## Mathematic Slovaca

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Mathematica Slovaca, Vol. 53 (2003), No. 2, 173--187

Persistent URL: http://dml.cz/dmlcz/136882

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# ON THE NUMBER OF LATTICE POINTS IN CERTAIN PLANAR SEGMENTS 

Gerald Kuba<br>(Communicated by Stanislav Jakubec )


#### Abstract

Let $\mathcal{D}_{0} \subset \mathbb{R}^{2}$ be a compact domain whose boundary is a simple closed curve composed of finitely many pieces such that on each piece the radius of curvature exists everywhere, is bounded and non-zero, and is continuously differentiable with respect to the tangent angle. Further, let $\mathcal{D}$ be a plane domain obtained by applying a rigid motion to $\mathcal{D}_{0}$ and let $\mathcal{D}(a, b):=\{(x, y) \in \mathcal{D}: y \geq$ $a x+b\}$, where $a, b \in \mathbb{R}$. Generalizing Huxley's famous theorem we show that when $a$ is taken from a large class $\mathcal{R}$ of irrational numbers and $b$ is arbitrary, for a real parameter $\lambda$


$$
\#\left(\lambda \mathcal{D} \cap \mathbb{Z}^{2}\right)=\lambda^{2} \text { area } \mathcal{D}+\mathbf{O}\left(\lambda^{0.63}\right) \quad(\lambda \rightarrow \infty)
$$

Thereby the $\mathbf{O}$-constant depends only on the basic domain $\mathcal{D}_{0}$ and the class $\mathcal{R}$.
Additionally, we are able to extend the applicability of the standard method of estimating rounding error sums of the shape

$$
\Psi(f ; u, v ; \lambda):=\sum_{u \lambda \leq n \leq v \lambda} \psi\left(\lambda f\left(\frac{n}{l}\right)\right) \quad(\lambda \rightarrow \infty)
$$

where $\psi(z)=z-[z]-1 / 2$ and $f$ is a real-valued function defined on an interval $[u, v] \subset \mathbb{R}$ with continuous derivatives up to order 3 and the property that $f^{\prime \prime}$ does not vanish on $[u, v]$. By Huxley's method, $\Psi(f ; u, v ; \lambda) \ll \lambda^{0.63}$ under the additional condition that $f^{\prime \prime \prime}$ does not vanish on $[u, v]$.

We show that this condition, which has always been interpreted as technical, is superfluous.

## 1. Introduction and statement of the main result

Let $\mathcal{D}_{0} \subset \mathbb{R}^{2}$ be a compact domain whose boundary is a simple closed curve composed of finitely many pieces such that on each piece the radius of curvature exists everywhere, is bounded and non-zero, and is continuously differentiable

[^0]with respect to the tangent angle. Let $\mathcal{D}$ be a plane domain obtained by applying a rigid motion to $\mathcal{D}_{0}$, i.e. $\mathcal{D}=\mathcal{D}_{0} \cdot \mathbf{A}+\boldsymbol{v}$, where $\mathbf{A}$ is a real orthogonal $2 \times 2$-matrix with determinant 1 and $\boldsymbol{v} \in \mathbb{R}^{2}$ is a translation vector.

The following deep result of planar lattice point theory has been proved by Huxley (cf. [2]).

There exists an effective constant $C$ such that for every expansion factor $\lambda \geq 2$

$$
\mid \#\left(\lambda \mathcal{D} \cap \mathbb{Z}^{2}\right)-\lambda^{2} \text { area } \mathcal{D} \left\lvert\, \leq C \lambda^{\frac{46}{73}}(\log \lambda)^{\frac{315}{146}}\right.
$$

## $C$ depends on $\mathcal{D}_{0}$, but not on the rotation matrix $\mathbf{A}$ or the translation vector $\mathbf{v}$.

With reference to this great theorem and for the sake of simplicity we will call any domain like $\mathcal{D}_{0}$ a Huxley domain.

The most important Huxley domain of course is a circle and in this case Huxley's theorem is the sharpest-known result concerning the famous circle problem.

The aim of the present paper is to achieve an analogous result if the domain $\mathcal{D}$ is replaced by segments $\{(x, y) \in \mathcal{D}: y \geq a x+b\}(a, b \in \mathbb{R})$.

There will be no problem concerning $b$ which may be arbitrary without influencing the constant $C$. On the other hand, the slope $a$ of the boundary line $y=a x+b$ has to be chosen carefully. Clearly, with respect to the symmetry of the lattice, we may assume without loss of generality $0 \leq a \leq 1$. Of course, the desired generalization of Huxley 's theorem is impossible if $a$ is rational. Thus we assume that $a$ is irrational. Consequently, there lies at most one lattice point on any line $y=a x+\lambda b$ and hence one may alternately consider the subdomains of $\mathcal{D}$ where $y>a x+b, y \leq a x+b$, or $y<a x+b$. Of course, the assumption only that $a$ is irrational would be insufficient. What we really have to assume is that $a$ is rather badly aproximable by rationals. Then the numbers $a$ which must not occur are only few from a measure-theoretic standpoint.

Let $D_{N}(a):=D_{N}\left((n a)_{n=1, \ldots, N}\right)$ denote the discrepancy of the irrational $a$ (cf. [4]).

For a constant $H \geq 1$ let $\Re_{H}$ be the set of all irrationals $a \in[0,1]$ such that the inequality $D_{N}(a) \leq H N^{-\frac{3}{8}}$ holds for every $N \in \mathbb{N}$.

The famous theorem of Thue-Siegel-Roth implies that for every algebraic irrational $a$ and arbitrarily small $\varepsilon>0$ there is a $H_{a, \varepsilon}$ with $D_{N}(a) \leq H_{a, \varepsilon} N^{-1+\varepsilon}$ for all $N \in \mathbb{N}$. Hence for every algebraic $a \in[0,1] \backslash \mathbb{Q}$ there is a $H$ with $a \in \Re_{H}$. (For instance, $\sqrt{2}-1, \sqrt{3}-1 \in \Re_{4}$ by [4; Theorem 3.4].) But the sets $\Re_{H}$ are far away from being small. Since (for every $N \in \mathbb{N}$ ) $D_{N}$ is a continuous function on $[0,1] \backslash \mathbb{Q}$ and $\Re_{H}=\bigcap_{N \in \mathbb{N}} D_{N}^{-1}\left(\left[0, H N^{-\frac{3}{8}}\right]\right)$, there is a closed set $A_{H} \subset[0,1]$
such that $\Re_{H}=A_{H} \backslash \mathbb{Q}$, whence the set $\Re_{H}$ is always measurable. Further, $[0,1] \backslash \bigcup_{H \in \mathbb{N}} \Re_{H}$ is a Lebesgue null set because, by a well-known result due to Khintchine (cf. [4]), $D_{N}(a) \ll N^{-1+\varepsilon}(N \rightarrow \infty)$ for almost all $a \in \mathbb{R}$. Consequently, since $\Re_{H} \subset \Re_{H^{\prime}}$ if $H \leq H^{\prime}$, the Lebesgue measure of the set $[0,1] \backslash \Re_{H}$ is arbitrarily small when $H$ is sufficiently large. ${ }^{1}$

