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A CHARACTERIZATION OF 1-, 2-, 3-, 4-HOMOMORPHISMS OF ORDERED SETS

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Abstract. We characterize totally ordered sets within the class of all ordered sets containing at least four-element chains. We use a simple relationship between their isotone transformations and the so called 1-endomorphism which is introduced in the paper. Later we describe 1-, 2-, 3-, 4-homomorphisms of ordered sets in the language of super strong mappings.

Keywords: ordered sets, morphisms

MSC 2000: 06A10, 06A99

0. INTRODUCTION

In [4] new concepts of 2-, 3-, 4-endomorphisms of ordered sets were introduced. They appeared to be an efficient tool for the determination of chains in the class of all ordered sets satisfying a certain condition (the existence of a three-element chain). In this contribution we introduce a 1-endomorphism and demonstrate its conjunction with the above mentioned results. We declare that the requirement of a four-element chain is essential.

Let (P, \leq) be an ordered set, $\emptyset \neq X \subseteq P$. The symbol $E_f(X)$ denotes $f^-(f(X))$ where $f^-(X)$ is the preimage of X under a mapping f, i.e. $f^-(X) = \{y \mid f(y) = x \}$ for some $x \in X\}$. By $[X]_{\leq} = \{y \in P : y \geq x \}$ for some $x \in X\}$ we denote the upper end of an ordered set (P, \leq) generated by a subset X. Let (P, \leq) , (Q, \leq) be ordered sets and let $f : P \longrightarrow Q$ be a mapping. The mapping f is isotone if for any pair of elements $a, b \in P$ such that $a \leq b$ we have $f(a) \leq f(b)$. The mapping f is a strong homomorphism if $f(z) \geq f(x)$ implies f(z) = f(u), f(x) = f(a) for some

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 $a, u \in P$ such that $u \ge a$. An isotone mapping of an ordered set into itself is called an *endomorphism*. The set of all endomorphisms of (P, \leqslant) endowed with a composition forms a monoid which is denoted by $\operatorname{End}(H, \leqslant)$.

Remark. There exists also another concept of a strong homomorphism. A mapping $f: P \longrightarrow Q$ between ordered sets (P, \leq) , (Q, \leq) is called a strong homomorphism if for any pair of elements $x \in P, y \in Q$ we have $f(x) \leq y$ if and only if there exists an element $x' \in P$ such that $x \leq x'$ and f(x') = y (*L. L. Esakia*: Heyting algebras I. Duality theory. Mecniereba, 1985, Tbilisi).

Definition 1 ([4]). Let (P, \leq) , (Q, \leq) be ordered sets. A mapping $f: P \to Q$ is called

(1) a 1-homomorphism if it satisfies the condition

$$f^{-}([f(x))_{\leq}) = E_f([E_f(x))_{\leq})$$
 for any $x \in P$,

(2) a 2-homomorphism if it satisfies the condition

$$f^{-}([f(x))_{\leq}) = f^{-}(f([x)_{\leq}))$$
 for any $x \in P$,

(3) a 3-homomorphism if it satisfies the condition

$$f^{-}([f(x))_{\leq}) = [f^{-}(f(x)))_{\leq}$$
 for any $x \in P$,

(4) a 4-homomorphism if both the conditions for 2- and 3-homomorphisms are satisfied

$$[f^{-}(f(x)))_{\leq} = f^{-}([f(x))_{\leq}) = f^{-}(f([x)_{\leq}))$$
 for any $x \in P$.

1. 1-endomorphisms

Proposition 1. Let (X, \leq) be an ordered set containing at least a four-element chain. Then for any ordered pair (x, y) of \leq -incomparable elements $x, y \in X$ there exists an isotone mapping $f: (X, \leq) \longrightarrow (X, \leq)$ such that

$$f(x) < f(y)$$
 and $\{x\} = E_f(x), \{y\} = E_f(y).$

Proof. Suppose (X, \leq) contains at least a four-element chain C. Consider $C_0 \subseteq C$ such that $C_0 = \{a, b, c, d\}, a < b < c < d$, and $x, y \in X$ are incomparable

elements. Now let X^{xy} , X_{xy} be subsets of X such that

$$\begin{aligned} X^{xy} &= \{z \colon z > x \text{ or } z > y\} = [\{x, y\})_{\leqslant} \setminus \{x, y\}, \\ X_{xy} &= \{z \colon z < x \text{ or } z < y\} = (\{x, y\}]_{\leqslant} \setminus \{x, y\}, \\ Y &= X \setminus (X^{xy} \cup X_{xy} \cup \{x, y\}). \end{aligned}$$

