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# UNIQUE *a*-CLOSURE FOR SOME *l*-GROUPS OF RATIONAL VALUED FUNCTIONS

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Abstract. Usually, an abelian  $\ell$ -group, even an archimedean  $\ell$ -group, has a relatively large infinity of distinct *a*-closures. Here, we find a reasonably large class with unique and perfectly describable *a*-closure, the class of archimedean  $\ell$ -groups with weak unit which are "Q-convex". (Q is the group of rationals.) Any C(X, Q) is Q-convex and its unique *a*-closure is the Alexandroff algebra of functions on X defined from the clopen sets; this is sometimes C(X).

Keywords: archimedean lattice-ordered group, *a*-closure, rational-valued functions, zero-dimensional space

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#### INTRODUCTION

A lattice-ordered group (or  $\ell$ -group for short) is a group (G, +) with a partial order that is a lattice (infimum and supremum are denote by  $\wedge$  and  $\vee$ , respectively) such that the ordering is compatible with the group operation. That is, for all  $g, h, k \in G$ with  $g \leq h$  we have  $g + k \leq h + k$ . The set of positive elements of G is written as  $G^+$ ; note that the additive identity is an element of this set.

Elements  $g, h \in G^+$  are archimedean equivalent (or a-equivalent), denoted  $g \sim_a h$ , if there exist natural numbers n, m for which  $g \leq nh$  and  $h \leq mg$ . If G is an  $\ell$ -subgroup of H then H is an a-extension of G if every positive element of H is a-equivalent to a positive element of G. We write  $G \leq_a H$  in this case. The divisible hull of an abelian  $\ell$ -group is an a-extension, for example. If G has no proper *a*-extension, then G is *a*-closed. By Holland's Embedding Theorem, *a*-closures exist (see [7]); however, *a*-closures are not necessarily unique (see [4]).

Throughout, we use  $\mathbb{N}$ ,  $\mathbb{Q}$  and  $\mathbb{R}$  to represent the naturals, rationals and reals, respectively.

Over the past 30 years, several researchers have sought *a*-closures in various classes of  $\ell$ -groups. Recently, the authors of [6] sought *a*-closures via valuation mappings of an  $\ell$ -group onto a distributive lattice. Also, in [14] the authors considered a class of  $\ell$ -groups that generalizes the class of hyperarchimedean  $\ell$ -groups (see also [5]) and determined the *a*-closures of these groups. In particular, they explicitly describe the *a*-closures of  $C(X, \mathbb{Z})$ , the ring of continuous integer-valued functions on X. In the present article we are interested in determining *a*-extensions and *a*-closures of certain more general objects in the category, **W**, of archimedean  $\ell$ -groups with weak unit.

In this section we introduce standard concepts needed throughout the paper.

The  $\ell$ -group G is archimedean if whenever  $0 \leq g \leq nh$  for all  $n \in \mathbb{N}$ , then g = 0. All archimedean  $\ell$ -groups are necessarily abelian. This is explained in [7].

An element  $u \in G^+$  is a *weak order unit* if  $u \wedge g = 0$  implies g = 0. W denotes the category whose objects are the archimedean  $\ell$ -groups with designated weak order unit and whose morphisms are the lattice-preserving group homomorphisms that also preserve the unit. (G, u) denotes an object in W.

Recall that an  $\ell$ -subgroup  $K \leq G$  is *convex* if  $0 \leq g \leq k \in K$  implies that  $g \in K$ . Let (G, u) be a W-object. By Zorn's Lemma, there exist convex  $\ell$ -subgroups of G that are maximal with respect to not containing u. We let YG denote the set of these. In the hull-kernel topology, YG is a compact Hausdorff space. Define

 $D(YG) = \{f \colon YG \to \mathbb{R} \cup \{\pm \infty\} \colon f \text{ is continuous and } f^{-1}\mathbb{R} \subseteq YG \text{ is dense} \}.$ 

Though D(YG) is rarely a group under pointwise addition, it is known that G may be mapped bijectively, via an  $\ell$ -group isomorphism, onto an  $\ell$ -group  $\hat{G}$  of D(YG), which maps u to the constant function 1 and so that the elements of  $\hat{G}$  separate the points of YG. This representation is unique: If  $G \cong \tilde{G} \leq D(X)$  is an  $\ell$ -isomorphism with X compact Hausdorff and  $\tilde{u} = 1$ , then there is a continuous surjection  $\tau \colon X \to YG$ such that  $\tilde{g} = \hat{g} \circ \tau$  for each  $g \in G$ ; moreover,  $\tilde{G}$  separates the points of X if and only if  $\tau$  is a homeomorphism. We identify G with its image  $\hat{G}$ . This representation is the "Yosida Embedding" (see [21] and [16]) and YG is called the *Yosida space* of G.