Now the main result of the present paper is the following theorem.
Theorem 1. Let $\mathcal{A}$ be the set of all real orthogonal $2 \times 2$-matrices with determinant 1 and, for $H \geq 1, \Re_{H}:=\left\{a \in[0,1] \backslash \mathbb{Q}:(\forall N \in \mathbb{N})\left(D_{N}(a) \leq\right.\right.$ $\left.\left.H N^{-\frac{3}{8}}\right)\right\}$. Further let $\mathcal{D}_{0} \subset \mathbb{R}^{2}$ be a Huxley domain. Then there exists an effective constant $C$ depending only on $\mathcal{D}_{0}$ and $H$ such that for every expansion factor $\lambda \geq 2$, for every $a \in \Re_{H}$, for every $b \in \mathbb{R}$, for every $\mathbf{A} \in \mathcal{A}$, and for every $\boldsymbol{v} \in \mathbb{R}^{2}$

$$
\mid \#\left(\lambda \mathcal{D}(a, b ; \mathbf{A}, \boldsymbol{v}) \cap \mathbb{Z}^{2}\right)-\lambda^{2} \text { area } \mathcal{D}(a, b ; \mathbf{A}, \boldsymbol{v}) \left\lvert\, \leq C \lambda^{\frac{46}{73}}(\log \lambda)^{\frac{315}{146}}\right.
$$

where

$$
\mathcal{D}(a, b ; \mathbf{A}, \boldsymbol{v}):=\left\{(x, y) \in \mathcal{D}_{0} \cdot \mathbf{A}+\mathbf{v}: y \geq a x+b\right\}
$$

## 2. Preparation of the proof

Let the rounding error function $\psi$ be defined by

$$
\psi(z)=z-[z]-1 / 2 \quad(z \in \mathbb{R})
$$

where [ ] are the Gauss brackets. The following two lemmata provide good estimates of rounding error sums that we need in order to prove Theorem 1.

LEMMA 1. Let $H \geq 1$ and $a \in \Re_{H}$. Then for $\lambda \geq 2$ and arbitrary $u, v, b \in \mathbb{R}$ we have

$$
\left|\sum_{u \lambda \leq n \leq v \lambda} \psi(a n+b)\right| \leq 2 H(1+|u|+|v|) \lambda^{\frac{5}{8}}
$$

Proof. By Koksma's inequality (cf. [3; Theorem 5.1]) we have for every $b \in \mathbb{R}$, every $N \in \mathbb{N}$, and every sequence $\left(x_{n}\right)_{n \in \mathbb{N}}$ of real numbers

$$
\left|\sum_{n=1}^{N} \psi\left(x_{n}+b\right)\right| \leq 2 N D_{N}\left(\left(x_{n}\right)_{n=1, \ldots, N}\right)
$$

[^1]Consequently,

$$
\left|\sum_{n=1}^{N} \psi( \pm a n+b)\right| \leq 2 H N^{\frac{5}{8}}
$$

which immediately implies the assertion.
The next lemma follows by combining Huxley [2; Theorems 18.2.1, 18.2.2].
LEMMA 2. Let $C_{1}, C_{2} \geq 1$ be constants and let $M, M^{\prime}$, $T$ be positive real parameters satisfying $M \leq M^{\prime}<2 M$ and $T^{\frac{4}{9}} \leq M \leq C_{1} T^{\frac{1}{2}}$. Further, let $F(t)$ be a three times continuously differentiable function on $1 \leq t \leq 2$ satisfying $1 / C_{2} \leq\left|F^{(r)}(t)\right| \leq C_{2}$ for $1 \leq t \leq 2$ and $r=1,2,3$. Then there exists $a$ constant $C_{3}$ depending only on $C_{1}$ and $C_{2}$ such that if $T \geq 2$, then

$$
\left|\sum_{M \leq m \leq M^{\prime}} \psi\left(\frac{T}{M} F\left(\frac{m}{M}\right)\right)\right| \leq C_{3} T^{\frac{23}{73}}(\log T)^{\frac{315}{146}}
$$

The following lemma is a generalization of Huxley's main theorem cited in Section 1.

Lemma 3. Fix $k, l \in \mathbb{N}$ and let $\mathcal{D}_{0}$ and $\mathcal{H}_{0}$ be two Huxley domains. Then there exists an effective constant $C_{0}$ such that for every rotation matrix $\mathbf{A} \in \mathcal{A}$ and all translation vectors $\boldsymbol{v}, \boldsymbol{v}_{1}, \ldots, \boldsymbol{v}_{k} \in \mathbb{R}^{2}$ the following is true. If $\mathcal{H}$ is a Huxley domain with

$$
\partial \mathcal{H} \subset \partial\left(\mathcal{D}_{0} \cdot \mathbf{A}+\boldsymbol{v}\right) \cup \partial\left(\mathcal{H}_{0}+\boldsymbol{v}_{1}\right) \cup \cdots \cup \partial\left(\mathcal{H}_{0}+\boldsymbol{v}_{k}\right)
$$

such that $\partial \mathcal{H}$ is the union of at most $l$ smooth pieces, ${ }^{2}$ then the inequality

$$
\mid \#\left(\lambda \mathcal{H} \cap \mathbb{Z}^{2}\right)-\lambda^{2} \text { area } \mathcal{H} \left\lvert\, \leq C_{0} \lambda^{\frac{46}{73}}(\log \lambda)^{\frac{315}{146}}\right.
$$

holds for every expansion factor $\lambda \geq 2$.
Proof. Since the number of the smooth pieces $C_{i}$ of $\mathcal{H}$ is bounded by $l$, we can take over Huxley 's original proof ([2; pp. 389-393]) word for word.

The final lemma guarantees that the sets $\Re_{H}$ are always bounded away from 0 and 1 .

[^2]Lemma 4. For $H \geq 1$ let $N \in \mathbb{N}$ such that $N \geq(2 H)^{\frac{8}{3}}$. Then $\Re_{H} \subset$ $\left[\frac{1}{2 N}, 1-\frac{1}{2 N}\right]$.

Proof. Note that, by assumption, $N \geq 6$ and let $a \in \Re_{H}$. Since there is nothing to show if $\frac{1}{N} \leq a \leq 1-\frac{1}{N}$ suppose firstly that $a<\frac{1}{N}$. Then we have $n a \in[0, N a] \subset[0,1]$ for every $n=1,2, \ldots, N$ and hence, by the definition of the discrepancy and with $\mathbb{I}_{\mathcal{M}}$ denoting the indicator function of the set $\mathcal{M}$,

$$
1-N a=\left|\frac{1}{N} \sum_{n=1}^{N} \mathbb{I}_{[0, N a]}(n a)-N a\right| \leq D_{N}(a) \leq H N^{-\frac{3}{8}} \leq \frac{1}{2}
$$

whence $a \geq \frac{1}{2 N}$. If on the other hand $a>1-\frac{1}{N}$, then the same argument applied to $1-a$ instead of $a$ yields $a \leq 1-\frac{1}{2 N}$ since $D_{N}(1-a)=D_{N}(a)$.

