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## SOME ESTIMATES FOR THE FIRST EIGENVALUE OF THE STURM-LIOUVILLE PROBLEM WITH A WEIGHT INTEGRAL CONDITION

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Abstract. Let  $\lambda_1(Q)$  be the first eigenvalue of the Sturm-Liouville problem

$$y'' - Q(x)y + \lambda y = 0, \quad y(0) = y(1) = 0, \quad 0 < x < 1$$

We give some estimates for  $m_{\alpha,\beta,\gamma} = \inf_{Q \in T_{\alpha,\beta,\gamma}} \lambda_1(Q)$  and  $M_{\alpha,\beta,\gamma} = \sup_{Q \in T_{\alpha,\beta,\gamma}} \lambda_1(Q)$ , where  $T_{\alpha,\beta,\gamma}$  is the set of real-valued measurable on [0,1]  $x^{\alpha}(1-x)^{\beta}$ -weighted  $L_{\gamma}$ -functions Q with non-negative values such that  $\int_0^1 x^{\alpha}(1-x)^{\beta}Q^{\gamma}(x) \, dx = 1 \ (\alpha,\beta,\gamma \in \mathbb{R}, \gamma \neq 0).$ 

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We consider the Sturm-Liouville problem

(1) 
$$y'' - Q(x)y + \lambda y = 0, \quad x \in (0, 1),$$

(2) 
$$y(0) = y(1) = 0,$$

where Q is a real-valued measurable on [0, 1] function with non-negative values such that the integral condition

(3) 
$$\int_0^1 x^{\alpha} (1-x)^{\beta} Q^{\gamma}(x) \, \mathrm{d}x = 1 \ (\alpha, \beta, \gamma \in \mathbb{R}, \gamma \neq 0)$$

holds whenever Q belongs to the  $x^{\alpha}(1-x)^{\beta}$ -weighted  $L_{\gamma}$ -space. The set of all functions Q of this kind we denote by  $T_{\alpha,\beta,\gamma}$ .

By a solution of problem (1)-(2) we mean an absolutely continuous function y on the segment [0,1] such that y(0) = y(1) = 0; y' is absolutely continuous in the interval (0,1); equality (1) holds almost everywhere in the interval (0,1).

We study the dependence of the first eigenvalue  $\lambda_1$  of problem (1)–(3) on the potential Q under different values of parameters  $\alpha, \beta, \gamma$ . Our purpose is to give some estimates for

$$m_{\alpha,\beta,\gamma} = \inf_{Q \in T_{\alpha,\beta,\gamma}} \lambda_1(Q), \qquad M_{\alpha,\beta,\gamma} = \sup_{Q \in T_{\alpha,\beta,\gamma}} \lambda_1(Q).$$

Let  $H_Q$  be the closure of the set  $C_0^{\infty}(0,1)$  in the norm  $\|y\|_{H_Q}^2 = \int_0^1 (y'^2 + Qy^2) dx$ , where  $C_0^{\infty}(0,1)$  is the set of functions of  $C^{\infty}(0,1)$  having their supports compactly embedded in (0,1). Let  $\Gamma$  be the set of functions y from  $H_Q$  such that  $\int_0^1 y^2 dx = 1$ .

Consider the functionals

$$R[Q,y] = \frac{\int_0^1 (y'^2(x) + Q(x)y^2(x)) \,\mathrm{d}x}{\int_0^1 y^2(x) \,\mathrm{d}x}, \quad F[Q,y] = \int_0^1 (y'^2(x) + Q(x)y^2(x)) \,\mathrm{d}x.$$

Note that the values of R and F are bounded from below. Let us show that the first eigenvalue  $\lambda_1$  of problem (1)–(2) can be found as

$$\lambda_1(Q) = \inf_{y \in H_Q, y \neq 0} R[Q, y] = \inf_{y \in \Gamma} F[Q, y]$$

Step 1. Let  $Q \in T_{\alpha,\beta,\gamma}$  and  $m = \inf_{y \in \Gamma} F[Q,y]$ . There exists  $y \in \Gamma$  such that F[Q,y] = m.

For all functions  $Q \in T_{\alpha,\beta,\gamma}$  and  $y \in \Gamma$  one has  $F[Q, y] = \int_0^1 (y'^2 + Qy^2) dx = \|y\|_{H_Q}^2$ . Let  $\{y_k\}$  be a minimizing sequence of the functional F[Q, y] in  $\Gamma$ . Then  $F[Q, y_k] \leq m+1$  for all sufficiently large values of k. Hence  $\|y_k(x)\|_{H_Q}^2 = F[Q, y_k] \leq m+1$ . Since  $\{y_k\}$  is a bounded sequence in a separable Hilbert space  $H_Q$ , it contains a subsequence  $\{z_k\}$ , which converges weakly in the space  $H_Q$  to a function y. So we get  $\|y\|_{H_Q}^2 \leq m+1$ .

Let us prove that the space  $H_Q$  is compactly embedded into the space C(0, 1). First we shall establish the boundedness of the corresponding operator of embedding. Note that the inequality  $||u||_C \leq ||u'||_{L_1} + (b-a)^{-1} ||u||_{L_1}$  holds for any function  $u(x) \in C[a, b]$ . If  $u(x) \in AC[0, 1]$  and u(0) = u(1) = 0, then

$$||u||_{L_1} = \int_0^1 |u| \, \mathrm{d}x = \int_0^1 \left| \int_0^x u' \, \mathrm{d}x \right| \, \mathrm{d}x$$
$$\leqslant \int_0^1 \left( \int_0^1 |u'| \, \mathrm{d}x \right) \, \mathrm{d}x = \int_0^1 |u'| \, \mathrm{d}x = ||u'||_{L_1}.$$

By the Hölder inequality we get

(4) 
$$\|u\|_C \leq \|u'\|_{L_1} + \|u\|_{L_1} \leq 2\|u'\|_{L_1} \leq 2\|u'\|_{L_2} \leq 2\|u\|_{H_Q}.$$

The boundedness of this operator is proved.