We now turn to topological considerations and to C(X), the  $\ell$ -group of real-valued continuous functions on the space X with the pointwise ordering. See [9] for details.

We assume that all spaces are Tychonoff, that is, completely regular and Hausdorff.  $\beta X$  denotes the Stone-Čech compactification of X, and we note that the Yosida space of C(X) is homeomorphic to  $\beta X$ .  $C^*(X)$  is the  $\ell$ -subgroup containing the bounded elements of C(X). There is a natural isomorphism between  $C^*(X)$  and  $C(\beta X)$ , given by extension (and inversely, restriction) of functions to  $\beta X$  (inversely, to X). Whenever  $C(X) = C^*(X)$ , we call X pseudocompact.

Recall that a space is called *zero-dimensional* if it has a base of clopen sets and that every zero-dimensional space has a maximal zero-dimensional compactification called the *Banaschewski compactification* (see [20]) denoted by  $\beta_0 X$ . The space  $\beta_0 X$ is homeomorphic to the Yosida spaces of  $C(X, \mathbb{Z})$  and  $C(X, \mathbb{Q})$  and the map  $\beta_0$  is the compact zero-dimensional reflection. When  $\beta X = \beta_0 X$ , the space  $\beta X$  is zerodimensional and we call X strongly zero-dimensional.

#### 2. Unique *a*-closure and convex $\ell$ -groups

Let (G, u) be in **W** and  $g \in G$ . The zeroset of g is  $Z(g) = \{p \in YG : g(p) = 0\}$ and the cozeroset of g is  $YG \setminus Z(g)$ . We use  $\mathscr{Z}G$  to denote the set of all zerosets of G.

**Theorem 2.1.** Let (G, u) be in **W**. If  $G \leq_a H$  then G majorizes H (that is, for every  $h \in H^+$  there exists  $g \in G^+$  such that  $h \leq g$ ); u is a weak unit in H, Y(G, u) = Y(H, u) and in the Yosida representation  $G \leq H \leq D(Y(G, u))$  and  $\mathscr{Z}H = \mathscr{Z}G$ .

Proof. Let (G, u) be in **W** and assume that  $G \leq_a H$ . That G majorizes H follows directly from the definition of a-extension. If there is  $h \in H^+$  such that  $u \wedge h = 0$ , then for any  $g \in G$  such that  $g \sim_a h$ , we have that  $u \wedge g = 0$ . Hence g = 0 and  $0 \leq h \leq mg = 0$  for some m and therefore, h = 0. It follows from Theorem 2.1 of [4] that Y(G, u) = Y(H, u); hence,  $G \leq H \leq D(Y(G, u))$  and  $\mathscr{Z}H = \mathscr{Z}G$ .

For  $g \in G$ , let  $g^+ = g \vee 0$  and  $g^- = (-g) \vee 0$ . Then  $g = g^+ - g^-$  and we define  $|g| = g^+ + g^-$ .

**Definition 2.2.** Let (G, u) be in W.

(a)  $G^c = \{ f \in D(YG) \colon |f| \leq g \text{ for some } g \in G \}.$ 

(b) From [2]: G is convex if  $G = G^c$ .

 $G^c$  is usually not an  $\ell$ -group, as we discuss shortly.

#### Corollary 2.3. In W:

- (a) If  $G \leq_a H$  then  $H \subseteq G^c$ .
- (b) If G is convex, then G is a-closed.
- (c) If  $G^c$  is an  $\ell$ -group and if  $G \leq_a G^c$  then  $G^c$  is the unique a-closure of G.
- (d) If H is convex and  $G \leq_a H$ , then H is the unique a-closure of G.

**Proof.** It is clear that Theorem 2.1 implies statements (a) and (b) which together imply (c). To verify (d), note that  $G \leq_a H$  implies  $H \subseteq G^c$  by (a). But also,  $G^c \subseteq H^c = H$ . Thus,  $G^c = H$ , and (c) applies.

The statement of Corollary 2.3(c) and (d) present us with the following two versions of the same questions, which the sequel examines.

Question 2.4. Let G be an archimedean  $\ell$ -group.

- 1. (a) For which G is  $G^c$  an  $\ell$ -group?
  - (b) For which G is  $G^c$  an  $\ell$ -group and  $G \leq_a G^c$ ?
- 2. For convex H, what W-subobjects G have  $G \leq_a H$ ?

The following compendium from the literature illustrates what the class of convex  $\ell$ -groups encompasses. Recall that an *f*-ring is a subdirect product of totally ordered rings, [3].