## 3. Lattice points in segments of a circle

For fixed $r>0$ and arbitrary $a \in \Re_{H}(H \geq 1)$, define circular segments

$$
\sigma(a, d ; r):=\left\{(x, y) \in \mathbb{R}^{2}:\left(x^{2}+y^{2} \leq r^{2}\right) \wedge(y \leq a x+d)\right\}
$$

where $-r \sqrt{1+a^{2}}<d<-r$, so that $\sigma(a, d ; r)^{\circ} \neq \emptyset$ and the slope of any tangent to the circular piece of the boundary of $\sigma$ is always positive (and finite).

Then we can write

$$
\sigma(a, d ; r):=\left\{(x, y) \in \mathbb{R}^{2}:\left(x_{1} \leq x \leq x_{2}\right) \wedge(f(x) \leq y \leq g(x))\right\}
$$

where $g(x):=a x+d, f(x):=-\sqrt{r^{2}-x^{2}}$ and $0<x_{1}<x_{2}<r$ such that $f\left(x_{1}\right)=g\left(x_{1}\right)$ and $f\left(x_{2}\right)=g\left(x_{2}\right)$. Then the slope of the tangents mentioned above is given by the first derivative of the function $f$.

We are going to apply Lemma 2 in order to derive a formula for the number of lattice points in the domains $\lambda \sigma(a, d ; r)$. Thereby it is inevitable to make an assumption like the following.
(*) There are constants $c_{1}, c_{2}, 0<c_{1}<c_{2}<\infty$, such that $c_{1} \leq f^{\prime}(x) \leq c_{2}$ $\left(x_{1} \leq x \leq x_{2}\right)$.
Note that the bounds for the first derivative of $f$ yield new bounds for the higher derivatives. Actually, $(*)$ implies $r c_{3} \leq x_{1}<x_{2} \leq r c_{4}$ with

$$
c_{3}:=\frac{c_{1}}{\sqrt{1+c_{1}^{2}}} \quad \text { and } \quad c_{4}:=\frac{c_{2}}{\sqrt{1+c_{2}^{2}}}
$$

Then via $f^{\prime \prime}(x)=\left(f^{\prime}(x)\right)^{3} r^{2} / x^{3}$ and $f^{\prime \prime \prime}(x)=3\left(f^{\prime}(x)\right)^{5} r^{2} / x^{4}$ we obtain the coarse but immediate estimations

$$
\begin{equation*}
0<\frac{c_{1}^{3}}{c_{4}^{3} r} \leq f^{\prime \prime} \leq \frac{c_{2}^{3}}{c_{3}^{3} r}<\infty \quad \text { and } \quad 0<\frac{3 c_{1}^{5}}{c_{4}^{4} r^{2}} \leq f^{\prime \prime \prime} \leq \frac{3 c_{2}^{5}}{c_{3}^{4} r^{2}}<\infty \tag{**}
\end{equation*}
$$

Proposition 1. Under the above premises, and assuming (*), we have for $\alpha, \beta \in[0,1]$ and as $\lambda \rightarrow \infty$,

$$
\#(\lambda \sigma(a, d ; r) \cap(\alpha+\mathbb{Z}) \times(\beta+\mathbb{Z}))=\lambda^{2} \text { area } \sigma(a, d ; r)+O\left(\lambda^{\frac{46}{73}}(\log \lambda)^{\frac{315}{146}}\right)
$$

where the $O$-constant depends on $r, c_{1}, c_{2}$, and $H$, but not on $\alpha, \beta$, $d$, or $a \in \Re_{H}$.

Proof. Let $\lambda \geq 2+r+32 /\left(r c_{3}\right)^{5}$ so that then $\lambda^{2} r \geq 2$ and $\lambda^{\frac{1}{5}} \geq 2 / x_{1}$ and $\lambda x_{1} / 2 \leq \lambda x_{1}-\alpha$. We have

$$
\lambda \sigma(a, d ; r)=\left\{(x, y) \in \mathbb{R}^{2}:\left(\lambda x_{1} \leq x \leq \lambda x_{2}\right) \wedge(\lambda f(x / \lambda) \leq y \leq \lambda g(x / \lambda))\right\}
$$

Consequently,

$$
\begin{aligned}
& \#(\lambda \sigma(a, d ; r) \cap(\alpha+\mathbb{Z}) \times(\beta+\mathbb{Z})) \\
= & \sum_{\lambda x_{1}-\alpha \leq n \leq \lambda x_{2}-\alpha} \#\left\{m \in \mathbb{Z}:-\beta+\lambda f\left(\frac{n+\alpha}{\lambda}\right) \leq m \leq-\beta+\lambda g\left(\frac{n+\alpha}{\lambda}\right)\right\} \\
= & \sum_{\lambda x_{1}-\alpha \leq n \leq \lambda x_{2}-\alpha}\left(\left[-\beta+\lambda g\left(\frac{n+\alpha}{\lambda}\right)\right]+\left[\beta-\lambda f\left(\frac{n+\alpha}{\lambda}\right)\right]+1\right) \\
= & S\left(\lambda, x_{1}, x_{2}, \alpha\right)-\Psi_{1}\left(\lambda, x_{1}, x_{2}, \alpha\right)-\Psi_{2}\left(\lambda, x_{1}, x_{2}, \alpha\right),
\end{aligned}
$$

where

$$
\begin{aligned}
S\left(\lambda, x_{1}, x_{2}, \alpha\right) & :=\sum_{\lambda x_{1}-\alpha \leq n \leq \lambda x_{2}-\alpha} \lambda\left(g\left(\frac{n+\alpha}{\lambda}\right)-f\left(\frac{n+\alpha}{\lambda}\right)\right), \\
\Psi_{1}\left(\lambda, x_{1}, x_{2}, \alpha\right) & :=\sum_{\lambda x_{1}-\alpha \leq n \leq \lambda x_{2}-\alpha} \psi\left(\beta-\lambda f\left(\frac{n+\alpha}{\lambda}\right)\right) \\
\Psi_{2}\left(\lambda, x_{1}, x_{2}, \alpha\right) & :=\sum_{\lambda x_{1}-\alpha \leq n \leq \lambda x_{2}-\alpha} \psi\left(-\beta+\lambda g\left(\frac{n+\alpha}{\lambda}\right)\right) .
\end{aligned}
$$

We apply Lemma 1 to the last sum and obtain

$$
\Psi_{2}\left(\lambda, x_{1}, x_{2}, \alpha\right) \ll \lambda^{\frac{5}{8}} \leq \lambda^{\frac{46}{73}} \quad(\lambda \rightarrow \infty)
$$

where the $\ll$-constant depends only on $x_{1}, x_{2}$, and $H$, hence only on $r, c_{1}$, $c_{2}$, and $H$.