Now let us prove the compactness of the operator of embedding. Let  $M \in H_Q$  be a bounded set, i.e. there is a real number R such that  $||u||_{H_Q} \leq R$  for all  $u \in M$ . We need to prove the precompactness of M in C(0, 1). By the Arzela theorem it suffices to prove that the set M is uniformly bounded and equicontinuous.

The set M is called uniformly bounded if there is a real number  $R_1$  such that  $|u(x)| \leq R_1$  for all  $u \in M$  and  $x \in [0, 1]$ . In virtue of (4) we have  $|u(x)| \leq ||u||_C \leq 2R = R_1$  for all  $u \in M$  and  $x \in [0, 1]$ .

Now let us prove that the set M is equicontinuous, i.e. for any  $\varepsilon > 0$  one can find  $\delta > 0$  such that  $|u(x) - u(y)| < \varepsilon$  as  $|x - y| < \delta$  for all  $u \in M$ . By the Newton-Leibniz formula we obtain: if  $|x - y| < \delta = (\varepsilon R^{-1})^2$  then

$$|u(x) - u(y)| \leq \left| \int_{x}^{y} |u'(\xi)| \,\mathrm{d}\xi \right| \leq |x - y|^{\frac{1}{2}} ||u'||_{L_{2}} \leq |x - y|^{\frac{1}{2}} R < \varepsilon \quad \text{for all } u \in M.$$

The space  $H_Q$  is compactly embedded into the space C(0,1). Consequently, there is a converging in C(0,1) subsequence  $\{u_k\}$  of the sequence  $\{z_k\}$ . Since C(0,1) is embedded into  $L_p(0,1)$ , where  $p \ge 1$ , then the sequence  $\{u_k\}$  converges in  $L_2(0,1)$ to a function  $u \in L_2(0,1)$  such that  $\int_0^1 u^2 dx = 1$ .

Let us prove that the subsequence  $\{u_k\}$  converges in  $H_Q$ . Since the functional F is quadric, we have the identity

$$F\left[Q, \frac{y_k - y_l}{2}\right] + F\left[Q, \frac{y_k + y_l}{2}\right] = \frac{1}{2}F[Q, y_k] + \frac{1}{2}F[Q, y_l].$$

Let  $\varepsilon > 0$  and let k and l be so large that for  $u_k, u_l$  from the subsequence one has

$$F[Q, u_k] \leqslant m + \varepsilon, \quad F[Q, u_l] \leqslant m + \varepsilon, \quad \text{and} \quad \int_0^1 \left(\frac{u_k - u_l}{2}\right)^2 \mathrm{d}x \leqslant \varepsilon^2.$$

Hence,

$$\int_0^1 \left(\frac{u_k + u_l}{2}\right)^2 \mathrm{d}x = \int_0^1 \left(u_l + \frac{u_k - u_l}{2}\right)^2 \mathrm{d}x$$
$$\geqslant (1 - \varepsilon) \int_0^1 u_l^2 \,\mathrm{d}x - \frac{1}{\varepsilon} \int_0^1 \left(\frac{u_k - u_l}{2}\right)^2 \mathrm{d}x \geqslant (1 - \varepsilon) - \varepsilon = 1 - 2\varepsilon.$$

Therefore,  $F[Q, \frac{1}{2}(u_k + u_l)] \ge m(1 - 2\varepsilon)$  and  $F[Q, \frac{1}{2}(u_k - u_l)] \le m + \varepsilon - m(1 - 2\varepsilon) = \varepsilon(1 + 2m)$ . It means that the subsequence  $\{u_k\}$  converges in  $H_Q$ . Since it converges

in  $H_Q$  weakly to y, then the limit function of this subsequence in  $H_Q$  is equal to y too. Then, taking into account that the functional F is continuous in  $H_Q$ , we obtain F[Q, y] = m.

Step 2. Let  $y(x) \in \Gamma$  and  $F[Q, y] = m = \inf_{y \in \Gamma} F[Q, y]$ . Then

$$-y'' + Qy - \lambda y = 0$$

where  $\lambda = m$  is the minimal eigenvalue of the Sturm-Liouville problem (1)–(2).

First we note that  $m = \inf_{y \in H_Q, y \neq 0} R[Q, y]$ . We have that the minimum of the

functional F[Q, y] is equal to m under the condition  $\int_0^1 y^2 dx = 1$ . Let u(x) be an element of  $H_Q$ . Consider two functions of  $t \in \mathbb{R}$ 

$$g(t) = \int_0^1 ((y' + tu')^2(x) + Q(x)(y + tu)^2(x)) \, \mathrm{d}x, \quad h(t) = \int_0^1 (y + tu)^2 \, \mathrm{d}x.$$

If h(0) = 1 then  $g(t) \ge g(0) = m$ , i.e. the function g has the minimal value at t = 0 under the condition h(0) = 1. Therefore,  $g'(0) + \lambda_1 h'(0) = 0$ , where  $\lambda_1$  is a real number. Let  $\lambda = -\lambda_1$ . It means that for all  $u(x) \in H_Q$  the equality  $\int_0^1 (y'u' + Qyu) \, dx = \lambda \int_0^1 yu \, dx$  holds. In particular, if u = y, then we obtain  $\lambda = m$ . Consequently,  $\int_0^1 (y'u' + Qyu - myu) \, dx = 0$ .