Let f(x) = b and f(y) = c, which means f(x) < f(y). Furthermore let f(t) = a for any $t \in X_{xy}$, f(s) = d for any $s \in X^{xy}$ and f(r) = d for any $r \in Y$ (cf. Fig. 1). Now f(u) = f(v) for any pair $(u, v) \in X^{xy} \times X^{xy}$, $(u, v) \in X_{xy} \times X_{xy}$, $(u, v) \in Y \times Y$, and f(u) < f(v) for any pair $(u, v) \in X_{xy} \times X^{xy}$, which implies f is isotone, because $p \leq q$ implies $f(p) \leq f(q)$ for any $p, q \in X$ and $\{x\} = f^-(b) = E_f(x), \{y\} = f^-(c) =$ $E_f(y)$. Thus the proposition holds.



Figure 1

Lemma 1. Let $f: X_1 \longrightarrow X_2$ be a mapping of an ordered set (X_1, \leq) into another one (X_2, \leq) . The following conditions are equivalent:

(1) f is isotone,

(2) $E_f([E_f(x))_{\leqslant}) \subseteq f^-([f(x))_{\leqslant})$ for any $x \in X_1$.

Proof. (1) \Rightarrow (2): Let $x \in X_1$ be an arbitrary element and in addition suppose $z \in E_f([E_f(x))_{\leqslant})$, which means $f(z) \in f([E_f(x))_{\leqslant})$. Then there exists $q \in [E_f(x))_{\leqslant}$ such that f(z) = f(q). It follows that there exists $r \in E_f(x)$, i.e. f(r) = f(x) such that $r \leqslant q$. Since $f(r) \leqslant f(q)$ we have $f(x) \leqslant f(z)$, which implies $f(z) \in [f(x))_{\leqslant}$ and consequently $z \in f^-([f(x))_{\leqslant})$. We have $E_f([E_f(x))_{\leqslant}) \subseteq f^-([f(x))_{\leqslant})$.

 $(2) \Rightarrow (1)$: Let x, y be elements from X_1 such that $x \leq y$. Since $x \in E_f(x)$ we have $y \in [E_f(x))_{\leq}$ and further $y \in E_f([E_f(x))_{\leq})$. By the assumption $y \in f^-([f(x))_{\leq})$, which implies $f(y) \in [f(x))_{\leq}$ and thus $f(x) \leq f(y)$. Finally, the mapping f is isotone.

Proposition 2. Let (X, \leq) be an ordered set containing at least a four-element chain. Then (X, \leq) is a chain if and only if any isotone selfmap f of the poset (X, \leq) satisfies the following condition:

(*)
$$E_f([E_f(x))_{\leq}) = f^-([f(x))_{\leq})$$
 for any $x \in X$.

Proof. \Rightarrow : Let (X, \leq) be a chain and $f: (X, \leq) \longrightarrow (X, \leq)$ an isotone mapping. Let $x \in X$ be an arbitrary element and suppose $z \in f^-([f(x))_{\leq})$, which means $f(z) \in [f(x))_{\leq}$, i.e. $f(x) \leq f(z)$. If f(x) = f(z) then $z \in E_f(x)$ and as

$$E_f(x) \subseteq [E_f(x))_{\leqslant} \subseteq E_f([E_f(x))_{\leqslant}),$$

we have $z \in E_f([E_f(x))_{\leq})$. If f(x) < f(z) then $x \leq z$ (since the mapping f is isotone and (X, \leq) is a chain). Further, from $[E_f(x))_{\leq} = \{t: \exists u \in X: f(u) = f(x), u \leq t\}$ we obtain $z \in [E_f(x)]_{\leq}$, which implies $f(z) \in f([E_f(x)]_{\leq})$ and consequently $z \in E_f([E_f(x)]_{\leq})$. We have $f^-([f(x)]_{\leq}) \subseteq E_f([E_f(x)]_{\leq})$. Since $E_f([E_f(x)]_{\leq}) \subseteq f^-([f(x)]_{\leq})$ (Lemma 1) we have finally $f^-([f(x)]_{\leq}) = E_f([E_f(x)]_{\leq})$.