The first sum can be handled by applying the Euler summation formula (cf. [3]). Then we have

$$
\begin{aligned}
S\left(\lambda, x_{1}, x_{2}, \alpha\right)= & \lambda \int_{\lambda x_{1}-\alpha}^{\lambda x_{2}-\alpha}\left(g\left(\frac{u+\alpha}{\lambda}\right)-f\left(\frac{u+\alpha}{\lambda}\right)\right) \mathrm{d} u \\
& +\int_{\lambda x_{1}-\alpha}^{\lambda x_{2}-\alpha} \psi(u)\left(g^{\prime}\left(\frac{u+\alpha}{\lambda}\right)-f^{\prime}\left(\frac{u+\alpha}{\lambda}\right)\right) \mathrm{d} u
\end{aligned}
$$

Obviously, the first integral equals

$$
\lambda^{2} \int_{x_{1}}^{x_{2}}(g(u)-f(u)) \mathrm{d} u=\lambda^{2} \text { area } \sigma(a, d ; r)
$$

and, via $(*)$ and $\left|\int_{v}^{w} \psi(u) \mathrm{d} u\right| \leq \frac{1}{8}$ and the second mean value theorem, the absolute value of the second is not greater than $\left(a+c_{2}\right) / 8 \leq 1+c_{2}$.

Thus it remains to estimate $\Psi_{1}\left(\lambda, x_{1}, x_{2}, \alpha\right)$. Let $M_{0}:=\lambda x_{1}-\alpha$, and choose $J \in \mathbb{N}$ with $2^{J-1} M_{0} \leq \lambda x_{2}-\alpha<2^{J} M_{0}$. Now, define a dyadic sequence $M_{j}=$ $2^{j} M_{0}(j<J)$ and put $M_{J}:=\left[\lambda x_{2}-\alpha\right]+1$. Then

$$
\Psi_{1}\left(\lambda, x_{1}, x_{2}, \alpha\right)=\sum_{j=0}^{J-1} \sum_{M_{j} \leq m<M_{j+1}} \psi\left(\frac{T_{j}}{M_{j}} F_{j}\left(\frac{m}{M_{j}}\right)\right),
$$

where for $j=0,1, \ldots, J-1$,

$$
F_{j}(u):=\beta \frac{M_{j}}{T_{j}}-\lambda \frac{M_{j}}{T_{j}} f\left(\frac{M_{j} u+\alpha}{\lambda}\right) \quad(1 \leq u \leq 2)
$$

Now set $T_{j}:=\lambda M_{j}(0 \leq j<J)$ in order to apply Lemma 3 to each of the $J$ inner sums. Then we have

$$
F_{j}^{(n)}(u)=-\left(\frac{M_{j}}{\lambda}\right)^{n} f^{(n)}\left(\frac{M_{j} u+\alpha}{\lambda}\right) \quad(n \in \mathbb{N})
$$

Since for $0 \leq j<J, M_{j} \in\left[\lambda x_{1}-\alpha, \lambda x_{2}-\alpha\right] \subset\left[\lambda x_{1} / 2, \lambda x_{2}\right] \subset \lambda\left[r c_{3} / 2, r c_{4}\right]$, via $(*)$ and $(* *)$ it is easy to find a constant $C_{2}=C_{2}\left(r, c_{1}, c_{2}\right) \geq 1$ such that $1 / C_{2} \leq\left|F_{j}^{(n)}\right| \leq C_{2}$ for $n=1,2,3$ and $j=0,1, \ldots, J-1$. Further, since $\lambda^{\frac{1}{5}} \geq 2 / x_{1}$, the inequality $T_{j}^{\frac{4}{9}} \leq M_{j} \leq C_{1} T_{j}^{\frac{1}{2}}$ is true for every $j$ if we set $C_{1}:=1+\sqrt{r}$.

Therefore, by Lemma 2 (note that $\lambda^{3} \geq r \lambda^{2} \geq T_{j} \geq 2$ )

$$
\begin{aligned}
\left|\Psi_{1}\left(\lambda, x_{1}, x_{2}, \alpha\right)\right| & \leq C_{3}\left(\sum_{j=0}^{J-1} T_{j}^{\frac{23}{73}}\right)\left(\log \left(r \lambda^{2}\right)\right)^{\frac{315}{146}} \\
& \leq C_{3} \cdot 5 \cdot\left(\lambda 2^{J} M_{0}\right)^{\frac{23}{73}} \cdot 11 \cdot(\log \lambda)^{\frac{315}{146}} \leq 69 r^{\frac{23}{73}} C_{3} \lambda^{\frac{46}{73}}(\log \lambda)^{\frac{315}{146}}
\end{aligned}
$$

This finishes the proof of Proposition 1.

## 4. Proof of Theorem 1

Notation. For compact $\mathcal{M} \subset \mathbb{R}^{2}$ let $\operatorname{diam} \mathcal{M}=\sup \{|P-Q|: P, Q \in \mathcal{M}\}$ denote the diameter of $\mathcal{M}$. Further, for abbreviation, if $a, b \in \mathbb{R}$ let

$$
\begin{aligned}
\mathcal{M}(a, b) & :=\{(x, y) \in \mathcal{M}: y \geq a x+b\} \\
\mathcal{M}^{+}(a, b) & :=\{(x, y) \in \mathcal{M}: y>a x+b\}
\end{aligned}
$$

Finally, if $P, Q \in \mathbb{R}^{2}$ let $[P, Q]$ denote the straight line segment with endpoints $P, Q$,

$$
[P, Q]=\{t Q+(1-t) P: 0 \leq t \leq 1\}
$$

Now let $a \in \Re_{H}$ and $b \in \mathbb{R}$. In order to prove Theorem 1 we put $\mathcal{D}=\mathcal{D}_{0} \cdot \mathbf{A}+\boldsymbol{v}$ so that $\mathcal{D}(a, b)=\mathcal{D}(a, b ; \mathbf{A}, \boldsymbol{v})$. Since there is at most one lattice point on a straight line with slope $a$, we may exclude the trivial case $\mathcal{D}^{+}(a, b)=\emptyset$.

Since $\mathcal{D}(a, b)$ may not be connected, we consider its (finitely many) components. Some of them may be singletons, but at least one component has a non-empty interior provided that $\mathcal{D}^{+}(a, b) \neq \emptyset$. Clearly there is a $M \in \mathbb{N}$ depending only on $\mathcal{D}_{0}$ such that for the number $n=n(a, b, \mathbf{A}, \boldsymbol{v})$ of all components of $\mathcal{D}(a, b)$ we always have $n \leq M$. Then we can write $\mathcal{D}(a, b)=$ $\mathcal{E}_{1} \cup \cdots \cup \mathcal{E}_{m} \cup \mathcal{F}$, where $\mathcal{E}_{1}, \ldots, \mathcal{E}_{m}$ are pairwise disjoint, compact and connected sets with non-empty interior, $\mathcal{F}$ is a finite set of points on the line $y=a x+b$ with $\mathcal{F} \cap\left(\mathcal{E}_{1} \cup \cdots \cup \mathcal{E}_{m}\right)=\emptyset$, and $m+|\mathcal{F}|=n$. Then we observe that there exists a constant $K \in \mathbb{N}$ depending only on $\mathcal{D}_{0}$ such that for every $i=1, \ldots, m$ we have

$$
\mathcal{E}_{i} \backslash \mathcal{D}^{+}(a, b)=\bigcup_{j=1}^{k_{i}}\left[P_{j}, Q_{j}\right]
$$

where $\left[P_{j}, Q_{j}\right]\left(j=1, \ldots, k_{i}\right)$ are pairwise disjoint subsets of the line $y=a x+b$ and $0 \leq k_{i} \leq K$. (Note that $k_{i}>0$ for every $i=1, \ldots, m$ if $m \geq 2$. If $m=1$ and $k_{1}=0$, then there is nothing to show because this case is equivalent to $\mathcal{D}(a, b)=\mathcal{D}$, so that then Theorem 1 equals Huxley's original Theorem.)