This equality is valid for all  $u \in C_0^{\infty}(0, 1)$ . It implies the existence of the generalized derivative of the function y' such that

(5) 
$$-y(x)'' + Q(x)y(x) - my(x) = 0.$$

By the method of averaging one can obtain a sequence  $\{y_k(x)\}$  of  $C_0^{\infty}(0, 1)$  functions with the following properties: 1)  $\{y_k(x)\}$  converges uniformly in the space  $H_Q$ to the function y; 2) the sequence  $\{Qy_k(x)\}$  also converges uniformly in  $H_Q$  to the function Qy. Then the sequence  $\{y_k(x)''\}$  converges uniformly in this space to the function y''. Therefore the equality (5) holds almost everywhere in (0, 1). Moreover, y(0) = y(1) = 0.

Thus y is a solution of the Sturm-Liouville problem (1)–(2) with the eigenvalue  $\lambda = m$ . For any solution z of this problem we have  $\int_0^1 (z'^2(x) + Q(x)z^2(x)) dx = \lambda \int_0^1 z^2 dx$ ; then in virtue of (5) we obtain the relation  $\lambda \ge m$ . Consequently, m is the minimal eigenvalue.

The following theorems give some estimates for  $m_{\alpha,\beta,\gamma}$  and  $M_{\alpha,\beta,\gamma}$ .

Theorem 1.

- (1) If  $\gamma > 0$ , then  $m_{\alpha,\beta,\gamma} = \pi^2$ .
- (2) If  $\gamma < 0$ , then  $m_{\alpha,\beta,\gamma} < +\infty$ .

## Theorem 2.

- (1) If  $\gamma < 0$  and  $0 < \gamma < 1$ , then  $M_{\alpha,\beta,\gamma} = +\infty$ .
- (2) If  $\gamma \ge 1$ , then  $M_{\alpha,\beta,\gamma} < +\infty$ .

Proof of Theorem 1. We emphasize that in virtue of Friedrichs' inequality the following relations hold for all  $Q \in T_{\alpha,\beta,\gamma}$ :

$$\lambda_1(Q) = \inf_{y \in H_Q, y \neq 0} \frac{\int_0^1 (y'^2(x) + Q(x)y^2(x)) \,\mathrm{d}x}{\int_0^1 y^2(x) \,\mathrm{d}x} \ge \inf_{y \in H_Q, y \neq 0} \frac{\int_0^1 y'^2(x) \,\mathrm{d}x}{\int_0^1 y^2(x) \,\mathrm{d}x} = \pi^2$$

Hence,  $m_{\alpha,\beta,\gamma} \ge \pi^2$ .

1) Let  $\gamma > 0$ ,  $\alpha$ ,  $\beta$  be arbitrary real numbers. We prove that  $m_{\alpha,\beta,\gamma} = \pi^2$ . Consider the functions

$$Q_{\theta,\alpha,\beta,\gamma}(x) = \begin{cases} 0, & x \in (0,\theta); \\ ((1-\theta)x^{\alpha}(1-x)^{\beta})^{-1/\gamma}, & x \in [\theta,1), \end{cases}$$
$$y_{\theta}(x) = \begin{cases} \sin \pi x/\theta, & x \in (0,\theta); \\ 0, & x \in [\theta,1), \ \theta \to 1-0. \end{cases}$$

Then we have  $\int_0^1 Q_{\theta,\alpha,\beta,\gamma}(x) y_{\theta}(x)^2 dx = 0$  and the integral condition holds. Since  $\int_0^1 y_{\theta}(x)^2 dx = \frac{1}{2}\theta$ ,  $\int_0^1 y'_{\theta}(x)^2 dx = \frac{1}{2}\pi^2/\theta$ , we obtain

$$\lim_{\theta \to 1-0} R[Q_{\theta}, y_{\theta}] = \lim_{\theta \to 1-0} \frac{\frac{1}{2}(\pi^2/\theta)}{(\frac{1}{2}\theta)} = \pi^2$$

and  $m_{\alpha,\beta,\gamma} = \inf_{Q \in T_{\alpha,\beta,\gamma}} \lambda_1(Q) \leqslant \pi^2$ . Therefore,  $m_{\alpha,\beta,\gamma} = \pi^2$ .

2.1) First we suppose that  $\gamma < 0$ ,  $\beta \ge 0$ ,  $\alpha > 2\gamma - 1$ . Consider the function  $Q_{\theta}(x) = Cx^{-(\alpha+1)/\gamma+\theta/\gamma}(1-x)^{-\beta/\gamma}$ , where  $\theta$  is a positive real number such that  $\alpha \ge 2\gamma - 1 + \theta$ . We take the constant C such that  $\int_0^1 Q_{\theta}(x)^{\gamma} x^{\alpha} (1-x)^{\beta} dx = 1$ , i.e.  $C = \theta^{1/\gamma}$ . By the Hardy inequality we obtain

$$\int_0^1 Q_\theta(x) y^2 \, \mathrm{d}x = C \int_0^1 \frac{(1-x)^{-\beta/\gamma} y^2}{x^{(\alpha+1-\theta)/\gamma}} \, \mathrm{d}x \leqslant C \int_0^1 x^{-2} y^2 \, \mathrm{d}x \leqslant 4C \int_0^1 y'^2 \, \mathrm{d}x.$$

Then it follows from  $C = \theta^{1/\gamma}$  that  $m_{\alpha,\beta,\gamma} \leq (1 + 4(\alpha - 2\gamma + 1)^{1/\gamma})\pi^2$ .