**Theorem 2.5.** For the following classes of **W**-objects, for each n, the class (n) is contained the class (n + 1).

- (1) Rings of continuous functions, C(X).
- (2) Alexandroff algebras:  $\ell$ -subalgebras of  $\mathbb{R}^X$  containing 1 that are closed under uniform convergence and inversion (see § 5 below).
- (3) W-objects closed under countable composition.
- (4) Archimedean *f*-rings with identity, that are divisible and uniformly complete.
- (5) Convex W-objects.

Proof. That  $(1) \subseteq (2)$  is clear;  $(2) \subseteq (3) \subseteq (4)$  can be found in [18]; and  $(4) \subseteq (5)$  is in [17]. (One has to recognize that the representation in [17] and [18] of an *f*-algebra is the Yosida representation of the underlying **W**-object).

As a class of study, "convex" was introduced in [2], and there shown to be monoreflective in W: for each (G, u) there is a group cG such that  $G \leq cG$  with cG convex such that each  $\varphi \colon G \to H$  in W with H convex has a unique extension  $c\varphi \colon cG \to H$ in W. Usually, YcG is much larger than YG, but it is easy to see that if  $G^c$  is an  $\ell$ -group then  $G^c = cG$ .

**Remark 2.6.** (a) Recall that  $V \in YG$  is real if  $G/V \hookrightarrow \mathbb{R}$  and  $\mathscr{R}G \subseteq YG$ denotes the set of all such points. Let  $G|_{\mathscr{R}G} = \{g|_{\mathscr{R}G} \colon g \in G\}$ . In Theorem 2.1 and Corollary 2.3 (a), suppose that  $\bigcap \mathscr{R}G = (0)$ , so that  $G|_{\mathscr{R}G} \subseteq C(\mathscr{R}G)$  is a representation of G; then  $G^c|_{\mathscr{R}G} \subseteq C(\mathscr{R}G)$  also and  $G \leq_a H$  implies that  $H \subseteq$  $C(\mathscr{R}G)$ . Within the category  $\mathbf{W}$ , this sharpens an observation in Example 6.2 of [4].

(b) By Theorem 2.5, C(X) is convex for any X. Here's another proof: The Yosida embedding of C(X) is given by  $\{\beta f \in D(\beta X): f \in C(X)\}$ , therefore,  $C(X)^c =$  C(X). Thus, by Corollary 2.3 (b), C(X) is convex. This improves Example 6.2 of [4] in which Conrad shows that C(X) is *a*-closed.

(c) If G is hyperarchimedean, then the converse of Theorem 2.1 holds (see [13]), but the converse fails in general. Let  $\alpha \mathbb{N}$  be the one-point compactification of  $\mathbb{N}$ and let  $G \leq C(\alpha \mathbb{N})$  be given by  $g \in G$  if and only if there exist  $r, s \in \mathbb{R}$  such that eventually g(n) = r + s/n. Then  $\mathscr{Z}G = \mathscr{Z}C(\alpha \mathbb{N})$ , though G is not a-extended by  $C(\alpha \mathbb{N})$  since  $f(n) = e^{-1/n} \in C(\alpha \mathbb{N})$  has no a-equivalent element in G.

# 3. Relatively convex $\ell$ -groups

**Definition 3.1.** Let A be a subgroup of  $\mathbb{R}$  containing 1 and (G, u) in W. (a) For a compact Hausdorff space X, let

$$D_A(X) = \{ f \in D(X) \colon f(p) \in \mathbb{R} \Rightarrow f(p) \in A \}.$$

- (b) G is A-convex if for  $f \in D_A(YG)$ ,  $|f| \leq g \in G$  implies  $f \in G$ . When  $A \neq \mathbb{R}$ , we assume that YG is zero-dimensional.
- (c)  $W_A G = G \cap D_A(YG)$ .

Note that an A-convex group is Z-convex. In fact, we are really only interested in Z- and  $\mathbb{Q}$ -convex objects.

In this section, we show that G is A-convex if and only if  $G^c$  is a convex  $\ell$ -group for which  $YG^c = YG$  is zero-dimensional and

$$W_A G = W_A G^c \leqslant G \leqslant G^c.$$

This relates the two queries in Question 2.4 and addresses Question 2.4.1 (a). We also note the rarity of  $W_Z G \leq_a G$ .

In the next section we show that  $W_{\mathbb{Q}}G \leq_a G$  for convex groups G. Thus, Q-convex is the answer to Question 2.4.

**Remark 3.2.** The operator  $W_Z$  is studied in [15], there denoted  $\mathbf{W}_s$ . It is a coreflection of  $\mathbf{W}$  onto the full subcategory whose objects satisfy  $G = W_Z G$  (called *singular*). The situation with  $W_A$  is analogous, but we won't pursue that here. Note that Z-convexity is an extension of the *singularly convex* condition in [14].