Thus the boundary of every set $\mathcal{E}_{i}$ is put together by a piece of the boundary of $\mathcal{D}$ and $k_{i}$ straight line segments $\left[P_{j}, Q_{j}\right]$. Hence every set $\mathcal{E}_{i}$ becomes a Huxley domain $\mathcal{D}_{i}$, i.e. $\mathcal{D}_{i}(a, b)=\mathcal{E}_{i}$, if the segments $\left[P_{j}, Q_{j}\right.$ ] are all replaced by suitable circular arcs connecting $P_{j}$ and $Q_{j}$. The pairwise disjoint Huxley domains $\mathcal{D}_{1}, \ldots, \mathcal{D}_{m}$ which allow the representation $\mathcal{D}(a, b)=\mathcal{D}_{1}(a, b) \cup \cdots \cup \mathcal{D}_{m}(a, b) \cup \mathcal{F}$ may be chosen in the following way. With respect to Lemma 4 we choose a small positive constant $c_{H}$ depending only on $H$ such that $\Re_{H} \subset\left[c_{H}, 1-c_{H}\right]$. Further we fix

$$
r:=\frac{2}{c_{H}} \operatorname{diam} \mathcal{D}_{0}
$$

and, for $i=1, \ldots, m$ and $j=1, \ldots, k_{i}$, choose suitable $\boldsymbol{v}_{i j} \in \mathbb{R}^{2}$ and $d_{i j}<0$ with $1<d_{i j}^{2} / r^{2}<1+a^{2}$ such that

$$
\mathcal{D}_{i} \backslash \mathcal{D}_{i}^{+}(a, b)=\bigcup_{j=1}^{k_{i}}\left(\sigma\left(a, d_{i j} ; r\right)+\boldsymbol{v}_{i j}\right) \quad(i=1, \ldots, m)
$$

where the circular segments $\left(\sigma\left(a, d_{i j} ; r\right)+\boldsymbol{v}_{i j}\right)\left(i=1, \ldots, m, j=1, \ldots, k_{i}\right)$ are pairwise disjoint.

Note that this can be done in a way that the radius $r$ is fixed as above. The freedom we need for fitting the circular segments arises from the freedom to choose the $d_{i j}$ 's. Actually, for every $i=1, \ldots, m$ and $j=1, \ldots, k_{i}$ we have

$$
\operatorname{diam} \mathcal{D}_{0}^{\prime} \geq \operatorname{diam} \sigma\left(a, d_{i j} ; r\right)=2 r \sqrt{1-\frac{d_{i j}^{2}}{r^{2}} \frac{1}{1+a^{2}}}
$$

so that we always can find a $d_{i j}$ with the corresponding segment fitting because

$$
2 r \sqrt{1-\frac{1}{1+a^{2}}}=\frac{4}{\sqrt{1+a^{2}}} \frac{a}{c_{H}} \operatorname{diam} \mathcal{D}_{0} \geq 2 \operatorname{diam} \mathcal{D}_{0}
$$

So the boundary of any domain $\mathcal{D}_{i}$ is always put together by first taking a piece of the boundary of the basic domain $\mathcal{D}_{0}$ and $k_{i}$ pieces of one unique circle, and then applying rigid motions to all pieces. Hence, for every $i=1, \ldots, m$ we can apply Lemma 3 with $k=K, l=K+\mu$, where $\mu$ is the minimal number of smooth pieces of $\partial \mathcal{D}_{0}, \mathcal{H}_{0}=\left\{(x, y) \in \mathbb{R}^{2}: x^{2}+y^{2} \leq r^{2}\right\}, \mathcal{H}=\mathcal{D}_{i}$, and $\boldsymbol{v}_{j}(j=1, \ldots, k)$ suitable to make up the circular segments $\sigma\left(a, d_{i j} ; r\right)+\boldsymbol{v}_{i j}$ $\left(j=1, \ldots, k_{i}\right)$ out of the one $\operatorname{disc} \mathcal{H}_{0}$.

Thus we obtain

$$
\begin{equation*}
\mid \#\left(\lambda \mathcal{D}_{i} \cap \mathbb{Z}^{2}\right)-\lambda^{2} \text { area } \mathcal{D}_{i} \left\lvert\, \leq C_{0} \lambda^{\frac{46}{73}}(\log \lambda)^{\frac{315}{146}} \quad(\lambda \geq 2)\right. \tag{4.1}
\end{equation*}
$$

where the constant $C_{0}$ depends only on $K, \mathcal{D}_{0}$ and $\mathcal{H}_{0}$, which actually means that it depends only on $\mathcal{D}_{0}$ and $H$.

Next we show that Proposition 1 can be applied to all segments $\sigma\left(a, d_{i j} ; r\right)$. Let $\sigma=\sigma\left(a, d_{i j} ; r\right)$ and let $\varphi_{1}$ and $\varphi_{2}$ denote the circle tangent angles in the left and right vertex of $\sigma$, respectively. Further let $\varphi_{0}$ denote the angle of the straight line bounding the segment $\sigma$. (All angles are to be considered relative to the horizontal.) Then, by the definition of the universal radius $r$ and with $\delta:=\operatorname{diam} \sigma$ and $c:=c_{H}$,

$$
r \geq \frac{2 \delta}{c} \geq \frac{\delta}{2 \sin \left(\frac{c}{3}\right)}=\frac{\delta}{2 \cos \left(\frac{\pi}{2}-\frac{c}{3}\right)}
$$

whence

$$
\varphi_{1}+\frac{\pi}{2}-\varphi_{0}=\arccos \left(\frac{\delta}{2 r}\right) \geq \frac{\pi}{2}-\frac{c}{3}
$$

Then, since $\tan \varphi_{0}=a$ and $c \leq a \leq 1$, we have

$$
\tan \varphi_{1} \geq \varphi_{1} \geq \arctan a-\frac{c}{3} \geq \frac{\pi}{4} a-\frac{c}{3} \geq \frac{c}{3}
$$