2.2) Suppose that  $\gamma < 0, \beta \ge 0$  and  $\alpha \le 2\gamma - 1$ . Consider the functions

$$Q_{\varepsilon,\alpha,\beta,\gamma}(x) = \varepsilon x^{-\alpha/\gamma} (1-x)^{-\beta/\gamma} x^{(\varepsilon^{\gamma}-1)/\gamma} \text{ and } y_1(x) = \begin{cases} x^{\theta}, & 0 \leq x \leq \frac{1}{2};\\ (1-x)^{\theta}, & \frac{1}{2} < x \leq 1, \end{cases}$$

where  $\theta$  is a real number such that  $2\theta - \alpha/\gamma + (\varepsilon^{\gamma} - 1)/\gamma > -1$  and  $2\theta > 1$ . Denote  $\int_0^1 y_1'^2 dx = C_1$ ,  $\int_0^1 y_1^2 dx = C_2$ ,  $\int_0^1 x^{-\alpha/\gamma} x^{(\varepsilon^{\gamma} - 1)/\gamma} y_1^2 dx = C_3$ . Then  $R[Q_{\varepsilon,\alpha,\beta,\gamma}, y_1] = (C_1 + \varepsilon C_3)/C_2$  and  $m_{\alpha,\beta,\gamma} \leq C_1/C_2$ . The case  $\alpha \geq 0$ ,  $\beta < 0$  is symmetric to the case  $\beta \geq 0$ ,  $\alpha < 0$ .

2.3) Now we assume that  $\gamma < 0, 2\gamma - 1 < \alpha < 0$  and  $2\gamma - 1 < \beta < 0$ . Consider the function

$$Q_{\theta,\alpha,\beta,\gamma}(x) = \begin{cases} Cx^{-(\alpha+1)/\gamma+\theta/\gamma}(1-x)^{-\beta/\gamma}, & 0 < x < \frac{1}{2};\\ Cx^{-\alpha/\gamma}(1-x)^{-(\beta+1)/\gamma+\theta/\gamma}, & \frac{1}{2} \leqslant x < 1, \end{cases}$$

where  $\theta$  is a positive real number such that  $\alpha \ge 2\gamma - 1 + \theta$ . By the Hardy inequality

$$\int_{0}^{1} Q_{\theta,\alpha,\beta,\gamma} y^{2}(x) \,\mathrm{d}x \leqslant C 2^{\frac{2\gamma-1}{\gamma}} \int_{0}^{\frac{1}{2}} x^{-\frac{\alpha+1}{\gamma}+\frac{\theta}{\gamma}} y^{2} \,\mathrm{d}x + C 2^{\frac{2\gamma-1}{\gamma}} \int_{\frac{1}{2}}^{1} (1-x)^{-\frac{\beta+1}{\gamma}+\frac{\theta}{\gamma}} y^{2} \,\mathrm{d}x$$
$$\leqslant C 2^{\frac{2\gamma-1}{\gamma}} \left( \int_{0}^{\frac{1}{2}} x^{-2} y^{2} \,\mathrm{d}x + \int_{\frac{1}{2}}^{1} (1-x)^{-2} y^{2} \,\mathrm{d}x \right) \leqslant C 2^{\frac{4\gamma-1}{\gamma}} \int_{0}^{1} y'^{2} \,\mathrm{d}x$$

and  $m_{\alpha,\beta,\gamma} \leq (1+C2^{(4\gamma-1)/\gamma})\pi^2$ . For  $\theta = \alpha - 2\gamma + 1$  and  $C = (\theta 2^{\theta-1})^{1/\gamma}$  we have  $m_{\alpha,\beta,\gamma} \leq (1+(\alpha-2\gamma+1)^{1/\gamma}2^{(\alpha+2\gamma-1)/\gamma})\pi^2$ .

2.4) Consider the case  $\gamma < 0$ ,  $\alpha \leq 2\gamma - 1$  and  $\beta < 0$ . Consider the functions

$$Q_{\varepsilon,\alpha,\beta,\gamma}(x) = \varepsilon x^{-\alpha/\gamma} (1-x)^{-\beta/\gamma} x^{(\varepsilon^{\gamma}-1)/\gamma} \quad \text{and} \quad y_1(x) = \begin{cases} x^{\theta}, & 0 \le x \le \frac{1}{2}; \\ (1-x)^{\theta}, & \frac{1}{2} < x \le 1, \end{cases}$$

where  $\theta$  is a real number such that  $2\theta - \alpha/\gamma + (\varepsilon^{\gamma} - 1)/\gamma > -1$ ,  $2\theta > 1$  and  $2\theta - \beta/\gamma > -1$ . Denote  $\int_0^1 y_1'^2 dx = C_1$ ,  $\int_0^1 y_1^2 dx = C_2$ ,  $\int_0^1 Q_{\varepsilon,\alpha,\beta,\gamma}(x)y_1^2 dx = \varepsilon C_3$ . Then  $R[Q_{\varepsilon,\alpha,\beta,\gamma}, y_1] = (C_1 + \varepsilon C_3)/C_2$  and  $m_{\alpha,\beta,\gamma} \leq C_1/C_2$ . The case  $\beta \leq 2\gamma - 1$ ,  $\alpha < 0$  is symmetric to the case  $\alpha \leq 2\gamma - 1$ ,  $\beta < 0$ . By substitution x = 1 - t,  $\alpha \leftrightarrow \beta$  the case  $2\gamma - 1 < \alpha < 0$  and  $\beta \leq 2\gamma - 1$  can be included into the case 2.4).

