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LOEWY COINCIDENT ALGEBRA AND QF -3 ASSOCIATED
GRADED ALGEBRA

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Abstract. We prove that an associated graded algebra R_G of a finite dimensional algebra R is QF (= selfinjective) if and only if R is QF and Loewy coincident. Here R is said to be Loewy coincident if, for every primitive idempotent e , the upper Loewy series and the lower Loewy series of Re and eR coincide.

QF -3 algebras are an important generalization of QF algebras; note that Auslander algebras form a special class of these algebras. We prove that for a Loewy coincident algebra R , the associated graded algebra R_G is QF -3 if and only if R is QF -3.

Keywords: associated graded algebra, QF algebra, QF -3 algebra, upper Loewy series, lower Loewy series

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INTRODUCTION

Let K be a field and R a finite dimensional K -algebra; denote its Jacobson radical by J . Given a left R -module X , the chain

$$X \supset JX \supset \dots \supset J^\varrho X$$

of its submodules is called the upper Loewy series of X . On the other hand, the chain of the right annihilators

$$X = r(J^{\varrho+1}: X) \supset r(J^\varrho: X) \supset r(J^{\varrho-1}: X) \supset \dots \supset r(J^1: X),$$

where $r(J^i: X) = \{x \in X; J^i x = 0\}$ for $i = 1, 2, \dots, \varrho$, is called the lower Loewy series of X .

If the upper Loewy series and the lower Loewy series of X coincide, we shall say that X satisfies the Loewy coincidence condition. For a right R -module, we shall apply the same definitions.

We shall say that R is a left Loewy coincident algebra if every primitive left ideal satisfies the Loewy coincidence condition. That is, R is left Loewy coincident if and only if for every primitive idempotent e of R , $J^{e+1-i}e = r(J^i)e$ for $i = 1, 2, \dots, \varrho$, where $J^e e \neq 0$ but $J^{e+1}e = 0$.

A left and right Loewy coincident algebra is called simply a Loewy coincident algebra.

In [5], the author has proved that the associated graded algebra R_G is quasi-Frobenius if and only if R is Loewy coincident and quasi-Frobenius. It is well known that R is quasi-Frobenius (abbreviated to QF) if and only if R is selfinjective.

Let us point out that R and R_G have very different structures even if R is commutative (cf. Example 2.2 in [5]).

In this paper we will extend our consideration to QF -3 associated graded algebras. For the definition of QF -3 algebras, see Thrall [6] and Tachikawa [4]. Note that Auslander algebras are a special class of QF -3 algebras; recall that an Auslander algebra is the endomorphism algebra of the direct sum of all indecomposable modules over an algebra of finite representation type (Auslander [1]).

In §2, we shall prove that if R is a Loewy coincident algebra, then the associated graded algebra R_G is QF -3 if and only if R is QF -3.

1. SOCLE CONDITION AND LOEWY COINCIDENCE CONDITION

Let R be an algebra with Jacobson radical J of nilpotency $n + 1$. Let us denote the associated graded ring of R by $R_G (= R/J \oplus J/J^2 \oplus \dots \oplus J^{n-1}/J^n \oplus J^n)$.

We shall say that a positive integer ϱ is the Loewy length of a left R -module X if $J^e X \neq 0$ but $J^{e+1} X = 0$.

Then for a left R -module X of the Loewy length ϱ the associated graded left R_G -module X_G is defined as a (formal) direct sum

$$X/JX \oplus JX/J^2X \oplus \dots \oplus J^{e-1}X/J^eX \oplus J^eX$$

with the following operation by R_G : $r_G x_G = \sum_{j=0}^n \sum_{k=0}^{\varrho} (r_j x_k + J^{j+k+1} X)$, where $r_G = \sum_{j=0}^n (r_j + J^{j+1}) \in R_G$ with $r_j \in J^j$ and $x_G = \sum_{k=0}^{\varrho} (x_k + J^{k+1} X) \in X_G$ with $x_k \in J^k X$.

In this case, since $J^{e+1} X = 0$, $J^e X$ and $\text{Rad}(R_G)^e X_G$ can be identified as additive groups. Furthermore, we can identify $J^e X$ and $\text{Rad}(R_G)^e X_G$ as R_G -modules. In order to indicate this identification, we use the notation $R_G J^e X$.

We know that the socle $\text{Soc}(X)$ of X can be defined by

$$\text{Soc}(X) = r(J: X), \quad \text{where } r(J: X) = \{x \in X; Jx = 0\}.$$

Similarly, we can define the socle of the left R_G -module X_G by $\text{Soc}(X_G) = r(\text{Rad}(R_G): X_G) = \left\{ \sum_{k=0}^{\varrho} (x_k + J^{k+1}X); x_k \in J^k X \text{ and } Jx_k \subseteq J^{k+2}X \text{ for } 0 \leq k \leq \varrho - 1 \right\}$.