**Proposition 3.3.**  $G^c$  is an  $\ell$ -group (hence it is convex and  $YG^c = YG$ ) if and only if  $\beta g^{-1} \mathbb{R} = YG$  for each  $g \in G$ .

Proof.  $\Rightarrow$ : Suppose that  $g^{-1}\mathbb{R}$  is not  $C^*$ -embedded (without loss of generality, we may take  $g \in G^+$ ), say  $f \in C^*(g^{-1}\mathbb{R})$  fails to extend over YG. Choose  $m \ge |h|$  and define h(x) = f(x) + g(x) if  $x \in g^{-1}\mathbb{R}$  and  $f(x) = +\infty$  if  $x \notin g^{-1}\mathbb{R}$ . Then  $|h| \le g + m \in G$ , so that  $h \in G^c$ . But  $h - g \notin D(YG)$ , so  $G^c$  is not closed under addition.

⇐: The lattice operations are inherited from D(YG). Suppose that  $f_i \in D(YG)$  with  $|f_i| \leq g_i \in G^+$  for i = 1, 2. Then  $f_i^{-1} \mathbb{R} \supseteq g_i^{-1} \mathbb{R}$  so that

$$f_1 + f_2 \in C(g_1^{-1}\mathbb{R} \cap g_2^{-1}\mathbb{R}).$$

Since  $g_1^{-1} \mathbb{R} \cap g_2^{-1} \mathbb{R} = (|g_1| + |g_2|)^{-1} \mathbb{R}$  and we assume that this set is  $C^*$ -embedded, we have the extension to  $h \in D(YG)$  and  $|h| \leq g_1 + g_2$  (since that holds on the dense set  $g_1^{-1} \mathbb{R} \cap g_2^{-1} \mathbb{R}$ ). Thus  $h \in G^c$  and  $h = f_1 + f_2$  in  $G^c$ .

**Proposition 3.4.** Suppose that G is Z-convex.

- (a) If  $g \in G^+$  and there is  $0 < r \in \mathbb{R}$  such that g(x) > 0 implies  $g(x) \ge r$ , then there is  $f \in W_Z G$  such that  $f \sim_a g$ .
- (b) For all  $g \in G$ , there exists  $f \in W_Z G$  such that  $f^{-1}\mathbb{R} = g^{-1}\mathbb{R}$ .
- (c) For all  $g \in G$ ,  $\beta g^{-1} \mathbb{R} = YG$ .
- (d)  $G^c$  is a convex  $\ell$ -group with  $YG^c = YG$ .

Proof. The definition of Z-convex includes the assumption that YG is zerodimensional, so any  $g^{-1}\mathbb{R}$  is zero-dimensional and Lindelöf, thus strongly zerodimensional. See [9] and [20].

(a) Without loss of generality,  $r \ge 3$ . For every  $n \ge 3$ , choose a clopen set  $U_n$  with  $g^{-1}[n-1, n+1] \subseteq U_n \subseteq g^{-1}(n-2, n+2)$  so that

$$n-2 \leqslant \bigwedge_{n} g|_{U_n} \leqslant \bigvee_{n} g|_{U_n} \leqslant n+2.$$

Let  $V_n = U_n \setminus \bigcup_{j < n} U_j$ . Then the functions  $g|_{V_n}$  retain the preceding inequalities and  $g^{-1}\mathbb{R} = Z(g) \bigsqcup_n V_n$ . Clearly, this set is open.

Now define  $f \in D_Z(YG)$  by  $f|_{V_n} = n-2$ ,  $f|_{YG-g^{-1}\mathbb{R}} = +\infty$  and  $f|_{Z(g)} = 0$ . So then  $f \leq g$  on  $g^{-1}\mathbb{R}$  and hence,  $f \leq g$ . Also

$$g|_{V_n} \leq n+2 = (n-2)+4 = f|_{V_n} + 4.$$

Then  $g \leq f + 4 \leq 5f$ , since  $1 \leq f$ .

(b) Apply (a) to  $|g| \vee 3$  to get f.

(c) Since  $g^{-1}\mathbb{R}$  is strongly zero-dimensional, it suffices to demonstrate that any  $h \in C(g^{-1}\mathbb{R}, \{0, 1\})^+$  extends over YG. See [9] and [20]. By (b), we can assume that  $g \in W_Z G$ . Define  $f \in D_Z(YG)$  by f(x) = g(x) + h(x) if  $x \in g^{-1}\mathbb{R}$  and  $f(x) = +\infty$ , otherwise. Then  $f \leq g + 2 \in G$ . Since G is Z-convex,  $f \in G$ . Thus,  $g - f \in G$  and this is the desired extension of h.

