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ON VARIETIES OF NON-INDEXED ALGEBRAS

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In many algebraical considerations we are interested in all polynomials of a given algebra rather than in basic operations of it. Therefore the notion of nonindexed algebra is a fruitfull one. Morphisms of non-indexed algebras are weak homomorphisms. There is the great collection of papers devoted to the theory of non-indexed algebras (see e.g. [1]). The most of them deal with the characterization of weak isomorphisms. The categorical point is studied in [2].

The purpose of this paper is to give definitions of non-indexed variety and of weak equivalence of varieties and to study some basic properties of them. This notion of non-indexed variety is another than that given in [3].

Preliminaries

The reader is assumed to be familiar with the basic notions of universal algebra. We shall use the following notation:

N is the set of all non-negative integers,

 $O^n A$ is the set of all *n*-ary operations on the set A, and

OA is the set of all finitary operations on the set A.

By a type we mean a system $\Delta = (n_i)_{i \in I}$ of non-negative integers. An algebra of type Δ is an ordered pair $\mathcal{A} = (A, (f_i)_{i \in I})$, where $f_i \in \mathbf{O}^{n_i} A$ for any $i \in I$.

Homomorphisms between algebras of type Δ are sometimes called Δ -homomorphisms, and if \mathscr{V} is a class of such algebras, $\mathscr{A} \in \mathscr{V}$, we speak about \mathscr{V} -algebra \mathscr{A} or about Δ -algebra \mathscr{A} .

For $F \subseteq \mathbf{O}A$ we put $F^n = F \cap \mathbf{O}^n A$. $F \subseteq \mathbf{O}A$ is called a *clone* on the set A if

- (i) for any $n \in \mathbb{N} \setminus \{0\}$, $i \in \{1, ..., n\}$ the trivial operation p_i^n belongs to F^n , and
- (ii) for any $m, n \in N, f \in F^m, f_1, \dots, f_m \in F^n$ the composition $f(f_1, \dots, f_m)$ belongs to F^n .

(For any $a_1, \ldots, a_n \in A$, $p_i^n(a_1, \ldots, a_n) = a_i$, and $(f(f_1, \ldots, f_m))(a_1, \ldots, a_n) = f(f_1(a_1, \ldots, a_n), \ldots, f_m(a_1, \ldots, a_n)))$

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The intersection of any family of clones on a set A is a clone on A again. Therefore, for any $\Phi \subseteq OA$ there exists the smallest clone on A including Φ ; we shall denote it by $[\Phi]_A$.

A non-indexed algebra is an ordered pair $\mathfrak{A} = (A, F)$, where F is any clone on the set A. If $\mathscr{A} = (A, (f_i)_{i \in I})$ is an algebra of type $\Delta = (n_i)_{i \in I}$, we write $\overline{\mathscr{A}} = (A, [\{f_i \mid i \in I\}]_A)$. Finally, for a class \mathscr{V} of Δ -algebras we use the notation $\overline{\mathscr{V}} = \{\overline{\mathscr{A}} \mid \mathscr{A} \in \mathscr{V}\}$.

Let $\alpha : A \to B$ be any mapping. For $n \in \mathbb{N}$, $f \in \mathbb{O}^n A$, $g \in \mathbb{O}^n B$ we write $(f, g) \in \mathbb{R}_{\alpha}$ if for all $a_1, \ldots, a_n \in A$, $\alpha f(a_1, \ldots, a_n) = g(\alpha a_1, \ldots, \alpha a_n)$ holds.

Let $\mathfrak{A} = (A, F)$ and $\mathfrak{B} = (B, G)$ be non-indexed algebras. A mapping $\alpha : A \to B$ is called a *weak homomorphism* of \mathfrak{A} into \mathfrak{B} if

- (i) for any $n \in \mathbb{N}$, $f \in F^n$ there exists $g \in G^n$ such that $(f, g) \in \mathbb{R}_a$, and
- (ii) for any $n \in \mathbb{N}$, $g \in G^n$ there exists $f \in F^n$ such that $(f, g) \in \mathbb{R}_{\alpha}$.

If $\alpha : \mathfrak{A} \to \mathfrak{B}$ is a surjective weak homomorphism and $f \in F^n$, then there exists exactly one $g \in G^n$ such that $(f, g) \in \mathbf{R}_a$; we shall write $g = \alpha^* f$.

