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## On paracompact locales and metric locales

SUN SHU-HAO

Abstract. In the paper, some new results on paracompact locales and metric locales are obtained. In particular, we prove that the existence of  $\sigma$ -locally finite refinement of all covers is equivalent to the paracompactness in a regular locale which answers an open question posed by A.Pultr.

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Paracompact locales and metric locales were first investigated by J.Isbell ([I]). A full discussion of paracompact locales and of metric locales can be found in Dowker-Strauss ([DS]) and in Pultr ([P<sub>1</sub>]) respectively. Some questions remain open (see  $[P_1]$  or  $[P_2]$ ). In this paper, we shall provide some further results and answer some questions.

Following Dowker-Strauss [DS], if L is a locale, we say that a family of elements of L is a cover if its join is the top element; the family is locally finite (discrete) if there is a cover each element of which meets at most finitely many elements of the given family. A family  $\{c_r : r \in \Gamma\}$  is said to refine the family  $\{a_\alpha : \alpha \in \Lambda\}$  if for each r there is some  $\alpha$  such that  $c_r \leq a_\alpha$ . Now a paracompact locale is defined as classical topology (i.e., for each cover there is a locally finite cover which refines it). For  $\alpha \in L$ , let  $\neg a$  denote the pseudocomplement of a, i.e.,  $\neg a = \bigvee \{b \in L : b \land a = 0\}$ . In [P<sub>1</sub>], A.Pultr posed the following question:

Is the existence of  $\sigma$ -locally finite refinement of all covers equivalent to the paracompactness in a (regular) locale; in particular, is a metrizable locale paracompact? ([P<sub>1</sub>], Remark 3.2) The following theorem shall answer it in the affirmative. First we need a lemma.

Lemma 1. Let  $\{x_i : i \in J\}$  be locally finite and let  $x_i \leq y_i$  for all  $i \in J$ . Then we have  $\bigvee \{x_i : i \in J\} \leq \bigvee \{y_i : i \in J\}$ , where  $b \leq a$  denotes  $\forall v \mid a = 1$ .

**PROOF:** Let C be a cover such that for each  $c \in C$ ,  $c \wedge x_i \neq 0$  only for  $i \in K(c)$ , where K(c) is a finite subset of J. Take a  $c \in C$ , put K = K(c),  $I = J \setminus K$ . Then we have

$$c \land \bigvee \{x_i : i \in I\} = 0 \text{ and hence } c \leq \neg \bigvee \{x_i : i \in I\}.$$

Since K is finite, so we have  $\bigvee \{x_i : i \in K\} \leq \bigvee \{y_i : i \in K\}$ . Thus

$$c \land (\neg \bigvee \{x_i : i \in J\} \lor \bigvee \{y_i : i \in J\}) \ge$$
$$\ge c \land ((\neg \bigvee \{x_i : i \in I\} \land \neg \bigvee \{x_i : i \in K\}) \lor \bigvee y_i) =$$
$$= c \land (\neg \bigvee \{x_i : i \in K\} \lor \bigvee y_i) = c \land 1 = c.$$

That is,  $c \leq \neg \bigvee x_i \lor \bigvee y_i$  and since C was a cover, so we have  $\bigvee x_i \leq \bigvee y_i$ .

Recall that a locale L is said to be regular if for each  $a \in L$ , we have a = $\bigvee \{b \in L : b \le a\}.$ 

**Theorem 1.** Let L be a regular locale such that for each cover A there is a  $\sigma$ -locally finite cover which refines A. Then L is paracompact.

**PROOF:** It suffices to show that each  $\sigma$ -locally finite cover of L has a locally finite refinement. Now let  $A = \bigcup A_n$  be a  $\sigma$ -locally finite cover of L, where each  $A_n$  is

locally finite and  $A_n \subseteq A_{n+1}, n = 1, 2...,$ 

For each  $a \in A$ , let n(a) be the smallest number with  $a \in A_{n(a)}$ . Put  $A'_m = \{a \in A_m \}$ A: n(a) = m. Then

$$\bigcup_{m=1}^{\infty} A'_m = A \text{ and } \bigcup_{m=1}^{n} A'_m = A_n \quad A'_m \cap A'_n = \emptyset, m \neq n, m, n = 1, 2, \dots;$$

hence each  $A'_m$  is also locally finite.