On the other hand, for the second angle $\varphi_{2}$ we have

$$
\varphi_{2}=\varphi_{0}+\left(\varphi_{0}-\varphi_{1}\right) \leq 2 \varphi_{0}=2 \arctan a \leq 2 \arctan (1-c)
$$

whence

$$
\tan \varphi_{2} \leq \frac{2(1-c)}{1-(1-c)^{2}} \leq \frac{2}{c}
$$

As a consequence, if $\kappa$ is the slope of any tangent to the circular piece of the boundary of the segment $\sigma$, then

$$
0<\frac{c_{H}}{3} \leq \kappa \leq \frac{2}{c_{H}}<\infty
$$

Thus, by Proposition 1, we have for every $i=1, \ldots, m, j=1, \ldots, k_{i}$ and $\lambda \geq 2$,

$$
\begin{equation*}
\left|\#\left(\lambda\left(\sigma\left(a, d_{i j} ; r\right)+v_{i j}\right) \cap \mathbb{Z}^{2}\right)-\lambda^{2} \operatorname{area} \sigma\left(a, d_{i j} ; r\right)\right| \leq C_{4} \lambda^{\frac{46}{73}}(\log \lambda)^{\frac{315}{146}} \tag{4.2}
\end{equation*}
$$

where the constant $C_{4}$ depends only on $r$ and $c_{H}$, i.e. only on $\mathcal{D}_{0}$ and $H$.
Now, always having in mind that $\#\left\{(x, y) \in \mathbb{Z}^{2}: y=a x+\lambda b\right\} \leq 1$, we have for every $\lambda \geq 2$,

$$
\#\left(\lambda \mathcal{D}(a, b) \cap \mathbb{Z}^{2}\right)=\sum_{i=1}^{m} \#\left(\lambda \mathcal{D}_{i}^{+}(a, b) \cap \mathbb{Z}^{2}\right)+\gamma \quad(\gamma \in\{0,1\})
$$

and

$$
\lambda \mathcal{D}_{i}^{+}(a, b)=\lambda \mathcal{D}_{i} \backslash \bigcup_{j=1}^{k_{i}} \lambda\left(\sigma\left(a, d_{i j} ; r\right)+\boldsymbol{v}_{i j}\right) \quad(i=1, \ldots, m)
$$

so that by (4.1) and (4.2), Theorem 1 follows.

## 5. Lattice points in Huxley sectors

Let $\mathcal{D}$ be a Huxley domain, $E \in \mathbb{R}^{2}$ an arbitrary point, and $\boldsymbol{v}, \boldsymbol{w} \in \mathbb{R}^{2}$ planar vectors. Then we consider the sector $\mathcal{D}(E ; \boldsymbol{v}, \boldsymbol{w})$ given by

$$
\mathcal{D}(E ; \boldsymbol{v}, \boldsymbol{w}):=\left\{X \in \mathcal{D}:\left(\exists t_{1}, t_{2} \geq 0\right)\left(X=E+t_{1} \boldsymbol{v}+t_{2} \boldsymbol{w}\right)\right\}
$$

Clearly, we have to place restrictions on the vectors $\boldsymbol{v}$ and $\boldsymbol{w}$ in order to achieve a satisfying generalization of our result on segments of Huxley domains to sectors. For $H \geq 1$ define

$$
\overline{\mathcal{V}_{H}}:=\left\{\left(v_{1}, v_{2}\right) \in(\mathbb{R} \backslash\{0\})^{2}:\left(\left|v_{1} / v_{2}\right| \in \Re_{H}\right) \vee\left(\left|v_{2} / v_{1}\right| \in \Re_{H}\right)\right\}
$$

Now, the main result of this section is the following theorem.

## ON THE NUMBER OF LATtice POINTS IN CERTAIN PLANAR SEGMENTS

THEOREM 2. Let $\mathcal{D}_{0} \subset \mathbb{R}^{2}$ be a Huxley domain and $H \geq 1$. Then there exists a constant $C^{\prime}$ such that for all points $E \in \mathbb{R}^{2}$, for all vectors $\boldsymbol{v}, \boldsymbol{w} \in \mathcal{V}_{H}$, for every rotation matrix $\mathbf{A} \in \mathcal{A}$, for all $\alpha, \beta \in[0,1]$, for every expansion factor $\lambda \geq 2$, and with $\mathcal{D}=\mathcal{D}_{0} \cdot \mathbf{A}$,
$\left|\#(\lambda \mathcal{D}(E ; \boldsymbol{v}, \boldsymbol{w}) \cap(\alpha+\mathbb{Z}) \times(\beta+\mathbb{Z}))-\lambda^{2} \operatorname{area} \mathcal{D}(E ; \boldsymbol{v}, \boldsymbol{w})\right| \leq C^{\prime} \lambda^{\frac{46}{73}}(\log \lambda)^{\frac{315}{146}}$.
Proof. Let, for abbreviation, $\Gamma:=(\alpha+\mathbb{Z}) \times(\beta+\mathbb{Z})$. Clearly, we may assume that the vectors $\boldsymbol{v}$ and $\boldsymbol{w}$ are linearly independent. Further, we may assume that the point $E$ lies in the interior of the domain $\mathcal{D}$, because otherwise we obtain the result by applying once or twice Theorem 1 together with a possible help of suitable reflections. Then we have $\mathcal{D}(E ; \boldsymbol{v}, \boldsymbol{w})^{\circ} \neq \emptyset$. We may assume without loss of generality that the domain $\mathcal{D}(E ; \boldsymbol{v}, \boldsymbol{w})$ is connected, because otherwise we consider its components. Now, following the ideas in Section 4, it is not difficult to find a Huxley domain $\mathcal{D}^{*}$ such that

$$
\overline{\mathcal{D}^{*} \backslash \mathcal{D}(E ; \boldsymbol{v}, \boldsymbol{w})}=\bigcup_{i=1}^{k} \sigma_{i}
$$

where $\sigma_{1}, \ldots, \sigma_{k}$ are pairwise disjoint compact segments of circles with one universal radius $r$, and the straight line segments $\sigma_{k} \cap \mathcal{D}(E ; \boldsymbol{v}, \boldsymbol{w})$ always being parallel to $\boldsymbol{v}$ or $\boldsymbol{w}$. The number $k$ is clearly bounded by a constant depending only on $\mathcal{D}_{0}$. Since $\boldsymbol{v}, \boldsymbol{w} \in \mathcal{V}_{H}$, we have, by applying Theorem 1 to the basic domain $x^{2}+y^{2} \leq r^{2}$ and with a possible help of suitable translations and reflections, for every segment $\sigma_{i}$

$$
\begin{equation*}
\#\left(\lambda \sigma_{i} \cap \Gamma\right)=\lambda^{2} \text { area } \sigma_{i}+O\left(\lambda^{\frac{46}{73}}(\log \lambda)^{\frac{315}{146}}\right) \tag{5.1}
\end{equation*}
$$

with the $O$-constant depending only on $H$ and $r$. (Note that Proposition 1 only would not imply (5.1) because it is insufficient for arbitrary segments of circles.)

