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A note on nowhere dense sets in ω^*

PETR SIMON

Dedicated to the memory of Zdeněk Frolík

Abstract. Every nowhere dense set in $\beta \omega \setminus \omega$ is a 2^{ω} -set if and only if every nowhere dense set in $\beta \omega \setminus \omega$ is a nowhere dense subset of another nowhere dense set.

Keywords: $\beta \omega$, MAD family, almost disjoint refinement

Classification: 54D40, 54G05, 04A20

The aim of this short note is to show that the famous Hechler's conjecture is equivalent to a statement concerning the natural order of the family of all nowhere dense subsets of $\beta \omega \setminus \omega$.

Let X be a topological space, τ a cardinal number. A set $Z \subset X$ is called to be a τ -set provided there is a pairwise disjoint family $\{U_{\alpha}: \alpha \in \tau\}$ consisting of open subsets of X such that $Z \subset \overline{U_{\alpha}}$ for every $\alpha \in \tau$. In [He], S. H. Hechler studied the nowhere dense sets in the space $\beta \omega \setminus \omega$, the Čech-Stone remainder of a countable discrete space ω . His conjecture, every nowhere dense set in $\beta \omega \setminus \omega$ is a 2^{ω} -set, presents an open problem up to now. Hechler proved it assuming MA. Since then, a lot of set-theoretical assumptions implying Hechler's conjecture is known (see [BS]).

During the last Winter School on Abstract Analysis, V. I. Malykhin turned the author's attention to the following problem. (Malykhin attributes it to A. I. Veksler.) Denote by NWD the family of all nowhere dense subsets of $\beta \omega \setminus \omega$. For K, L in NWD, let us write K < L, if $K \subset L$ and if moreover the set K is nowhere dense in L. Malykhin's (or Veksler's) problem reads simply as follows: Is there a maximal element in the partial order (NWD, <)? Here we shall prove the following.

Theorem. Every nowhere dense set in $\beta \omega \setminus \omega$ is a 2^{ω} -set if and only if (NWD, <) has no maximal element.

Before giving the proof, let us fix some notation and recall the necessary auxiliary facts. The symbol ω stands for a countable discrete space, $\beta\omega$ is its Čech-Stone compactification, $\omega^* = \beta\omega \setminus \omega$ is the space of all uniform ultrafilters on ω . For $A \subset \omega$, $A^* = \overline{A} \setminus A$. Notice that for $A, B \subset \omega$, $A^* \subset B^*$ iff $A \setminus B$ is finite, $A^* \cap B^* = \emptyset$ if $A \cap B$ is finite. A family \mathcal{A} of subsets of ω is called almost disjoint, if all its members are infinite and the intersection of any two distinct members of \mathcal{A} is finite, a MAD family is a maximal almost disjoint family. If \mathcal{A} and \mathcal{B} are two families of sets, then \mathcal{B} refines \mathcal{A} ($\mathcal{B} \prec \mathcal{A}$), if for each $\mathcal{B} \in \mathcal{B}$ there is some $A \in \mathcal{A}$ with $\mathcal{B} \subset \mathcal{A}$.

A family \mathcal{M} of subsets of ω has an almost disjoint refinement, if there is an almost disjoint family \mathcal{A} such that for each $M \in \mathcal{M}$ there is some $A \in \mathcal{A}$ with $A \subset M$. If \mathcal{A} is an almost disjoint family, then $\mathcal{J}^+(\mathcal{A})$ will stand for the collection

$$\mathcal{J}^+(\mathcal{A}) = \{ M \subset \omega \colon |\{A \in \mathcal{A} \colon |A \cap M| = \omega\}| \ge \omega \}.$$

The forthcoming three lemmas will provide us with combinatorial facts useful for the proof of the Theorem.

Lemma 1 ([**BV**], Theorem 1.5). Let \mathcal{R} be a countably infinite almost disjoint family on ω . Then $\mathcal{J}^+(\mathcal{R})$ has an almost disjoint refinement \mathcal{B} such that $\mathcal{B} \cap \mathcal{R}$ is finite for all $\mathcal{R} \in \mathcal{R}$, $\mathcal{B} \in \mathcal{B}$.

Lemma 2 ([BDS], Proposition 1.9). The following are equivalent:

- (a) Every nowhere dense subset of ω^* is a 2^{ω} -set;
- (b) for every infinite MAD family A on ω, J⁺(A) has an almost disjoint refinement.

Lemma 3. The following are equivalent:

- (a) There is no maximal element in (NWD, <);
- (b) for every infinite MAD family A on ω there is some MAD family B on ω such that B refines A and for every $M \in \mathcal{J}^+(A)$ there is some $A \in A$ with $A \cap M \in \mathcal{J}^+(B)$.

PROOF: (a) \longrightarrow (b): Let \mathcal{A} be a maximal almost disjoint family. By the maximality of \mathcal{A} , the set $K = \omega^* \setminus \bigcup \{A^* : A \in \mathcal{A}\}$ is nowhere dense in ω^* . Since K is not maximal, there is some nowhere dense set L with K < L. Find some almost disjoint family \mathcal{B} , which refines \mathcal{A} and such that $\mathcal{B}^* \cap L = \emptyset$ for all $\mathcal{B} \in \mathcal{B}$, and which is a maximal one having these two properties. \mathcal{B} is a MAD family, because L is nowhere dense. If $M \in \mathcal{J}^+(\mathcal{A})$, then M^* meets K. Since K is nowhere dense in L, there is a clopen set $N^* \subset M^*$ satisfying $N^* \cap L \neq \emptyset$, $N^* \cap K = \emptyset$. Thus $N \in \mathcal{J}^+(\mathcal{B})$, but $N \notin J^+(\mathcal{A})$. So there are only finitely many members from \mathcal{A} , which meet N in an infinite set, but infinitely many such from \mathcal{B} . Consequently, there is some $\mathcal{A} \in \mathcal{A}$ such that $\mathcal{A} \cap N \in \mathcal{J}^+(\mathcal{B})$. Since $N^* \subset M^*$, we have $\mathcal{A} \cap M \in \mathcal{J}^+(\mathcal{B})$, too.