For each *m*, write  $A'_m = \{a_i \in A : i \in J_m\}$  with  $J_m \cap J_n = \emptyset$  and consider a well ordering  $\prec$  on  $J = \bigcup_{m=1}^{\infty} J_m$  such that for each  $i \in J_m, j \in J_n$ , we have  $i \prec j$ whenever m < n.

Now we consider another family

$$D = \{d \in L : (\exists a \in A) (d \le a)\}.$$

It easily follows from the regularity of L that D is a cover of L too. So there is a  $\sigma$ -locally finite cover  $B = \bigcup_{n=1}^{\infty} B_n$  which refines D, where each  $B_n$  is locally finite. Hence for each  $b \in B$ , there is an  $i(b) \in J = \bigcup J_n$ , say  $i(b) \in J_m$ , such that  $b \leq a_{i(b)}$ .

Now we set

$$e_{n,i} = \bigvee \{ b \in \bigcup_{j=1}^{n} B_j : i(b) = i \} \text{ and } E_{n,m} = \{ e_{n,i} : i \in \bigcup_{k=1}^{m} J_k \}.$$

Then we have  $e_{n,i} \leq a_i$  for each  $i \in J$  and each n by Lemma 1, and  $E_{n,m} \subseteq E_{n,m+1}$ .

It follows readily from the local finiteness of  $A_m$  that  $E_{n,m}$  is locally finite for each pair m and n, and that  $\bigcup_{m=1} \bigcup_{n \leq m} E_{n,m}$  is a cover of L.

Now, for each  $i \in J_n \subseteq J$ , put

$$c_{i_0} = a_{i_0} \wedge \neg w_{i_0}$$

where  $w_{i_0} = \bigvee \{ e_{m,i} : i \prec i_0, m = 1, 2, ..., n \}.$ 

We shall check that the family  $C = \{c_i : i \in J\}$  is as required.

(i) C is locally finite: In fact, for each m,  $\bigcup_{k=1}^{m} E_{k,m}$  and  $A_m$  are locally finite. So there is a cover  $D_m$  such that for each  $d \in D_m$ , d meets at most finitely many elements of  $\bigcup_{k=1}^{m} E_{K,m}$  and of  $A_m$ .

Write  $D_m^* = \{d \land e : d \in D_m, e \in \bigcup_{k=1}^m E_{k,m}\}$ , then we have  $\bigvee D_m^* = \bigvee \bigcup_{k=1}^m E_{k,m}$ ; hence  $D^* = \bigcup_{m=1}^\infty D_m^*$  is a cover of L.

For each  $z \in D^*$ , there is an m with  $z \in D_m^*$ , moreover, there is a  $k \leq m$  and an  $e_{k,i} \in E_{k,m}$  with  $z \leq e_{k,i}$ . So for each n > m,  $i \in J_n$ , we have  $z \leq w_i$ ; hence  $z \wedge \neg w_i = 0$ . On the other hand, z meets at most finitely many elements of  $A_n$ ; hence z meets at most finitely many elements of C. Thus we have shown (i).