(d) By (c) and Proposition 3.3.

**Theorem 3.5.** Let A be a proper subgroup of  $\mathbb{R}$  containing 1.

- (a) If H is convex with YH zero-dimensional, then  $W_AH$  is A-convex and  $H = (W_AH)^c$ .
- (b) If G is A-convex, then  $G^c$  is a convex  $\ell$ -group with  $YG^c$  zero-dimensional and  $W_A(G^c) \leq G$ .

Proof. (a) If YH is zero-dimensional, then C(YH, Z) separates points of YH. Since  $C(YH, Z) \leq W_Z H \leq W_A H$ , the group  $W_Z H$  also separates points of YH and thus  $YW_A H = YH$ . Now suppose that H is convex and  $f \in D_A(YW_A H)$ , such that  $|f| \leq g \in W_A H$  for some g. Then  $f \in D(YH)$  and  $|f| \leq g \in H$ . Since H is convex,  $f \in H$ . Since also  $f \in D_A(YH)$ , we have that  $f \in W_A H$  and, hence,  $W_A H$  is convex.

We know that  $W_A H \subseteq H$  and so  $(W_A H)^c \subseteq H$  since H is convex. For the reverse,  $H^+ \subseteq (W_Z H)^c$  by Proposition 3.4 (a); so  $H \subseteq (W_Z H)^c$  since the larger set is an  $\ell$ -group by the above and by Proposition 3.4 (d). Since we have the containment  $(W_Z H)^c \subseteq (W_A H)^c$ , the proof is complete.

(b) Assume that G is A-convex. Since  $Z \leq A$ , G is Z-convex, so Proposition 3.4 (d) applies. Let  $f \in W_A G^c$ , that is,  $f \in D_A(YG^c)$  and  $|f| \leq g \in G^c$ . Thus,  $|f| \leq g \leq g' \in G$ . Since G is A-convex,  $f \in G$ .

**Corollary 3.6.** Let A be a proper subgroup of  $\mathbb{R}$  containing 1.

- (a) The following are equivalent:
  - $(a_1)$  H is convex with YH zero-dimensional.
  - (a<sub>2</sub>)  $H = G^c$  for some A-convex G.

(a<sub>3</sub>)  $H = G^c$  for a unique A-convex G with  $G = W_A G$ , namely  $G = W_A H$ .

- (b) The following are equivalent:
  - (b<sub>1</sub>) G is A-convex.
  - (b<sub>2</sub>)  $W_A H \leq G \leq H$  for some convex H with YH zero-dimensional; such an H is unique, namely  $H = G^c$ .

Proof.  $(a_3) \Rightarrow (a_2)$  is clear and  $(a_2) \Rightarrow (a_1)$  by Theorem 3.5(b).

 $(a_1) \Rightarrow (a_3)$ : We know that  $H = (W_A H)^c$  by Theorem 3.5 (a). If also  $H = G^c$  for some A-convex  $G = W_A G$ , then

$$W_A H = W_A(G^c) \leqslant G \leqslant W_A G \leqslant W_A(G^c),$$

using Theorem 3.5 (b) and the fact that  $G \leq G^c$  implies that  $W_A G \leq W_A G^c$ .

 $(b_1) \Rightarrow (b_2)$ : Assume that G is A-convex. By Theorem 3.5 (a), if H satisfies  $(b_2)$  then  $H = G^c$  and by Theorem 3.5 (b)  $G^c$  does satisfy  $(b_2)$ .

 $(\mathbf{b}_2) \Rightarrow (\mathbf{b}_1)$ : Suppose that G and H satisfy  $(\mathbf{b}_2)$ . Then YG = YH and if  $f \in D_A(YG)$  with  $|f| \leq g \in G$  then  $f \in W_AH$  so  $f \in G$ . Thus, G is A-convex.

**Remark 3.7.** (a) Proposition 3.3 is the content of Remark 2.6 (e) in [2], where no proof was given.

(b) Proposition 3.4 is related to a lemma in [2].

(c) A W-object (G, u) for which every  $g \in G^+$  satisfies the hypothesis of Proposition 3.4 (a) is called *bounded away*. So we have shown that when G is Z-convex and bounded away,  $W_Z G \leq_a G$ . This is closely related to Corollary 4.5 of [14].

In Proposition 3.4 (a), the bounded away condition can not be dropped: Let X be a compact and zero-dimensional space, then C(X) is Z-convex. However,  $W_Z C(X) = C(X, Z)$  and  $C(X, Z) \leq_a C(X)$  if and only if X is finite. (See [13].)