 $\begin{array}{l} \Leftarrow: \mbox{ Let } (X,\leqslant) \mbox{ be a poset containing at least a four-element chain, let } x,y \in X \\ \mbox{ be incomparable } (x \parallel y) \mbox{ and suppose } f^-([f(x))_{\leqslant}) = E_f([E_f(x))_{\leqslant}) \mbox{ for any isotone} \\ \mbox{ mapping } f: \ (X,\leqslant) \longrightarrow (X,\leqslant). \mbox{ Let } f_0 \mbox{ be a mapping from Proposition 1, i.e. } f_0(x) < \\ f_0(y) \mbox{ and } \{x\} = E_{f_0}(x), \ \{y\} = E_{f_0}(y). \mbox{ Since } f_0(x) < f_0(y), \mbox{ then } f_0(y) \in [f_0(x))_{\leqslant}, \\ \mbox{ which implies } y \in f_0^-([f_0(x))_{\leqslant}). \mbox{ Now } y \in E_{f_0}([E_{f_0}(x))_{\leqslant}) \mbox{ by the assumption } (*). \mbox{ We get } y \in E_{f_0}([\{x\})_{\leqslant}), \mbox{ which implies } f_0(y) \in f_0([\{x\})_{\leqslant}). \mbox{ Then there exists } z \in [\{x\})_{\leqslant} \\ \mbox{ such that } f_0(z) = f_0(y). \mbox{ We get } \end{array}$

$$z \in E_{f_0}(z) = E_{f_0}(y) = \{y\},\$$

which implies z = y and therefore $y \in [\{x\})_{\leq}$, which means $x \leq y$. This is a contradiction to the assumption of incomparability of x and y. Thus (X, \leq) is a chain.

Remark. It can be easily proved that the condition (*) can be replaced by the dual one:

$$f^{-}((f(x)]_{\leq}) = E_f((E_f(x)]_{\leq})$$
 for any $x \in X$.

In the proof it is useful again to consider such an isotone mapping that f(x) < f(y)and $\{x\} = E_f(x), \{y\} = E_f(y)$ whose existence was stated in Proposition 1.

Theorem 1. Let (X, \leq) be an ordered set containing at least a four-element chain. Then the following conditions are equivalent:

- (1) (X, \leq) is a totally ordered set,
- (2) $\operatorname{End}(X, \leqslant) \subseteq 1\operatorname{-End}(X, \leqslant),$
- (3) $\operatorname{End}(X, \leq) = 1 \operatorname{End}(X, \leq).$

Proof. $(1) \Rightarrow (2)$: It follows from Proposition 2.

 $(2) \Rightarrow (3)$: Let $f \in 1$ -End (X, \leq) be an arbitrary mapping and suppose $x, y \in X$, $x \leq y$ are arbitrary elements. Since $x \leq y$ and $x \in E_f(x)$ hence $y \in [E_f(x)]_{\leq}$ and further $y \in E_f([E_f(x)]_{\leq})$. Now $y \in f^-([f(x)]_{\leq})$ by the assumption of 1endomorphism. This implies $f(y) \in [f(x)]_{\leq}$ and we get $f(x) \leq f(y)$, thus the mapping $f: (X, \leq) \longrightarrow (X, \leq)$ is isotone. Finally, $\operatorname{End}(X, \leq) \supseteq 1$ -End (X, \leq) , which implies $\operatorname{End}(X, \leq) = 1$ -End (X, \leq) .

 $(3) \Rightarrow (1)$: It follows from Proposition 2.

Proposition 3. Let (P, \leq) , (Q, \leq) be ordered sets and $f: P \longrightarrow Q$ a mapping. Then the following conditions are equivalent:

- (1) f is a 1-homomorphism,
- (2) a) f is isotone,
 - b) for any $z, x \in P$ the inequality $f(z) \ge f(x)$ implies f(z) = f(u), f(x) = f(a)for some $a, u \in P$ such that $u \ge a$,
 - i.e. f is an isotone strong homomorphism.

