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# **Thin Ultrafilters**

JANA FLAŠKOVÁ

Praha

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Two ideals on  $\omega$  are presented which determine the same class of  $\mathscr{I}$ -ultrafilters. It is proved that the existence of these ultrafilters is independent of ZFC and the relation between this class and other well-known classes of ultrafilters is shown.

Let  $\mathscr{I}$  be a family of subsets of a set X such that  $\mathscr{I}$  contains all singletons and is closed under subsets. Given a ultrafilter  $\mathscr{U}$  on  $\omega$ , we say that  $\mathscr{U}$  is an  $\mathscr{I}$ -ultrafilter if for any mapping  $F: \omega \to X$  there is  $A \in \mathscr{U}$  such that  $F(A) \in \mathscr{I}$ .

Concrete examples of  $\mathscr{I}$ -ultrafilters are nowhere dense ultrafilters, measure zero ultrafilters or countably closed ultrafilters defined by taking  $X = 2^{\omega}$  and  $\mathscr{I}$  to contain all the nowhere dense sets, the sets with closure of measure zero, or the sets with countable closure, respectively. The class of  $\alpha$ -ultrafilters was defined for an indecomposable countable ordinal  $\alpha$  by taking  $X = \omega_1$  and  $\mathscr{I}$  to consist of the subsets of  $\omega_1$  with order type less than  $\alpha$ .

Consistency results about existence of these ultrafilters and some inclusions among the appropriate classes of ultrafilters were obtained by Baumgartner [1], Brendle [4], Barney [2]. It was proved by Shelah [8], that consistently there are no nowhere dense ultrafilters, consequently all mentioned ultrafilters (except the  $\alpha$ -ultrafilters for which the question is still open) may not exist.

In this paper, we focus on free ultrafilters on  $\omega$  defined by taking  $X = \omega$  and  $\mathscr{I}$  to be two different collections of subsets of natural numbers.

Lesní 24, 350 02 Cheb, Czech Republic

It was noticed in [1] that for a given family  $\mathscr{I}$  the  $\mathscr{I}$ -ultrafilters are closed downward under the Rudin-Keisler ordering  $\leq_{RK}$  (recall that  $\mathscr{U} \leq_{RK} \mathscr{V}$  if there is a function  $f: \omega \to \omega$  whose Stone extension  $\beta f: \beta \omega \to \beta \omega$  maps  $\mathscr{V}$  on  $\mathscr{U}$ , see [5]).

Replacing  $f[U] \in \mathcal{I}$  by  $f[U] \in \langle \mathcal{I} \rangle$  in the definition of  $\mathcal{I}$ -ultrafilter, where  $\langle \mathcal{I} \rangle$  is the ideal generated by  $\mathcal{I}$ , we get the same concept (see [2]), i.e.  $\mathcal{I}$ -ultrafilters and  $\langle \mathcal{I} \rangle$ -ultrafilters coincide. Obviously, if  $\mathcal{U}$  is an  $\mathcal{I}$ -ultrafilter then  $\mathcal{U} \cap \mathcal{I} \neq \emptyset$  (the converse is not true) and if  $\mathcal{I} \subseteq \mathcal{J}$  then every  $\mathcal{I}$ -ultrafilter is a  $\mathcal{J}$ -ultrafilter.

**Lemma.** If  $\mathscr{C}$  is a class of ultrafilters closed downward under  $\leq_{RK}$  and  $\mathscr{I}$  an ideal on  $\omega$  then the following are equivalent:

- (i) There exists  $\mathcal{U} \in \mathcal{C}$  which is not an  $\mathcal{I}$ -ultrafilter
- (ii) There exists  $\mathscr{V} \in \mathscr{C}$  which extends  $\mathscr{I}^*$ , the dual filter of  $\mathscr{I}$

*Proof.* No ultrafilter extending  $\mathscr{I}^*$  is an  $\mathscr{I}$ -ultrafilter, so (ii) implies (i) trivially. To prove (i) implies (ii) assume that  $\mathscr{U} \in \mathscr{C}$  is not an  $\mathscr{I}$ -ultrafilter. Hence there is a function  $f \in {}^{\omega}\omega$  such that  $(\forall A \in \mathscr{I})f^{-1}[A] \notin \mathscr{U}$ . Let  $\mathscr{V} = \{V \subseteq \omega : f^{-1}[V] \in \mathscr{U}\}$ . Obviously  $\mathscr{V}$  extends  $\mathscr{I}^*$  and  $\mathscr{V} \leq_{RK} \mathscr{U}$ . Since  $\mathscr{C}$  is closed downward under  $\leq_{RK}$  and  $\mathscr{U} \in \mathscr{C}$  we get  $\mathscr{V} \in \mathscr{C}$ .

