## Czechoslovak Mathematical Journal

## Dongrui Wan

Estimates for $k$-Hessian operator and some applications

Czechoslovak Mathematical Journal, Vol. 63 (2013), No. 2, 547-564

Persistent URL: http://dml.cz/dmlcz/143332

## Terms of use:

© Institute of Mathematics AS CR, 2013

Institute of Mathematics of the Czech Academy of Sciences provides access to digitized documents strictly for personal use. Each copy of any part of this document must contain these Terms of use.
This document has been digitized, optimized for electronic delivery and
stamped with digital signature within the project DML-CZ: The Czech Digital
Mathematics Library http://dml.cz

# ESTIMATES FOR $k$-HESSIAN OPERATOR AND SOME APPLICATIONS 

Dongrui Wan, Shenzhen

(Received April 10, 2012)


#### Abstract

The $k$-convex functions are the viscosity subsolutions to the fully nonlinear elliptic equations $F_{k}[u]=0$, where $F_{k}[u]$ is the elementary symmetric function of order $k$, $1 \leqslant k \leqslant n$, of the eigenvalues of the Hessian matrix $D^{2} u$. For example, $F_{1}[u]$ is the Laplacian $\Delta u$ and $F_{n}[u]$ is the real Monge-Ampère operator $\operatorname{det} D^{2} u$, while 1-convex functions and $n$-convex functions are subharmonic and convex in the classical sense, respectively. In this paper, we establish an approximation theorem for negative $k$-convex functions, and give several estimates for the mixed $k$-Hessian operator. Applications of these estimates to the $k$-Green functions are also established.


$K e y w o r d s$ : $k$-convex function, $k$-Hessian operator, $k$-Hessian measure, $k$-Green function MSC 2010: 47J20, 58C35, 31A15, 31A05

## 1. Introduction

The $k$-Hessian operator $F_{k}$ and the $k$-Hessian measure $\mu_{k}$ were introduced by N. S. Trudinger and X. J. Wang for $k$-convex functions. In [9], they proved the weak continuity of the associated $k$-Hessian measure $\mu_{k}$ with respect to the convergence in $L^{1}$, which is generalized in [10] to the weak continuity of the mixed $k$-Hessian measure $\tilde{\mu}_{k}$ associated with $k$-tuples of $k$-convex functions. And they gave some applications to the corresponding capacity, quasicontinuity and the Dirichlet problem. On the other hand, the pluripotential theory in several complex variables, especially the complex Monge-Ampère operator, has attracted considerable studies. There are many applications to complex analysis, complex differential geometry and number theory (cf. [3], [6] and references therein). It is interesting to use tools and ideas

[^0]from the theory of the complex Monge-Ampère operator to study the real $k$-Hessian operator. In [8], [9], [10] N. S. Trudinger, X. J. Wang and D. Labutin have given some corresponding results in pluripotential theory.

The weak continuity of the $k$-Hessian measure with respect to the convergence in $L^{1}$ is not valid for the complex Monge-Ampère measure in the plurisubharmonic case. With help of this stronger result for the $k$-Hessian operator, it is natural to ask whether we can prove some new results for $k$-convex functions. The purpose of this paper is to establish a global approximation theorem for negative $k$-convex functions, and give several estimates for the mixed $k$-Hessian operator. Moreover, we show how these estimates are applied to the convergence of the $k$-Green function.

We shall adopt definitions and notation from [9] and [10]. Let $\Omega$ be an open set in the $n$-dimensional Euclidean space $\mathbb{R}^{n}$. For $k=1, \ldots, n$ and $u \in C^{2}(\Omega)$, let $\lambda=\left(\lambda_{1}, \ldots, \lambda_{n}\right)$ denote the eigenvalues of the Hessian matrix of the second derivatives $D^{2} u$ and let $S_{k}$ be the $k$ th elementary symmetric function on $\mathbb{R}^{n}$, given by

$$
\begin{equation*}
S_{k}(\lambda)=\sum_{i_{1}<\ldots<i_{k}} \lambda_{i_{1}} \ldots \lambda_{i_{k}} . \tag{1.1}
\end{equation*}
$$

The $k$-Hessian operator $F_{k}$ is defined by $F_{k}[u]=S_{k}\left(\lambda\left(D^{2} u\right)\right)$. We may write $F_{k}[u]=$ [ $\left.D^{2} u\right]_{k}$, where for any $n \times n$ matrix $\mathcal{A},[\mathcal{A}]_{k}$ denotes the sum of its $k \times k$ principal minors. $F_{1}[u]=\Delta u$ is the Laplace operator and $F_{n}[u]=\operatorname{det} D^{2} u$ is the real MongeAmpère operator.

In the viscosity sense, an upper semi-continuous function, $u: \Omega \rightarrow[-\infty, \infty)$ is called $k$-convex in $\Omega$ if $F_{k}[q] \geqslant 0$ for all quadratic polynomials $q$ for which the difference $u-q$ has a finite local maximum in $\Omega$. In particular, a function $u \in C^{2}(\Omega)$ is $k$-convex in $\Omega$ if and only if $F_{j}[u] \geqslant 0$ in $\Omega, j=1, \ldots, k$. A $k$-convex function is called proper if it does not assume the value $-\infty$ identically on any component of $\Omega$. We denote by $\Phi^{k}(\Omega)$ the class of proper $k$-convex functions in $\Omega$. The definition of a 1-convex function is equivalent to the usual definition of a subharmonic function. For $j \leqslant k, \Phi^{k}(\Omega) \subseteq \Phi^{j}(\Omega)$. And a function $u \in \Phi^{n}(\Omega)$ if and only if it is convex on each component of $\Omega$. A $k$-convex function is therefore subharmonic, in particular, it is locally integrable. Corresponding to the $k$-Hessian operator $F_{k}$, there exists a mixed $k$-Hessian operator $\tilde{F}_{k}$ in [10], determined by the polarized form $\tilde{S}_{k}$ of the $k$ homogeneous polynomial $S_{k}$. For $u^{1}, \ldots, u^{k} \in C^{2}(\Omega)$, the mixed $k$-Hessian operator is defined by

$$
\begin{equation*}
\tilde{F}_{k}\left[u^{1}, \ldots, u^{k}\right]=\tilde{S}_{k}\left(\lambda\left(D^{2} u^{1}\right), \ldots, \lambda\left(D^{2} u^{k}\right)\right) . \tag{1.2}
\end{equation*}
$$

The $k$-Hessian measure associated with a function $u \in \Phi^{k}(\Omega)$ is a non-negative Borel measure defined by

$$
\begin{equation*}
\int_{\Omega} \eta \mathrm{d} \mu_{k}[u]=\lim _{h \rightarrow 0} \int_{\Omega} \eta F_{k}\left[u_{h}\right] \tag{1.3}
\end{equation*}
$$

for any $\eta \in C_{0}^{\infty}(\Omega)$, where $u_{h}$ is the smooth regularization of $u$. The mixed $k$-Hessian measure associated with $u^{1}, \ldots, u^{k} \in \Phi^{k}(\Omega)$ is defined by

$$
\begin{equation*}
\int_{\Omega} \eta \mathrm{d} \tilde{\mu}_{k}\left[u^{1}, \ldots, u^{k}\right]=\lim _{h \rightarrow 0} \int_{\Omega} \eta \tilde{F}_{k}\left[u_{h}^{1}, \ldots, u_{h}^{k}\right] \tag{1.4}
\end{equation*}
$$

for any $\eta \in C_{0}^{\infty}(\Omega)$, where $u_{h}^{i}$ is the smooth regularization of $u^{i}, i=1, \ldots, k$. The measure is independent of the choice of the smooth regularization $u_{h}^{i}$ of $u^{i}$ by virtue of the approximation theorem (cf. Theorem 2.4 in [10]).

We say a bounded domain $\Omega \subseteq \mathbb{R}^{n}$ is $k$-hyperconvex if it is connected and there is a continuous $k$-convex function $\varrho: \Omega \rightarrow[-\infty, 0)$ such that the set $\{x \in \Omega: \varrho(x)<c\}$ is a relatively compact subset of $\Omega$, for each $c \in(-\infty, 0)$. A function $\varrho$ is called an exhaustion function of $\Omega$. It follows that $\varrho(x) \rightarrow 0$ as $x \rightarrow \partial \Omega$. Note that $\varrho$ may assume $-\infty$ as a value. In this paper, $\Omega$ will always denote a $k$-hyperconvex domain.

We establish a global approximation of negative $k$-convex functions by decreasing sequences of negative $k$-convex functions which are continuous on $\bar{\Omega}$, equal to zero on $\partial \Omega$ and have bounded $k$-Hessian mass. These functions serve as test functions.