Proof. (1) \Rightarrow (2): b) Suppose (1) is satisfied and $f(z) \geq f(x)$ for some $x, z \in P$. We have $f(z) \in [f(x)]_{\leqslant}$ thus $z \in f^-([f(x)]_{\leqslant}) = E_f([E_f(x)]_{\leqslant})$. Now $f(z) \in f([E_f(x)]_{\leqslant})$, which means that there exists $u \in P$ such that f(z) = f(u) and $u \in [E_f(x)]_{\leqslant}$, therefore there exists $a \in P$ such that $a \leqslant u$ and $a \in f^-(f(x))$, i.e. f(a) = f(x). The condition a) follows from Lemma 1.

 $(2) \Rightarrow (1)$: Assume (2) and $z \in f^-([f(x))_{\leq})$, i.e. $f(z) \in [f(x))_{\leq}$, which is $f(z) \ge f(x)$. By (2) we have f(z) = f(u), f(a) = f(x) for some $a, u \in P$ such that $u \ge a$, which means $f(u) \ge f(a)$. Consequently $u \in [E_f(a))_{\leq} = [E_f(x))_{\leq}$ and $f(z) = f(u) \in f([E_f(x))_{\leq})$, i.e. $z \in E_f([E_f(x))_{\leq})$. The converse inclusion $E_f([E_f(x))_{\leq}) \subseteq f^-([f(x))_{\leq})$ follows from (2) a) by Lemma 1.

2. Super-strong mappings

Proposition 4. Let (P, \leq) , (Q, \leq) be ordered sets and $f: P \longrightarrow Q$ a mapping. Then the following conditions are equivalent:

- (1) f is a 2-homomorphism,
- (2) a) f is isotone,
 - b) for any $z, x \in P$ the inequality $f(z) \ge f(x)$ implies f(z) = f(u) for some $u \ge x, u \in P$.

Proof. (1) \Rightarrow (2): b) Suppose (1) is satisfied and $f(z) \geq f(x)$ for some $x, z \in P$. Then $f(z) \in [f(x))_{\leqslant}$, which means $z \in f^-([f(x))_{\leqslant}) = f^-(f([x)_{\leqslant}))$, i.e. $f(z) \in f([x)_{\leqslant})$. Thus there exists $u \in [x)_{\leqslant}$, i.e. $u \geq x$ such that f(u) = f(z).

a) Suppose $x, y \in P$, $x \leq y$ are arbitrary elements. Then $y \in [x]_{\leq}$, which implies $f(y) \in f([x]_{\leq})$ and $y \in f^-(f(y)) \subseteq f^-(f([x]_{\leq})) = f^-([f(x)]_{\leq})$, thus $f(y) \in [f(x))_{\leq}$, which means $f(x) \leq f(y)$.

 $(2) \Rightarrow (1)$: Suppose (2) holds and $z \in f^{-}([(f(x))_{\leqslant}), \text{ which is } f(z) \in [(f(x))_{\leqslant},$ i.e. $f(z) \ge f(x)$. Applying (2) we have f(z) = f(u) for some $u \ge x$ and consequently $u \in [x)_{\leqslant}$, which implies $f(u) \in f([x)_{\leqslant})$). Finally $f(z) \in f([x)_{\leqslant})$ and $z \in f^{-}(f([x)_{\leqslant}))$. The converse inclusion follows from $f([x)_{\leqslant}) \subseteq [(f(x))_{\leqslant}, \text{ which}$ holds for any isotone mapping f (cf. [4], Lemma 2).

Proposition 5. Let (P, \leq) , (Q, \leq) be ordered sets and $f: P \longrightarrow Q$ a mapping. Then the following conditions are equivalent:

- (1) f is a 3-homomorphism,
- (2) a) f is isotone,
 - b) for any $y, x \in P$ the inequality $f(y) \ge f(x)$ implies $y \ge z$ for some $z \in P$ such that f(z) = f(x).

Proof. (1) \Rightarrow (2): b) Suppose (1) and $f(y) \ge f(x)$ for some $x, y \in P$. Clearly $f(y) \in [(f(x))_{\leqslant}$ and thus $y \in f^-([(f(x))_{\leqslant}) = [f^-(f(x)))_{\leqslant}$, hence there exists $z \in f^-(f(x))$, i.e. f(z) = f(x) such that $y \ge z$.

a) Suppose $x, y \in P$, $x \leq y$. Since $x \in f^-(f(x))$ we have $y \in [x]_{\leq} \subseteq [f^-(f(x)))_{\leq} = f^-([f(x))_{\leq})$, hence $f(y) \in [f(x))_{\leq}$. Consequently $f(x) \leq f(y)$.

