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Polya's theorem for non-entire functions

by Kunio Yoshino (*)

Abstract

Using transforms of analytic functionals with non-compact carrier, Polya's theorem concerning arithmetic entire functions is generalized to arithmetic non-entire functions.

1. Introduction

In 1920 Polya (see [7]) proved the following

Theorem. Suppose that the function $f(z)$ satisfies the following conditions :

- (1) $|f(z)| \leq C e^{\alpha|z|} \quad (z \in \mathcal{C})$
- (2) $f(N) \subset \mathbb{Z}$.

If $\alpha < \log 2$, then $f(z)$ is a polynomial with rational coefficients.

Recently, this theorem has been generalized by several authors to the case of entire functions of several complex variables (see [2], [3], [4]).

In this paper, we investigate Polya's theorem for non-entire functions of several complex variables.

The following theorem is our main result.

Theorem 1. Let $f(z)$ be holomorphic in $\Gamma = \{z \in \mathcal{C}^n : \operatorname{Re} z_i > 0, 1 \leq i \leq n\}$ and satisfy the following conditions :

- (1) For any $\varepsilon > 0$, there exists a constant $C_\varepsilon > 0$ such that

$$|f(z)| \leq C e^{a(z)} \quad (\operatorname{Re} z_i \geq \varepsilon, 1 \leq i \leq n)$$

where $a(z)$ is a convex function of homogeneous degree 1.

- (2) $f(N^n) \subset \mathbb{Z}$.

Furthermore let $L \subset \mathcal{C}^n$ be defined by

$$L = \{\zeta \in \mathcal{C}^n : \operatorname{Re} \langle \zeta, z \rangle \leq a(z), \forall z \in \Gamma\}$$

and suppose that the i -th projection $L_i = p_{\Gamma_i}(L)$ of L is contained in $\{\zeta_i \in \mathcal{C} : |e^{\zeta_i} - 1| < 1\}$ for all i ($1 \leq i \leq n$).

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Then $f(z)$ is a polynomial with rational coefficients.

To prove Theorem 1 we use the Fourier-Borel and Avanissian -Gay transforms of analytic functionals with unbounded carrier and in the sections 2 and 3 we define the Fourier-Borel and Avanissian - Gay transforms of such functionals. In section 4 we recall the definition of the transfinite diameter and its properties while in section 5, we give the proof of Theorem 1.

Acknowledgement

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2. The Fourier-Borel transform of analytic functionals with unbounded carrier

In this section we first recall the definition of the Fourier-Borel transform of analytic functionals with unbounded carriers and also mention the Ehrenpreis-Martineau type theorem due to J.W. DE. Roever. Let L be a closed convex set which is bounded in the imaginary direction in C^n and put

$$H_b(L:\varphi) = \{f(z) \in O(L) \cap C(L) : \sup_{z \in L} |f(z) e^{-\varphi(z)}| < +\infty\},$$

where $\varphi(z)$ is a real valued function and $O(L)$ and $C(L)$ denote respectively the spaces of holomorphic functions defined in the interior of L , and the space of continuous functions in L .

Put

$$Q(L:K') = \lim_{\epsilon \rightarrow 0} \text{ind}_{\epsilon' \rightarrow 0} H_b(L_{\epsilon} : -h_{K'}(z) - \epsilon'|z|)$$

where L_{ϵ} stands for the ϵ -neighbourhood of L and $h_{K'}(z)$ is the supporting function of the compact convex subset K' of C^n . An element of the dual space $Q'(L:K')$ of $Q(L:K')$ is called an analytic functional with carrier L and of type $h_{K'}(z)$. Let us recall that if L is a compact convex subset of C^n then $Q'(L:K')$ coincides with the space of analytic functionals $O'(L)$ in the sense of A. Martineau, and that if $L = R^n$ and $K' = \{0\}$ then $Q'(L:K')$ coincides with the space of Fourier-hyperfunctions studied by M.Sato and T. Kawai.