An infinite set  $A \le \omega$  with enumeration  $A = \{a_n : n \in \omega\}$  is called *almost thin* if  $\lim \sup_n \frac{a_n}{a_{n+1}} < 1$  and *thin* (see [3]) if  $\lim_n \frac{a_n}{a_{n+1}} = 0$ . (Notice that by enumeration of a set of natural numbers we always mean an order preserving enumeration.)

We will denote the ideal generated by finite and thin sets by  $\mathscr{T}$  and the ideal generated by finite and almost thin sets by  $\mathscr{A}$ . The corresponding  $\mathscr{I}$ -ultrafilters will be called *thin ultrafilters* and *almost thin ultrafilters* respectively. We prove in Section II. that in fact these two classes of ultrafilters coincide, although the ideals  $\mathscr{T}$  and  $\mathscr{A}$  differ as the set  $\{2^n : n \in \omega\} \in \mathscr{A} \setminus \mathscr{T}$ .

We show in Section III. the relation between thin ultrafilters and selective ultrafilters and the relation between thin ultrafilters and Q-points in Section IV. Let us recall the definitions:

A free ultrafilter  $\mathscr{U}$  is called a *selective ultrafilter* is for all partitions of  $\omega$ ,  $\{R_i : i \in \omega\}$ , either for some  $i, R_i \in \mathscr{U}$ , or  $\exists U \in \mathscr{U}$  such that  $(\forall i \in \omega) |U \cap R_i| \leq 1$ .

A free ultrafilter  $\mathscr{U}$  is called a *Q*-point if for all partitions of  $\omega$  consisting of finite sets,  $\{Q_i : i \in \omega\}, \exists U \in \mathscr{U}$  such that  $(\forall i \in \omega) | U \cap Q_i | \leq 1$ .

### II. Thin ultrafilters and almost thin ultrafilters.

The existence of thin ultrafilters is independent of ZFC. It is easy to construct a thin ultrafilter if we assume the Continuum Hypothesis (in Section III. we prove that the strictly weaker assumption (see [6]) that selective ultrafilters exist is sufficient) and we prove in Section IV. that every thin ultrafilter is a *Q*-point whose existence is not provable in ZFC (see [7]).

Proposition 1. (CH) There is a thin ultrafilter.

*Proof.* Enumerate  ${}^{\omega}\omega = \{f_{\alpha} : \alpha < \omega_1\}$ . By transfinite induction on  $\alpha < \omega_1$  we construct countable filter bases  $\mathscr{F}_{\alpha}$  satisfying

(i)  $\mathcal{F}_0$  is the Fréchet filter

(ii)  $\mathscr{F}_{\alpha} \subseteq \mathscr{F}_{\beta}$  whenever  $\alpha \leq \beta$ 

- (iii)  $\mathscr{F}_{\gamma} = \bigcup_{\alpha < \gamma} \mathscr{F}_{\alpha}$  for  $\gamma$  limit
- (iv)  $(\forall \alpha) (\exists F \in \mathscr{F}_{\alpha+1}) f_{\alpha} [F] \in \mathscr{T}$

Suppose we have constructed  $\mathscr{F}_{\alpha}$ . If there exists a set  $F \in \mathscr{F}_{\alpha}$  such that  $f_{\alpha}[F] \in \mathscr{F}$  then put  $\mathscr{F}_{\alpha+1} = \mathscr{F}_{\beta}$ . If  $f_{\alpha}[F] \notin \mathscr{T}$  (in particular,  $f_{\alpha}[F]$  is infinite) for every  $F \in \mathscr{F}_{\alpha}$  then enumerate  $\mathscr{F}_{\alpha} = \{F_n : n \in \omega\}$  and construct by induction a set  $U = \{u_n : n \in \omega\}$  which we extend the filter base by:

Choose arbitrary  $u_0 \in F_0$  such that  $f_{\alpha}(u_0) > 0$  (such an element exists since  $f_{\alpha}[F_0]$  is infinite). If  $u_0, u_1, ..., u_{k-1}$  are already known we can choose  $u_k \in \bigcap_{i \le k} F_i$  so that  $f_{\alpha}(u_k) > k \cdot f_{\alpha}(u_{k-1})$ .