 $(2) \Rightarrow (1)$: Suppose (2) and let $y \in f^-([(f(x))_{\leqslant})$, which means $f(y) \in [(f(x))_{\leqslant}$, i.e. $f(y) \ge f(x)$. We have $y \ge z$ for some $z \in P$ such that f(z) = f(x) by (2) and consequently $y \ge z \in f^-(f(z)) = f^-(f(x))$ and $y \in [f^-(f(x)))_{\leqslant}$. The converse inclusion follows from $[f^-(f(x)))_{\leqslant} \subseteq f^-([(f(x))_{\leqslant}))$, which holds for any isotone mapping f (cf. [4], Lemma 2).

A mapping satisfying the condition (2) b) of Proposition 4 or 5 is called *u-super* strong or *l-super strong*, respectively. If it satisfies both the conditions, it is called a super strong mapping.

There is a natural question whether 2-, 3-endomorphisms are closed under composition. The answer is negative, which means that 2, $3-\text{End}(P, \leq)$ is not a subgroupoid of $\text{End}(P, \leq)$. Let $P = \{a, b, c\}$ and $a \leq b$, $a \parallel c \parallel b$ (cf. Fig. 2). The mappings $f, g: (P, \leq) \to (P, \leq)$ ($f, g: (P, \geq) \to (P, \geq)$) are 2-endomorphisms (3endomorphisms) but for $h = g \circ f$ we have $h \notin 2\text{-End}(P, \leq)$ ($h \notin 3\text{-End}(P, \geq)$).

Now we can extend in a certain sense Theorem 1 from [4] to the case of 4-endomorphisms.



Theorem 2. Let (P, \leq) be a totally ordered set. Then

 $\operatorname{End}(P, \leq) = 4 \operatorname{-} \operatorname{End}(P, \leq).$

Proof. The inclusion $\operatorname{End}(P, \leq) \supseteq 4\operatorname{-End}(P, \leq)$ has been proved in [4], Lemma 3.

Suppose $f(z) \ge f(x)$. Since (P, \le) is a chain we have either $z \ge x$, i.e. condition (2) a) from Proposition 4 is satisfied, or z < x, which implies $f(z) \le f(x)$ and consequently f(z) = f(x), i.e. for u = x f is also a 2-homomorphism. Similarly we can prove condition (2) a) from Proposition 5.

There is a natural question how to construct 2-, 3-, 4-homomorphisms.

Let (P, \leq) be a poset, $\theta \in \text{Eqv} P$. Further, let us define two relations \triangleleft , \blacktriangleleft on P/θ in the following way:

 $[x]_{\theta} \blacktriangleleft [y]_{\theta}$ iff for any $q \in [x]_{\theta}$ there exists $p \in [y]_{\theta}$ such that $q \leq p$, $[x]_{\theta} \lhd [y]_{\theta}$ iff for any $p \in [y]_{\theta}$ there exists $q \in [x]_{\theta}$ such that $q \leq p$.

It is easy to see that they are both reflexive and transitive but not antisymmetric in general.

Lemma 2. If the equivalence blocks of P/θ are convex then $\triangleleft \cap \blacktriangleleft$ is an order relation on P/θ .

Proof. It has been proved in [2].

Corollary 1. Let (P, \leq) be a poset, $\theta \in \text{Eqv } P$ such that \blacktriangleleft is an order relation on P/θ . Then the canonical mapping $\psi \colon P \to P/\theta, x \mapsto [x]_{\theta}$ is a 2-homomorphism.

Proof. It is enough to verify the validity of conditions (2)a), b) from Proposition 4. The definition of the relation \blacktriangleleft yields

(i) $[x]_{\theta} \blacktriangleleft [y]_{\theta}$ implies $[y]_{\theta} = [z]_{\theta}$ for some $x \leq z$,

(ii) $z \leq y$ implies $[z]_{\theta} \blacktriangleleft [y]_{\theta}$

and the corollary holds.