Any bijective weak homomorphism is called a weak isomorphism.

Let \mathscr{V} be a class of algebras of fixed type \varDelta . Let the operators **E**, **S**, **H** have the following meaning: $\mathbf{E}\mathscr{V}(\mathbf{S}\mathscr{V}, \mathbf{H}\mathscr{V})$ is the class of all \varDelta -algebras which are powers (subalgebras, homomorphic images, respectively) of \mathscr{V} -algebras.

For any variety \mathscr{V} we have $\mathscr{V} = \text{HSEF}_{\mathscr{V}}$, where $F_{\mathscr{V}}$ is a free algebra in \mathscr{V} with a countable infinite set of free generators.

Varities of non-indexed algebras

Let \mathfrak{N} be the class of all non-indexed algebras. For $\mathfrak{V} \subseteq \mathfrak{N}$ we define: $\overline{\mathbf{E}}\mathfrak{V} = \{(A, F)^I \mid (A, F) \in \mathfrak{V}, I \text{ is a set}\}, \text{ where } (A, F)^I = (A^I, F^I), F^I = \{f^I \mid f \in F\}, \text{ and } f^I((a_{1i})_{i \in I}, \dots, (a_{ni})_{i \in I}) = (f(a_{1i}, \dots, a_{ni}))_{i \in I} \text{ for all } a_{ji} \in A.$

 $\mathbf{SB} = \{ \mathfrak{B} \in \mathfrak{N} \mid \text{there exists } \mathfrak{A} \in \mathfrak{B} \text{ such that } \mathfrak{B} \text{ is a subalgebra of } \mathfrak{A} \},\$

 $\overline{\mathbf{H}}\mathfrak{B} = \{\mathfrak{B} \in \mathfrak{R} \mid \text{there exists } \mathfrak{A} \in \mathfrak{B} \text{ such that } \mathfrak{B} \text{ is a weak homomorphic image} \text{ of } \mathfrak{A}\},\$

 $\overline{\mathbf{I}} \mathfrak{B} = \{\mathfrak{B} \in \mathfrak{N} \mid \text{there exists } \mathfrak{A} \in \mathfrak{B} \text{ such that } \mathfrak{A} \text{ and } \mathfrak{B} \text{ are weakly isomorphic}\}.$

Lemma 1. Let \mathscr{V} be a class of Δ -algebras. Then

(i) $\overrightarrow{\mathbf{EV}} = \overrightarrow{\mathbf{EV}}$, (ii) $\overrightarrow{\mathbf{SV}} = \overrightarrow{\mathbf{SV}}$, and (iii) $\overrightarrow{\mathbf{HV}} = \overrightarrow{\mathbf{HV}}$.

Proof. The statements (i), (ii), and $\overline{\mathbf{HV}} \subseteq \overline{\mathbf{HV}}$ are obvious. If $\mathfrak{A} \in \overline{\mathbf{HV}}$, there exist $\mathscr{A} \in \mathscr{V}$ and a surjective weak homomorphism $\alpha : \overline{\mathscr{A}} \to \mathfrak{A}$. Designating the operations in \mathfrak{A} as it is determined by α^* , we get a Δ -algebra \mathscr{B} such that $\overline{\mathscr{B}} = \mathfrak{A}$ and $\alpha : \mathscr{A} \to \mathscr{B}$ is a surjective Δ -homomorphism. Therefore $\mathfrak{A} \in \overline{\mathbf{HV}}$.

Lemma 2. Let \mathscr{V} be a variety of Δ -algebras. Then $\overline{\mathscr{V}} = \overline{HSEF_{\mathscr{V}}}$.

Proof. Following Lemma 1 we have $\overline{\mathscr{V}} = \overline{HSEF_{\mathscr{V}}} = \overline{HSEF_{\mathscr{V}}} = \overline{HSEF_{\mathscr{V}}} = \overline{HSEF_{\mathscr{V}}} = \overline{HSEF_{\mathscr{V}}}$.

A class of non-indexed algebras of the form $\overline{\mathscr{V}}$, where \mathscr{V} is a variety of algebras. of some type, is called a *non-indexed variety*.