When the exponential function $\exp(\sum_{i=1}^n \zeta_i z_i) = \exp(\zeta z)$ belongs to

$Q(L:K')$, the Fourier-Borel transform $\tilde{T}(z)$ of $T \in Q'(L:K')$ is defined as follows :

$$\tilde{T}(z) = \langle T_{\zeta}, \exp(\zeta z) \rangle.$$

Now let Γ be an open convex cone in C^n , let $a(z)$ be a convex function on Γ of homogeneous degree one and put

$$\Omega(a; \Gamma) = \{\zeta \in C^n : \operatorname{Re} \langle \zeta, z \rangle \leq a(z), \forall z \in \Gamma\} .$$

Then the following generalized Ehrenpreis-Martineau type theorem is valid :

Theorem 2 (J.W. DE Roeber [9])

The Fourier-Borel transform is a linear topological isomorphism from $Q'(\Omega(a; \Gamma) : \{0\})$ onto $\operatorname{Exp}(\Gamma; a)$, where $\operatorname{Exp}(\Gamma; a) = \lim \operatorname{proj} H_b(\Gamma + \varepsilon(z_0); a(z) + \varepsilon|z|)$ and z_0 is a fixed complex vector contained in Γ with $|z_0| = 1$.

Note that the space $H_b(\Gamma + \varepsilon(z_0); a(z) + \varepsilon|z|)$ may be defined in a similar as $H_b(L; \phi)$.

We close this section by giving two examples of $\Omega(a; \Gamma)$ in the case of $n=1$.

Take

$$\Gamma = \{z \in C : \operatorname{Re} z > 0\} .$$

Example 1. If $a(z) = \alpha|z|$ with $\alpha > 0$, then $\Omega(a; \Gamma) = \{\zeta \in C : |\zeta| = \alpha\} \cup \{\zeta \in C : |\operatorname{Im} \zeta| \leq \alpha, \operatorname{Re} \zeta \leq 0\}$ (see Figure 1).

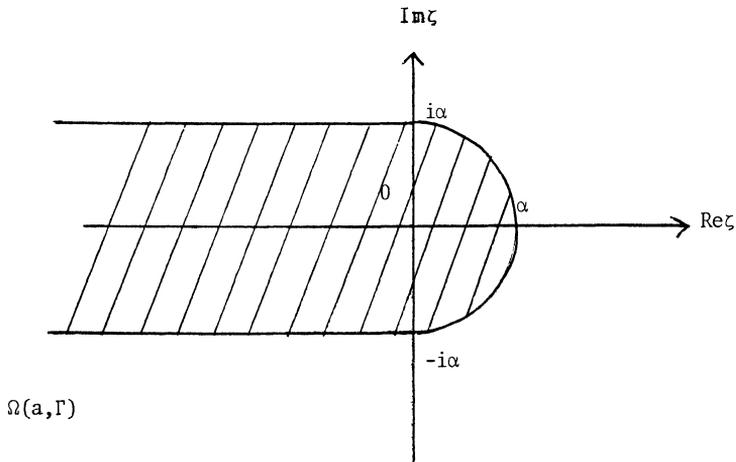


Figure 1.

Example 2 (see [8]) If $a(z) = |z| \{ \cos\varphi \log(2\cos\varphi) + \varphi \sin\varphi \}$ where $z = |z|e^{i\varphi}$ ($-\pi/2 < \varphi < \pi/2$), then $\Omega(a; \Gamma) = \{ \zeta \in \mathbb{C} : |e^\zeta - 1| < 1 \}$. (see Figure 2)

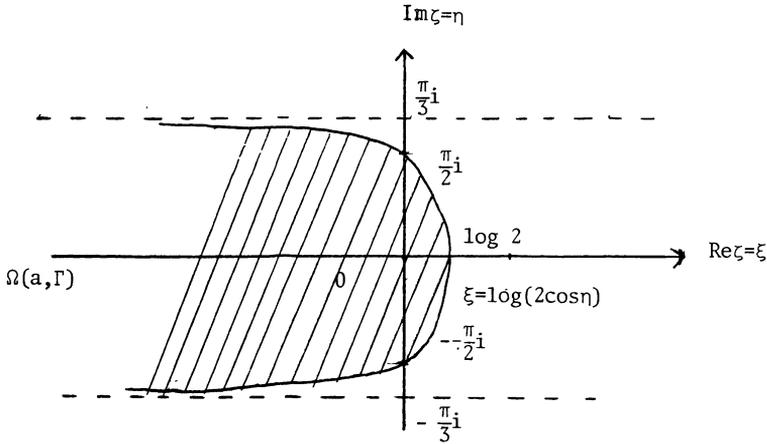


Figure 2

3. The Avanssian-Gay transform of analytic functionals with unbounded carrier

In [3], the Avanssian-Gay transform is introduced for analytic functionals with compact carrier, while in [6] and [10] it has been generalized to the case of analytic functionals with unbounded carrier. According to [3], [6] and [10]. Let us first recall the definition of the Avanssian-Gay transform of analytic functionals with unbounded carrier.