Theorem 1.1. For any negative $k$-convex function $u$, there is a decreasing sequence of functions $u_{j} \in \Phi^{k}(\Omega) \cap C(\bar{\Omega})$ with $\left.u_{j}\right|_{\partial \Omega}=0, j \in \mathbb{N}, \mu_{k}\left[u_{j}\right](\Omega)<+\infty$, and $\lim _{j \rightarrow+\infty} u_{j}(x)=u(x)$ for $x \in \Omega$.

We remark here that the same approximation of negative plurisubharmonic functions was given by U. Cegrell in [3]. And F. Wikström showed in [13] that upper bounded plurisubharmonic functions can be approximated from above by plurisubharmonic functions continuous up to the boundary on B-regular domains.

The following estimates were established for the complex Monge-Ampère operator by Z. Blocki [1], U. Cegrell [3], and U. Cegrell, L. Persson [4], respectively. Here we give these estimates for the mixed $k$-Hessian operator. They are a different type of relationship between the $k$-Hessian operator and the mixed $k$-Hessian operator.

Theorem 1.2. Suppose that $u, h$ are $k$-convex functions satisfying $u \leqslant h$ in $\Omega$, and $\lim _{x \rightarrow \partial \Omega}(h(x)-u(x))=0, v_{1}, \ldots, v_{k}$ are nonpositive bounded $k$-convex functions in $\Omega$. Then we have

$$
\begin{equation*}
\int_{\Omega}(h-u)^{k} \mathrm{~d} \tilde{\mu}_{k}\left[v_{1}, \ldots, v_{k}\right] \leqslant k!\left\|v_{1}\right\|_{\Omega} \ldots\left\|v_{k-1}\right\|_{\Omega} \int_{\Omega}\left|v_{k}\right| \mathrm{d} \mu_{k}[u] . \tag{1.5}
\end{equation*}
$$

Theorem 1.3. Suppose that $h, u_{1}, u_{2}, v_{1}, \ldots, v_{k-p-q}$ are nonpositive $k$-convex functions of $x$ in $\Omega, 1 \leqslant p, q \leqslant k, p+q \leqslant k$, each function converges to zero as $x$ tends to $\partial \Omega$ and $\mu_{k}\left[u_{i}\right](\Omega)<+\infty, i=1,2, \mu_{k}\left[v_{j}\right](\Omega)<+\infty, j=1, \ldots, k-p-q$. Then

$$
\begin{align*}
\int(-h) & \mathrm{d} \tilde{\mu}_{k}[\underbrace{u_{1}, \ldots, u_{1}}_{p}, \underbrace{u_{2}, \ldots, u_{2}}_{q}, v_{1}, \ldots, v_{k-p-q}]  \tag{1.6}\\
\leqslant & (\int(-h) \mathrm{d} \tilde{\mu}_{k}[\underbrace{u_{1}, \ldots, u_{1}}_{p+q}, v_{1}, \ldots, v_{k-p-q}])^{p /(p+q)} \\
& \times(\int(-h) \mathrm{d} \tilde{\mu}_{k}[\underbrace{u_{2}, \ldots, u_{2}}_{p+q}, v_{1}, \ldots, v_{k-p-q}])^{q /(p+q)}
\end{align*}
$$

Corollary 1.1. Let $h, u_{1}, \ldots, u_{k}$ be nonpositive $k$-convex functions of $x$ in $\Omega$ satisfying $\mu_{k}\left[u_{i}\right](\Omega)<+\infty, i=1, \ldots, k$. Suppose that each function converges to zero as $x$ tends to $\partial \Omega$. Then

$$
\begin{equation*}
\int(-h) \mathrm{d} \tilde{\mu}_{k}\left[u_{1}, \ldots, u_{k}\right] \leqslant\left(\int(-h) \mathrm{d} \mu_{k}\left[u_{1}\right]\right)^{1 / k} \cdots\left(\int(-h) \mathrm{d} \mu_{k}\left[u_{k}\right]\right)^{1 / k} \tag{1.7}
\end{equation*}
$$

Theorem 1.4. Suppose that $u, v$ are bounded $k$-convex functions satisfying $\lim _{x \rightarrow a \in \partial \Omega} u(x)=\lim _{x \rightarrow a \in \partial \Omega} v(x)=0$. Then for $p \geqslant 1,0 \leqslant j \leqslant k$,

$$
\begin{array}{rl}
\int(-u)^{p} & \mathrm{~d} \tilde{\mu}_{k}
\end{array} \underbrace{u, \ldots, u}_{j}, v, \ldots, v]] \text { } \begin{aligned}
\underbrace{}_{p, j}\left(\int(-u)^{p} \mathrm{~d} \mu_{k}[u]\right)^{(p+j) /(k+p)}\left(\int(-v)^{p} \mathrm{~d} \mu_{k}[v]\right)^{(k-j) /(k+p)} \tag{1.8}
\end{aligned}
$$

where $C_{p, j}=p^{(p+j)(k-j) /(p-1)}$ for $p>1$ and $C_{1, j}=\exp [(1+j)(k-j)]$.
For $a \in \Omega, k<n / 2$, the $k$-Green function with a pole at $a$ is defined as $g_{\Omega}(x, a)=$ $\sup \left\{u(x): u \in \Phi^{k}(\Omega,[-\infty, 0)) ; u\right.$ has a pole at $a$ of order $\left.(2-n / k)\right\}$. Here we say a $k$ convex function $u$ has a pole at $a$ of order $(2-n / k)$, if $u$ satisfies $u(x)+\|x-a\|^{2-n / k} \leqslant$ $O(1)$ as $x \rightarrow a$. For $k=n / 2$, the $k$-Green function with a pole at $a$ is defined as $g_{\Omega}(x, a)=\sup \left\{u(x): u \in \Phi^{k}(\Omega,[-\infty, 0)) ; u(x)-\log \|x-a\| \leqslant O(1)\right.$ as $\left.x \rightarrow a\right\}$. There is no $k$-Green function defined in the case of $k>n / 2$, since the fundamental solution to the $k$-Hessian operator $F_{k}$ in this case is given by $\|x-a\|^{2-n / k}$ which is bounded (cf. [9]). See [12, Appendix A] for more information about $k$-Green functions.

We proved in [12] that $g_{\Omega}(x, a) \rightarrow 0$ as $x \rightarrow \partial \Omega$. We now consider the following question: given a point $y \in \Omega$ and a point $x_{0} \in \partial \Omega$, is it true that $\lim _{n \rightarrow \infty} g_{\Omega}\left(y, x_{n}\right)=0$ for every sequence $\left\{x_{n}\right\}$ tending to $x_{0}$ ? The corresponding question for the classical Green function $G$ is of no interest, since $G$ is symmetrical, that is, $G(x, y)=G(y, x)$ for every $x$ and $y$. However, it is unknown whether the $k$-Green function is symmetrical or not. We can, however, prove the following weaker results.

The $k$-Hessian capacity of the Borel set $E \Subset \Omega$ in $\mathbb{R}^{n}$ is defined by

$$
\begin{equation*}
\operatorname{Cap}_{k}(E)=\operatorname{Cap}_{k}(E, \Omega)=\sup \left\{\mu_{k}[u](E): u \in \Phi^{k}(\Omega),-1 \leqslant u \leqslant 0\right\} \tag{1.9}
\end{equation*}
$$

(cf. [10]). For $u_{j}, u \in \Phi^{k}(\Omega)$, we say that a sequence $\left\{u_{j}\right\}$ converges to $u$ in capacity if for any $\varepsilon>0$ and relatively compact subset $K \Subset \Omega$,

$$
\begin{equation*}
\lim _{j \rightarrow \infty} \operatorname{Cap}_{k}\left(K \cap\left\{\left|u_{j}-u\right|>\varepsilon\right\}\right)=0 \tag{1.10}
\end{equation*}
$$

Proposition 1.1. Let $k<n / 2$ and let $\left\{x_{j}\right\}$ be a sequence of points in $\Omega$ tending to $\partial \Omega$ as $j \rightarrow \infty$. Then $g_{\Omega}\left(\cdot, x_{j}\right)$ converges to zero in capacity as $j \rightarrow \infty$.