Now, let us consider the submodule of X_G consisting of the elements $\sum_{k=0}^{\varrho} (y_k + J^{k+1}X)$, where $y_k \in \text{Soc}(X) \cap J^k X$. We denote this submodule by $\text{soc}(X_G)$. It is clear that ${}_{R_G}J^{\varrho}X \subset \text{soc}(X_G) \subset \text{Soc}(X_G) \subset X_G$.

Let us point out that if ${}_{R_G}J^{\varrho}X = \text{soc}(X_G)$, then $J^{\varrho}X = \text{Soc}(X)$. Indeed, for $x \in \text{Soc}(X)$ denote by j the positive integer such that $x \in J^j X \setminus J^{j+1}X$. Then $x + J^{j+1}X \in \text{soc}(X_G)$ and it follows from ${}_{R_G}J^{\varrho}X = \text{soc}(X_G)$ that there exists $y \in J^{\varrho}X$ such that $y + J^{\varrho+1} = x + J^{j+1}$. But this implies $j = \varrho$ and $x = y$. Hence $\text{Soc}(X) \subseteq J^{\varrho}X$, which means $J^{\varrho}X = \text{Soc}(X)$.

We say that a left R -module X satisfies the socle condition with respect to X_G if ${}_{R_G}J^{\varrho}X = \text{soc}(X_G) = \text{Soc}(X_G)$. Moreover, we say that a left R -module X satisfies the Loewy coincidence condition if $J^i X = \text{Soc}^{\varrho+1-i}(X)$ ($= r(J^{\varrho+1-i}; X) = \{x \in X; J^{\varrho+1-i}x = 0\}$) for $i = 1, 2, \dots, \varrho$. Here of course $\text{Soc}^1(X) = \text{Soc}(X)$. Then we can formulate the following statement.

Lemma 1.1. *Let X be a left R -module. Then the following statements (i) and (ii) are equivalent:*

- (i) X satisfies the socle condition with respect to X_G .
- (ii) X satisfies the Loewy coincidence condition.

Proof. (i) \Rightarrow (ii): Let ϱ be the Loewy length of X and assume that $\text{Soc}^s(X) = J^{\varrho+1-s}X$ for $s \geq 1$. For $s = 1$, the assumption is satisfied. For, as mentioned earlier, the condition (i), viz. ${}_{R_G}J^{\varrho}X = \text{soc}(X_G)$ implies $J^{\varrho}X = \text{Soc}(X)$.

Suppose now that $\text{Soc}^{s+1}(X) \neq J^{\varrho-s}X$. Since $\text{Soc}^{s+1}(X) \supseteq J^{\varrho-s}X$, there is an element $x \in X$ such that $x \in \text{Soc}^{s+1}(X)$ but $x \notin J^{\varrho-s}X$. Let l be a positive integer such that $x \in J^l X \setminus J^{l+1}X$. Then l is uniquely determined, $l < \varrho - s$ and $Jx \subseteq J^{l+1}X$. In this case we know that $Jx \not\subseteq J^{l+2}X$. Indeed, suppose that $Jx \subseteq J^{l+2}X$. Then $x + J^{l+1}X \in \text{Soc}(X_G) = \text{soc}(X_G) = {}_{R_G}J^{\varrho}X$ by (i). Hence we have $l = \varrho$, which is a contradiction to $l < \varrho - s$.

On the other hand, $x \in \text{Soc}^{s+1}(X)$ implies $J^{s+1}x = J^s(Jx) = 0$ and hence $Jx \subseteq \text{Soc}^s(X) = J^{\varrho+1-s}X$. However, $J^{\varrho+1-s}X \subseteq J^{l+2}X$ because $J^{\varrho+1-s}X \subset J^{l+1}X$

but $J^{\varrho+1-s}X \neq J^{l+1}X$. Hence, we have $Jx \subseteq J^{l+2}X$, which contradicts again $Jx \not\subseteq J^{l+2}X$.

Consequently, we conclude that $\text{Soc}^{s+1}(X) = J^{\varrho-s}X$. Now by induction on s we can complete the proof of (i) \Rightarrow (ii).

(ii) \Rightarrow (i): Since ϱ is the Loewy length of X , it follows immediately from (ii) that $J^\varrho X = \text{Soc}(X)$, which yields ${}_{R_G}J^\varrho X = \text{soc}(X_G)$.