Proposition 3. The following conditions are equivalent for arbitrary class \mathfrak{V} of non-indexed algebras:

- (i) **B** is a non-indexed variety,
- (ii) is closed under $\overline{\mathbf{H}}, \overline{\mathbf{S}}, \overline{\mathbf{E}}$ and posseses some single generator $\mathfrak{A} \in \mathfrak{B}$,
- (iii) there exists $\mathfrak{A} \in \mathfrak{B}$ such that $\mathfrak{B} = \overline{HSE}\mathfrak{A}$.

Proof. The equivalence of (ii) and (iii) follows from the following statements:

ΗH	=	Ħ,	$\overline{S}\overline{S}$	=	Ŝ,	,	ĪĒĒ	=	ĪĒ,	and
$\bar{S}\overline{H}$	≦	ĦŜ,	Ē	Ħ	≦	ΗĒ,		ĒŜ	≦	ŜĒ.

(Here $X \leq Y$ means that for any $\mathfrak{W} \subseteq \mathfrak{N}$, $X\mathfrak{W} \subseteq Y\mathfrak{W}$ is satisfied.) The proofs are the same as in the case of algebras and therefore they are omitted.

The implication "(i) \Rightarrow (iii)" follows from Lemma 2.

"(iii) \Rightarrow (i)": Let $\mathfrak{V} = HSE\mathfrak{A}$ and $\mathfrak{A} = (A, F)$. Let Δ be the type, where *n*-ary operations are indexed by elements of F^n . Then \mathfrak{A} may be treated as a Δ -algebra. If we define $\mathscr{V} = HSE\mathfrak{A}$, we have $\overline{\mathscr{V}} = \mathfrak{B}$.

The class of all non-indexed varieties ordered by inclusion will be denoted by Λ .

Proposition 4. The family $(\mathfrak{B}_i)_{i \in I}$ of non-indexed varieties has an infimum in Λ if $\bigcap \mathfrak{B}_i$ is a non-indexed variety.

We omit the proof of this easy statement.

Example 5. (i) Let $(\mathcal{V}_i)_{i \in I}$ be a family of varieties of abelian groups. Then $\overline{\bigcap_{i \in I} \mathcal{V}_i} = \bigcap_{i \in I} \overline{\mathcal{V}_i}$ is an infimum of the family $(\overline{\mathcal{V}_i})_{i \in I}$ of non-indexed varieties in Λ .

Proof. The only weak homomorphisms of abelian group are their homomorphisms (see [1]), and therefore for arbitrary variety \mathscr{V} of abelian groups the functor $\bar{-}: \mathscr{V} \to \mathfrak{N}$ is a full embedding.

(ii) Let \mathscr{V} and \mathscr{W} be varieties of 2-unary algebras with operations f and g. Let \mathscr{V} be defined by the identity $f^2x = fx$, and let \mathscr{W} be defined by $f^2x = x$. Then the non-indexed varieties $\overline{\mathscr{V}}$ and $\overline{\mathscr{W}}$ have not an infimum in Λ .

The proof will be given after proposition 10.

Proposition 6. Let \mathscr{V} be a variety of algebras of type Δ and let \mathfrak{W} be a non-indexed variety satisfying $\mathfrak{W} \subseteq \overline{\mathscr{V}}$. Then there exists a subvariety \mathscr{W} of \mathscr{V} such that $\overline{\mathscr{W}} = \mathfrak{W}$.

Proof. There exists $\mathfrak{A} \in \overline{\mathscr{V}}$ such that $\mathfrak{W} = \overline{HSE}\mathfrak{A}$ (Proposition 3). There exists $\mathscr{A} \in \mathscr{V}$ for which $\overline{\mathscr{A}} = \mathfrak{A}$. If we define $\mathscr{W} = HSE\mathfrak{A}$, we have $\overline{\mathscr{W}} = \mathfrak{W}$ (Lemma 1).