It is obvious that  $U \subseteq {}^*F_n$ , i.e. all but finitely many elements of U are contained in  $F_n$ , for all  $n \in \omega$ . We can check immediately that  $f_{\alpha}[U]$  is thin:

$$\lim_{n\to\infty}\frac{f_{\alpha}(u_n)}{f_{\alpha}(u_{n+1})}\leq \lim_{n\to\infty}\frac{f_{\alpha}(u_n)}{(n+1)\cdot f_{\alpha}(u_n)}=\lim_{n\to\infty}\frac{1}{(n+1)}=0$$

To complete the induction step let  $\mathscr{F}_{\alpha+1}$  be the countable filter base generated by  $\mathscr{F}_{\alpha}$  and the set U.

It is clear that any ultrafilter extending  $( )_{\alpha < \omega_1} \mathscr{F}_{\alpha}$  is thin.

Since  $\mathcal{T} \subset \mathcal{A}$  every thin ultrafilter has to be almost thin. The following proposition states that the converse also holds true and the classes of almost thin and thin ultrafilters coincide.

## **Proposition 2**. Every almost thin ultrafilter is a thin ultrafilter.

*Proof.* Because of the Lemma it suffices to prove that every almost thin ultrafilter and  $U_0 \in \mathcal{U}$  is an almost thin set which is not thin with enumeration  $U_0 = \{u_n : n \in \omega\}$ . Denote  $\limsup_{n \frac{u_n}{u_{n+1}}} = q_0 < 1$ . We may assume that the set of even numbers belongs to  $\mathcal{U}$  (otherwise the role of even and odd numbers interchange).

Define  $g: \omega \to \omega$  so that  $g(u_n) = 2n$ ,  $g[\omega \setminus U_0] = \{2n + 1 : n \in \omega\}$ .

Since  $\mathscr{U}$  is an almost thin ultrafilter there exists  $U_1 \in \mathscr{U}$  such that  $g[U_1]$  is almost thin. Let  $U = U_0 \cap U_1 = \{u_{n_k} : k \in \omega\}$ . Almost thin sets are closed under subsets, therefore  $g[U] = \{g(u_{n_k}) : k \in \omega\} \subseteq g[U_1]$  is almost thin and  $1 > \limsup_k \frac{g(u_{n_k})}{g(u_{n_k+1})} = \limsup_k \frac{2n_k}{2n_{k+1}}$ .

We know that there is  $n_0$  such that  $(\forall n \ge n_0)_{u_{n+1}}^{u_n} \le \frac{q_{0+1}}{2}$  and that there is  $k_0$  such that  $(\forall k \ge k_0) n_k \ge n_0$ . Hence for  $k \ge k_0$  we have

$$\frac{u_{n_k}}{u_{n_{k+1}}} = \frac{u_{n_k}}{u_{n_{k+1}}} \cdot \dots \cdot \frac{u_{n_{k+1}-1}}{u_{n_{k+1}}} \le \left(\frac{q_0+1}{2}\right)^{n_{k+1}-n_k}$$

It follows from  $\limsup_{k \to n_{k+1}} < 1$  that  $\lim_{k \to n_k} (n_{k+1} - n_k) = +\infty$ . Hence

$$\lim_{k\to\infty}\frac{u_{n_k}}{u_{n_{k+1}}}\leq \lim_{k\to\infty}\left(\frac{q_0+1}{2}\right)^{n_{k+1}} = 0$$

and the set  $U \in \mathcal{U}$  is thin.

#### III. Thin ultrafilters and selective ultrafilters.

### **Proposition 3.** Every selective ultrafilter is thin.

*Proof.* Selective ultrafilters are minimal points in Rudin-Keisler ordering (see [5]), hence the class is downward closed under  $\leq_{RK}$  and we may apply the Lemma. It suffices to prove that there is no selective ultrafilter extending the dual filter of  $\mathcal{T}$ .

Claim: Every selective ultrafilter contains a thin set.