Suppose that there is an element $x \in J^t X \setminus J^{t+1}X$ such that $x + J^{t+1}X \in \text{Soc}(X_G)$ and $x \notin \text{Soc}(X)(= J^\varrho X)$. Then $t < \varrho$ and $Jx \in J^{t+2}X$. However, (ii) implies that $J^{t+2}X = \text{Soc}^{\varrho+1-(t+2)}(X)$ and hence $J^{\varrho-t-1}Jx = J^{\varrho-t}x = 0$. Thus, $x \in \text{Soc}^{\varrho-t}(X)$.

Again by (ii), we have $\text{Soc}^{\varrho-t}(X) = J^{\varrho+1-(\varrho-t)}X = J^{t+1}X$ and hence $x \in J^{t+1}X$. However, this contradicts $x \in J^t X \setminus J^{t+1}X$. Consequently, $\text{Soc}(X_G) \subseteq \text{soc}(X_G)$. \square

In view of Morita equivalence [2], we can assume, without loss of generality, that all algebras are basic. Let e be a primitive idempotent of the ring R . Then $e + J \in R/J$ is a primitive idempotent of R_G that will be briefly denoted by e_G .

We have a ring isomorphism $R/J \simeq R_G/\text{Rad}(R_G)$ and by this isomorphism e corresponds to e_G . Therefore we can identify the simple left R -module Re/Je and the simple left R_G -module $R_G e_G/\text{Rad}(R_G)e_G$. We note that this identification can be extended to semisimple R -modules and semisimple R_G -modules.

Now, if we apply Lemma 1.1 for $X = Re$ then we obtain immediately the following theorem.

Theorem 1.2. *Let ϱ be the Loewy length of Re . Then $\text{Soc}(R_G e_G) = \text{Soc}(Re) = N^{\varrho}e$ if and only if Re satisfies the Loewy coincidence condition.*

An algebra R is said to be left (or right) QF -2 if $\text{Soc}(Re)$ (or $\text{Soc}(eR)$) is simple for every primitive idempotent e (cf. [6]).

As $J^\varrho e = \text{Soc}(Re)$ if $\text{Soc}(Re)$ is simple, we have immediately

Corollary 1.3. *R_G is left QF -2 if and only if R is left QF -2 and left Loewy coincident.*

Proof. If R_G is QF -2, then for every primitive idempotent e_G , $\text{Soc}(R_G e_G)$ is simple. Hence $\text{Rad}(R_G)^{\varrho} e_G = J^\varrho e = \text{Soc}(Re) = \text{Soc}(R_G e_G)$. Therefore by Lemma 1.1, the Loewy coincidence condition holds for every primitive ideal Re . It follows that R is QF -2.

If R is QF -2 and if the coincidence condition holds for every primitive ideal Re , then by Lemma 1.1 the socle condition for $R_G e_G$ holds and $R_G e_G$ has a simple socle. Hence R_G is QF -2. \square

By T. Nakayama [3], an algebra R is QF (=quasi-Frobenius) if and only if the following conditions (i) and (ii) are satisfied:

(i) For all primitive idempotents e_i , $i = 1, 2, \dots, m$, we have $r(J)e_i = l(J)e_i$ and $e_i l(J) = e_i r(J)$, and they are simple left and right R -modules, where $r(J)$ and $l(J)$ denote the right and left annihilators of J , respectively.

(ii) There is a permutation π on $\{1, 2, \dots, m\}$ such that $r(J)e_i \simeq Re_{\pi(i)}/Je_{\pi(i)}$.

Therefore R is QF if and only if R is a left and right QF -2 algebra with $r(J) = l(J)$ having the above permutation π . Hence, Corollary 1.3 yields immediately the following theorem.

Theorem 1.4. R_G is QF if and only if R is QF and Loewy coincident.

(Cf. Theorem 1.7 of [5].)

2. QF -3 ASSOCIATED GRADED ALGEBRAS

In what follows we assume that R is an algebra over a field K and $D(R)$ (= $\text{Hom}_K(R, K)$) is the dual module of R . For a left R -module X , $D(X) = \text{Hom}_K(X, K)$ is the right R -module defined by $(\varphi r)(x) = \varphi(rx)$ for φ from $\text{Hom}_K(X, K)$, $r \in R$ and $x \in X$. Similarly for a right R -module the dual module is defined to be a left R -module.

$D(R)$ is an R -bimodule and it is well known that

$${}_R\text{Hom}_K({}_K X_R, {}_K K) \simeq {}_R\text{Hom}_R(X_R, {}_R D(R)_R)$$

for a right R -module X and

$$\text{Hom}_K({}_R Y_K, {}_K K)_R \simeq \text{Hom}_R({}_R Y, {}_R D(R)_R)_R$$

for a left R -module Y .