Let us denote: $\mathfrak{O} = \{(\emptyset, \{\emptyset\})\},\$

 $\begin{aligned} \mathfrak{F}_{0} &= \tilde{\mathbf{I}} \left\{ \left(\left\{ * \right\}, \left\{ p_{1}^{n} \middle| n = 1, 2, \ldots \right\} \cup \left\{ * \right\} \right) \right\}, \\ \mathfrak{F}_{1} &= \tilde{\mathbf{I}} \left\{ \left(\left\{ * \right\}, \left\{ p_{1}^{n} \middle| n = 1, 2, \ldots \right\} \right) \right\} \cup \left\{ \mathfrak{D} \right\}, \text{ where } * \text{ is some element, and } \Lambda_{0} = \\ &= \left\{ \mathfrak{B} \in \Lambda \middle| \mathfrak{F}_{0} \subseteq \mathfrak{B} \right\}, \Lambda_{1} = \left\{ \mathfrak{B} \in \Lambda \middle| \mathfrak{F}_{1} \subseteq \mathfrak{B} \right\}. \end{aligned}$

Then $\{\mathfrak{D}\}$ and \mathfrak{F}_0 are the only minimal elements of Λ , and \mathfrak{F}_1 is the only element in Λ which covers \mathfrak{D} in Λ .

Proposition 6 has an immediately corollary:

Proposition 7. The ordered classes Λ_0 and Λ_1 are atomic. Moreover their atoms are of the form $\overline{\mathcal{V}}$, where \mathcal{V} is an atom in the lattice of all varieties of some type.

Weak equivalence of varieties

Let $\mathfrak{A} = (A, F)$ and $\mathfrak{B} = (B, G)$ be non-indexed algebras. A mapping $\xi: F \to G$ s called a clone homomorphism of \mathfrak{A} into \mathfrak{B} if

(i) $f \in F^n$ implies than $\xi f \in G^n$,

- (i) for any $n \in \mathbb{N} \{0\}$, $i \in \{1, ..., n\}$ $\xi p_i^n = p_i^n$, and
- (iii) for any $m, n \in \mathbb{N}, f \in F^n, f_1, \dots, f_m \in F^n$

$$\xi(f(f_1,\ldots,f_m))=(\xi f)\,(\xi f_1,\ldots,\xi f_m).$$

Proposition 8. For arbitrary varieties \mathscr{V} and \mathscr{W} the inclusion $\overline{\mathscr{W}} \subseteq \overline{\mathscr{V}}$ holds if there exists surjective clone homomorphism of $\overline{F}_{\mathscr{V}}$ into $\overline{F}_{\mathscr{W}}$.

Proof. Let $\mathfrak{A} = (A, F)$ be a non-indexed algebra, I a set, $\mathfrak{B} = (B, G)$ a subalgebra of \mathfrak{A} , and $\alpha : \mathfrak{A} \to \mathfrak{C}$ a surjective weak homomorphism. Then $f \mapsto f^I$, $f \mapsto f \mid B$, and α^* are surjective clone homomorphisms of \mathfrak{A} into \mathfrak{A}^I , \mathfrak{B} , and \mathfrak{C} , respectively.

The necessity follows from the fact that $\overline{\mathcal{W}} \subseteq \overline{\mathcal{V}}$ implies $\overline{F}_{\mathcal{W}} \in \overline{HSEF}_{\mathcal{V}}$.

Now let ξ be a surjective clone homomorphism of $\overline{F}_{\mathscr{V}}$ into $\overline{F}_{\mathscr{W}}$. Let \mathscr{V} be of type $\Delta = (n_t)_{t\in T}$, $F_{\mathscr{V}} = (A, (f_t)_{t\in T})$ and let B be the support of $F_{\mathscr{W}}$. The algebra $(B, (\xi f_t)_{t\in T})$ belongs to $\operatorname{HSEF}_{\mathscr{V}}$. (It is of type Δ and it satisfies all identities holding in $F_{\mathscr{V}}$.) We have $\overline{F}_{\mathscr{W}} = \overline{(B, (\xi f_t)_{t\in T})} \in \overline{\operatorname{HSEF}}_{\mathscr{V}} = \overline{\mathscr{V}}$. Therefore $\overline{\mathscr{W}} = \overline{\operatorname{HSEF}}_{\mathscr{W}} \subseteq \overline{\mathscr{V}}$.

The well-known notion of equivalence of varieties may be defined in several ways. For our purposes the following definition will be convenient: The varieties \mathscr{V} and \mathscr{W} are called *equivalent* if the non-indexed algebras $\overline{F}_{\mathscr{V}}$ and $\overline{F}_{\mathscr{W}}$ are weakly isomorphic.