Proof of Theorem 2. 1.1) First we suppose that  $\gamma < 0, \alpha > 0, \beta > 0$ . Let us prove that  $M_{\alpha,\beta,\gamma} = +\infty$ . Assume that  $\alpha \ge \beta$ . Consider the function

$$Q_{\varepsilon,\alpha,\beta,\gamma}(x) = \begin{cases} ((1-\varepsilon^{2\alpha}(1-\varepsilon)^{\alpha})/2\varepsilon)^{1/\gamma}x^{-\alpha/\gamma}(1-x)^{-\beta/\gamma}, & x \in (0,1) \setminus (\varepsilon, 1-\varepsilon); \\ (\varepsilon^{2\alpha}(1-\varepsilon)^{\alpha}/(1-2\varepsilon))^{1/\gamma}x^{-\alpha/\gamma}(1-x)^{-\beta/\gamma}, & x \in (\varepsilon, 1-\varepsilon), \end{cases}$$

where  $\varepsilon \to +0$ . Thus we have

$$\begin{split} \int_{\varepsilon}^{1-\varepsilon} y^2(x) \, \mathrm{d}x &\leqslant \int_{\varepsilon}^{1-\varepsilon} \frac{x^{-\alpha/\gamma} (1-x)^{-\alpha/\gamma}}{\varepsilon^{-\alpha/\gamma} (1-\varepsilon)^{-\alpha/\gamma}} y^2(x) \, \mathrm{d}x \\ &\leqslant \int_{\varepsilon}^{1-\varepsilon} \frac{x^{-\alpha/\gamma} (1-x)^{-\alpha/\gamma}}{\varepsilon^{-\alpha/\gamma} (1-\varepsilon)^{-\alpha/\gamma}} (1-x)^{(\alpha-\beta)/\gamma} y^2(x) \, \mathrm{d}x \\ &= \frac{\varepsilon^{-\alpha/\gamma}}{(1-2\varepsilon)^{-1/\gamma}} \int_{\varepsilon}^{1-\varepsilon} Q_{\varepsilon,\alpha,\beta,\gamma}(x) y^2(x) \, \mathrm{d}x. \end{split}$$

By the Hölder inequality we get

$$\begin{split} \int_0^1 y^2(x) \, \mathrm{d}x &\leqslant \frac{\varepsilon^2}{2} \int_0^\varepsilon y'^2(x) \, \mathrm{d}x + \frac{\varepsilon^{-\frac{\alpha}{\gamma}}}{(1-2\varepsilon)^{-\frac{1}{\gamma}}} \int_\varepsilon^{1-\varepsilon} Q_{\varepsilon,\alpha,\beta,\gamma}(x) y^2(x) \, \mathrm{d}x \\ &+ \frac{\varepsilon^2}{2} \int_{1-\varepsilon}^1 y'^2(x) \, \mathrm{d}x \leqslant a(\varepsilon) \bigg( \int_0^1 y'^2(x) \, \mathrm{d}x + \int_0^1 Q_{\varepsilon,\alpha,\beta,\gamma}(x) y^2(x) \, \mathrm{d}x \bigg), \end{split}$$

where  $a(\varepsilon) = \varepsilon^2/2 + \varepsilon^{-\alpha/\gamma}/(1-2\varepsilon)^{-1/\gamma}$ . Then  $R[Q_{\varepsilon,\alpha,\beta,\gamma}, y] \ge 1/a(\varepsilon)$  for all functions  $y \in H_Q$ . Consequently,  $\inf_{y \in H_Q, y \neq 0} R[Q, y] \ge 1/a(\varepsilon)$ . Taking into account that  $a(\varepsilon) \to 0$  as  $\varepsilon \to 0$ , we obtain  $M_{\alpha,\beta,\gamma} = +\infty$ .

1.2) Consider the case  $\gamma < 0$ ,  $\alpha > 0$ ,  $\beta \leq 0$ . Let us prove that  $M_{\alpha,\beta,\gamma} = +\infty$ . For  $\varepsilon \to +0$  consider the function

$$Q_{\varepsilon,\alpha,\beta,\gamma}(x) = \begin{cases} (\alpha+1)^{1/\gamma} \varepsilon^{-(\alpha+1)/\gamma} (1-\varepsilon)^{1/\gamma} (1-x)^{-\beta/\gamma}, & 0 < x < \varepsilon; \\ (\alpha+1)^{1/\gamma} \varepsilon^{1/\gamma} (1-\varepsilon^{\alpha+1})^{-1/\gamma} (1-x)^{-\beta/\gamma}, & \varepsilon < x < 1. \end{cases}$$

As in the previous case  $\int_0^1 y^2(x) dx \leq a(\varepsilon) (\int_0^1 y'^2(x) dx + \int_0^1 Q_{\varepsilon,\alpha,\beta,\gamma}(x)y^2)$ , where  $a(\varepsilon) = \varepsilon^2/2 + (1/(\alpha+1))^{1/\gamma} \varepsilon^{-1/\gamma} (1-\varepsilon^{\alpha+1})^{1/\gamma}$ , and by the same argument  $M_{\alpha,\beta,\gamma} = +\infty$ . The case  $\gamma < 0, \beta > 0, \alpha \leq 0$  is symmetric to the case  $\gamma < 0, \alpha > 0, \beta \leq 0$ .

1.3) Now suppose that  $\gamma < 0, \ \alpha \leq 0, \ \beta \leq 0$ . Let us prove that  $M_{\alpha,\beta,\gamma} = +\infty$ . Consider the function

$$Q_{\varepsilon,\alpha,\beta,\gamma}(x) = \begin{cases} (1-\varepsilon)^{1/\gamma} \varepsilon^{-1/\gamma} x^{-\alpha/\gamma} (1-x)^{-\beta/\gamma}, & 0 < x < \varepsilon; \\ (1-\varepsilon)^{-1/\gamma} \varepsilon^{1/\gamma} x^{-\alpha/\gamma} (1-x)^{-\beta/\gamma}, & \varepsilon < x < 1, \end{cases}$$

where  $\varepsilon \to +0$ . By the same argument  $M_{\alpha,\beta,\gamma} = +\infty$ .