Assume that the closed convex set L is bounded in the imaginary direction and also bounded below in the real direction. More precisely, we assume there exist $a_i \in \mathbb{R}$ ($1 \leq i \leq n$) and compact sets K_i ($1 \leq i \leq n$) having a width less than 2π such that

$$L \subset \bigcup_{i=1}^n \pi(a_i + R_+ + \sqrt{-1}K_i)$$

where $R_+ = [0, \infty)$

Furthermore let $T \in \mathcal{Q}'(L; \{0\})$; then the Avanssian-Gay transform $G_\Gamma(w)$ of T is given by

$$G_T(w) = \langle T_\zeta, \prod_{i=1}^n \frac{1}{1-w_i e^{\zeta_i}} \rangle$$

Some properties of $G_T(w)$ are listed in

Proposition 1. (see [3], [6], [10])

$$(1) \quad G_T(w) \in O\left(\prod_{i=1}^n \{\mathcal{O}(\exp(-L_i))\}\right)$$

where L_i is i -th projection of L ($1 \leq i \leq n$)

$$(2) \quad G_T(w) = (-1)^n \sum_{m \in \mathbb{N}^n} T(-m) w_1^{-m_1} \dots w_n^{-m_n} \quad (|w_i| > e^{-a_i})$$

where $m = (m_1, \dots, m_n) \in \mathbb{N}^n$.

$$(3) \quad \text{Let } K_i = [k_1^{(i)}, k_2^{(i)}] \text{ with } k_2^{(i)} - k_1^{(i)} < 2\pi, \quad 1 \leq i \leq n.$$

Then for all $\epsilon > 0$ and $\epsilon' > 0$, there exists a constant $C_{\epsilon, \epsilon'} > 0$, such that

$$|G_T(w)| \leq C_{\epsilon, \epsilon'} |w_1|^{-\epsilon'} \dots |w_n|^{-\epsilon'}$$

$$(\epsilon - k_1^{(i)} \leq \arg w_i \leq 2\pi + \epsilon - k_2^{(i)}; 1 \leq i \leq n) \quad 1 \leq i \leq n$$

$$(4) \quad \langle T, h \rangle = \left(\frac{1}{2\pi i}\right)^n \int_{\Gamma_1 \times \dots \times \Gamma_n} G_T(e^{\zeta_1}, \dots, e^{\zeta_n}) h(\zeta) d\zeta_1 \dots d\zeta_n$$

for all $h \in Q\left(\prod_{i=1}^n (a_i + R_+ + \sqrt{-1}K_i) : \{0\}\right)$, hereby $\Gamma_i = \partial(a_i + R_+ + \sqrt{-1}K_i)$

Moreover

$$T(z) = \left(\frac{1}{2\pi i}\right)^n \int_{\Gamma_1 \times \dots \times \Gamma_n} G_T(e^{-\zeta_1}, \dots, e^{-\zeta_n}) e^{\zeta z} d\zeta_1 \dots d\zeta_n$$

$$= \left(\frac{-1}{2\pi i}\right)^n \int G_T(w_1, \dots, w_n) w_1^{-z-1} \dots w_n^{-z-1} dw_1 \dots dw_n$$

$$\partial \exp(-\Gamma_1) \times \dots \times \exp(-\Gamma_n)$$

4. Transfinite diameter and the Martineau-Seinov theorem about Laurent series of several complex variables

In this section we recall the definition of the transfinite diameter of a compact set K in the complex plane and the Martineau-Seinov theorem about Laurent series for functions of several complex variables.

Let K be a compact set in the complex plane and put

$$V_n = \max_{\substack{z_i \in K \\ z_j \in K \\ 1 \leq i < j \leq n}} \prod_{1 \leq i < j \leq n} |z_i - z_j|$$

Then it is well known that $\tau(K) = \lim_{n \rightarrow \infty} V_n^{\frac{2}{n(n-1)}}$ exists for any compact

$K \subset \mathbb{C}$ and it is called the transfinite diameter of K (see [1] and [12]).

Some properties of the transfinite diameter of a compact set K are listed in

Proposition 2. Let K_i ($i=1,2$) be compact subsets of \mathbb{C} .

- (1) $K_1 \subseteq K_2 \Rightarrow \tau(K_1) \leq \tau(K_2)$
- (2) $\tau(K_1) \leq \frac{1}{2\pi} (\text{length of } \partial K_1)$

Some examples of transfinite diameters are now given (see [1] and [12]).

Example 3. If $K = \{z \in \mathbb{C} : |z| = r\}$, then $\tau(K) = r$.