The varieties \mathscr{V} and \mathscr{W} are called *weakly equivalent* if $\overline{\mathscr{V}} = \overline{\mathscr{W}}$.

Proposition 9. The varieties \mathscr{V} and \mathscr{W} are weakly equivalent if there exist surjective clone homomorphisms $\overline{F}_{\mathscr{V}} \stackrel{\xi}{\hookrightarrow} \overline{F}_{\mathscr{W}}$.

The proof is a direct consequence of Proposition 8.

Proposition 10. If the varieties \mathscr{V} and \mathscr{W} are equivalent, then they are also weakly equivalent.

Proof. If α is a weak isomorphism, then α^* is a bijective clone homomorphism.

The proof of example 5 (ii). The variety $\mathscr{V} \cap \mathscr{W}$ is defined by $f_x = x$. Let $\mathscr{A} = (\{a, b, c\}, (f_{\mathscr{A}}, g_{\mathscr{A}}))$ be determined by $f_{\mathscr{A}}a = f_{\mathscr{A}}b = g_{\mathscr{A}}b = b, g_{\mathscr{A}}a = f_{\mathscr{A}}c = g_{\mathscr{A}}c = c$. Then $\mathscr{A} \in \mathscr{V}$ and $\overline{\mathscr{A}} \notin \overline{\mathscr{W}}$. That is $\overline{\mathscr{A}} \in \overline{\mathscr{V}} - (\overline{\mathscr{V}} \cap \overline{\mathscr{W}})$. Let $\mathscr{B} = (\{a, b\}, (f_{\mathscr{B}}, g_{\mathscr{B}}))$ and $\mathscr{B}' = (\{a, b\}, (f_{\mathscr{A}'}, g_{\mathscr{A}'}))$ be defined by $f_{\mathscr{B}}a = f_{\mathscr{B}}b = g_{\mathscr{B}}a = b, g_{\mathscr{B}}b = a$, and $g_{\mathscr{B}'}a = g_{\mathscr{B}'}b = f_{\mathscr{A}'}a = b, f_{\mathscr{B}'}b = a$. Then $\mathscr{B} \in \mathscr{V}, \mathscr{B}' \in \mathscr{W},$ $\overline{\mathscr{B}} = \overline{\mathscr{B}}',$ and $\overline{\mathscr{B}} \notin \overline{\mathscr{V} \cap \mathscr{W}}$. That is $\overline{\mathscr{B}} \in (\overline{\mathscr{V}} \cap \overline{\mathscr{W}}) \setminus \overline{\mathscr{V} \cap \mathscr{W}}$. Therefore $\overline{\mathscr{V} \cap \mathscr{W}} \subset$ $\subset \mathscr{V} \cap \overline{\mathscr{W}} \subset \overline{\mathscr{V}}$.

Let us suppose that $\mathscr{V} \cap \widetilde{\mathscr{W}}$ is a non-indexed variety. Following Prop. 6 there exist varieties \mathscr{V}_1 and \mathscr{V}_2 with the properties:

$$\mathscr{V}_2 \subseteq \mathscr{V}_1 \subseteq \mathscr{V}, \quad \overline{\mathscr{V}}_1 = \overline{\mathscr{V}} \cap \overline{\mathscr{W}}, \quad \overline{\mathscr{V}}_2 = \overline{\mathscr{V} \cap \mathscr{W}}.$$

Let $\overline{F_{\mathscr{V}\cap\mathscr{W}}} \stackrel{\xi}{\leftarrow} \overline{F_{\mathscr{V}_2}}$ be surjective clone homomorphisms from Prop. 9 and let $\overline{F_{\mathscr{V}\cap\mathscr{W}}} = (A, F)$ and $\overline{F_{\mathscr{V}_2}} = (B, G)$. The realizations of the operational symbols f, g in algebras $F_{\mathscr{V}\cap\mathscr{W}}$ and $F_{\mathscr{V}_2}$ will be denoted by f_F, g_F and f_G, g_G , respectively. Then $[\{\xi g_F\}]_B = G$ and therefore there exist $k, l \in \mathbb{N}$ such that $f_G = (\xi g_F)^k$ and $g_F = \eta(\xi g_F)^l$. Now $g_G^{2k} = \eta(f_G^2)^l = \eta(f_G)^l = g_F^k$, which is possible only for k = 0. Therefore \mathscr{V}_2 satisfies $f_X = x$. The operational symbol g in \mathscr{V}_2 cannot satisfy any non-trivial identity because the same identity would be valid in $\mathscr{V} \cap \mathscr{W}$. We have $\mathscr{V} \cap \mathscr{W} = \mathscr{V}_2$.