Proposition 1.2. Suppose that $k<n / 2$, and $\left\{x_{n}\right\}$ is a sequence of points in $\Omega$ tending to $x_{0} \in \partial \Omega$ as $n \rightarrow \infty$. Then there exists a $k$-polar set $E \subseteq \Omega$ (depending on the sequence) such that

$$
\overline{\lim }_{n \rightarrow \infty} g_{\Omega}\left(w, x_{n}\right)=0 \quad \text { for every } w \in \Omega \backslash E
$$

The above two propositions for the complex Monge-Ampère operator were proved by R. Czyż [5], M. Carlehed, U. Cegrell and F. Wikström [2], respectively. Although we use methods from pluripotential theory, our results are completely new for the $k$ Hessian operator and some of them are even stronger. The complex Monge-Ampère operator can be written as $\left(d d^{c} u\right)^{n}$ in terms of the operators $d$ and $d^{c}$. This is very important in establishing the properties of the complex Monge-Ampère operator since it allows us to integrate by parts. Although we cannot write the $k$-Hessian operator in the form of exterior differentials, the $k$-Hessian operator is an operator of divergence form, and so we can also integrate by parts. This is an essential reason why we can prove some $k$-Hessian versions of results in the complex pluripotential theory.

The paper is organized as follows. In Section 2, we establish the approximation theorem. The relative extremal function plays a crucial role in our proof. The results about the $k$-Hessian operator and the mixed $k$-Hessian operator we need are collected in Section 3, and Theorems 1.2, 1.3, 1.4 and Corollary 1.1 are proved in Section 3. In
the last Section, our estimates are applied to the $k$-Green function. Proposition 1.1 and 1.2 are proved.

## 2. Approximation of $k$-convex functions

The purpose of this section is to prove Theorem 1.1. The construction of the approximation sequence follows the process in [3]. The main difference between our proof and the proof in the plurisubharmonic case in [3] lies in the difficulty of finding a continuous $k$-convex function which vanishes on $\partial \Omega$ and has bounded $k$-Hessian mass. In the case of plurisubharmonic functions, this is a classical result due to J. B. Walsh [11].

Analogously to the potential theory for subharmonic functions, D. Labutin introduced the regularized relative extremal function for a $k$-convex function in [8]. For a relatively compact set $E$ in $\Omega$, we denote by $h_{E, \Omega}^{*}$ its regularized relative extremal function, which was defined as the upper semi-continuous regularization of the relative extremal function $h_{E, \Omega}$ :

$$
\begin{equation*}
h_{E, \Omega}(x)=\sup \left\{u(x): u \in \Phi^{k}(\Omega), u \leqslant 0,\left.u\right|_{E} \leqslant-1\right\}, \quad x \in \Omega . \tag{2.1}
\end{equation*}
$$

If $E_{1} \subseteq E_{2} \subseteq \Omega_{1} \subseteq \Omega_{2}$, then

$$
\begin{equation*}
h_{E_{1}, \Omega_{1}} \geqslant h_{E_{2}, \Omega_{1}} \geqslant h_{E_{2}, \Omega_{2}} . \tag{2.2}
\end{equation*}
$$

The following lemmas concern some properties of $k$-convex functions, which are used throughout the paper (cf. [12] for the proof).

Lemma 2.1. (1) Suppose $\left\{u_{\alpha}\right\}_{\alpha \in A}$ is a sequence of $k$-convex functions in $\Omega$ such that its upper envelope $u=\sup _{\alpha \in A} u_{\alpha}$ is locally bounded from above. Then the upper semi-continuous regularization $u^{*}$ is $k$-convex in $\Omega$.
(2) If $u, v$ are $k$-convex in $\Omega$, then $\max \{u, v\}$ is also $k$-convex.
(3) Suppose that $\Omega$ is an open set in $\mathbb{R}^{n}$ and $\tilde{\Omega}$ is a non-empty proper open subset of $\Omega$. If $u \in \Phi^{k}(\Omega), v \in \Phi^{k}(\tilde{\Omega})$, and $\limsup _{x \rightarrow y} v(x) \leqslant u(y)$ for $y \in \partial \tilde{\Omega} \cap \Omega$, then

$$
\omega= \begin{cases}\max \{u, v\}, & \text { on } \tilde{\Omega}, \\ u, & \text { on } \Omega \backslash \tilde{\Omega},\end{cases}
$$

is a $k$-convex function in $\Omega$.
Lemma 2.2. Suppose that $K \subseteq \Omega$ is a compact set such that $h_{K, \Omega}^{*} \equiv-1$ on $K$. Then $h_{K, \Omega}$ is continuous in $\Omega$.

Proof. Denote $h=h_{K, \Omega}$. Assume that $\varrho$ is an exhaustion function of $\Omega$ such that $\varrho<-1$ on $K$, then $\varrho \leqslant h$ in $\Omega$. For each $\varepsilon \in(0,1)$, there exists $\eta>0$ such that $h-\varepsilon / 2 \leqslant-\varepsilon / 2<\varrho$ in $\Omega \backslash \Omega_{\eta}$ and $K \subseteq \Omega_{\eta}$, where $\Omega_{\eta}=\{x \in \Omega$ : $\operatorname{dist}(x, \partial \Omega)>\eta\}$. By Lemma 2.1 (1), $h^{*}$ is $k$-convex, thus it is upper semi-continuous. Denote by $h_{\delta}$ the smooth regularization of $h$, defined on $\Omega_{\delta}$, then $\left(h^{*}\right)_{\delta}$ decreasingly converges to $h^{*}$ as $\delta \rightarrow 0$. Note that $h^{*}=h$ a.e. in $\Omega$, hence we have $\left(h^{*}\right)_{\delta}=h_{\delta}$. By Dini's theorem, $h_{\delta}$ converges to $h^{*}$ uniformly on any compact set. Therefore we can find a uniform $\delta>0$ such that $h_{\delta}-\varepsilon<h^{*}-\varepsilon / 2<\varrho$ on $\partial \Omega_{\eta}$ and $h_{\delta}-\varepsilon<-1$ on $K$. Define

$$
g_{\varepsilon}= \begin{cases}\varrho, & \text { in } \Omega \backslash \Omega_{\eta} \\ \max \left\{h_{\delta}-\varepsilon, \varrho\right\}, & \text { in } \Omega_{\eta}\end{cases}
$$

Then $g_{\varepsilon} \in \Phi^{k} \cap C(\Omega)$ by Lemma 2.1 (3) and $g_{\varepsilon}<-1$ on $K$. Thus $g_{\varepsilon} \leqslant h$ in $\Omega$. On the other hand, $h-\varepsilon \leqslant \max \left\{h_{\delta}-\varepsilon, \varrho\right\} \leqslant g_{\varepsilon}$ at each point in $\Omega$. It follows that $h$ is continuous in $\Omega$.

Corollary 2.1. Suppose that $K \subseteq \Omega$ is compact and it is the union of a family of closed balls. Then $h_{K, \Omega}=h_{K, \Omega}^{*}$ is continuous in $\Omega$.

Proof. By Lemma 2.2, it suffices to prove that $h_{K, \Omega}$ is continuous at $\partial K$. By hypothesis, let $b \in \partial K$ and choose $a \in K$ and $R>r>0$ such that $b \in \bar{B}(a, r) \subseteq K$ and $B(a, R) \subseteq \Omega$. Then by $(2.2)$ we have $h_{K, \Omega}(x) \leqslant h_{\bar{B}(a, r), \Omega}(x) \leqslant h_{\bar{B}(a, r), B(a, R)}(x)$ for $x \in B(a, R)$. It follows from the fact shown in [8],

$$
h_{\bar{B}(a, r), B(a, R)}(x)= \begin{cases}\max \left\{-1, \frac{R^{2-n / k}-\|x-a\|^{2-n / k}}{\left.r^{2-n / k}-R^{2-n / k}\right\},}\right. & \text { for } 1 \leqslant k<n / 2 \\ \max \left\{-1, \frac{\log (\|x-a\| / R)}{\log (R / r)}\right\}, & \text { for } k=n / 2\end{cases}
$$

that $\lim _{x \rightarrow b} h_{K, \Omega}(x)=-1$.
Proof of Theorem 1.1. If $E=\bar{B}$ is a ball, we have $\mu_{k}\left[h_{\bar{B}, \Omega}\right]=0$ in $\Omega \backslash \bar{B}$, thus $\operatorname{supp} \mu_{k}\left[h_{\bar{B}, \Omega}\right] \Subset \bar{B}$ (cf. [8]). By Corollary 2.1, $h_{\bar{B}, \Omega}$ is continuous in $\Omega$. Let $\varrho$ be an exhaustion function of $\Omega$ such that $C \varrho \leqslant-1$ in $\bar{B}$. Then $C \varrho \leqslant h_{\bar{B}, \Omega} \leqslant 0$. Thus $h_{\bar{B}, \Omega}(x) \rightarrow 0$ as $x \rightarrow \partial \Omega$. Therefore, there exists a function $v \in \Phi^{k}(\Omega) \cap C(\bar{\Omega})$ with $\mu_{k}[v](\Omega)<+\infty$ and $\left.v\right|_{\partial \Omega}=0$. Then follow the process in [3] to construct the approximation sequence.