Corollary 2. Let (P, \leq) be a poset, $\theta \in \text{Eqv } P$ such that \triangleleft is an order relation on P/θ . Then the canonical mapping $\psi \colon P \to P/\theta$, $x \mapsto [x]_{\theta}$ is a 3-homomorphism.

Proof. The definition of the relation \triangleleft yields

- (i) $[x]_{\theta} \triangleleft [y]_{\theta}$ implies $z \leq y$ for some $z \in [x]_{\theta}$,
- (ii) $z \leq y$ implies $[z]_{\theta} \triangleleft [y]_{\theta}$

and the corollary holds.

Corollary 3. Let (P, \leq) be a poset, $\theta \in \text{Eqv } P$ such that the equivalence blocks are convex. Let us order P/θ by $\lhd \cap \blacktriangleleft$. Then the canonical mapping $\psi \colon P \to P/\theta$, $x \mapsto [x]_{\theta}$ is a 4-homomorphism.

Proof. It follows immediately from Corollary 1 and Corollary 2. \Box

Theorem 3. Let (P, \leq) be a poset. Then the following conditions are equivalent: (1) a) (P, \leq) is an antichain or

- b) there exists an element $a \in P$ such that $(P, \leq) = X \oplus \{a\}$ where $X \neq \emptyset$ is an antichain or
- c) (P, \leq) is at least a three element chain,
- (2) $\operatorname{End}(P, \leq) \subseteq 2\operatorname{-}\operatorname{End}(P, \leq),$
- (3) $\operatorname{End}(P, \leq) = 2 \operatorname{End}(P, \leq).$

Proof. Conditions (2) and (3) are equivalent due to [4] Lemma 3 (this also follows from Proposition 4). It is enough to demonstrate the equivalence of (1) and (2). It has been recently proved in [4] that if P has at least a three-element chain it has to be a chain, i.e. (1) c) holds. Thus we can study only the cases where (P, \leq) is of length one, i.e. it contains two-element chains only.

 $(1) \Rightarrow (2)$: This follows immediately from Proposition 4.

 $(2) \Rightarrow (1)$: Suppose that any isotone mapping is a 2-homomorphism, i.e. condition (2) b) from Proposition 4 is satisfied. This is clear if (P, \leq) is an antichain or (P, \leq) is a two-element chain. Suppose (P, \leq) contains at least one two-element chain b < aand incomparable elements. Then for any pair of incomparable elements $x, y \in P$ we can construct an isotone mapping f such that f(x) > f(y), f(z) = a for any $z \in X^{xy}$, f(z) = b for any $z \in X_{xy}$ (X^{xy}, X_{xy} were defined in the proof of Proposition 1) and f(z) = a otherwise. The mapping f has to be a 2-homomorphism, i.e there exists an element z > y such that f(z) = f(x). If $x \parallel z$ then we can again construct a similar mapping but for elements x and z. This leads to the existence of a three-element chain and consequently (P, \leq) is a chain. Thus $x \leq z$, which means that P is up directed and must be of the form $X \oplus \{a\}$ for $a \in P$, X an antichain. **Theorem 4.** Let (P, \leq) be a poset. Then the following conditions are equivalent:

- (1) a) (P, \leqslant) is an antichain or
 - b) there exists an element $a \in P$ such that $(P, \leq) = \{a\} \oplus X$ where $X \neq \emptyset$ is an antichain or
 - c) (P, \leq) is at least a three element chain,
- (2) $\operatorname{End}(P, \leq) \subseteq 3\operatorname{-}\operatorname{End}(P, \leq),$
- (3) $\operatorname{End}(P, \leq) = 3 \operatorname{End}(P, \leq).$

Proof. Dually to the proof of the previous Theorem 3.

Theorem 5. Let (P, \leq) be a poset. Then the following conditions are equivalent:

- (1) a) (P,≤) is an antichain or
 b) (P,≤) is at least a three element chain,
- (2) $\operatorname{End}(P, \leq) \subseteq 4\operatorname{-}\operatorname{End}(P, \leq),$
- (3) $\operatorname{End}(P, \leq) = 4 \operatorname{End}(P, \leq).$

Proof. It follows from Theorem 3 and Theorem 4.

\square

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