2.1) Consider the case  $0 < \gamma < 1$ ,  $\alpha \ge 0$ ,  $\beta \ge 0$ . Divide the segment [0,1] by points  $0 = \varepsilon_0 < \varepsilon_1 < \ldots < \varepsilon_n = 1$  to equal segments of length  $\varepsilon$ . Consider the function  $Q_{\varepsilon}(x)$  on the segment [0,1] defined on each interval  $[\varepsilon_{i-1}, \varepsilon_i)$   $(1 \le i \le n)$  as follows:

$$Q_{\varepsilon}(x) = \begin{cases} \varepsilon^{-\mu} x^{-\alpha/\gamma} (1-x)^{-\beta/\gamma}, & \varepsilon_{i-1} \leqslant x < \varepsilon_{i-1} + \varepsilon^{\varrho}; \\ 0, & \varepsilon_{i-1} + \varepsilon^{\varrho} \leqslant x < \varepsilon_{i}, \end{cases}$$

where  $\varepsilon \to +0$ ,  $\varrho = (1+\gamma)/(1-\gamma)$ ,  $\mu = 2/(1-\gamma)$ . Then there is  $\theta_i \in [\varepsilon_{i-1}, \varepsilon_{i-1} + \varepsilon^{\varrho})$  such that

$$\int_{\varepsilon_{i-1}}^{\varepsilon_{i-1}+\varepsilon^{\varrho}} Q_{\varepsilon}(x)y^2 \,\mathrm{d}x = \varepsilon^{-\mu}\varepsilon^{\varrho}\theta_i^{-\alpha/\gamma}(1-\theta_i)^{-\beta/\gamma}y^2(\theta_i) = \varepsilon^{-1}\theta_i^{-\alpha/\gamma}(1-\theta_i)^{-\beta/\gamma}y^2(\theta_i).$$

Since  $y(x) = y(\theta_i) + \int_{\theta_i}^x y'(x) \, dx$ , we have by the Hölder inequality

$$\begin{split} \int_{\varepsilon_{i-1}}^{\varepsilon_{i}} y^{2} \, \mathrm{d}x &= \int_{\varepsilon_{i-1}}^{\varepsilon_{i}} \left( y(\theta_{i}) + \int_{\theta_{i}}^{x} y'(x) \, \mathrm{d}x \right)^{2} \mathrm{d}x \leqslant 2\varepsilon y^{2}(\theta_{i}) + 2\varepsilon^{2} \int_{\varepsilon_{i-1}}^{\varepsilon_{i}} y'^{2} \, \mathrm{d}x \\ &= 2\varepsilon^{2} \bigg( \theta_{i}^{\alpha/\gamma} (1-\theta_{i})^{\beta/\gamma} \int_{\varepsilon_{i-1}}^{\varepsilon_{i}} Q_{\varepsilon}(x) y^{2} \, \mathrm{d}x + \int_{\varepsilon_{i-1}}^{\varepsilon_{i}} y'^{2} \, \mathrm{d}x \bigg) \\ &< 2\varepsilon^{2} \bigg( \int_{\varepsilon_{i-1}}^{\varepsilon_{i}} Q_{\varepsilon}(x) y^{2} \, \mathrm{d}x + \int_{\varepsilon_{i-1}}^{\varepsilon_{i}} y'^{2} \, \mathrm{d}x \bigg) \end{split}$$

and  $\int_0^1 y^2 \,\mathrm{d}x < 2\varepsilon^2 (\int_0^1 Q_\varepsilon y^2 \,\mathrm{d}x + \int_0^1 y'^2 \,\mathrm{d}x)$ . Hence,  $M_{\alpha,\beta,\gamma} = +\infty$ .

2.2) If  $0 < \gamma < 1$ ,  $\alpha < 0$ ,  $\beta \ge 0$ , then divide the segment [0,1] in a way similar to the previous case and define the function  $Q_{\varepsilon}$  on each interval  $[\varepsilon_{i-1}, \varepsilon_i)$   $(1 \le i \le n)$  as follows:

$$Q_{\varepsilon}(x) = \begin{cases} 0, \ \varepsilon_{i-1} \leqslant x < \varepsilon_{i-1} + \frac{1}{2}\varepsilon - \frac{1}{2}\varepsilon^{\varrho} & \text{or} \quad \varepsilon_{i-1} + \frac{1}{2}\varepsilon + \frac{1}{2}\varepsilon^{\varrho} \leqslant x < \varepsilon_{i}; \\ \varepsilon^{-\mu}x^{-\alpha/\gamma}(1-x)^{-\beta/\gamma}, \quad \varepsilon_{i-1} + \frac{1}{2}\varepsilon - \frac{1}{2}\varepsilon^{\varrho} \leqslant x < \varepsilon_{i-1} + \frac{1}{2}\varepsilon + \frac{1}{2}\varepsilon^{\varrho}, \end{cases}$$

where  $\varepsilon \to +0$ ,  $\varrho = (1+\gamma-\alpha)/(1-\gamma)$ ,  $\mu = (2-\alpha/\gamma)/(1-\gamma)$ . By the same argument as for the case  $\alpha \ge 0$ ,  $\beta \ge 0$  we have

$$\int_{0}^{1} y^{2} dx \leq 2\varepsilon^{2} \left( \max_{i} (\theta_{i}^{\alpha/\gamma}) \varepsilon^{-\alpha/\gamma} \int_{0}^{1} Q_{\varepsilon} y^{2} dx + \int_{0}^{1} y'^{2} dx \right)$$
$$= 2\varepsilon^{2} \left( \theta_{1}^{\alpha/\gamma} \varepsilon^{-\alpha/\gamma} \int_{0}^{1} Q_{\varepsilon} y^{2} dx + \int_{0}^{1} y'^{2} dx \right)$$
$$< 2^{1-\alpha/\gamma} \varepsilon^{2} (1 - \varepsilon^{\varrho - 1})^{\alpha/\gamma} \left( \int_{0}^{1} Q_{\varepsilon} y^{2} dx + \int_{0}^{1} y'^{2} dx \right).$$