Since \mathscr{V} covers $\mathscr{V} \cap \mathscr{W}$ in the lattice of all varieties of 2-unary algebras, $\mathscr{V}_1 = \mathscr{V}$ or $\mathscr{V}_1 = \mathscr{V} \cap \mathscr{W}$ and each of these possibilities gives a contradiction. $(\mathscr{V}_1 = \mathscr{V} \Rightarrow \overline{\mathscr{V}} \cap \overline{\mathscr{W}} = \overline{\mathscr{V}}$ and $\overline{\mathscr{V}}_1 = \overline{\mathscr{V}} \cap \mathscr{W} \Rightarrow \overline{\mathscr{V} \cap \mathscr{W}} = \overline{\mathscr{V}} \cap \overline{\mathscr{W}}.)$

Example 11. Let \mathscr{V} be a variety of unary algebras with operations $\varphi_1, \varphi_2, \ldots$ defined by identities

(1)
$$\varphi_1 x = \varphi_1 y, \quad \varphi_2^2 x = \varphi_2^2 y, \quad \varphi_3^3 x = \varphi_3^3 y, \dots$$

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and let \mathcal{W} be a variety of unary algebras with operations ψ_1, ψ_2, \ldots defined by identities

(2)
$$\psi_1 x = \psi_1 y, \ \psi_2 x = \psi_2 y, \ \psi_3^2 x = \psi_3^2 y, \ldots$$

Then the varieties \mathscr{V} and \mathscr{W} are weakly equivalent, but not equivalent.

Proof. The corespondences $\varphi_n \leftrightarrow \psi_n$, n = 1, 2, ... and $\psi_1 \leftrightarrow \varphi_1$, $\psi_n \leftrightarrow \varphi_{n-1}$, n = 2, 3, ... define surjective clone homomorphisms between \overline{F}_{ψ} and \overline{F}_{ψ} . Therefore by Proposition 9 we have $\overline{\psi} = \overline{W}$.

Now we assume that \mathscr{V} and \mathscr{W} are equivalent. Then there exists a weak isomorphism $\alpha : \overline{F_{\mathscr{V}}} \to \overline{F_{\mathscr{W}}}$. Let $\overline{F_{\mathscr{V}}} = (A, F)$ and $\overline{F_{\mathscr{W}}} = (B, G)$. The fact $[\{\varphi_1, \varphi_2, \ldots\}]_A = F$ implies that $[\{\alpha^*\varphi_1, \alpha^*\varphi_2, \ldots\}]_B = G$. The only $f \in F^1$ which have not the property:

there exist no $f', f'' \in F^1$, $f', f'' \neq p_1^1$ such that f = f'f''are $\varphi_1, \varphi_2, \ldots$, and the only $g \in G^1$ which have not the property:

there exist no $g', g'' \in G^1$, $g', g'' \neq p_1^1$ such that g = g'g''are ψ_1, ψ_2, \ldots

According to (1) and (2) we have $\alpha^* \varphi_2 = \psi_3$, $\alpha^* \varphi_3 = \psi_4$, ... Let x_1 be arbitrary free generator of $F_{\mathscr{W}}$. If $fx_1 = \psi_1 x_1$ for some $f \in F^1$ then $f = \psi_1$ and therefore $[G \setminus \{\psi_1\}]_B \neq G$. Analogously $[G \setminus \{\psi_2\}]_B \neq G$. Therefore for arbitrary $\alpha^* \varphi_1$ we have $[\{\alpha^* \varphi_1, \alpha^* \varphi_2, \ldots\}]_B = [\{\alpha^* \varphi_1, \psi_3, \psi_4, \ldots\}]_B \neq G$, which is a contradiction.

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