Assume  $\mathscr{U}$  is a selective ultrafilter and consider the partition of  $\omega$ ,  $\{R_n : n \in \omega\}$ , where  $R_0 = \{0\}$  and  $R_n = [n!, (n + 1)!)$  for n > 0. Since  $\mathscr{U}$  is selective there exists  $U_0 \in \mathscr{U}$  such that  $|U_0 \cap R_n| \le 1$  for every  $n \in \omega$ . Since  $\mathscr{U}$  is an ultrafilter either  $A_0 = \bigcup \{R_n : n \text{ is even}\}$  or  $A_1 = \bigcup \{R_n : n \text{ is odd}\}$  belongs to  $\mathscr{U}$ . Without loss of generality, assume  $A_0 \in \mathscr{U}$ . Enumerate  $U = U_0 \cap A_0 \in \mathscr{U}$  as  $\{u_k : k \in \omega\}$ . If  $u_k \in [(2m_k)!, (2m_k + 1)!)$  then  $u_{k+1} \ge (2m_k + 2)!$  and we have  $u_{k+1}^{u_k} \le \frac{(2m_k + 1)!}{(2m_k + 2)!} =$  $= \frac{1}{2m_k + 2} \le \frac{1}{2k + 2}$ . Hence U is thin.

**Proposition 4.** (CH) Not every thin ultrafilter is selective.

*Proof.* Fix a partition  $\{R_n : n \in \omega\}$  of  $\omega$  into infinite sets and enumerate  ${}^{\omega}\omega = \{f_{\alpha} : \alpha < \omega_1\}$ . By transfinite induction on  $\alpha < \omega_1$  we will construct countable filter bases  $\mathscr{F}_{\alpha}$  satisfying

(i)  $\mathscr{F}_0$  is the countable filter base generated by Fréchet filter and  $\{\omega \setminus R_n : n \in \omega\}$ 

- (ii)  $\mathscr{F}_{\alpha} \subseteq \mathscr{F}_{\beta}$  whenever  $\alpha \leq \beta$
- (iii)  $\mathscr{F}_{\gamma} = \bigcup_{\alpha < \gamma} \mathscr{F}_{\alpha}$  for  $\gamma$  limit
- (iv)  $(\forall \alpha) (\forall F \in \mathscr{F}_{\alpha}) \{n : |F \cap R_n| = \omega\}$  is infinite
- (v)  $(\forall \alpha) (\exists F \in \mathscr{F}_{\alpha+1}) f_{\alpha}(F) \in \mathscr{T}$

Suppose we know already  $\mathscr{F}_{\alpha}$ . If there is a set  $F \in \mathscr{F}_{\alpha}$  such that  $f_{\alpha}[F] \in \mathscr{T}$  then put  $\mathscr{F}_{\alpha+1} = \mathscr{F}_{\alpha}$ . If  $(\forall F \in \mathscr{F}_{\alpha}) f_{\alpha}[F] \notin \mathscr{T}$  then one of the following cases occurs.

Case A.  $(\forall F \in \mathscr{F}_{\alpha}) \{n : |f_{\alpha}[F \cap R_n]| = \omega\}$  is infinite

Fix an enumeration  $\{F_m : m \in \omega\}$  of  $\mathscr{F}_{\alpha}$ . According to the assumption the set  $I_m = \{n : |f_{\alpha}[\bigcap_{i \le m} F_i \cap R_n]| = \omega\}$  is infinite for all  $m \in \omega$ . Let us enumerate the set  $\{\langle m, n \rangle : n \in I_m, m \in \omega\}$  as  $\{p_k : k \in \omega\}$  so that each ordered pair  $\langle m, n \rangle$  occurs infinitely many times. By induction we will construct a set  $U = \{u_k : k \in \omega\}$  which we may add to  $\mathscr{F}_{\alpha}$ .

Consider  $p_0 = \langle m, n \rangle$ . Since  $|f_{\alpha}[\bigcap_{i \leq m} F_i \cap R_n]| = \omega$  we can choose  $u_0 \in \bigcap_{i < m} F_i \cap R_n$  such that  $f_{\alpha}(u_0) > 0$ . Suppose we know  $u_0, ..., u_{k-1}$  such that  $u_{i+1} > u_i, u_i \in \bigcap_{j \leq m} F_j \cap R_n$  where  $p_i = \langle m, n \rangle$  and  $f_{\alpha}(u_{i+1}) > (i+1) \cdot f_{\alpha}(u_i)$  for i < k-1. Consider  $p_k = \langle m, n \rangle$ . Since  $\bigcap_{i \leq m} F_i \cap R_n$  and its image under  $f_{\alpha}$  is infinite we may choose  $u_k \in \bigcap_{i \leq m} F_i \cap R_n$  so that  $u_k > u_{k-1}$  and  $f_{\alpha}(u_k) > k \cdot f_{\alpha}(u_{k-1})$ .