## 3. Main estimates for $k$-Hessian operator

Before proving our main estimates, we present some properties of the $k$-Hessian operator (see [10] for details).

The mixed $k$-Hessian operator $\tilde{F}_{k}$ defined by (1.2) is linear in each $u^{s} \in C^{2}(\Omega)$, $s=1, \ldots, k$, invariant under permutations and $\tilde{F}_{k}[u, \ldots, u]=F_{k}[u]$ for any $u \in$ $C^{2}(\Omega)$. Moreover, we have the explicit representation

$$
\begin{equation*}
\tilde{F}_{k}\left[u^{1}, \ldots, u^{k}\right]=\frac{1}{k!} \sum \delta_{j_{1} \ldots j_{k}}^{i_{1} \ldots i_{k}} u_{i_{1} j_{1}}^{1} \ldots u_{i_{k} j_{k}}^{k} \tag{3.1}
\end{equation*}
$$

where $u_{i j}^{s}=D_{i j} u^{s}, i, j=1, \ldots, n, s=1, \ldots, k$, the summation takes over all multiindexes $\left\{i_{1} \ldots i_{k}\right\}$ and $\left\{j_{1} \ldots j_{k}\right\}$ and $\delta_{j_{1} \ldots j_{k}}^{i_{1} \ldots i_{k}}$ denotes the generalized Kronecker delta, which vanishes if $\left\{i_{1}, \ldots, i_{k}\right\} \neq\left\{j_{1}, \ldots, j_{k}\right\}$ and otherwise it is the sign of the permutation from $\left(i_{1}, \ldots, i_{k}\right)$ to $\left(j_{1}, \ldots, j_{k}\right)$. An inequality of Gårding [7],

$$
\begin{equation*}
\tilde{F}_{k}\left[u^{1}, \ldots, u^{k}\right] \geqslant \prod_{s=1}^{k}\left(F_{k}\left[u^{s}\right]\right)^{1 / k} \tag{3.2}
\end{equation*}
$$

for $u^{1}, \ldots, u^{k} \in \Phi^{k}(\Omega)$, guarantees $\tilde{F}_{k} \geqslant 0$ on $\left(\Phi^{k}\right)^{k}$. Let

$$
\begin{equation*}
\tilde{F}_{k}^{i j}\left[u^{1}, \ldots, u^{k-1}\right]=\frac{\partial}{\partial u_{i j}^{k}} \tilde{S}_{k}\left(\lambda\left(D^{2} u^{1}\right), \ldots, \lambda\left(D^{2} u^{k}\right)\right) \tag{3.3}
\end{equation*}
$$

be the coefficient of $u_{i j}^{k}$ in (3.1). Then we have

$$
\begin{equation*}
D_{i} \tilde{F}_{k}^{i j}\left[u^{1}, \ldots, u^{k-1}\right]=0, \quad j=1, \ldots, n \tag{3.4}
\end{equation*}
$$

and

$$
\begin{equation*}
\tilde{F}_{k}^{i j}[u, \ldots, u]=\frac{1}{k} F_{k}^{i j}[u], \quad \text { where } \quad F_{k}^{i j}[u]=\frac{\partial}{\partial u_{i j}} S_{k}\left[\lambda\left(D^{2} u\right] .\right. \tag{3.5}
\end{equation*}
$$

The matrix $\left[\tilde{F}_{k}^{i j}\right]_{n \times n}$ is positive definite. We can write $\tilde{F}_{k}$ in the divergence form

$$
\begin{equation*}
\tilde{F}_{k}\left[u^{1}, \ldots, u^{k}\right]=\sum u_{i j}^{k} \tilde{F}_{k}^{i j}\left[u^{1}, \ldots, u^{k-1}\right]=\sum D_{i}\left\{\tilde{F}_{k}^{i j}\left[u^{1}, \ldots, u^{k-1}\right] D_{j} u^{k}\right\} . \tag{3.6}
\end{equation*}
$$

Now we are ready to prove Theorem 1.2. Here we use ideas from Z. Blocki [1].
Proof of Theorem 1.2. Let $\tilde{h}=\max \{u, h-\varepsilon\}$. Then $\tilde{h}=u$ in a neighborhood of $\partial \Omega$ and $\tilde{h}-u$ converges to $h-u$ as $\varepsilon \rightarrow 0$. We can therefore assume that $h=u$
in a neighborhood of $\partial \Omega$. First assume that $h, u$ and $v_{i}$ are smooth. By hypothesis, we can let $\left\|v_{i}\right\|_{\Omega}=1$. We want to show that for $p=2, \ldots, k$,

$$
\begin{align*}
& \int_{\Omega}(h-u)^{p} \tilde{F}_{k}\left[u, \ldots, u, v_{k-p+1}, \ldots, v_{k}\right]  \tag{3.7}\\
& \quad \leqslant p \int_{\Omega}(h-u)^{p-1} \tilde{F}_{k}\left[u, \ldots, u, v_{k-p+2}, \ldots, v_{k}\right]
\end{align*}
$$

By (3.6) and Stokes' theorem, the left-hand side of (3.7) is equal to

$$
\begin{aligned}
& \int_{\Omega}(h-u)^{p} \tilde{F}_{k}^{i j}\left[u, \ldots, u, v_{k-p+2}, \ldots, v_{k}\right] D_{i j} v_{k-p+1} \\
&=-\int_{\Omega} D_{i}\left[(h-u)^{p}\right] \tilde{F}_{k}^{i j}\left[u, \ldots, u, v_{k-p+2}, \ldots, v_{k}\right] D_{j} v_{k-p+1} \\
&=\int_{\Omega} v_{k-p+1} \tilde{F}_{k}^{i j}\left[u, \ldots, u, v_{k-p+2}, \ldots, v_{k}\right] D_{i j}\left[(h-u)^{p}\right] \\
&=\int_{\Omega} v_{k-p+1} \tilde{F}_{k}\left[u, \ldots, u,(h-u)^{p}, v_{k-p+2}, \ldots, v_{k}\right]
\end{aligned}
$$

And it follows from $-v_{k-p+1}=\left\|v_{k-p+1}\right\| \leqslant 1$ that the right-hand side of (3.7) is greater than or equal to $p \int_{\Omega}\left(-v_{k-p+1}\right)(h-u)^{p-1} \tilde{F}_{k}\left[u, \ldots, u, v_{k-p+2}, \ldots, v_{k}\right]$. For $p=2, \ldots, k$, by (3.6) we have

$$
\begin{aligned}
\tilde{F}_{k} & {\left[u, \ldots, u,(h-u)^{p}, v_{k-p+2}, \ldots, v_{k}\right] } \\
= & \tilde{F}_{k}^{i j}\left[u, \ldots, u, v_{k-p+2}, \ldots, v_{k}\right] D_{i j}\left[(h-u)^{p}\right] \\
= & \tilde{F}_{k}^{i j}\left[u, \ldots, u, v_{k-p+2}, \ldots, v_{k}\right] p(p-1)(h-u)^{p-2} D_{i}(h-u) D_{j}(h-u) \\
& \quad+\tilde{F}_{k}^{i j}\left[u, \ldots, u, v_{k-p+2}, \ldots, v_{k}\right] p(h-u)^{p-1} D_{i j}(h-u) \\
\geqslant & p(h-u)^{p-1}\left(\tilde{F}_{k}\left[u, \ldots, u, v_{k-p+2}, \ldots, v_{k}, h\right]-\tilde{F}_{k}\left[u, \ldots, u, v_{k-p+2}, \ldots, v_{k}, u\right]\right) \\
\geqslant & -p(h-u)^{p-1} \tilde{F}_{k}\left[u, \ldots, u, v_{k-p+2}, \ldots, v_{k}\right]
\end{aligned}
$$

where the first inequality follows from the positivity of the Hessian matrix $\left[F_{k}^{i j}\right]_{n \times n}$ and the last inequality follows from (3.2). Then we get (3.7). Now by (3.2), (3.7) and Stokes' theorem,

$$
\begin{aligned}
\int_{\Omega}(h-u)^{k} \tilde{F}_{k}\left[v_{1}, \ldots, v_{k}\right] & \leqslant k!\int_{\Omega}(h-u) \tilde{F}_{k}\left[u, \ldots, u, v_{k}\right] \\
& =k!\int_{\Omega}\left(-v_{k}\right) \tilde{F}_{k}[u, \ldots, u, u-h] \\
& \leqslant k!\int_{\Omega}\left|v_{k}\right| \tilde{F}_{k}[u, \ldots, u]=k!\int_{\Omega}\left|v_{k}\right| F_{k}[u] .
\end{aligned}
$$