(ii) C is a cover of L. For each  $z \in D_m^*$ , write  $I = \{i \in \bigcup_{k=1}^m J_k : (\exists n \leq m) \}$ 

 $(z \wedge e_{n,i} \neq 0)$ . Then I is finite since z meets only finitely many elements of  $\bigcup_{n=1}^{m} E_{n,m}$ . Thus, we have  $z \wedge (\bigvee_{n \leq m} e_{n,i}) = 0$  for each  $i \in \bigcup_{k=1}^{m} J_k \setminus I$ ; in particular,  $z \wedge w_{i_0} = 0$ , where  $i_0 = \min\{i \in I\}$ ; hence  $z \leq \exists w_{i_0}$ . Now we can show  $z \leq \{c_i : i \in I\}$ : in fact, '

$$\bigvee \{c_i : i \in I\} = \bigvee \{a_i \land \neg w_i : i \in I\}$$
  
=  $\bigvee \{a_{1,i} \land a_{2,i} : i \in I\}$ , where  $a_{1,i} = a_i, a_{2,i} = \neg w_i$   
=  $\bigwedge_{f \in \Pi D_i} \bigvee \{a_{f(i),i} : i \in I\}$  where  $D_i = D = \{1, 2\}$  for each  $i \in I$ .

It suffices to show  $\bigvee_{i} a_{f(i),i} \geq z$  for each  $f \in \prod_{i \in I} D_i$ . Write  $\overline{i} = \min\{i \in I : f(i) = 2\}$ . If  $\overline{i} = i_1 0$ , then  $\forall w_{i_0} = a_{f(i_0),i_0} \leq \bigvee a_{f(i),i}$ , hence we have  $z \leq \bigvee a_{f(i),i}$ . If  $\overline{i} = i_1 \succ i_0$ , say  $i_1 \in J_{n'}, n' \leq m$ , then  $\bigvee \{a_i : i \prec i_1\} = \bigvee \{a_{f(i),i} : i \prec i_1\} \leq \bigvee a_{f(i),i}$ . On the other hand,

$$z \wedge \neg w_{i_1} = z \wedge \neg w_{i_0} \wedge \neg (\bigvee \{e_{k,i} : i \in I, i \prec i_1, k \le n'\}) =$$
$$= z \wedge \neg (\bigvee \{e_{k,i} : i \in I, i \prec i_1, k \le n'\}),$$

hence, we have

$$z \wedge (\bigvee a_{f(i),i}) \ge (z \wedge \neg w_{i_1}) \vee (z \wedge \bigvee \{a_i : i \in I, i \prec i_1\}) =$$
  
=  $(z \wedge \neg (\bigvee \{e_{k,i} : i \in I, i \prec i_1, k \le n'\})) \vee (z \wedge \bigvee \{a_i : i \in I, i \prec i_1\})$   
=  $z \wedge (\neg (\bigvee \{e_{k,i} : i \in I, i \prec i_1, k \le n'\}) \vee (\bigvee \{a_i : i \in I, i \prec i_1\}))$   
=  $z \wedge 1 = z$ .

since  $\bigvee \{e_{k,i} : k \le n'\} \le a_i$  for each *i* that is  $z \le \bigvee a_{f(i),i}$ , hence  $z \le \bigvee \{c_i : i \in I\} \le \bigvee C$ . Thus we have shown that *C* is a cover of *L*.

Corollary 1. Regular Lindelöf locales are paracompact.

**Remark.** This corollary also follows easily from the work of Madden and Vermeer who showed that "regular Lindelöf" is equivalent to "realcompact".

Since each metrizable locale has a  $\sigma$ -discrete base (see [P<sub>1</sub>]), we have answered the problem from [P<sub>2</sub>] (p.459) as Corollary 2. Each metrizable locale is paracompact.

The next theorem is a counterpart of the following classical result:

"Every regular paracompact space is collectionwise normal"

which also generalizes a result of Pultr in  $[\mathbf{P}_1]$ .

A locale L is said to be collectionwise normal if for each co-discrete system  $\{x_i : i \in J\}$  there is a discrete system  $\{y_i : i \in J\}$  such that  $x_i \lor y_i = 1$  for each  $i \in J$ , where  $B \subseteq L$  is said to be co-discrete (co-locally finite), if there is a cover D such that for each  $d \in D, d \notin x_i$  for at most one (finitely many) element(s) of B.

Theorem 2. Each regular paracompact locale is collectionwise normal.

