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## Some results on spaces with $\aleph_1$ -calibre

WEI-FENG XUAN, WEI-XUE SHI

*Abstract.* We prove that, assuming  $CH$ , if  $X$  is a space with  $\aleph_1$ -calibre and a zerset diagonal, then  $X$  is submetrizable. This gives a consistent positive answer to the question of Buzyakova in *Observations on spaces with zerset or regular  $G_\delta$ -diagonals*, Comment. Math. Univ. Carolin. **46** (2005), no. 3, 469–473. We also make some observations on spaces with  $\aleph_1$ -calibre.

*Keywords:*  $\aleph_1$ -calibre; star countable; zerset diagonal

*Classification:* Primary 54D20; Secondary 54E35

### 1. Introduction

H. Martin in [6] proved that a separable space with a zerset diagonal is submetrizability. However, having a zerset diagonal does not guarantee submetrizable. Recall that a space has  $\aleph_1$ -calibre if every uncountable family of open sets contains an uncountable subfamily with non-empty set intersection. It is clear that every separable space has  $\aleph_1$ -calibre. Naturally, Buzyakova in [1] posted the following question.

**Question 1.1.** *Let  $X$  have  $\aleph_1$ -calibre and a zerset diagonal. Is  $X$  submetrizable?*

In this paper, we prove that, assuming  $CH$ , if  $X$  is a space with  $\aleph_1$ -calibre and a zerset diagonal, then  $X$  is submetrizable. This gives a consistent positive answer to the Question 1.1. We also make some observations on spaces with  $\aleph_1$ -calibre.

### 2. Notation and terminology

All the spaces are assumed to be Hausdorff unless otherwise stated.

**Definition 2.1.** A space  $X$  has a zerset diagonal if there is a continuous mapping  $f : X^2 \rightarrow [0, 1]$  with  $\Delta_X = f^{-1}(0)$ , where  $\Delta_X = \{(x, x) : x \in X\}$ .

**Definition 2.2.** A space  $X$  is called submetrizable if there exists a continuous injection of  $X$  into a metrizable space.

Clearly, every submetrizable space has a zerset diagonal. Note that there is a space which has a zerset diagonal but not submetrizable [7].

**Definition 2.3.** A space  $X$  is star countable if whenever  $\mathcal{U}$  is an open cover of  $X$ , there is a countable subset  $A$  of  $X$  such that  $\text{St}(A, \mathcal{U}) = X$ , where  $\text{St}(A, \mathcal{U}) = \bigcup \{U \in \mathcal{U} : U \cap A \neq \emptyset\}$ .

This notation was first introduced and studied by S. Ikenaga in [5]. Sometimes a star countable space is also called that it has countable weak extent.

**Lemma 2.4.**  $\Delta$ -system Lemma states that every uncountable collection of finite sets contains an uncountable  $\Delta$ -system, i.e., a collection of sets whose pairwise intersection is constant.

All notation and terminology not explained here is given in [4].

### 3. Results

**Theorem 3.1.** Assume CH. If  $X$  is a space with  $\aleph_1$ -calibre and a zeroset diagonal, then  $X$  is submetrizable.

PROOF: In [3], it has been proved that if  $X$  has a zeroset diagonal and  $X^2$  is star countable, then  $X$  is submetrizable. So, it is sufficient to prove that  $X^2$  is star countable. Notice that a space with  $\aleph_1$ -calibre has countable Souslin number and a zeroset diagonal implies a regular  $G_\delta$ -diagonal. We can apply a known result from [2] that the cardinality of a space with a regular  $G_\delta$ -diagonal and countable Souslin number is at most  $\mathfrak{c}$  to conclude that  $|X| \leq \mathfrak{c}$ . Clearly,  $|X^2| \leq \mathfrak{c}$ , and hence  $|X^2| \leq \aleph_1$  since CH. Assume  $|X^2| = \aleph_1$ . Enumerate  $X^2$  as  $\{x_\alpha : \alpha < \aleph_1\}$ .