Now assume $u$ and $h$ are arbitrary and $v_{i}$ still smooth. Let $u_{\varepsilon}$ and $h_{\varepsilon}$ be the smooth regularizations of $u, h$ respectively, such that $u_{\varepsilon}$ and $h_{\varepsilon}$ decreasingly converge to $u$ and $h$ respectively as $\varepsilon$ tends to 0 . Then $u_{\varepsilon} \leqslant h_{\varepsilon}$. If we shrink $\Omega$ a little, then $u_{\varepsilon}=h_{\varepsilon}$ in a neighborhood of $\partial \Omega$. So by the proof above, we have

$$
\begin{equation*}
\int_{\Omega}\left(h_{\varepsilon}-u_{\varepsilon}\right)^{k} \tilde{F}_{k}\left[v_{1}, \ldots, v_{k}\right] \leqslant k!\left\|v_{1}\right\|_{\Omega} \ldots\left\|v_{k-1}\right\|_{\Omega} \int_{\Omega}\left|v_{k}\right| F_{k}\left[u_{\varepsilon}\right] . \tag{3.8}
\end{equation*}
$$

By Lebesgue's dominated convergence theorem, the left-hand side of (3.8) tends to $\int_{\Omega}(h-u) \tilde{F}_{k}\left[v_{1}, \ldots, v_{k}\right]$, and by (1.3) the right-hand side of (3.8) tends to $k!\left\|v_{1}\right\|_{\Omega} \ldots\left\|v_{k-1}\right\|_{\Omega} \int_{\Omega}\left|v_{k}\right| \mathrm{d} \mu_{k}[u]$.

Finally, let $v_{1}, \ldots, v_{k}$ be arbitrary. Denote by $\left(v_{i}\right)_{\varepsilon}$ the smooth regularization of $v_{i}$, then $\left(v_{i}\right)_{\varepsilon}$ decreasingly converges to $v_{i}$ as $\varepsilon$ tends to 0 . Note that

$$
\begin{aligned}
\int_{\Omega}(h-u)^{k} \tilde{F}_{k}\left[\left(v_{1}\right)_{\varepsilon}, \ldots,\left(v_{k}\right)_{\varepsilon}\right] & \leqslant k!\left\|\left(v_{1}\right)_{\varepsilon}\right\|_{\Omega} \ldots\left\|\left(v_{k-1}\right)_{\varepsilon}\right\|_{\Omega} \int_{\Omega}\left|\left(v_{k}\right)_{\varepsilon}\right| \mathrm{d} \mu_{k}[u] \\
& \leqslant k!\left\|v_{1}\right\|_{\Omega} \ldots\left\|v_{k-1}\right\|_{\Omega} \int_{\Omega}\left|v_{k}\right| \mathrm{d} \mu_{k}[u]
\end{aligned}
$$

so that we have

$$
\begin{aligned}
\int_{\Omega}(h-u)^{k} \mathrm{~d} \tilde{\mu}_{k}\left[v_{1}, \ldots, v_{k}\right] & \leqslant \liminf _{\varepsilon \rightarrow 0} \int_{\Omega}(h-u)^{k} \tilde{F}_{k}\left[\left(v_{1}\right)_{\varepsilon}, \ldots,\left(v_{k}\right)_{\varepsilon}\right] \\
& \leqslant k!\left\|v_{1}\right\|_{\Omega} \ldots\left\|v_{k-1}\right\|_{\Omega} \int_{\Omega}\left|v_{k}\right| \mathrm{d} \mu_{k}[u] .
\end{aligned}
$$

Let $h=0$ in Theorem 1.2. Then we have the following result.

Corollary 3.1. Suppose that $u$ is a nonpositive $k$-convex function satisfying $\lim _{x \rightarrow \partial \Omega} u(x)=0$, and $v_{1}, \ldots, v_{k}$ are nonpositive bounded $k$-convex functions in $\Omega$. Then

$$
\int_{\Omega}|u|^{k} \mathrm{~d} \tilde{\mu}_{k}\left[v_{1}, \ldots, v_{k}\right] \leqslant k!\left\|v_{1}\right\|_{\Omega} \ldots\left\|v_{k-1}\right\|_{\Omega} \int_{\Omega}\left|v_{k}\right| \mathrm{d} \mu_{k}[u] .
$$

Now we use the induction method in [3] to prove Theorem 1.3.

Proof of Theorem 1.3. First assume that all the functions are smooth. Let us prove the statement for $p=q=1$. By Stokes' theorem,

$$
\begin{aligned}
\int( & -h) \tilde{F}_{k}\left[u_{1}, u_{2}, v_{1}, \ldots, v_{k-2}\right]=\int(-h) \tilde{F}_{k}^{i j}\left[u_{2}, v_{1}, \ldots, v_{k-2}\right] D_{i j} u_{1} \\
& =\int D_{i} h D_{j} u_{1} \tilde{F}_{k}^{i j}\left[u_{2}, v_{1}, \ldots, v_{k-2}\right]=\int\left(-u_{1}\right) \tilde{F}_{k}^{i j}\left[u_{2}, v_{1}, \ldots, v_{k-2}\right] D_{i j} h \\
& =\int\left(-u_{1}\right) \tilde{F}_{k}\left[h, u_{2}, v_{1}, \ldots, v_{k-2}\right]=\int D_{i} u_{1} D_{j} u_{2} \tilde{F}_{k}^{i j}\left[h, v_{1}, \ldots, v_{k-2}\right] \\
& \leqslant\left(\int D_{i} u_{1} D_{j} u_{1} \tilde{F}_{k}^{i j}\left[h, v_{1}, \ldots, v_{k-2}\right]\right)^{1 / 2}\left(\int D_{i} u_{2} D_{j} u_{2} \tilde{F}_{k}^{i j}\left[h, v_{1}, \ldots, v_{k-2}\right]\right)^{1 / 2} \\
& =\left(\int\left(-u_{1}\right) \tilde{F}_{k}^{i j}\left[h, v_{1}, \ldots, v_{k-2}\right] D_{i j} u_{1}\right)^{1 / 2}\left(\int\left(-u_{2}\right) \tilde{F}_{k}^{i j}\left[h, v_{1}, \ldots, v_{k-2}\right] D_{i j} u_{2}\right)^{1 / 2} \\
& =\left(\int\left(-u_{1}\right) \tilde{F}_{k}\left[h, u_{1}, v_{1}, \ldots, v_{k-2}\right]\right)^{1 / 2}\left(\int\left(-u_{2}\right) \tilde{F}_{k}\left[h, u_{2}, v_{1}, \ldots, v_{k-2}\right]\right)^{1 / 2} \\
& =\left(\int(-h) \tilde{F}_{k}\left[u_{1}, u_{1}, v_{1}, \ldots, v_{k-2}\right]\right)^{1 / 2}\left(\int(-h) \tilde{F}_{k}\left[u_{2}, u_{2}, v_{1}, \ldots, v_{k-2}\right]\right)^{1 / 2} .
\end{aligned}
$$

Assume that the theorem is proved for $p+q \leqslant m$. We now prove it for $p+q \leqslant m+1$. We first show that the following inequality holds:

$$
\begin{align*}
& \int(-h) \tilde{F}_{k}[u_{1}, \underbrace{u_{2}, \ldots, u_{2}}_{p+q}, v_{1}, \ldots, v_{k-p-q-1}]  \tag{3.9}\\
& \leqslant(\int(-h) \tilde{F}_{k}[\underbrace{u_{1}, \ldots, u_{1}}_{p+q+1}, v_{1}, \ldots, v_{k-p-q-1}])^{1 /(p+q+1)} \\
& \times(\int(-h) \tilde{F}_{k}[\underbrace{u_{2}, \ldots, u_{2}}_{p+q+1}, v_{1}, \ldots, v_{k-p-q-1}])^{(p+q) /(p+q+1)} .
\end{align*}
$$