It remains to verify that  $f_{\alpha}[U]$  is thin and  $\mathscr{F}_{\alpha} \cup \{U\}$  generates a filter base satisfying (iv).

•  $f_{\alpha}[U]$  is thin:

$$\lim_{k \to \infty} \frac{f_{\alpha}(u_k)}{f_{\alpha}(u_{k+1})} \le \lim_{k \to \infty} \frac{f_{\alpha}(u_k)}{(k+1) \cdot f_{\alpha}(u_k)} = \lim_{k \to \infty} \frac{1}{(k+1)} = 0$$

•  $(\forall F \in \mathscr{F}_{\alpha}) \{n : |U \cap F \cap R_n| = \omega\}$  is infinite:

For every  $F \in \mathscr{F}_{\alpha}$  there is  $m_F \in \omega$  such that  $U \cap F \cap R_n \supseteq U \cap \bigcap_{i \le m_F} F_i \cap R_n$ which is infinite whenever  $n \in I_{m_F}$  since  $U \cap \bigcap_{i \le m_F} F_i \cap R_n \supseteq \{u_k : p_k = \langle m_F, n \rangle\}$ . To complete the induction step let  $\mathscr{F}_{\alpha+1}$  be the countable filter base generated by  $\mathscr{F}_{\alpha}$  and U.

Case B.  $(\exists F_0 \in \mathscr{F}_{\alpha})$   $\{n: f_{\alpha}[F_0 \cap R_n] | = \omega\}$  is finite

Enumerate  $\mathscr{F}_{\alpha} \setminus \{F_0\} = \{F_m: m > 0\}$ . Since  $F_0$  satisfies (iv) there is  $n_0 \in \omega$  such that  $|f_{\alpha}[F_0 \cap R_{n_0}]| < \omega$  and  $|F_0 \cap R_{n_0}| = \omega$ . It follows that there is  $z_0 \in \omega$  such that  $f_{\alpha}^{-1}[\{z_0\}] \cap F_0 \cap R_{n_0}$  is infinite. The set  $\bigcap_{i \leq m} F_i$  satisfies (iv) for any  $m \in \omega$  and for all but finitely many *n* the set  $f_{\alpha}[\bigcap_{i \leq m} F_i \cap R_n]$  is finite. So we may choose  $n_m > n_{m-1}$  such that  $f_{\alpha}^{-1}[\{z_m\}] \cap \bigcap_{i \leq m} F_i \cap R_{n_m}]$  is finite and  $\bigcap_{i \leq m} F_i \cap R_{n_m}$  infinite. We find  $z_m$  such that  $f_{\alpha}^{-1}[\{z_m\}] \cap \bigcap_{i \leq m} F_i \cap R_{n_m}$  is infinite.

Consider the sequence  $\langle z_m : m \in \omega \rangle$ . We can find a subsequence  $\langle z_{m_j} : j \in \omega \rangle$ which is either constant or satisfies  $z_{m_{j+1}} > (j+1) \cdot z_{m_j}$  for every  $j \in \omega$ . Set  $U = \bigcup_{j \in \omega} f_{\alpha}^{-1} [\{z_{m_j}\}]$ . It is obvious that  $f_{\alpha}[U] \in \mathscr{T}$  and  $(\forall F \in \mathscr{F}_{\alpha}) \{n : | U \cap \cap F \cap R_n| = \omega\}$  is infinite.

To complete the induction step let  $\mathscr{F}_{\alpha+1}$  be the countable filter base generated by  $\mathscr{F}_{\alpha}$  and U.

The filter base  $\mathscr{F} = \bigcup_{\alpha < \omega_1} \mathscr{F}_{\alpha}$  satisfies (iv) and every ultrafilter which extends  $\mathscr{F}$  is thin because of condition (v).