Example 4. If $K = \{z \in \mathbb{C} : |z| = r, |\arg z| \leq \alpha\}$, then $\tau(K) = r \sin \frac{\alpha}{4}$.

Example 5. If $K = [a, b]$, $a, b \in \mathbb{R}$, then $\tau(K) = \frac{b-a}{4}$.

Theorem 3. (Martineau [5] and Seinov [11]). Suppose that $G(w)$ is

holomorphic in $\prod_{j=1}^n (\mathbb{C} \setminus F_j)$, where F_j is a polynomially convex compact

set and $\tau(F_j) < 1$ for all j ($1 \leq j \leq n$). Suppose furthermore that $G(w)$ has the following Laurent expansion at infinity

$$G(w) = \sum_{v \in \mathbb{N}^n} \frac{a_v}{w^v} \quad (a_v \in \mathbb{Z}).$$

Then

$$G(w) = \frac{A(w_1, \dots, w_n)}{B_1(w_1) \dots B_n(w_n)}$$

where $A(w_1, \dots, w_n) \in Z[w_1, \dots, w_n]$, $B_i(w_i) \in Z[w_i]$ and $B_i(w_i)$ are monic polynomial.

Remark 2. In theorem 3, the assumption $\tau(F_j) < 1, 1 \leq i \leq n$ is crucial. For instance, if $n = 1$ and

$$\begin{aligned} G(w) &= \sum_{k=1}^{\infty} \binom{2k}{k} w^{-k} = \sum_{k=1}^{\infty} \frac{(2k)!}{(k!)^2} w^{-k} \\ &= \sqrt{\frac{w}{w-4}} - 1, \end{aligned}$$

then $G(w)$ is holomorphic in the outside of the interval $[0,4]$ In view of Example 5, $\tau([0,4]) = 1$ and obviously $G(w)$ is not a rational function.

5. Proof of Theorem 1.

In this section, we give the proof of Theorem 1, it is inspired by Avanissian and Gay [3].

Proof of Theorem 1.

By means of Theorem 2, there exists an analytic functional T , which is carried by L and of type $\{0\}$, such that $f(z) = \langle T_\zeta, \exp(\zeta z) \rangle = \tilde{T}(z)$.

From the assumption, L is contained in $\prod_{i=1}^n \{\zeta_i : |e^{\zeta_i} - 1| < 1\}$.

Now consider the analytic functional \check{T} defined as follows

$$\langle \check{T}, h \rangle = \langle T_\zeta, h(-\zeta) \rangle, \quad h \in Q(-L; \{0\}).$$

Obviously, T is carried by $(-L)$ and of type $\{0\}$.

From Proposition 1-(2), we get :

$$\begin{aligned} G_{\check{T}}(w) &= (-1)^n \sum_{m \in \mathbb{N}^n} \check{T}(-m) w_1^{-m_1} \dots w_n^{-m_n} \\ &= (-1)^n \sum_{m \in \mathbb{N}^n} T(m) w_1^{-m_1} \dots w_n^{-m_n} \end{aligned}$$

$$= (-1)^n \sum_{m \in \mathbb{N}^n} f(m) w_1^{-m_1}, \dots, w_n^{-m_n}$$

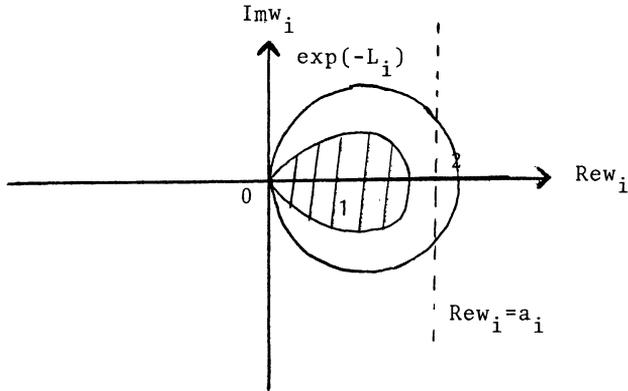
Remark that by means of the second assumption in Theorem 1, all $f(m)$, $m \in \mathbb{N}^n$, belong to Z .

In virtue of Proposition 1-(1), G_T^V is holomorphic in

$\prod_{i=1}^n \{C \setminus \exp(L_i)\}$. From the assumption upon L , $\exp(L_i)$ is contained

in $\{w_i \in C : |w_i - 1| < 1\} \cup \{0\}$.

So there exist $a_i > 0$ ($1 \leq i \leq n$) such that $\exp(L_i) \subset \{w_i \in C : |w_i - 1| \leq 1\} \cap \{w_i \in C : \text{Re} w_i \leq a_i\}$. (see Figure 3).