Taking a sufficiently small  $\varepsilon$  we get  $R[Q_{\varepsilon}, y] \ge (\frac{1}{2})^{-\alpha/\gamma}/2^{1-\alpha/\gamma}\varepsilon^2$ . Therefore,  $M_{\alpha,\beta,\gamma} = +\infty$ . Note that the case  $0 < \gamma < 1$ ,  $\beta < 0$ ,  $\alpha \ge 0$  is symmetric to the case  $0 < \gamma < 1$ ,  $\alpha < 0$ ,  $\beta \ge 0$ .

2.3) Consider the case  $0 < \gamma < 1$ ,  $\alpha < 0$ ,  $\beta < 0$ . If for example  $\beta > \alpha$ , then divide the segment [0, 1] in a way similar to the previous cases and define the function  $Q_{\varepsilon}$  on each interval  $[\varepsilon_{i-1}, \varepsilon_i)$   $(1 \leq i \leq n)$  as follows:

$$Q_{\varepsilon}(x) = \begin{cases} 0, \quad \varepsilon_{i-1} \leqslant x < \varepsilon_{i-1} + \frac{1}{2}\varepsilon - \frac{1}{2}\varepsilon^{\varrho} \text{ or } \varepsilon_{i-1} + \frac{1}{2}\varepsilon + \frac{1}{2}\varepsilon^{\varrho} \leqslant x < \varepsilon_{i}; \\ \varepsilon^{-\mu}x^{-\alpha/\gamma}(1-x)^{-\beta/\gamma}, \quad \varepsilon_{i-1} + \frac{1}{2}\varepsilon - \frac{1}{2}\varepsilon^{\varrho} \leqslant x < \varepsilon_{i-1} + \frac{1}{2}\varepsilon + \frac{1}{2}\varepsilon^{\varrho}, \end{cases}$$

where  $\varepsilon \to +0$ ,  $\varrho = (1 + \gamma - \alpha)/(1 - \gamma)$ ,  $\mu = (2 - \alpha/\gamma)/(1 - \gamma)$ . The proof in this case is similar to the proof of 2.2) and also  $M_{\alpha,\beta,\gamma} = +\infty$ .

3.1) Consider the case  $\gamma = 1, 0 \leq \alpha \leq 1, \beta < 0$ . Since  $y^2(x) \leq x \int_0^1 y'^2 dt$  for all  $x \in (0, 1)$ , we have

$$\int_0^1 Qy^2(x) \, \mathrm{d}x \leqslant \sup_{[0,1]} \frac{y^2}{x^\alpha} \int_0^1 Qx^\alpha (1-x)^\beta \, \mathrm{d}x \leqslant \sup_{[0,1]} \frac{y^2}{x} \leqslant \int_0^1 y'^2(x) \, \mathrm{d}x$$

Therefore,  $M_{\alpha,\beta,\gamma} \leq 2\pi^2$ . Note that the case  $\gamma = 1, 0 \leq \beta \leq 1, \alpha < 0$  is symmetric to the case  $\gamma = 1, 0 \leq \alpha \leq 1, \beta < 0$ .

3.2) Consider the case  $\gamma = 1, 0 \leq \alpha \leq 1, 0 \leq \beta \leq 1$ . We have  $M_{\alpha,\beta,\gamma} \leq 3\pi^2$ , because

$$\int_0^1 Qy^2(x) \, \mathrm{d}x \leqslant \sup_{[0,1]} \frac{y^2}{x^\alpha (1-x)^\beta} \int_0^1 Qx^\alpha (1-x)^\beta \, \mathrm{d}x \leqslant \sup_{[0,1]} \frac{y^2}{x} + \sup_{[0,1]} \frac{y^2}{1-x}.$$

3.3) Now suppose that  $\gamma = 1, \alpha < 0, \beta < 0.$ 

One can show [1], [2] that for all  $y \in H_Q$  the following inequality holds:  $\sup_{[0,1]} y^2 \leq \frac{1}{4} \int_0^1 y'^2(x) \, \mathrm{d}x$ . Then

$$\int_0^1 Qy^2(x) \, \mathrm{d}x \leqslant \sup_{[0,1]} \frac{y^2}{x^\alpha} \int_0^1 Qx^\alpha (1-x)^\beta \, \mathrm{d}x \leqslant \sup_{[0,1]} \frac{y^2}{x^\alpha} \leqslant \sup_{[0,1]} y^2 \leqslant \frac{1}{4} \int_0^1 y'^2(x) \, \mathrm{d}x.$$

Hence,  $M_{\alpha,\beta,\gamma} \leqslant \frac{5}{4}\pi^2$ .