Now we apply Lemma 3 with $\mathcal{H}_{0}=\left\{(x, y) \in \mathbb{R}^{2}: x^{2}+y^{2} \leq r^{2}\right\}$ and $\mathcal{H}=\mathcal{D}^{*}$. This yields

$$
\begin{equation*}
\#\left(\lambda \mathcal{D}^{*} \cap \Gamma\right)=\lambda^{2} \operatorname{area} \mathcal{D}^{*}+O\left(\lambda^{\frac{46}{73}}(\log \lambda)^{\frac{315}{146}}\right) \tag{5.2}
\end{equation*}
$$

Further we have,

$$
\begin{equation*}
\#(\lambda \mathcal{D}(E ; \boldsymbol{v}, \boldsymbol{w}) \cap \Gamma)=\#\left(\lambda \mathcal{D}^{*} \cap \Gamma\right)-\sum_{i=1}^{k} \#\left(\lambda \sigma_{i} \cap \Gamma\right)+O(1) \tag{5.3}
\end{equation*}
$$

Now by inserting the right hand sides of (5.1) and (5.2) into (5.3) we reach our goal since

$$
\operatorname{area} \mathcal{D}^{*}-\sum_{i=1}^{k} \operatorname{area} \sigma_{i}=\operatorname{area} \mathcal{D}(E ; \boldsymbol{v}, \boldsymbol{w})
$$

A natural application of Theorem 2 is one to sectors of circles. Let $H \geq 1$ and define for $R \geq 2$ and $\kappa>0$ with $\kappa \in \Re_{H}$ or $1 / \kappa \in \Re_{H}$,

$$
\mathcal{S}(R ; \kappa):=\left\{(x, y) \in \mathbb{R}^{2}:(x \geq 0) \wedge(0 \leq y \leq \kappa x) \wedge\left(x^{2}+y^{2} \leq R^{2}\right)\right\}
$$

Then, by symmetry and Theorem 2 with $\mathcal{D}_{0}=\left\{(x, y) \in \mathbb{R}^{2}: x^{2}+y^{2} \leq R^{2}\right\}$, $E=(0,0), \boldsymbol{v}=(1, \kappa)$, and $\boldsymbol{w}=(1,-\kappa)$, we derive (with the $O$-constant depending only on $H$ )

$$
\#\left(\mathcal{S}(R ; \kappa) \cap \mathbb{Z}^{2}\right)=\frac{\arctan \kappa}{2} R^{2}+\frac{1}{2} R+O\left(R^{\frac{46}{73}}(\log R)^{\frac{315}{146}}\right)
$$

Note that this result goes beyond the scope of the problem Nowak [5] deals with since there are considered only sectors $x^{2}+y^{2} \leq R^{2}, \alpha \leq y / x \leq \beta$ with $0<\alpha<\beta$.

Further, $(\star)$ implies the following nice corollary related to the circle problem.
Corollary 1. For a natural number $k$, $k$ not a square, define the arithmetic function

$$
A_{k}(n):=\#\left\{(x, y) \in \mathbb{N}^{2}:\left(x^{2}+y^{2}=n\right) \wedge\left(y^{2} \leq k x^{2}\right)\right\} \quad(n \in \mathbb{N})
$$

Then as $N \rightarrow \infty$,

$$
\frac{1}{N} \sum_{n=1}^{N} A_{k}(n)=\frac{\arctan \sqrt{k}}{2}-\frac{1}{2 \sqrt{N}}+O\left(N^{-\frac{50}{73}}(\log N)^{\frac{315}{146}}\right)
$$

the $O$-constant depending on $k$.
An analogous result related to the divisor problem is the next, which we close this section with.

Corollary 2. For algebraic irrationals $\alpha, \beta, 0<\alpha<\beta$, define the arithmetic function

$$
B_{\alpha, \beta}(n):=\#\left\{(x, y) \in \mathbb{N}^{2}:(x \cdot y=n) \wedge(\alpha<y / x<\beta)\right\} \quad(n \in \mathbb{N})
$$

Then as $N \rightarrow \infty$,

$$
\frac{1}{N} \sum_{n=1}^{N} B_{\alpha, \beta}(n)=\frac{1}{2} \log \left(\frac{\beta}{\alpha}\right)+O\left(N^{-\frac{50}{73}}(\log N)^{\frac{315}{146}}\right)
$$

the $O$-constant depending on $\alpha$ and $\beta$.

## 6. Application to fractional part sums

In this final section we consider sums

$$
\Psi(f ; u, v ; \lambda):=\sum_{u \lambda \leq n \leq v \lambda} \psi\left(\lambda f\left(\frac{n}{\lambda}\right)\right)
$$

where $\lambda$ is a large real parameter and $f$ is a real-valued function defined on an interval $[u, v] \subset \mathbb{R}$ with continuous derivatives up to order 3 and the property that $f^{\prime \prime}$ does not vanish on $[u, v]$. (See Nowak [6] for recent results concerning such sums.) By Huxley's method ([2; Theorems 18.2.1, 18.2.2]),

$$
\Psi(f ; u, v ; \lambda) \ll \lambda^{\frac{46}{73}}(\log \lambda)^{\frac{315}{146}} \quad(\lambda \rightarrow \infty)
$$

under the additional condition that $f^{\prime \prime \prime}$ does not vanish on $[u, v]$.
This condition has always been interpreted as technical (cf. Now ak [6]) and indeed it is superfluous as shown by the following theorem, which we conclude this article with.

Theorem 3. Fix $\alpha, \beta \in \mathbb{R}$ and $f:[\alpha, \beta] \rightarrow \mathbb{R}$, and assume that $f$ is three times continuously differentiable on (an open neighborhood of ) $[\alpha, \beta]$ with $f^{\prime \prime} \neq 0$ there.

Then the inequality $(\diamond)$ holds uniformly in $u, v(\alpha \leq u \leq v \leq \beta)$.
Proof. Fix $\kappa=\sqrt{2}+\left[\left|f^{\prime}(\alpha)\right|\right]+\left[\left|f^{\prime}(\beta)\right|\right]$. Then $\kappa>1,\left|f^{\prime}(\alpha)\right|,\left|f^{\prime}(\beta)\right|$ and, by [4; Theorem 3.4], $1 / \kappa \in \Re_{H}$ with $H=4+[\kappa]$. Further, for $u, v \in[\alpha, \beta]$, $u<v$, define linear functions $g_{u}, g_{v}$,

$$
g_{u}(x)=f(u)-\kappa(x-u), \quad g_{v}(x)=f(v)+\kappa(x-v) \quad(x \in \mathbb{R})
$$

so that $g_{u}(u)=f(u)$ and $g_{v}(v)=f(v)$. Then there is a unique (and easily computable) $\left.x_{0} \in\right] u, v\left[\right.$ such that $g_{u}\left(x_{0}\right)=g_{v}\left(x_{0}\right)<f\left(x_{0}\right)$. Let $g_{u, v}:=$ $\max \left\{g_{u}, g_{v}\right\}$. Then $g_{u, v}(x)<f(x)$ for all $\left.x \in\right] u, v\left[\right.$ and $g_{u, v}(u)=f(u)$, $g_{u, v}(v)=f(v)$.