Call $F_i = \{w_i : |w_i - 1| < 1\} \cap \{w_i : \text{Re} w_i \leq a_i\}$, $1 \leq i \leq n$.

By virtue of Proposition 2-(2) $\tau(F_i) < 1$. Therefore $\tau(\exp(L_i)) < 1$. Accordingly we can conclude that

$$G_T^V(w) = \frac{A(w_1, \dots, w_n)}{B_1(w_1) \dots B_n(w_n)}$$

where $A(w_1, \dots, w_n) \in Z[w_1, \dots, w_n]$ and $B_i(w_i)$ are monic polynomials with integral coefficients.

The roots of $B_i(w_i)$ are algebraic integers which are contained in $\{w \in C : |w_i - 1| < 1\} \cup \{0\}$ together with all their conjugate algebraic integers. But, in virtue of Proposition 1-(3), zero is not a root of $B_i(w_i)$ so that by means of C.R. Buck's lemma (See 3.2.5) in [3]), we can conclude that

$$B_i(w_i) = (w_i - 1)^{m_i} \quad (1 \leq i \leq n).$$

Now, using the inversion formula of Proposition 1-(4),

$$\begin{aligned}
 f(-z) &= (\tilde{T})(Z) = \left(\frac{-1}{2\pi i}\right)^n \int G_T(w_1, \dots, w_n) w_1^{-z-1} \dots w_n^{-z-1} dw_1 \dots dw_n \\
 &\quad \partial(\exp(L_1)) \times \dots \partial(\exp(L_n)) \\
 &= \left(\frac{-1}{2\pi i}\right)^n \int \frac{A(w_1, \dots, w_n)}{(w_1-1)^{m_1} \dots (w_n-1)^{m_n}} w_1^{-z-1} \dots w_n^{-z-1} \\
 &\quad dw_1 \dots dw_n \\
 &\quad \partial(\exp(L_1)) \times \dots \partial(\exp(L_n))
 \end{aligned}$$

whence, by means of the residue theorem

$$f(-z) = P(z_1, \dots, z_n), \text{ a polynomial in } z_1, \dots, z_n.$$

But as $A(w_1, \dots, w_n)$ belongs to $Z[w_1, \dots, w_n]$, the coefficients of $P(z_1, \dots, z_n)$ are rational numbers.

Hence $f(z)$ is a polynomial with rational coefficients.

Finally, we give two examples.

Example 6. Suppose that $f(z)$ is holomorphic in the right half plane $\{z \in \mathbb{C} : \operatorname{Re} z > 0\}$ and satisfies the following conditions :

$$|f(z)| \leq C e^{\alpha|z|} \quad (\operatorname{Re} z > 0) \tag{1}$$

$$f(n) \in \mathbb{Z}, \quad n \in \mathbb{N}. \tag{2}$$

Since $a(z) = \alpha|z|$ and $\Gamma = \{z \in \mathbb{C} : \operatorname{Re} z > 0\}$, $L = \Omega(a; \Gamma)$ is the same as in Example 1.

Therefore, if $\alpha < \log 2$, $f(z)$ is a polynomial with rational coefficients.

Example 7. Put $f(z) = \frac{1}{B(z, z)} = \frac{\Gamma(2z)}{\Gamma(z)^2}$,

where B and Γ are respectively the Bêta and Gamma functions.

This function has the following properties :

- (1) $f(z)$ is holomorphic in $\{z : \operatorname{Re} z > -\frac{1}{2}\}$, and hence also in $\{z : \operatorname{Re} z > 0\}$.
- (2) By virtue of Stirling's formula, for any $\epsilon > 0$, there exists a constant $C_\epsilon > 0$, such that

$$|f(z)| \leq C e^{(2 \log 2)^x + \epsilon |y|}$$

and this for all $z = x + iy \in \{z: \operatorname{Re} z > 0\}$.

$$(3) f(n) = \binom{2n}{n} \in \mathbb{Z}.$$

In this case $\Gamma = \{z \in \mathbb{C}: \operatorname{Re} z > 0\}$ and $A(z) = 2(\log 2)^x$.

So $\Omega(a; \Gamma) = \{\xi \in \mathbb{R}: \xi \leq 2 \log 2\}$.

Since $L = \Omega(a; \Gamma)$ is not contained in $\{\zeta \in \mathbb{C}: |e^\zeta - 1| < 1\}$ this function $f(z)$ is not a polynomial.

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