(d) In fact, for H convex,  $W_Z H \leq_a H$  if and only if YH is finite (whence  $H \cong \mathbb{R}^n$  for some  $n \in \mathbb{N}$ ): sufficiency is easy to show, so let's show necessity. If H is convex, then  $H^* = C(YH)$  and if  $W_Z H \leq_a H$ , then

$$C(YH, Z) = W_Z H^* = (W_Z H)^* \leqslant_a H^* = C(YH)$$

and we have the situation of the above. So YH is finite.

(e) Proposition 3.4 shows that Z-convex answers Question 2.4.1 (a), while Corollary 3.6 and Remark (d) above show that Z-convex fails to answer Question 2.4.1 (b), equivalently, the condition  $W_Z H = G$  fails to answer Question 2.4.2.

## 4. The main theorem

We now replace Z by  $\mathbb{Q}$ .

Theorem 4.1. In W:

- (a) If H is convex with YH zero-dimensional, then  $W_{\mathbb{Q}}H \leq_a H$  and H is the unique a-closure of  $W_{\mathbb{Q}}H$ .
- (b) If G is Q-convex, then  $G \leq_a G^c$ , so  $G^c$  is the unique a-closure of G.
- (c) If H is Q-convex, then  $W_{\mathbb{Q}}H \leq_a H$ .

**Proof.** By §3, (a) and (b) two are the same statement, so we prove (a). Statement (c) is a direct consequence of (a) and (b).

Let H be convex with YH zero-dimensional and  $h \in H^+$ . Choose a clopen set  $U \subseteq YH$  with  $h^{-1}[0, \frac{1}{2}] \subseteq U \subseteq h^{-1}[0, 1)$ . Let  $h_1(p) = h(p)$  if  $p \in U$ ,  $h_1(p) = 0$  otherwise and let  $h_2(p) = h(p)$  if  $p \notin U$  and  $h_2(p) = 0$  if  $p \in U$ . Since U is clopen,  $h_1, h_2 \in D(YH)$  and since  $0 \leq h_1, h_2 \leq h$ , and H is convex,  $h_1, h_2 \in H$ . It suffices to find  $g_1, g_2 \in W_{\mathbb{Q}}H^+$  with  $g_i \sim_a h_i$  when i = 1, 2 and then  $g_1 + g_2 \sim_a h_1 + h_2 = h$ .

Now  $h_2(p) > 0$  implies that  $h_2(p) \ge \frac{1}{2}$ . So by Proposition 3.4 (a), there is  $g_2 \in W_Z H$  with  $g_2 \sim_a h_2$ .

For i = 1: since H is convex,  $H^* = C(YH)$ . We finish by using the following Lemma (with  $f = h_1$ ).

**Lemma 4.2.** If X is compact and zero-dimensional and  $f \in C(X)$  such that  $0 \leq f \leq 1$ , then there is  $g \in C(X, \mathbb{Q})$  with  $g \sim_a f$ .

**Proof.** By induction, choose clopen sets  $K_0 \supseteq K_2 \supseteq \ldots$  as follows:  $K_0 = X$  and for each n,

$$f^{-1}[0, 1/2^{n+1}] \subseteq K_{n+1} \subseteq K_n \cap f^{-1}[0, 1/2^n).$$

Then we see that  $Z(f) = \bigcap_n K_n$ ,

$$1/2^{n+1} \leq f|_{K_n \setminus K_{n+1}} \leq 1/2^{n-1}$$

and  $\operatorname{coz}(f) = \bigcup_{n} (K_n \setminus K_{n+1})$ . Define  $g \in C(X, \mathbb{Q})$  by g(x) = 0 when  $x \in Z(f)$  and  $g(x) = 1/2^{n+1}$  when  $x \in K_n \setminus K_{n+1}$ . Then  $g \leq f$  and  $f \leq 4g$ . Thus,  $g \sim_a f$ .  $\Box$ 

#### 5. Alexandroff algebras and $C(X, \mathbb{Q})$

Throughout, we assume that X is zero-dimensional; otherwise,  $C(X, \mathbb{Q})$  may be too small.

**Theorem 5.1.** Suppose X is zero-dimensional.

- (a) Each  $g \in C(X, \mathbb{Q})$  has an extension  $\hat{g} \in D(\beta_0 X)$ , and  $\{\hat{g}: g \in C(X, \mathbb{Q})\}$  is the Yosida representation. In particular,  $YC(X, \mathbb{Q}) = \beta_0 X$ .
- (b)  $C(X,\mathbb{Q})$  is  $\mathbb{Q}$ -convex and so has a unique *a*-closure  $C(X,\mathbb{Q})^c$ .
- (c)  $W_{\mathbb{Q}}C(X) = W_{\mathbb{Q}}C(X,\mathbb{Q})$  and  $W_{\mathbb{Q}}C(X) \leq_a C(X,\mathbb{Q})$ .
- (d)  $W_{\mathbb{Q}}C(X) = C(X, \mathbb{Q})$  if and only if X is pseudocompact.