**PROOF:** Let A be a regular paracompact locale and let  $B = \{b_r : r \in J\}$  be a co-discrete system. Then there is a cover C such that for each  $c \in C$ ,  $c \leq b_r$  for all but at most one element  $r \in J$ . By regularity, we see that

$$D = \{d \in A : (\exists c \in C) (d \le c)\}$$

is a cover of A. By paracompactness, D has a locally finite refinement Z which covers A. For each  $z \in Z$  we can assign a  $c(z) \in C$  such that  $z \leq c(z)$ . Write

$$z_c = \bigvee \{z \in Z : z \leq c(z) = c\}.$$

By lemma 1, we see that  $z_c \leq c$  and that  $Z_0 = \{z_c : c \in C\}$  is also locally finite and a cover of A.

For each  $r \in J$ , we write

$$z_r = \bigvee \{z_c \in Z_0 : c \leq b_r\}.$$

Again by Lemma 1, we have  $z_r \leq b_r$ . Now it remains to show that

$$\widetilde{B} = \{z_r : r \in J\}$$

is co-discrete. In fact, for each  $z_c \in Z_0$ , where  $c \in C$ , if  $z_c \nleq z_{r_0} = \bigvee \{z_c, \in Z_0 : c' \le b_{r_0}\}$ ; then  $c \nleq b_{r_0}$ . Thus  $c \le b_r$  for all  $r \ne r_0$ ; hence  $z_c \le z_r = \bigvee \{z_c, \in Z_0 : c' \le b_r\}$  for all  $r \ne r_0$ .

Furthermore,  $\exists \widetilde{B} = \{ \exists z_r : r \in J \}$  is discrete and  $\exists z_r \lor b_r = 1$ .

Corollary ([P<sub>1</sub>], Theorem 2.5). Metric locales are collectionwise normal.

In  $[\mathbf{P}_1]$ , Pultr established the following metrizability criteria:

(i) L is metrizable;

- (ii)  $L = L_{\mathcal{A}}$  for a countable  $\mathcal{A}$ ;
- (iii) L is regular and has a  $\sigma$ -discrete base;

By modifying his proof, we can add into the list above a more general statement:

(\*) L is regular and has a  $\sigma$ -locally finite base.

We omit the details of the proof.

Now we turn our attention to Boolean locales. By Stone's representation theorem, every Boolean locale can be regarded as a regular-open-set lattice RO(X) of a regular space X(up to isomorphism). So it suffices to discuss those Boolean locales of the form RO(X) for a regular space X.

The following lemmas is useful but easy.

**Lemma 2.** For each (regular) space X, let D be a dense subset of X. Then RO(X) is isomorphic to RO(D).

**Lemma 3.** Let X be a  $T_3$  space, then each prime element in RO(X) is also prime in O(X); hence it is of the form  $X \setminus \{x\}$ , where x is an isolated point in X.

**Theorem 3.** Let X be a  $T_3$  space; then RO(X) is spatial iff X has a dense subset of isolated points in X.

**PROOF:**  $\Leftarrow$ . By lemma 3, it is clear.  $\Rightarrow$ . Let D be a subset of isolated points in X. By Lemma 2, we have

$$\bigcap \{ p \subseteq X : p \text{ is prime in } RO(X) \}$$
  
=  $\cap \{ X \setminus \{x\} : x \in D \} = X \setminus D.$ 

So

$$\bigwedge \{p \in RO(X) : p \text{ is prime}\} = X \setminus \overline{D};$$

hence, by the assumption that RO(X) is spatial,  $X \setminus \overline{D}$  must be empty.

Next, we shall characterize the metrizability of Boolean locales. Recall that a family  $\mathcal{B}$  of non-empty open subsets of a space X is called  $\pi$ -base if for each non-empty open subset U of X there is a  $V \in \mathcal{B}$  such that  $V \subseteq U$ .