(b)—(a): Let K be a nowhere dense subset of ω . Choose a MAD family A such that for each $A \in A$, $A^* \cap K = \emptyset$. Let B be a MAD family as in (b). The set $L = \omega^* \setminus \bigcup \{B^* : B \in B\}$ is nowhere dense by the maximality of B; we need to show that K < L. Clearly $K \subset L$, because $B \prec A$. Let M^* be an arbitrary clopen subset of $\beta \omega \setminus \omega$ which meets L. There is nothing to prove if $M^* \cap K = \emptyset$. Otherwise $M \in \mathcal{J}^+(A)$ and by (b) there is some $A \in A$ such that $A \cap M \in \mathcal{J}^+(B)$. Now, A^* obviously does not meet K, the same must hold for its subset $(A \cap M)^*$. However, $(A \cap M)^* \cap L$ is non-void, because $A \cap M \in \mathcal{J}^+(B)$. This shows that K is nowhere dense in L.

PROOF of the Theorem: Assume that every nowhere dense subset of ω^* is a 2^{*}-set. Let \mathcal{A} be an arbitrary infinite MAD family on ω . By (b) from Lemma 2, there is some almost disjoint refinement \mathcal{C} of $\mathcal{J}^+(\mathcal{A})$. We may assume that \mathcal{C} is a MAD family. For each $C \in C$ choose some infinite MAD family $\mathcal{B}(C)$ on C and define $\mathcal{B} = \bigcup \{ \mathcal{B}(C) : C \in C \}$. Since C as well as all $\mathcal{B}(C)$'s are MAD families, \mathcal{B} is a MAD family. Obviously \mathcal{B} refines \mathcal{A} . Let $M \in \mathcal{J}^+(\mathcal{A})$. Since C is an almost disjoint refinement of $\mathcal{J}^+(\mathcal{A})$, there is some $C \in C$, $C \subset M$. Therefore all members from the infinite family $\mathcal{B}(C)$ are subsets of M, so $C \cap M \in \mathcal{J}^+(\mathcal{B})$. Clearly, the same holds for the set $A \cap M$, where A is the member of \mathcal{A} , which contains C. We have verified (b) from Lemma 3.

For the converse implication assume Lemma 3.(b), and choose an arbitrary infinite MAD family $\mathcal{A} = \mathcal{A}_0$ on ω . Our aim is to find an almost disjoint refinement of $\mathcal{J}^+(\mathcal{A})$.

Applying Lemma 3.(b) inductively, we shall find a collection $\{\mathcal{A}_n : n \in \omega\}$ of MAD families such that for all $n \in \omega$, $\mathcal{A}_{n+1} \prec \mathcal{A}_n$ and for every $M \in \mathcal{J}^+(\mathcal{A}_n)$ there is some $A \in \mathcal{A}_n$ with $A \cap M \in \mathcal{J}^+(\mathcal{A}_{n+1})$. For every decreasing chain $\mathcal{C} = \{A_0 \supset A_1 \supset A_2 \supset \ldots\}$ with $A_n \in \mathcal{A}_n$ select an almost disjoint family $\mathcal{B}(\mathcal{C})$ using Lemma 1 as follows: Let $R_0 = \omega \setminus A_0$, $R_{n+1} = A_n \setminus A_{n+1}$, $\mathcal{R} = \{R_n : n \in \omega\}$. Let $\mathcal{B}(\mathcal{C})$ be the result of an application of Lemma 1 to this particular almost disjoint family \mathcal{R} .

Notice that for distinct chains C, C', if $B \in \mathcal{B}(C)$ and $B' \in \mathcal{B}(C')$, then $B \cap B'$ is finite. Indeed, there is some $n \in \omega$ such that $A_n \in C$ and $A'_n \in C'$ are distinct. By our definition and by Lemma 1 we have that both sets $B \setminus A_n$ and $B' \setminus A'_n$ are finite, and the set $A_n \cap A'_n$ is finite too. Hence $|B \cap B'| < \omega$.

It remains to show that the family

 $\mathcal{B} = \bigcup \{ \mathcal{B}(\mathcal{C}) \colon \mathcal{C} \text{ is a decreasing chain meeting every } \mathcal{A}_n \}$

is the desired almost disjoint refinement of $\mathcal{J}^+(\mathcal{A})$. As already observed, \mathcal{B} is almost disjoint.

Let $M \in \mathcal{J}^+(\mathcal{A})$ be arbitrary. For $n \in \omega$, find inductively $A_n \in \mathcal{A}_n$ such that

 $M \cap A_0 \cap A_1 \cap \cdots \cap A_n \in \mathcal{J}^+(\mathcal{A}_{n+1}).$

Now it is clear that $|M \cap (A_n \setminus A_{n+1})| = \omega$ for all n, hence $M \in \mathcal{J}^+(\mathcal{R})$, where \mathcal{R} is determined by the chain $\mathcal{C} = \{A_n : n \in \omega\}$. By Lemma 1, there is some $B \in \mathcal{B}(\mathcal{C})$ with $B \subset M$. This completes the proof.

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