Note that $\mathcal{G}(f):=\{(x, f(x)): \alpha \leq x \leq \beta\}$ can be read as a piece of the boundary of a Huxley domain because for the radius of curvature $\varrho$ we have

$$
\varrho=\frac{\left(1+\tan ^{2} \tau\right)^{\frac{3}{2}}}{f^{\prime \prime}\left(f^{\prime-1}(\tan \tau)\right)} \cdot \frac{f^{\prime \prime}(\alpha)}{\left|f^{\prime \prime}(\alpha)\right|}
$$

where $\tau$ is the tangent angle (relative to the horizontal).
Now consider the sectors

$$
\begin{gathered}
\mathcal{S}(f ; \kappa, u, v):=\left\{(x, y) \in \mathbb{R}^{2}:(u \leq x \leq v) \wedge\left(g_{u, v}(x) \leq y \leq f(x)\right)\right\} \\
(\alpha \leq u<v \leq \beta)
\end{gathered}
$$

Obviously, $\mathcal{S}\left(f ; \kappa, u_{1}, v_{1}\right) \supset \mathcal{S}\left(f ; \kappa, u_{2}, v_{2}\right)$ if $\alpha \leq u_{1} \leq u_{2}<v_{2} \leq v_{1} \leq \beta$. Then, with the help of a suitable fixed Huxley domain $\overline{\mathcal{D}}$ with $\partial \mathcal{D} \supset \mathcal{G}(f)$ and $\mathcal{D} \supset \mathcal{S}(f ; \kappa, \alpha, \beta)$, we obtain, by applying Theorem 2 with $E=\left(x_{0}, g_{u, v}\left(x_{0}\right)\right)$, $\boldsymbol{v}=(-1, \kappa), \boldsymbol{w}=(1, \kappa)$, and $H=4+[\kappa]$,

$$
\begin{equation*}
\#\left(\lambda \mathcal{S}(f ; \kappa, u, v) \cap \mathbb{Z}^{2}\right)=\lambda^{2} \text { area } \mathcal{S}(f ; \kappa, u, v)+O\left(\lambda^{\frac{46}{73}}(\log \lambda)^{\frac{315}{146}}\right) \quad(\lambda \rightarrow \infty) \tag{6.1}
\end{equation*}
$$

Note that the $O$-constant depends on $\mathcal{G}(f)$ but not on $u$ or $v$ !
On the other side,

$$
\begin{aligned}
\#\left(\lambda \mathcal{S}(f ; \kappa, u, v) \cap \mathbb{Z}^{2}\right)= & \sum_{\lambda u \leq n \leq \lambda v} \lambda\left(f\left(\frac{n}{\lambda}\right)-g_{u, v}\left(\frac{n}{\lambda}\right)\right)-\Psi(f ; u, v ; \lambda) \\
& -\sum_{\lambda u \leq n \leq \lambda x_{0}} \psi\left(-\lambda g_{u}\left(\frac{n}{\lambda}\right)\right)-\sum_{\lambda x_{0}<n \leq \lambda v} \psi\left(-\lambda g_{v}\left(\frac{n}{\lambda}\right)\right) .
\end{aligned}
$$

Consequently, by applying the Euler summation formula to the first sum and Lemma 1 (with $a=\sqrt{2}-1$ and $a=2-\sqrt{2}$, respectively) to the last two sums, we derive

$$
\begin{equation*}
\#\left(\lambda \mathcal{S}(f ; \kappa, u, v) \cap \mathbb{Z}^{2}\right)=\lambda^{2} \operatorname{area} \mathcal{S}(f ; \kappa, u, v)-\Psi(f ; u, v ; \lambda)+O\left(\lambda^{\frac{46}{73}}\right) \quad(\lambda \rightarrow \infty) \tag{6.2}
\end{equation*}
$$

with the $O$-constant depending on $\alpha, \beta$, and $H$.
Thus Theorem 3 follows by comparing (6.1) and (6.2).

Final remark. The exponent $-3 / 8$ in the definition of the sets $\Re_{H}$ is a kind of house number and intentionally not chosen optimal. (Theorems 1 and 2 obviously remain unchanged when $-3 / 8$ is replaced by any fixed number $-\theta$ with $77 / 208 \leq \theta \leq 3 / 8$.) We have chosen $-3 / 8$ because it is a nice exponent and it leaves space for possibly further improvements of Huxley 's method which would automatically improve the bounds in Theorems 1 and 2. Actually, in the meantime a further improvement has been announced. In a yet unpublished paper [1] Huxley shows that the bound $\lambda^{\frac{46}{73}}(\log \lambda)^{\frac{315}{146}}$ can be sharpened to $\lambda^{\frac{131}{208}}(\log \lambda)^{\frac{18627}{8320}}$. Consequently, Theorems 1 to 3 are still true with the sharper bound (and a fortiori with the bound $\lambda^{0.63}$ ). Further improvements of our results, without reducing the sets $\Re_{H}$, are of course only possible up to a bound $\lambda^{5 / 8}$, but anyhow the exponent $5 / 8$ is so small that it certainly lies far beyond the scope of Huxley's method.

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Received July 15, 2002
Revised November 10, 2002

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[^0]:    2000 Mathematics Subject Classification: Primary 11P21.
    Keywords: lattice point, planar segment, circular segment, Huxley's theorem, circle problem.

[^1]:    ${ }^{1}$ Nevertheless, every set $\Re_{H}$ is nowhere dense in $[0,1] \backslash \mathbb{Q}$ and thus in $\mathbb{R}$, too. This is true because if $\mathcal{L}$ is the set of all Liouville numbers, which is dense in $\mathbb{R} \backslash \mathbb{Q}$, then $\Re_{H} \cap \mathcal{L}=\emptyset$ since $D_{N}(\alpha)=\Omega\left(N^{-\varepsilon}\right)(N \rightarrow \infty)$ for every $\varepsilon>0$ and all $\alpha \in \mathcal{L}$.

[^2]:    ${ }^{2}$ At the first sight this additional assumption seems superfluous. But consider the following counterexample. Define convex domains $\mathcal{D}_{0}$ and $\mathcal{H}_{0}$ such that $\partial \mathcal{D}_{0}$ is parametrized by $r(\varphi)=1(0<\varphi \leq 2 \pi)$ and $\partial \mathcal{H}_{0}$ is parametrized by $r(\varphi)=1(1 / \pi<\varphi \leq 2 \pi)$ and $r(\varphi)=1+\varphi^{8} \sin (1 / \varphi)(0<\varphi \leq 1 / \pi)$. Then both domains are Huxley domains since $\mathcal{D}_{0}$ is a circle and $\mathcal{H}_{0}$ has a sufficiently smooth boundary where the radius of curvature $\varrho$ smoothly pendulates within the range $8 / 9 \leq \varrho \leq 8 / 7$. But neither $\mathcal{D}_{0} \cap \mathcal{H}_{0}$ nor $\mathcal{D}_{0} \cup \mathcal{H}_{0}$ is a Huxley domain because $\partial \mathcal{D}_{0}$ meets $\partial \mathcal{H}_{0}$ non-tangentially at $\varphi=1 /(n \pi)(n \in \mathbb{N})$. Now, for arbitrary $N \in \mathbb{N}$, consider the domain $\mathcal{H}$ which is bounded by the curve $r(\varphi)(0<\varphi \leq 2 \pi)$ with $r(\varphi)=1+\varphi^{8} \sin (1 / \varphi)$ when $2 \pi n \leq 1 / \varphi \leq(2 n+1) \pi(n=1, \ldots, N)$ and $r(\varphi)=1$ otherwise. Then $\mathcal{H}$ is a Huxley domain with $\partial \mathcal{H} \subset \partial \mathcal{D}_{0} \cup \partial \mathcal{H}_{0}$, but the minimal number of smooth pieces of $\partial \mathcal{H}$ equals $2 N$.

