theorem 2.7 shows that  $A$  is the limit point of  $\{B_i\}$  in  $2^X$  with respect to the Hausdorff metric.  $\square$

**Definition 4.6** [3]. The intersection of an attractor and a repeller is called a Morse set.

**Theorem 4.7.** *If a closed invariant set  $A$  is both a quasi-attractor and a quasi-repeller, then  $A$  is the limit point of a sequence of Morse sets.*

*Proof.* By Definition 4.1 and Lemma 4.4,  $A = \bigcap_{i=1}^{\infty} A_i$  and  $A = \bigcap_{i=1}^{\infty} B_i$ , where  $A_i$  are attractors and  $B_i$  are repellers; furthermore, we can assume that  $A_i$  and  $B_i$  are decreasing sequences. Now denote  $C_i = A_i \cap B_i$  ( $i = 1, 2, \dots$ ), then  $\{C_i\}$  are Morse sets. It is easy to verify that  $A = \bigcap_{i=1}^{\infty} C_i$ , and  $C_i$  is decreasing. Thus it follows from Lemma 2.6 that  $A$  is the limit point of  $C_i$  in  $2^X$  with respect to the Hausdorff metric.  $\square$

**Remark.** All the similar results on quasi-repellers are also true.

**Acknowledgement.** The author wishes to thank the referee for many valuable suggestions and the excellent job of the Editorial Board.

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