Furthermore, ${}_R D(R)$ (or $D(R)_R$) is an injective cogenerator in $R\text{-mod}$ (or $\text{mod-}R$), where $R\text{-mod}$ (or $\text{mod-}R$) denotes the category of finitely generated left (or right) R -modules. It is important that $\text{Hom}_R(-, {}_R D(R)_R)$ induces the Morita duality between $R\text{-mod}$ and $\text{mod-}R$ (cf. [2]).

For a primitive idempotent e , we have $D(Re/Je) \simeq eR/eJ$ and $D(Re) \simeq E(eR/eJ)$, which is the injective hull of simple module eR/eJ . Moreover, by the duality, the upper (or lower) Loewy series of a left R -module ${}_R X$ is transformed to the lower (or upper) Loewy series of the right R -module $D(X)_R$.

From now on we assume that R is a Loewy coincident algebra. Then the injective indecomposable module $D(Re)_R$ (or ${}_R D(eR)$) for any primitive idempotent e satisfies the Loewy coincidence condition.

Let us consider the associated graded algebra R_G of R . Then

$$D(R_G e_G)_{R_G} \simeq E(e_G R_G / e_G \text{rad}(R_G))$$

and by an earlier remark and Lemma 1.1 it satisfies the socle condition for $[_G D(Re)]_{R_G}$, i.e.

$$D(Re)J_{R_G}^{\varrho} = \text{soc}({}_G D(Re))_{R_G} = \text{Soc}({}_G D(Re))_{R_G},$$

where ϱ is the Loewy length of $D(Re)$. As $\text{Soc}({}_G D(Re))$ is isomorphic to $\text{Soc}(D(R_G e_G))$, ${}_G D(Re)$ can be imbedded into $D(R_G e_G)$ as a right R_G -module. But the composition lengths of ${}_G D(Re)$ and $D(R_G e_G)$ are the same as the composition length of $D(Re)_R (= \text{the composition length of } {}_R Re)$. Therefore we get that ${}_G D(Re)_{R_G} \simeq D(R_G e_G)_{R_G}$.

Proposition 2.1. *If the algebra R is Loewy coincident, then ${}_G D(Re)_{R_G}$ and ${}_{R_G} D(eR)_G$ are indecomposable injective for every primitive idempotent e .*

Following Thrall [6] an algebra R is said to be *QF-3* if R has a unique minimal faithful left R -module Q . It is well-known that Q is a direct sum of indecomposable projective and injective left ideals (i.e., injective primitive left ideals).

Let us assume that R is *QF-3*. Then using mutually non-isomorphic primitive idempotents e_i , $1 \leq i \leq m$ we have a direct sum decomposition ${}_R R \simeq \bigoplus_{i=l+1}^m Re_i \oplus Q$, where $Q \simeq \bigoplus_{i=1}^l Re_i$ and $l \leq m$.

Since, for $i \leq l$, Re_i is injective, there exists a primitive right ideal $e_k R$ such that $Re_i \simeq D(e_k R)$. By Proposition 2.1, ${}_{R_G} e_{i_G} (\simeq D(e_k R)_G)$ is an injective ideal of R_G . Hence every indecomposable direct summand of Q_G is a projective and injective left R_G -module.

Since ${}_R Q$ is faithful, $\text{Soc}(Re_j)$, $j > l$, is imbedded into a direct sum of copies of $\text{Soc}(Q)$. On the other hand, since R is Loewy coincident, the socle condition holds for ${}_{R_G} e_{j_G}$, $j > l$ and every indecomposable direct summand of Q_G , which is an injective left R_G -module, and hence ${}_{R_G} e_{j_G}$, $j > l$, is imbedded into a direct sum of copies of ${}_{R_G} Q_G$. It follows that ${}_{R_G} Q_G$ is faithful.

Conversely, assume R_G is *QF-3*. By Proposition 2.1, ${}_{R_G} e_{i_G}$ is injective if and only if ${}_R Re_i$ is injective and thus, by the socle condition, $\text{Soc}({}_{R_G} e_{i_G}) \simeq \text{Soc}({}_{R_G} e_{j_G})$ if and only if $\text{Soc}(Re_i) \simeq \text{Soc}(Re_j)$ for $1 \leq i, j \leq m$. Therefore R is *QF-3*.

Consequently, the following theorem holds.

Theorem 2.2. *Let the algebra R be Loewy coincident. Then the associated graded algebra R_G of R is QF-3 if and only if R is QF-3.*

We like to point out that all results in this paper hold for Artin algebras in the sense of Auslander [1], i.e. for algebras that are finitely generated over artinian commutative rings.

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