Proof. (a) Consider the commutative diagram of continuous functions:



in which  $\beta_0 g$  exists with  $\beta_0 g|_X = g$ , because  $\beta_0$  is the reflection functor to compact zero-dimensional spaces. Since  $\mathbb{Q}$  is strongly zero-dimensional, we have that  $\beta_0 \mathbb{Q} = \beta \mathbb{Q}$ . Then f is the extension of the inclusion  $\mathbb{Q} \hookrightarrow \mathbb{R} \subseteq \mathbb{R} \cup \{\pm \infty\}$ , and  $\hat{g} = f \circ \beta_0 g \in D(\beta_0 X)$ .

We have  $C(X, \mathbb{Q}) \supseteq C^*(X, Z) \cong C(\beta_0 X, Z)$ , and the last separates the points of  $\beta_0 X$ , thus so does  $\{\hat{g}: g \in C(X, \mathbb{Q})\}$  hence this is the Yosida representation.

(b) Let  $f \in D_{\mathbb{Q}}(\beta_0 X)$  and  $|f| \leq \hat{g}$ , where  $g \in C(X, \mathbb{Q})$ . Then  $f|_X \in C(X, \mathbb{Q})$  and  $\widehat{f|_X} = f$ . Thus,  $C(X, \mathbb{Q})$  is  $\mathbb{Q}$ -convex. Then  $C(X, \mathbb{Q})^c$  is the unique *a*-closure by Theorem 4.1.

(c) Since  $C(X, \mathbb{Q}) \leq C(X)$  we have  $W_{\mathbb{Q}}C(X, \mathbb{Q}) \leq W_{\mathbb{Q}}C(X)$ . For the reverse, let  $f \in W_{\mathbb{Q}}C(X)$ . This means that  $f = \beta g$  for  $f|_X = g \in C(X)$  and for  $p \in \beta X$ , whenever  $f(p) \in \mathbb{R}$  necessarily means that  $f(p) \in \mathbb{Q}$ . Thus  $g \in C(X, \mathbb{Q})$ . We have  $f = \beta g = \hat{g} \circ \varphi$ , where  $\varphi \colon \beta X \to \beta_0 X$  is the canonical map. Then whenever  $\hat{g}(q) \in \mathbb{R}$ , we necessarily have that  $\hat{g}(q) \in \mathbb{Q}$  for all  $q \in \beta_0 X$ .

That  $W_{\mathbb{Q}}C(X) \leq_a C(X,\mathbb{Q})$  follows from (b) and Theorem 4.1.

(d) By (c), having  $W_{\mathbb{Q}}C(X) = C(X,\mathbb{Q})$  is equivalent to having the inclusion  $W_{\mathbb{Q}}C(X) \supseteq C(X,\mathbb{Q})$ , which means that for  $f \in D(\beta_0 X)$ ,  $f(X) \subseteq \mathbb{Q}$  implies  $f|_{f^{-1}\mathbb{R}} \subseteq \mathbb{Q}$ .

Suppose that X is pseudocompact,  $f \in D(\beta_0 X)$  and  $f(X) \subseteq \mathbb{Q}$ . Then f(X) is a pseudocompact subset of  $\mathbb{Q}$ , hence compact, so  $f^{-1}\mathbb{R} = \beta_0 X$  and  $f(\beta_0 X) = f(X) \subseteq \mathbb{Q}$ .

Suppose that X is not pseudocompact. Then, since X is zero-dimensional,  $X = \bigcup_n U_n$  for nonempty pairwise disjoint clopen sets  $U_n$ . Let  $x_n \to r$  in  $\mathbb{R}$  with  $x_n \in \mathbb{Q}$ 

and  $r \notin \mathbb{Q}$ , and define  $g \in C(X, \mathbb{Q})$  by  $g|_{U_n} = x_n$ . Then the extension  $\hat{g} \in D(\beta_0 X)$  must have  $\hat{g}(p) = r$  for some p therefore  $g \notin W_{\mathbb{Q}}C(X)$ .

**Corollary 5.2.**  $C(X, \mathbb{Q})^c = C(X)$  (equivalently,  $C(X, \mathbb{Q}) \leq_a C(X)$ ) if and only if X is strongly zero-dimensional.

We now describe  $C(X, \mathbb{Q})^c$ , in general.