Suppose that  $X^2$  is not star countable. Then there exists an open cover  $\mathcal{U}$  of  $X^2$  such that for any countable subset  $A$  of  $X^2$ ,  $X^2 \setminus \text{St}(A, \mathcal{U}) \neq \emptyset$ . It is clear that  $\overline{A} \subset \text{St}(A, \mathcal{U})$ . In fact, for any  $x \in \overline{A}$ , there exists an open set  $U \in \mathcal{U}$  which contains  $x$ , satisfying that  $U \cap A \neq \emptyset$ , and hence  $x \in U \subset \text{St}(A, \mathcal{U})$ . So,  $X^2 \setminus \overline{A} \neq \emptyset$ . For each  $\alpha < \aleph_1$ , let  $U_\alpha = X^2 \setminus \overline{\{x_\beta : \beta < \alpha\}}$ . Then  $\{U_\alpha : \alpha < \aleph_1\}$  is an uncountable decreasing family of non-empty open sets of  $X^2$  and  $\bigcap \{U_\alpha : \alpha < \aleph_1\} = \emptyset$ . However, since  $X$  has  $\aleph_1$ -calibre hence  $X^2$  also has  $\aleph_1$ -calibre [4, p. 116], which implies that  $\bigcap \{U_\alpha : \alpha < \aleph_1\} \neq \emptyset$ . This is a contradiction!  $\square$

Theorem 3.1 gives a consistent positive answer to the Question 1.1. A natural question then arises: Assume  $\neg$  CH. Let  $X$  have  $\aleph_1$ -calibre and  $|X| \leq \mathfrak{c}$ . Is  $X^2$  star countable? The answer to this question is negative. The following examples will show that we cannot drop the assumption of CH.

**Example 3.2.** Assume  $2^{\aleph_1} = \mathfrak{c}$ . There is a space  $X$  having  $\aleph_1$ -calibre and  $|X| = \mathfrak{c}$ , however,  $X^2$  is not star countable.

PROOF: Let  $X = \{x \in D^{\mathfrak{c}} : 0 < |\{\alpha < \mathfrak{c} : x(\alpha) = 1\}| \leq \aleph_1\}$ , where  $D = \{0, 1\}$ . Clearly, since  $2^{\aleph_1} = \mathfrak{c}$ , then  $|X| = \mathfrak{c}^{\aleph_1} = (2^{\aleph_1})^{\aleph_1} = 2^{\aleph_1} = \mathfrak{c}$ .

We firstly prove that  $X$  has  $\aleph_1$ -calibre. For any finite partial function  $\varphi : \mathfrak{c} \rightarrow D$ , let  $B(\varphi) = \{x \in X : x|_{\text{dom } \varphi} = \varphi\}$ ; then the sets  $B(\varphi)$  are a base of  $X$ . Let  $\mathcal{U} = \{U_\alpha : \alpha < \aleph_1\}$  be a family of open sets in  $X$ . For  $\alpha < \aleph_1$  let  $\varphi_\alpha$  be a finite partial function from  $\mathfrak{c}$  to  $D$  such that  $B(\varphi_\alpha) \subset U_\alpha$ , and let  $S_\alpha = \text{dom } \varphi_\alpha$ . By

the  $\Delta$ -system Lemma, there is an uncountable subset  $\Lambda \subset \aleph_1$  and a finite  $S \subset \mathfrak{c}$  such that  $S_\xi \cap S_\eta = S$  whenever  $\xi, \eta \in \Lambda$  and  $\xi \neq \eta$ . Since  $S$  is finite, there is an uncountable  $\Lambda_0 \subset \Lambda$  such that  $\varphi_\xi|_S = \varphi_\eta|_S$  whenever  $\xi, \eta \in \Lambda_0$ , and hence  $\bigcap_{\alpha \in \Lambda_0} U_\alpha \supset \bigcap_{\alpha \in \Lambda_0} B(\varphi_\alpha) \neq \emptyset$ . Thus,  $X$  has  $\aleph_1$ -calibre.