We say a family  $\mathcal{B}$  of subsets of X is almost locally finite (discrete) if  $\mathcal{B}$  is locally finite (discrete) with respect to an open dense subset D of X, i.e., for each  $d \in D$ there is a neighbourhood  $U_d$  which meets at most finitely many (one) members of  $\mathcal{B}$ . X is called  $\pi$ -metrizable if X is regular and has a  $\sigma$ -almost locally finite  $\pi$ -base.

**Theorem 4.** Let X be a  $T_3$  space; then RO(X) is metrizable iff X is  $\pi$ -metrizable.

**PROOF:** It suffices to note that a family of regular open subsets is a cover of RO(X) iff the union is dense in X (and our metrizability criterion (\*)).

**Remark.** In particular, for each regular space X which has a countable  $\pi$ -base, RO(X) is metrizable, for example, the real line, the Sorgenfrey line. But not all RO(X) are metrizable.

Lemma 4. For a metrizable locale L, the following conditions are equivalent.

- (i) L is c.c.c. (i.e., each disjoint family is countable).
- (ii) L has a countable base.

PROOF:

(i) $\Rightarrow$ (ii) Each  $\sigma$ -discrete family is countable.

(ii)⇒(i) Clear. ∎

**Example 1.** Let X be the space  $D^k$ , where  $D = \{0,1\}$  with discrete topology and  $k = 2^{\omega_0}$ . It is well known that X is c.c.c. and  $\pi w(X) = k(\pi w(X)) =$ min{the cardinality of  $\mathcal{B} : \mathcal{B}$  is a  $\pi$ -base for X}. Thus RO(X) is also c.c.c. If RO(X) is metrizable, then by lemma 4, RO(X) has a countable base; equivalently, X has a countable  $\pi$ -base which is impossible.

(As usual, a space X is said to be c.c.c.-to satisfy the countable chain conditionif each family of disjoint open sets of X is countable, equivalently, for each family  $\mathcal{U}$  of open sets there is a countable subfamily  $\mathcal{U}_0$  of  $\mathcal{U}$  such that  $\overline{\mathcal{U}}_0 = \overline{\mathcal{U}}$ .)

**Theorem 5.** For each Boolean locale L, L is c.c.c. iff RO(X) is Lindelöf.

**PROOF:** Let L be of the form of RO(X) for a regular space X.

Let  $B = \{b_r \in RO(X) : r \in J\}$  be a cover of RO(X); then  $\cup \{b_r \subseteq X : r \in J\}$  is open dense in X. By c.c.c., there is a countable subfamily  $B_0$  of B whose union is dense in X, i.e.,  $B_0$  is a cover of RO(X).

 $\Leftarrow$ . For each family of disjoint regular open subsets of X, by the Zorn lemma, we can find a maximal family of disjoint regular open subsets which contains it; moreover, by the regularity its union is dense in X; hence this family is a cover of RO(X). Thus it must be countable by Lindelöfness.

The following result may be known to those who work with complete Boolean algebras.

### **Proposition 6.** Every Boolean locale is paracompact.

**PROOF:** It suffices to show that for each regular space X the RO(X) is paracompact. In fact, we shall do a little more.

Let  $B = \{b_r \in RO(X) : r \in J\}$  be a cover of RO(X). We consider the poset  $S = \{D \subseteq RO(X) : D \text{ refines } B \text{ and } D \text{ is s disjoint}\}$ . Again by Zorn lemma, we have a maximal element  $\mathcal{V}$  in S whose union is also dense in X. In fact, if  $X \setminus \overline{\cup \mathcal{V}} \neq \emptyset$ , we can find an element U in B and a  $V \in RO(X)$  such that  $\emptyset \neq V \subseteq U \cap (X \setminus \overline{\cup \mathcal{V}})$  since  $\cup B$  is dense in X which contradicts with the maximality of  $\mathcal{V}$ . Thus we have shown that every cover of a Boolean locale has a discrete refinement.

**Remark.** This fact is closely related to the fact that the Axiom of Choice holds in the topos of sheaves on a complete Boolean algebra ([J]]. Theorem 5.39).

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