3.4) Now we consider the case  $\gamma > 1$ ,  $0 \leq \alpha \leq 2\gamma - 1$ ,  $\beta < 0$ . By the Hölder inequality we have

$$\int_{0}^{1} Qy^{2}(x) \,\mathrm{d}x \leqslant \left(\int_{0}^{1} |y|^{\frac{2\gamma}{\gamma-1}} x^{\frac{\alpha}{1-\gamma}} (1-x)^{\frac{\beta}{1-\gamma}} \,\mathrm{d}x\right)^{\frac{\gamma-1}{\gamma}} \leqslant \left(\int_{0}^{1} |y|^{\frac{2\gamma}{\gamma-1}} x^{-\frac{2\gamma-1}{\gamma-1}} \,\mathrm{d}x\right)^{\frac{\gamma-1}{\gamma}}.$$

By the generalized Hardy inequality [3]

$$\left(\int_0^1 |y|^{\frac{2\gamma}{\gamma-1}} x^{-\frac{2\gamma-1}{\gamma-1}} \,\mathrm{d}x\right)^{\frac{\gamma-1}{2\gamma}} \leqslant \left(\frac{2\gamma-1}{\gamma}\right)^{\frac{2\gamma-1}{2\gamma}} \left(\int_0^1 y'^2(x) \,\mathrm{d}x\right)^{\frac{1}{2\gamma}}$$

we have  $\int_0^1 Qy^2(x) dx \leq ((2\gamma - 1)/\gamma)^{(2\gamma - 1)/\gamma} \int_0^1 y'^2(x) dx$  and  $M_{\alpha,\beta,\gamma} \leq (1 + ((2\gamma - 1)/\gamma)^{(2\gamma - 1)/\gamma})\pi^2$ . The case  $\gamma > 1$ ,  $0 \leq \beta \leq 2\gamma - 1$ ,  $\alpha < 0$  is symmetric to the case  $\gamma > 1$ ,  $0 \leq \alpha \leq 2\gamma - 1$ ,  $\beta < 0$ .

3.5) Now consider the case  $\gamma > 1, 0 \leq \alpha \leq 2\gamma - 1, 0 \leq \beta \leq 2\gamma - 1$ . Since

$$\int_0^1 Qy^2(x) \,\mathrm{d}x \leqslant \left(\int_0^1 Q^\gamma x^\alpha (1-x)^\beta \,\mathrm{d}x\right)^{\frac{1}{\gamma}} \left(\int_0^1 |y|^{\frac{2\gamma}{\gamma-1}} x^{\frac{\alpha}{1-\gamma}} (1-x)^{\frac{\beta}{1-\gamma}} \,\mathrm{d}x\right)^{\frac{\gamma-1}{\gamma}},$$

we have by the generalized Hardy inequality

$$\int_0^1 |y|^{\frac{2\gamma}{\gamma-1}} x^{\frac{\alpha}{1-\gamma}} (1-x)^{\frac{\beta}{1-\gamma}} \, \mathrm{d}x \leqslant 2C \Big(\frac{2\gamma-1}{\gamma}\Big)^{\frac{2\gamma-1}{\gamma-1}} \bigg(\int_0^1 y'^2(x) \, \mathrm{d}x\bigg)^{\frac{\gamma}{\gamma-1}},$$

where  $C = 2^{(2\gamma-1)/(\gamma-1)}$  and  $M_{\alpha,\beta,\gamma} \leq (1+2^{(3\gamma-2)/\gamma}((2\gamma-1)/\gamma)^{(2\gamma-1)/\gamma})\pi^2$ . 3.6) Suppose that  $\gamma > 1, \alpha < 0, \beta < 0$ . It follows from

$$\int_0^1 Qy^2(x) \, \mathrm{d}x \leqslant \left(\int_0^1 |y|^{\frac{2\gamma}{\gamma-1}} x^{\frac{\alpha}{1-\gamma}} (1-x)^{\frac{\beta}{1-\gamma}} \, \mathrm{d}x\right)^{\frac{\gamma-1}{\gamma}}$$
$$\leqslant \left(\int_0^1 |y|^{\frac{2\gamma}{\gamma-1}} \, \mathrm{d}x\right)^{\frac{\gamma-1}{\gamma}} \leqslant \int_0^1 y'^2(x) \, \mathrm{d}x$$

that  $M_{\alpha,\beta,\gamma} \leq 2\pi^2$ .

3.7) Consider the case  $\gamma \ge 1$ ,  $\alpha > 2\gamma - 1$ ,  $\beta < 0$ . Let  $y_1 = x^{\alpha/(2\gamma)} \sin \pi x$  and  $\int_0^1 y_1'^2 dx = C_1$ ,  $\int_0^1 y_1^2 dx = C_2$ . Then we have that  $M_{\alpha,\beta,\gamma} \le (C_1 + 1)/C_2$ , because

$$R[Q, y_1] \leqslant \frac{C_1 + \int_0^1 Q(x) x^{\alpha/\gamma} \, \mathrm{d}x}{C_2} \leqslant \frac{C_1 + \left(\int_0^1 Q^{\gamma}(x) x^{\alpha} (1-x)^{\beta} \, \mathrm{d}x\right)^{1/\gamma}}{C_2} = \frac{C_1 + 1}{C_2}$$

The case  $\gamma \ge 1$ ,  $\beta > 2\gamma - 1$ ,  $\alpha < 0$  is symmetric to the case  $\gamma \ge 1$ ,  $\alpha > 2\gamma - 1$ ,  $\beta < 0$ .

3.8) Finally, let  $\gamma \ge 1$ ,  $\alpha > 2\gamma - 1$ ,  $\beta \ge 0$ . Taking  $y_1 = x^{\alpha/(2\gamma)}(1-x)^{\beta/(2\gamma)} \sin \pi x$  the proof in this case is similar to the proof 3.7) and  $M_{\alpha,\beta,\gamma} \le (C_1 + 1)/C_2$ . Note that by substitution x = 1 - t,  $\alpha \leftrightarrow \beta$  the case  $\gamma = 1$ ,  $0 \le \alpha \le 1$ ,  $\beta > 1$  can be included into the case 3.8).

## References

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