To show that  $X^2$  is not star countable, we only need to prove that  $X$  is not star countable. For  $\alpha < \mathfrak{c}$  let  $\varphi_\alpha = \langle \alpha, 1 \rangle$  and  $U_\alpha = B(\varphi_\alpha)$ ; clearly  $\mathcal{U} = \{U_\alpha : \alpha < \mathfrak{c}\}$  is an open cover of  $X$ . Let  $A$  be any countable subset of  $X$ , and let  $S = \bigcup_{x \in A} \{\alpha < \mathfrak{c} : x(\alpha) = 1\}$ . It is easy to see that  $|S| \leq \aleph_1 < 2^{\aleph_1} = \mathfrak{c}$ , so there is some  $\gamma \in \mathfrak{c} \setminus S$ . Let  $x$  be the unique point of  $X$  such that  $x(\gamma) = 1$  and  $x(\alpha) = 0$  for any other  $\alpha < \mathfrak{c}$ . Suppose that there exists  $U_\alpha$  of  $\mathcal{U}$  such that  $U_\alpha \cap A \neq \emptyset$  and  $x \in U_\alpha$ . Then  $x(\alpha) = 1$  and hence  $\alpha = \gamma \notin S$ . However, let  $y \in U_\alpha \cap A$ ; clearly,  $y(\alpha) = 1$ , and hence  $\alpha \in S$ . This is a contradiction. Thus  $\text{St}(A, \mathcal{U}) \neq X$ . This shows  $X$  is not star countable.  $\square$

**Example 3.3.** Assume  $\text{MA}^+ \neg \text{CH}$ . There is a first countable space  $X$  with  $\aleph_1$ -calibre, however,  $X^2$  is not star countable.

PROOF: Let  $X$  be the space of all nonempty compact nowhere dense subsets of  $\mathbb{R}$  with the Pixley-Roy topology. A neighbourhood for  $x \in X$  is obtained by taking a neighbourhood  $U$  of  $x$  on the real line and letting  $[x, U] = \{y \in X : x \subset y \subset U\}$ . Clearly,  $|X| = \mathfrak{c}$ . It is shown in [8] that  $X$  is a first countable space with  $\aleph_1$ -calibre.

To show that  $X^2$  is not star countable, we only need to prove that  $X$  is not star countable. Let  $\mathcal{U} = \{[r, \mathbb{R}] : r \in \mathbb{R}\}$  be an open cover of  $X$ . Let  $A$  be any countable subset of  $X$ . It was established in Baire category theorem that a non-empty complete metric space is not the countable union of nowhere-dense closed sets so  $\mathbb{R} \setminus \bigcup A \neq \emptyset$ . We pick some  $r_0 \in \mathbb{R} \setminus \bigcup A$ . Hence,  $r_0 \notin \text{St}(A, \mathcal{U})$ , since  $[r_0, \mathbb{R}]$  is the only element of  $\mathcal{U}$  containing  $r_0$  and  $[r_0, \mathbb{R}] \cap A = \emptyset$ . This shows  $X$  is not star countable.  $\square$

We say that  $X$  has countable tightness if  $x \in \overline{A}$  for any  $A$  of  $X$ , then there exists a countable subset  $A_0$  of  $A$  such that  $x \in \overline{A_0}$ ; it is denoted by  $t(X) = \aleph_0$ .

**Proposition 3.4.** *Let  $X$  be a space with  $\aleph_1$ -calibre and  $t(X) = \aleph_0$ . If  $d(X) \leq \aleph_1$ , then  $X$  is separable.*

PROOF: Since  $d(X) \leq \aleph_1$ , there exists a dense subset  $A$  of  $X$  with  $|A| \leq \aleph_1$ . If  $|A| < \aleph_1$ , it is obvious that  $X$  is separable. We assume that  $|A| = \aleph_1$ . Enumerate  $A$  as  $\{x_\alpha : \alpha < \aleph_1\}$  and let  $F_\alpha = \overline{\{x_\beta \in A : \beta < \alpha\}}$  for each  $\alpha < \aleph_1$ . Then we have an  $\aleph_1$ -sequence  $\mathcal{F} = \{F_\alpha : \alpha < \aleph_1\}$  of increasing closed separable subsets of  $X$ . For any point  $x \in X$ ,  $x \in \overline{A}$ . Since  $t(X) = \aleph_0$ , there exists a countable subset  $A_0$  of  $A$  such that  $x \in \overline{A_0}$ , and hence there exists some  $F_\alpha$  such that  $x \in A_0 \subset F_\alpha$ . Thus  $\bigcup \mathcal{F} = X$ . We prove that there exists some  $F_\alpha = X$ . If  $F_\alpha \neq X$  for any  $\alpha < \aleph_1$  then the family  $\{X \setminus F_\alpha : \alpha < \aleph_1\}$  is point-countable and uncountable which is a contradiction. Therefore  $F_\alpha = X$  for some  $\alpha < \aleph_1$ , and hence  $X$  is separable.  $\square$

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