Claim: Every filter satisfying (iv) may be extended to an ultrafilter satisfying (iv).

Whenever  $\mathscr{F}$  is a filter satisfying (iv) and  $A \subseteq \omega$  then either for every  $F \in \mathscr{F}$  exist infinitely many  $n \in \omega$  such that  $|A \cap F \cap R_n| = \omega$ , so the filter generated by  $\mathscr{F}$  and

A satisfies (iv) or there is  $F_0 \in \mathscr{F}$  such that for all but finitely many  $n \in \omega$  we have  $|A \cap F_0 \cap R_n| < \omega$ . Then since for every  $F \in \mathscr{F}$  exist infinitely many  $n \in \omega$  for which  $|F \cap F_0 \cap R_n| = \omega$  the filter generated by  $\mathscr{F}$  and  $\omega \setminus A$  satisfies (iv). Hence for every subset of  $\omega$  we may extend  $\mathscr{F}$  either by the set itself or its complement. Consequently,  $\mathscr{F}$  may be extended to an ultrafilter satisfying (iv).

#### IV. Thin ultrafilters and Q-points.

**Proposition 5.** Every thin ultrafilter is a Q-point.

*Proof.* Let  $\mathscr{U}$  be a thin ultrafilter and  $\mathscr{D} = \{Q_n : n \in \omega\}$  a partition of  $\omega$  into finite sets. Enumerate  $Q_n = \{q_i^n : i = 0, ..., k_n\}$  (where  $k_n = |Q_n| - 1$ ).

Define a one-to-one function  $f: \omega \to \omega$  in the following way:  $f(q_0^0) = 0, f(q_0^{n+1}) = (n+2) \cdot \max \{f(q_{k_n}^n), k_{n+1}\} \text{ for } n \in \omega \text{ and } f(q_i^n) = f(q_0^n) + i$ for  $i \leq k_n, n \in \omega$ 

Notice that  $f \upharpoonright Q_n$  is strictly increasing for every n. Since  $\mathscr{U}$  is a thin ultrafilter there exists  $U_0 \in \mathscr{U}$  such that  $f[U_0] = \{v_m : m \in \omega\}$  is a thin set. Hence there is  $m_0 \in \omega$  such that  $\frac{v_m}{v_{m+1}} < \frac{1}{2}$  for every  $m \ge m_0$ .

Since f is one-to-one and  $\mathscr{D}$  a partition of  $\omega$  we find  $K \subseteq \omega$  of size at most  $m_0$  such that  $\{f^{-1}(v_i): i < m_0\} \subseteq \bigcup_{n \in K} Q_n$ . The latter set is finite. Therefore  $U = U_0 \setminus \bigcup_{n \in K} Q_n \in \mathscr{U}$ .

Claim: 
$$(\forall n \in \omega) | U \cap Q_n | \le 1$$

The intersection is clearly empty for  $n \in K$ . Assume for the contrary that for some  $n \notin K$  there are two distinct elements  $u_1, u_2 \in U \cap Q_n, u_1 < u_2$ . Then  $f(u_1) = v_m$  for some  $m \ge n_0$  and  $f(u_2) = v_n$  for some  $n \ge m + 1$ . We get  $\frac{v_m}{v_{m+1}} \ge \frac{v_m}{v_n} = \frac{f(u_1)}{f(q_0^n) + k_n} \ge \frac{(n+1)\cdot M}{(n+1)\cdot M + M} = \frac{n+1}{n+2}$  where  $M = \max\{f(q_{k_{n-1}}^{n+1}), k_n\}$ . But  $\frac{n+1}{n+2} \ge \frac{1}{2}$ , a contradiction.

Note that while proving that every selective ultrafilter contains a thin set we actually proved that every Q-point contains a thin set as the partition under consideration consists of finite sets. However, Q-points need not be thin ultrafilters.