If X is zero-dimensional, let clop(X) be the Boolean algebra of clopen sets of X. Then for  $U \in clop(X)$ , the map  $U \mapsto cl U \in clop(\beta_0 X)$  is its Stone representation.

Define  $(\operatorname{clop}(X))_{\sigma} = \{\bigcup_{n} U_n \colon U_n \in \operatorname{clop}(X)\}$ . Clearly,  $(\operatorname{clop}(X))_{\sigma} \subseteq \operatorname{coz}(X)$ , with equality if and only if X is strongly zero-dimensional. In fact,

$$(\operatorname{clop}(X))_{\sigma} = \{ K \cap X \colon K \in \operatorname{coz}(\beta_0 X) \}.$$

Define  $A(X) = \{f \in \mathbb{R}^X : f^{-1}K \in (\operatorname{clop}(X))_{\sigma} \text{ for } K \subseteq \mathbb{R} \text{ open}\}$ . Then A(X) is a **W**-object and  $A(X) \leq C(X)$  with equality if and only if X is strongly zerodimensional. See §7 of [10] for a discussion.

## Theorem 5.3.

- (a) A(X) is of the type in Theorem 2.5. (2), thus is convex.
- (b)  $C(X,\mathbb{Q}) \leq A(X)$  and for each  $f \in A(X)$  there is a sequence of functions  $\{g_n\}_{n=1}^{\infty} \in C(X,\mathbb{Q})$  such that  $g_n \to f$  uniformly on X.
- (c) Each  $f \in A(X)$  has an extension  $\hat{f} \in D(\beta_0 X)$  and  $\{\hat{f} \colon f \in A(X)\}$  is the Yosida representation. In particular,  $YA(X) = \beta_0 X$ .
- (d)  $W_{\mathbb{Q}}A(X) = W_{\mathbb{Q}}C(X) \leq C(X, \mathbb{Q}) \leq A(X).$
- (e)  $A(X) = C(X, \mathbb{Q})^c$ , that is, A(X) is the unique a-closure of  $C(X, \mathbb{Q})$ .

Proof. (a) This is easily verified, or one may see §7 of [10].

(b) Let  $g \in C(X, \mathbb{Q})$  and let A be an open set in  $\mathbb{R}$ . Since  $\mathbb{Q}$  is strongly zerodimensional,  $A \cap \mathbb{Q} = \bigcup_n U_n$  for clopen sets  $U_n \in \mathbb{Q}$ . Thus, we can write  $g^{-1}A = \bigcup_n g^{-1}U_n \in (\operatorname{clop}(X))_{\sigma}$ .

Let  $f \in A(X)$  and  $\varepsilon > 0$ . Let  $\mathscr{A}$  be a countable cover of  $\mathbb{R}$  by open intervals of length less than  $\varepsilon$ . So, for  $A \in \mathscr{A}$ ,  $f^{-1}A = \bigcup_{n} U(n, A)$  for clopen U(n, A) and  $\mathscr{U} = \{U(n, A): A \in \mathscr{A}, n \in \mathbb{N}, U(n, A) \neq \emptyset\}$  is a countable cover of X by clopen sets. We re-index the sets as  $\mathscr{U} = \{U_n\}$  and disjointify:  $V_n = U_n \setminus \bigcup_{i < n} U_i$ . Let  $\mathscr{V} = \{V_n\}_n$ .

For each  $A \in \mathscr{A}$ , choose  $r_A \in A \cap \mathbb{Q}$ . Let  $g = \sum_n \{r_A \chi_{V_n} : V_n \in \mathscr{V}\}$ , where  $\chi_{V_n}$  is the characteristic function of  $V_n$ . Then  $g \in C(X, \mathbb{Q})$  and  $|g(x) - f(x)| < \varepsilon$  for each  $x \in X$ . (c) The extensions  $\hat{f}$  exist by Theorem 5.1 (a) and the fact that a uniform limit of extendible functions is extendible. These extensions separate the points, since the extensions  $\hat{g}$  for  $g \in C(X, \mathbb{Q})$  do. The rest follows from this.

 $\square$ 

- (d) This follows from Theorem 5.1 (c) and from  $C(X, \mathbb{Q}) \leq A(X) \leq C(X)$ .
- (e) By (a), (d) and 4.

**Remark 5.4.** (a) Theorem 5.3(a), (b), and (c) are implicit in §7 of [11].

(b) From a more general perspective,  $(\operatorname{clop}(X))_{\sigma}$  is an example of what is called a *cozero field*, A(X) is its associated *Alexandroff algebra*, and Theorem 2.5(2) is a characterization of such things. One may see [10], [11], [12] and the original references therein to Hausdorff, Lebesgue and A. D. Alexandroff.

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