By the assumption above,

$$
\begin{aligned}
\int(-h) \tilde{F}_{k} & {[u_{1}, \underbrace{u_{2}, \ldots, u_{2}}_{p+q}, v_{1}, \ldots, v_{k-p-q-1}] } \\
\leqslant & (\int(-h) \tilde{F}_{k}[\underbrace{u_{1}, \ldots, u_{1}}_{p+q}, u_{2}, v_{1}, \ldots, v_{k-p-q-1}])^{1 /(p+q)} \\
& \times(\int(-h) \tilde{F}_{k}[\underbrace{u_{2}, \ldots, u_{2}}_{p+q}, u_{2}, v_{1}, \ldots, v_{k-p-q-1}])^{(p+q-1) /(p+q)}
\end{aligned}
$$

$$
\begin{aligned}
= & (\int(-h) \tilde{F}_{k}[\underbrace{u_{1}, \ldots, u_{1}}_{p+q-1}, u_{2}, u_{1}, v_{1}, \ldots, v_{k-p-q-1}])^{1 /(p+q)} \\
& \times(\int(-h) \tilde{F}_{k}[\underbrace{u_{2}, \ldots, u_{2}}_{p+q+1}, v_{1}, \ldots, v_{k-p-q-1}])^{(p+q-1) /(p+q)} \\
\leqslant & {[(\int(-h) \tilde{F}_{k}[\underbrace{u_{1}, \ldots, u_{1}}_{p+q}, u_{1}, v_{1}, \ldots, v_{k-p-q-1}])^{(p+q-1) /(p+q)}} \\
& \times(\int(-h) \tilde{F}_{k}[\underbrace{u_{2}, \ldots, u_{2}}_{p+q}, u_{1}, v_{1}, \ldots, v_{k-p-q-1}])^{1 /(p+q)}]^{1 /(p+q)} \\
& \times(\int(-h) \tilde{F}_{k}[\underbrace{u_{2}, \ldots, u_{2}}_{p+q+1}, v_{1}, \ldots, v_{k-p-q-1}])^{(p+q-1) /(p+q)} \\
& \times\left(\int(p)\right.
\end{aligned}
$$

Therefore (3.9) follows. Using (3.9) to complete our induction we arrive at

$$
\begin{aligned}
\int(-h) \tilde{F}_{k} & {[\underbrace{u_{1}, \ldots, u_{1}}_{p+1}, \underbrace{u_{2}, \ldots, u_{2}}_{q}, v_{1}, \ldots, v_{k-p-q-1}] } \\
\leqslant & (\int(-h) \tilde{F}_{k}[\underbrace{u_{1}, \ldots, u_{1}}_{p+q}, u_{1}, v_{1}, \ldots, v_{k-p-q-1}])^{p /(p+q)} \\
& \times(\int(-h) \tilde{F}_{k}[\underbrace{u_{2}, \ldots, u_{2}}_{p+q}, u_{1}, v_{1}, \ldots, v_{k-p-q-1}])^{q /(p+q)} \\
\leqslant & (\int(-h) \tilde{F}_{k}[\underbrace{u_{1}, \ldots, u_{1}}_{p+q+1}, v_{1}, \ldots, v_{k-p-q-1}])^{p /(p+q)} \\
& \times[(\int(-h) \tilde{F}_{k}[\underbrace{u_{2}, \ldots, u_{2}}_{p+q+1}, v_{1}, \ldots, v_{k-p-q-1}])^{(p+q) /(p+q+1)} \\
& \times(\int(-h) \tilde{F}_{k}[\underbrace{u_{1}, \ldots, u_{1}}_{p+q+1}, v_{1}, \ldots, v_{k-p-q-1}])^{1 /(p+q+1)}]^{q /(p+q)} \\
= & (\int(-h) \tilde{F}_{k}[\underbrace{u_{1}, \ldots, u_{1}}_{p+q+1}, v_{1}, \ldots, v_{k-p-q-1}])^{(p+1) /(p+q+1)} \\
& \times(\int(-h) \tilde{F}_{k}[\underbrace{u_{2}, \ldots, u_{2}}_{p+q+1}, v_{1}, \ldots, v_{k-p-q-1}])^{q /(p+q+1)}
\end{aligned}
$$

Let $\tilde{h}=\max \{h,-\varepsilon\}$, then $\tilde{h}=h$ in a neighborhood of $\partial \Omega$ and $\tilde{h}-h$ converges to $-h$ as $\varepsilon \rightarrow 0$. We can therefore assume that $h$ vanishes in a neighborhood of $\partial \Omega$. For arbitrary $h$, denote by $h_{\varepsilon}$ the smooth regularization of $h$ such that $h_{\varepsilon}$ decreasingly converges to $h$ as $\varepsilon$ tends to 0 . By shrinking $\Omega$ a little, $h_{\varepsilon}$ vanishes in a neighborhood of $\partial \Omega$. So the inequality (1.6) with $h$ replaced by $h_{\varepsilon}$ holds. And by the monotone convergence theorem, each integral $\int-h_{\varepsilon} \tilde{F}_{k}$ converges to $\int-h \tilde{F}_{k}$. Thus we get (1.6) for nonsmooth $h$. For the same reason, the formula (1.6) with $u_{i}, v_{j}$ replaced by $\left(u_{i}\right)_{\varepsilon},\left(v_{j}\right)_{\varepsilon}$ respectively holds. By Theorem 2.4 in [10] and Theorem 1.1,

$$
\begin{aligned}
& \int(-h) \mathrm{d} \tilde{\mu}_{k}[\underbrace{\left(u_{1}\right)_{\varepsilon}, \ldots,\left(u_{1}\right)_{\varepsilon}}_{p}, \underbrace{\left(u_{2}\right)_{\varepsilon}, \ldots,\left(u_{2}\right)_{\varepsilon}}_{q},\left(v_{1}\right)_{\varepsilon}, \ldots,\left(v_{k-p-q}\right)_{\varepsilon}] \\
& \longrightarrow \int(-h) \mathrm{d} \tilde{\mu}_{k}[\underbrace{u_{1}, \ldots, u_{1}}_{p}, \underbrace{u_{2}, \ldots, u_{2}}_{q}, v_{1}, \ldots, v_{k-p-q}], \quad \text { as } \varepsilon \rightarrow 0
\end{aligned}
$$

The theorem is finally proved.

Proof of Corollary 1.1. It follows from Theorem 1.3 that

$$
\begin{aligned}
\int(-h) & \mathrm{d} \tilde{\mu}_{k}[u_{1}, \underbrace{u_{2}, \ldots, u_{2}}_{k-1}] \\
& \leqslant\left(\int(-h) \mathrm{d} \tilde{\mu}_{k}\left[u_{1}, \ldots, u_{1}\right]\right)^{1 / k}\left(\int(-h) \mathrm{d} \tilde{\mu}_{k}\left[u_{2}, \ldots, u_{2}\right]\right)^{(k-1) / k} \\
& =\left(\int(-h) \mathrm{d} \mu_{k}\left[u_{1}\right]\right)^{1 / k}\left(\int(-h) \mathrm{d} \mu_{k}\left[u_{2}\right]\right)^{(k-1) / k}
\end{aligned}
$$

This is the case of Corollary 1.1 for $u_{2}=\ldots=u_{k}=u$. Suppose that the corollary is proved for $u_{p+1}=\ldots=u_{k}=u$. We now prove it for $u_{p+2}=\ldots=u_{k}=u$. By Theorem 1.3,

$$
\begin{aligned}
& \int(-h) \mathrm{d} \tilde{\mu}_{k}\left[u_{1}, \ldots, u_{p}, u_{p+1}, u, \ldots, u\right] \\
& \leqslant\left(\int(-h) \mathrm{d} \tilde{\mu}_{k}\left[u_{1}, \ldots, u_{p}, u_{p+1}, \ldots, u_{p+1}\right]\right)^{1 /(k-p)} \\
& \quad \times\left(\int(-h) \mathrm{d} \tilde{\mu}_{k}\left[u_{1}, \ldots, u_{p}, u, \ldots, u\right]\right)^{(k-p-1) /(k-p)}
\end{aligned}
$$

$$
\begin{aligned}
\leqslant & {\left[\left(\int(-h) \mathrm{d} \mu_{k}\left[u_{1}\right]\right)^{1 / k} \cdots\left(\int(-h) \mathrm{d} \mu_{k}\left[u_{p}\right]\right)^{1 / k}\right.} \\
& \left.\times\left(\int(-h) \mathrm{d} \mu_{k}\left[u_{p+1}\right]\right)^{(k-p) / k}\right]^{1 /(k-p)} \\
& \times\left[\left(\int(-h) \mathrm{d} \mu_{k}\left[u_{1}\right]\right)^{1 / k} \cdots\left(\int(-h) \mathrm{d} \mu_{k}\left[u_{p}\right]\right)^{1 / k}\right. \\
& \left.\times\left(\int(-h) \mathrm{d} \mu_{k}[u]\right)^{(k-p) / k}\right]_{(k-p-1) /(k-p)}^{1 / k} \\
= & \left(\int(-h) \mathrm{d} \mu_{k}\left[u_{1}\right]\right)^{1 / k} \cdots\left(\int(-h) \mathrm{d} \mu_{k}\left[u_{p+1}\right]\right)^{1 / k}\left(\int(-h) \mathrm{d} \mu_{k}[u]\right)^{(k-p-1) / k} .
\end{aligned}
$$