**Proposition 6.** (CH) Not every Q-point is a thin ultrafilter.

*Proof.* Fix  $\{R_n : n \in \omega\}$  a partition of  $\omega$  into infinite sets. Let  $\{\mathcal{D}_{\alpha} : \alpha < \omega_1\}$  be the list of all partitions of  $\omega$  into finite sets. By transfinite induction on  $\alpha < \omega_1$  we will construct countable filter bases  $\mathscr{F}_{\alpha}$  so that the following are satisfied:

(i)  $\mathscr{F}_0$  is the Fréchet filter

(ii) 
$$\mathscr{F}_{\alpha} \subseteq \mathscr{F}_{\beta}$$
 whenever  $\alpha < \beta$ 

- (iii)  $\mathscr{F}_{\gamma} = \bigcup_{\alpha < \gamma} \mathscr{F}_{\alpha}$  for  $\gamma$  limit
- (iv)  $(\forall \alpha) (\forall F \in \mathscr{F}_{\alpha}) (\forall n) | F \cap R_n | = \omega$
- (v)  $(\forall \alpha) (\exists F \in \mathscr{F}_{\alpha+1}) (\forall Q \in \mathscr{D}_{\alpha}) |F \cap Q| \leq 1$

Suppose we already know  $\mathscr{F}_{\alpha}$ . If there is a set  $F \in \mathscr{F}_{\alpha}$  such that  $|F \cap Q| \leq 1$  for each  $Q \in \mathscr{D}_{\alpha}$  then let  $\mathscr{F}_{\alpha+1} = \mathscr{F}_{\alpha}$ . If  $(\forall F \in \mathscr{F}_{\alpha}) (\exists Q \in \mathscr{D}_{\alpha}) |F \cap Q| > 1$ , we construct by induction a set U compatible with  $\mathscr{F}$  such that  $|U \cap Q| \leq 1$  for each  $Q \in \mathscr{D}_{\alpha}$ .

Enumerate  $\mathscr{F}_{\alpha} = \{F_k : k \in \omega\}, \quad \mathscr{D}_{\alpha} = \{Q_n : n \in \omega\} \text{ and list } \{R_n : n \in \omega\} = \{M_k : k \in \omega\} \text{ so that each } R_n \text{ is listed infinitely often. To start the construction of } U \text{ choose } u_0 \in M_0 \text{ arbitrarily. Since } \bigcup \mathscr{D}_{\alpha} = \omega \text{ there exists } n_0 \in \omega \text{ such that } u_0 \in Q_{n_0}.$ 

Suppose we already know  $u_0, ..., u_{k-1}$  and  $n_0, ..., n_{k-1}$  such that  $u_i \in Q_{n_i}$  for i < k. Since  $\bigcup_{i < k} Q_{n_i}$  is finite and  $\bigcap_{i < i} F_i \cap M_k$  is infinite according to (iv) we may choose  $u_k \in (\bigcap_{i=k} F_i \cap M_k) \setminus \bigcup_{i < k} Q_{n_i}$ . Let  $Q_{n_k}$  be the unique element of  $\mathscr{D}_{\alpha}$  which contains  $u_k$ .

Finally, let  $U = \{u_k : k \in \omega\}$ . For every  $n \in \omega$  we have either  $U \cap Q_n = \{u_k\}$  (if  $n = n_k$ ) or  $U \cap Q_n = \emptyset$ . It remains to check that U is compatible with  $\mathscr{F}_{\alpha}$  and satisfies (iv). However, for every  $F \in \mathscr{F}_{\alpha}$  and for every  $R_n$  there is  $n_F \in \omega$  such that  $U \cap F \cap R_n \supseteq U \cap \bigcap_{i < n_F} F_i \cap R_n \supseteq \{u_k : k \ge n_F, M_k = R_n\}$ . The latter set is infinite. Hence the countable filter base generated by  $\mathscr{F}_{\alpha}$  and U satisfies (ii), (iv), (v) as required and it may be taken as  $\mathscr{F}_{\alpha+1}$ .

Because of condition (v) every ultrafilter which extends the filter base  $\mathscr{F} = \bigcup_{\alpha < \omega_1} \mathscr{F}_{\alpha}$  is a *Q*-point. Let  $\mathscr{G} = \{\bigcup_{n \in M} R_n : \omega \setminus M \in \mathscr{T}\}$ . Condition (iv) in induction assumption guarantees that  $\mathscr{F} \cup \mathscr{G}$  generates a free filter on  $\omega$ . Every ultrafilter  $\mathscr{U}$  which extends  $\mathscr{F} \cup \mathscr{G}$  is a *Q*-point but not a thin ultrafilter because for every  $U \in \mathscr{U} f[U] \notin \mathscr{T}$  where  $f : \omega \to \omega$  is defined by  $f \upharpoonright R_n = n$ .

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