Corollary 3.2. Let $u_{1}, \ldots, u_{k}$ be nonpositive $k$-convex functions of $x$ in $\Omega$ satisfying $\mu_{k}\left[u_{i}\right](\Omega)<+\infty, i=1, \ldots, k$. Suppose that each function converges to zero as $x$ tends to $\partial \Omega$, then

$$
\tilde{\mu}_{k}\left[u_{1}, \ldots, u_{k}\right](\Omega) \leqslant\left(\mu_{k}\left[u_{1}\right](\Omega)\right)^{1 / k} \ldots\left(\mu_{k}\left[u_{k}\right](\Omega)\right)^{1 / k} .
$$

Pro of of Theorem 1.4. First we assume that both $u, v$ are smooth. By Hölder's inequality and using Stokes' theorem twice we get

$$
\begin{align*}
& \int(-u)^{p} \mathrm{~d} \tilde{\mu}_{k}[\underbrace{u, \ldots, u}_{j}, v, \ldots, v]=\int(-u)^{p} \tilde{F}_{k}^{i j}[\underbrace{u, \ldots, u}_{j}, v, \ldots, v] D_{i j} v  \tag{3.10}\\
&=-\int D_{i}\left[(-u)^{p}\right] \tilde{F}_{k}^{i j}[\underbrace{u, \ldots, u, v, \ldots, v] D_{j} v=\int v \tilde{F}_{k}^{i j}[\underbrace{u, \ldots, u}_{j}, v, \ldots, v] D_{i j}(-u)^{p}}_{j} \\
&=p(p-1) \int v(-u)^{p-2} D_{i} u D_{j} u \tilde{F}_{k}^{i j}[\underbrace{u, \ldots, u}_{j}, v, \ldots, v] \\
&+p \int(-v)(-u)^{p-1} \tilde{F}_{k}[\underbrace{u, \ldots, u}_{j+1}, v, \ldots, v] \\
& \leqslant p \int(-v)(-u)^{p-1} \tilde{F}_{k}[\underbrace{u, \ldots, u}_{j+1}, v, \ldots, v] \\
& \leqslant(p \int(-v)^{p} \tilde{F}_{k}[\underbrace{u, \ldots, u, v, \ldots, v]}_{j+1})^{1 / p} \times(p \int(-u)^{p} \tilde{F}_{k}[\underbrace{u, \ldots, u}_{j+1}, v, \ldots, v])^{(p-1) / p},
\end{align*}
$$

where the first inequality follows from the positivity of the Hessian matrix $\left[F_{k}^{i j}\right]_{n \times n}$.

Let

$$
x_{j}=\log \int(-u)^{p} \tilde{F}_{k}[\underbrace{u, \ldots, u}_{j}, v, \ldots, v], y_{j}=\log \int(-v)^{p} \tilde{F}_{k}[v, \ldots, v, \underbrace{u, \ldots, u}_{k-j}] .
$$

By using the method in [4, p. 97-99], we then get the conclusion when $u, v \in C^{\infty}$.
Let $\tilde{u}=\max \{u,-\varepsilon\}$, then $\tilde{u}=u$ in a neighborhood of $\partial \Omega$ and $\tilde{u}-u$ converges to $-u$ as $\varepsilon \rightarrow 0$. We can therefore assume that $u$ and $v$ vanish in a neighborhood of $\partial \Omega$. For nonsmooth $u$ and $v$, denote by $u_{\varepsilon}$ and $v_{\varepsilon}$ the smooth regularizations of $u$ and $v$ respectively. By shrinking $\Omega$ a little, $u_{\varepsilon}$ and $v_{\varepsilon}$ vanish in a neighborhood of $\partial \Omega$. So the inequality (1.8) with $u, v$ replaced by $u_{\varepsilon}, v_{\varepsilon}$ respectively is valid. By the approximation theorem in [10, Theorem 2.6], as $\varepsilon \rightarrow 0$, we have

$$
\begin{gathered}
\int\left(-u_{\varepsilon}\right)^{p} \mathrm{~d} \tilde{\mu}_{k}[\underbrace{\left[u_{\varepsilon}, \ldots, u_{\varepsilon}\right.}_{j}, v_{\varepsilon}, \ldots, v_{\varepsilon}] \longrightarrow \int(-u)^{p} \mathrm{~d} \tilde{\mu}_{k}[\underbrace{u, \ldots, u}_{j}, v, \ldots, v], \\
\int\left(-u_{\varepsilon}\right)^{p} \mathrm{~d} \mu_{k}\left[u_{\varepsilon}\right] \longrightarrow \int(-u)^{p} \mathrm{~d} \mu_{k}[u] \text { and } \int\left(-v_{\varepsilon}\right)^{p} \mathrm{~d} \mu_{k}\left[v_{\varepsilon}\right] \longrightarrow \int(-v)^{p} \mathrm{~d} \mu_{k}[v] .
\end{gathered}
$$

## 4. Applications to $k$-Green functions

For $k<n / 2, a \in \Omega$, we proved in [12] that $u(x)=g_{\Omega}(x, a)$ is the unique solution to the Dirichlet problem

$$
\begin{cases}u \in \Phi^{k}(\Omega) \cap C(\Omega \backslash\{a\}), &  \tag{4.1}\\ \mu_{k}[u]=C_{n, k} \delta_{a}, & \text { on } \Omega \\ u(x)+\|x-a\|^{2-n / k}=O(1), & \text { as } x \rightarrow a \\ u(x) \rightarrow 0, & \text { as } x \rightarrow \partial \Omega\end{cases}
$$

where $C_{n, k}=\omega_{n}\binom{n}{k}(n / k-2)^{k}$.
Pro of of Proposition 1.1. With help of (4.1), Lemma 2.1 and Corollary 3.1, we can get the conclusion by repeating the process in [5, Theorem 1].
D. Labutin defined two types of exceptional sets in [8]. A set $E \subseteq \mathbb{R}^{n}$ is said to be $k$-polar if for each point $a \in E$ there are a neighborhood $B(a, r)$ and a function $u \in \Phi^{k}(B(a, r))$ such that $\left.u\right|_{E \cap B(a, r)}=-\infty$. Let $\mathscr{U} \subseteq \Phi^{k}(\Omega)$ be a family of functions which are locally bounded from above. Define $U(x)=\sup \{u(x): u \in \mathscr{U}\}$ for $x \in \Omega$. Denote by $U^{*}$ the upper semicontinuous regularization of $U$. A set $N$ is called $k$ negligible if $N \subseteq\left\{x \in \Omega: U(x)<U^{*}(x)\right\}$ for some family $\mathscr{U}$ as above. D. Labutin
proved in [8, Theorem 4.2] that the following three statements are equivalent for a set $E \Subset B_{R}:(1) E$ is $k$-polar; (2) $E$ is $k$-negligible; (3) $\operatorname{Cap}_{k}\left(E, B_{R}\right)=0$. D. Labutin also proved that compact sets with finite $(n-2 k)$-Hausdorff measure have zero capacity, thus being $k$-polar sets (see [8, Section 5] for details).

Now we proceed with the proof of Proposition 1.2. Our method is due to M. Carlehed, U. Cegrell and F. Wikström [2]. We need the following lemma. Denote by $\Phi_{0}^{k}(\Omega)$ the convex cone of proper $k$-convex functions $\varphi$ with $\lim _{x \rightarrow \partial \Omega} \varphi(x)=0$ and $\mu_{k}[\varphi](\Omega)<+\infty$.

Lemma 4.1. $C_{0}^{\infty}(\Omega) \subseteq \Phi_{0}^{k} \cap C(\bar{\Omega})-\Phi_{0}^{k} \cap C(\bar{\Omega})$.
Proof. Let $\chi \in C_{0}^{\infty}(\Omega)$. Then $\chi+m\|x\|^{2}$ is positive and $k$-convex if the constant $m>0$ is sufficiently large. Let $a<\inf \chi<\sup _{\Omega}\left(\chi+m\|x\|^{2}\right)<b$. Choose a negative function $f \in \Phi_{0}^{k} \cap C(\bar{\Omega})$. Define $g_{1}=\max \left(\chi+m\|x\|^{2}-b, C f\right)$, where $C$ is sufficiently large such that $C f<a-b$ on the support of $\chi$. Then by Lemma 2.1 (2), $g_{1} \in \Phi_{0}^{k} \cap C(\bar{\Omega})$ and so $g_{2}=\max \left(m\|x\|^{2}-b, C f\right)$. Then $\chi=g_{1}-g_{2} \in$ $\Phi_{0}^{k} \cap C(\bar{\Omega})-\Phi_{0}^{k} \cap C(\bar{\Omega})$.

Pro of of Proposition 1.2. Define $u_{j}(w):=\sup _{n \geqslant j} g_{\Omega}\left(w, x_{n}\right)$. By Lemma 2.1 (1), $u_{j}^{*}$ is a bounded $k$-convex function and converges to 0 as $w$ tends to $\partial \Omega$. Let $h$ be a function in $\Phi_{0}^{k} \cap C(\bar{\Omega})$ and $h\left(x_{n}\right) \rightarrow 0$ as $n \rightarrow \infty$. Denote by $\left(u_{j}^{*}\right)_{\varepsilon}$ the smooth regularization of $u_{j}^{*}$. By (1.3), (3.6) and Stokes' theorem, we have

$$
\begin{aligned}
0 & \leqslant \int_{\Omega}(-h) \mathrm{d} \mu_{k}\left[u_{j}^{*}\right]=\lim _{\varepsilon \rightarrow 0} \int_{\Omega}(-h) F_{k}\left[\left(u_{j}^{*}\right)_{\varepsilon}\right] \\
& =\lim _{\varepsilon \rightarrow 0} \int_{\Omega}(-h) \tilde{F}_{k}^{i j}\left[\left(u_{j}^{*}\right)_{\varepsilon}, \ldots,\left(u_{j}^{*}\right)_{\varepsilon}\right] D_{i j}\left(u_{j}^{*}\right)_{\varepsilon} \\
& =\lim _{\varepsilon \rightarrow 0} \int_{\Omega} D_{i} h \tilde{F}_{k}^{i j}\left[\left(u_{j}^{*}\right)_{\varepsilon}, \ldots,\left(u_{j}^{*}\right)_{\varepsilon}\right] D_{j}\left(u_{j}^{*}\right)_{\varepsilon} \\
& =\lim _{\varepsilon \rightarrow 0} \int_{\Omega}-\left(u_{j}^{*}\right)_{\varepsilon} \tilde{F}_{k}^{i j}\left[\left(u_{j}^{*}\right)_{\varepsilon}, \ldots,\left(u_{j}^{*}\right)_{\varepsilon}\right] D_{i j} h \\
& \leqslant \lim _{\varepsilon \rightarrow 0} \int_{\Omega}-\left(g_{\Omega}\left(\cdot, x_{n}\right)\right)_{\varepsilon} \tilde{F}_{k}^{i j}\left[\left(u_{j}^{*}\right)_{\varepsilon}, \ldots,\left(u_{j}^{*}\right)_{\varepsilon}\right] D_{i j} h \\
& =\lim _{\varepsilon \rightarrow 0} \int_{\Omega}(-h) \tilde{F}_{k}^{i j}\left[\left(u_{j}^{*}\right)_{\varepsilon}, \ldots,\left(u_{j}^{*}\right)_{\varepsilon}\right] D_{i j}\left(g_{\Omega}\left(\cdot, x_{n}\right)\right)_{\varepsilon} \\
& =\lim _{\varepsilon \rightarrow 0} \int_{\Omega}(-h) \tilde{F}_{k}\left[\left(u_{j}^{*}\right)_{\varepsilon}, \ldots,\left(u_{j}^{*}\right)_{\varepsilon},\left(g_{\Omega}\left(\cdot, x_{n}\right)\right)_{\varepsilon}\right] \leqslant \ldots \\
& \leqslant \lim _{\varepsilon \rightarrow 0} \int_{\Omega}(-h) \tilde{F}_{k}\left[\left(g_{\Omega}\left(\cdot, x_{n}\right)\right)_{\varepsilon}, \ldots,\left(g_{\Omega}\left(\cdot, x_{n}\right)\right)_{\varepsilon}\right]=\lim _{\varepsilon \rightarrow 0} \int_{\Omega}(-h) F_{k}\left[\left(g_{\Omega}\left(\cdot, x_{n}\right)\right)_{\varepsilon}\right] \\
& =\int_{\Omega}(-h) \mathrm{d} \mu_{k}\left[\left(g_{\Omega}\left(\cdot, x_{n}\right)\right)\right]=-C_{n, k} h\left(x_{n}\right) \rightarrow 0
\end{aligned}
$$

as $n \rightarrow \infty$, where the last identity follows from (4.1). It follows from Lemma 4.1 that the measures $\mu_{k}\left[u_{j}^{*}\right]$ converge weakly to 0 . By the continuity of the $k$-Hessian operator on the sequence $\left\{u_{j}^{*}\right\} \subseteq \Phi^{k}(\Omega)$ (cf. [9, Theorem 1.1]), the limit function $u:=\lim _{j} u_{j}^{*}$ satisfies $\mu_{k}[u]=0$. Thus $u$ is $k$-maximal (cf. [12, Theorem A.1]) and equals 0 on $\partial \Omega$. It follows that $u \equiv 0$. Since $\left\{u_{j}^{*}\right\}$ is a decreasing sequence, each $u_{j}^{*}$ must vanish identically in $\Omega$. For each $j$, there is a $k$-polar set $E_{j}$ such that $u_{j} \equiv 0$ on $\Omega \backslash E_{j}$. Let $E=E_{1}$. Since $\left\{u_{j}\right\}$ is decreasing, the $k$-polar sets $E_{j}$ form an increasing sequence. On the other hand, if $w \in E_{j} \backslash E$, then $g_{\Omega}\left(w, x_{l}\right)=0$ for some $l, 1 \leqslant l \leqslant j$, which is impossible. So we have $E_{j}=E$ for all $j$. Thus $u_{j} \equiv 0$ on $\Omega \backslash E$.

The exceptional set may depend on the sequence $\left\{x_{n}\right\}$. We can get a weaker result which is independent of the sequence. Let $S$ denote the set of all sequences in $\Omega$ tending to $x_{0}$. Take $\tilde{E}=\bigcap_{s \in S} E_{s}$, where $E_{s}$ denotes the exceptional set corresponding to the sequence $s$, then we get the following corollary.

Corollary 4.1. Let $x_{0} \in \partial \Omega, k<n / 2$. Then there exists a $k$-polar set $\tilde{E} \subseteq \Omega$ such that

$$
\varlimsup_{x \rightarrow x_{0}} g_{\Omega}(w, x)=0 \quad \text { for every } w \in \Omega \backslash \tilde{E}
$$

## References

[1] Z. Btocki: Estimates for the complex Monge-Ampère operator. Bull. Pol. Acad. Sci., Math. 41 (1993), 151-157.
[2] M. Carlehed, U. Cegrell, F. Wikström: Jensen measures, hyperconvexity and boundary behaviour of the pluricomplex Green function. Ann. Pol. Math. 71 (1999), 87-103.
[3] U. Cegrell: The general definition of the complex Monge-Ampère operator. Ann. Inst. Fourier 54 (2004), 159-179.
[4] U. Cegrell, L. Persson: An energy estimate for the complex Monge-Ampère operator. Ann. Pol. Math. 67 (1997), 95-102.
[5] R. Czyż: Convergence in capacity of the pluricomplex Green function. Zesz. Nauk. Uniw. Jagiell., Univ. Iagell. 1285, Acta Math. 43 (2005), 41-44.
[6] J. P. Demailly: Monge-Ampère operators, Lelong numbers and intersection theory. Complex Analysis and Geometry (Ancona, Vincenzo, eds.). The University Series in Mathematics, Plenum Press, New York, 1993, pp. 115-193.
[7] L. Gårding: An inequality for hyperbolic polynomials. J. Math. Mech. 8 (1959), 957-965.
[8] D. A. Labutin: Potential estimates for a class of fully nonlinear elliptic equations. Duke Math. J. 111 (2002), 1-49.
[9] N. S. Trudinger, X. J. Wang: Hessian measures. II. Ann. Math. (2) 150 (1999), 579-604.
[10] N. S. Trudinger, X. J. Wang: Hessian measures. III. J. Funct. Anal. 193 (2002), 1-23.
[11] J. B. Walsh: Continuity of envelopes of plurisubharmonic functions. J. Math. Mech. 18 (1968), 143-148.
[12] D. Wan, W. Wang: Lelong-Jensen type formula, $k$-Hessian boundary measure and Lelong number for $k$-convex functions. J. Math. Pures Appl. 99 (2013), 635-654.
[13] F. Wikström: Jensen measures and boundary values of plurisubharmonic functions. Ark. Mat. 39 (2001), 181-200.

Author's address: Dongrui Wan, College of Mathematics and Computational Science, Shenzhen University, Shenzhen, China, e-mail: wandongrui@163.com.


[^0]:    This research was supported by National Nature Science Foundation of China (grant no. 11171298).

