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A NOTE ON TOLERANCE LATTICES OF FINITE CHAINS

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In this paper, tolerance lattices of finite chains are characterized as free distributive lattices over a family of finite partial lattices.

Notation. L(n+1) is the distributive lattice of all (n+1)-tuples of natural numbers $[x^0, x^1, ..., x^n]$ satisfying $x^0 = 0$, $x^i \le x^{i-1} + 1$ for i = 1, ..., n.

Remarks. i < j implies $x^j \le x^i + j - i$.

L(n + 1) is isomorphic to the tolerance lattice of an (n + 1)-element chain (regarded as a lattice) ([2]).

Notation. U_n is a partial lattice defined as follows:

1° The underlying set of U_n is the 2n-element set $\{0, 1, a_1, ..., a_{n-1}, b_1, ..., b_{n-1}\}$,

 $2^{\circ} O \wedge u = O, u \wedge I = u \text{ for all } u \in U_n,$

3° $a_i \wedge a_j = a_{\min(i,j)}, \ b_i \wedge b_j = b_{\min(i,j)} \text{ for all } i, j \in \{1, ..., n-1\},$

 $4^{\circ} \ a_i \wedge b_j = 0$ for all $i, j \in \{1, ..., n-1\}$ satisfying $i + j \leq n$.

In the following, the notation $a_0 = b_0 = 0$, $a_n = b_n = I$ will be used. It is clear that conditions 3° and 4° remain valid for all $i, j \in \{0, 1, ..., n\}$.

Proposition. L(n+1) is generated by a partial sublattice isomorphic to U_n .

Proof. Denote $a_i = [0, 1, ..., i, 0, ..., 0]$, i.e. $a_i^k = k$ for $k \le i$ and $a_i^k = 0$ for k > i, and $b_i = [0, ..., 0, 1, ..., i]$, i.e. $b_i^k = 0$ for k < n - i and $b_i^k = k + i - n$ for $k \ge n - i$. Then O = [0, ..., 0], i.e. $O^k = 0$, and I = [0, 1, ..., n]. i.e. $I^k = k$. The set $\{O, I, a_1, ..., a_{n-1}, b_1, ..., b_{n-1}\}$ satisfies $1^\circ - 4^\circ$, so it can be regarded as a partial sublattice of L(n + 1) isomorphic to U_n . Let $x = [x^0, x^1, ..., x^n] \in L(n + 1)$.

Put $y = \bigvee_{k=1}^{n} (a_k \wedge b_{x^k-k+n})$. Then $y^i = \bigvee_{k=1}^{n} (a_k^i \wedge b_{x^k-k+n}^i) \ge a_i^i \wedge b_{x^{i-i+n}}^i = i \wedge x^i = x^i$. As $a_k^i \wedge b_{x^k-k+n}^i \le x^i$, $y^i \le x^i$ must hold and therefore $y^i = x^i$. Hence y = x. Q.E.D.

Definition. A pair of indices [i, j] is *n*-significant if i + j > n. A pair of indices is maximal *n*-significant in a set M of pairs of indices if it is a maximal element in the subset of all *n*-significant elements of M.

Remark. Clearly, a homomorphic image of a partial lattice satisfying $2^{\circ}-4^{\circ}$ satisfies $2^{\circ}-4^{\circ}$ as well.

Lemma 1. Let a distributive lattice D contain a subset $U = \{a_0 = b_0 = 0, a_n = b_n = I, a_1, ..., a_{n-1}, b_1, ..., b_{n-1}\}$, not necessarily a 2n-element set, the elements of which satisfy $2^{\circ}-4^{\circ}$. Let $d \in D$, $d = \bigvee(a_{i_k} \wedge b_{j_k})$. Then $d = \bigvee(a_{e_m} \wedge b_{f_m})$, where $\{[e_m, f_m]\}_m$ is the set of all maximal n-significant elements of $\{[i_k, j_k]\}_k$.

Proof. If there is no *n*-significant element in $\{[i_k, j_k]\}_k$, then $\{[e_m, f_m]\}_m = \emptyset$ and d = O. $\bigvee_{m \in \emptyset} (a_{e_m} \wedge b_{f_m})$ can be put equal to O in this case. If there is at least one *n*-significant element in $\{[i_k, j_k]\}_k$, then evidently

$$\bigvee_{m} (a_{e_m} \wedge b_{f_m}) \leq \bigvee_{k} (a_{i_k} \wedge b_{j_k}) = \bigvee_{p} (a_{i_p} \wedge b_{j_p}) \vee \bigvee_{q} (a_{i_q} \wedge b_{j_q}) = 0 \vee \bigvee_{q} (a_{i_q} \wedge b_{j_q}) \leq \bigvee_{m} (a_{e_m} \wedge b_{f_m})$$

where $[i_p, j_p]$ are all *n*-nonsingificant pairs and $[i_q, j_q]$ are all *n*-significant pairs in $\{[i_k, j_k]\}_k$. Q.E.D.

Lemma 2. Let a distributive lattice D be generated by its subset $U = \{a_0 = b_0 = 0, a_n = b_n = I, a_1, ..., a_{n-1}, b_1, ..., b_{n-1}\}$, not necessarily a 2n-element set, the elements of which satisfy $2^{\circ}-4^{\circ}$. Then every element $d \in D$ can be represented in the form $d = \bigvee_k (a_{i_k} \wedge b_{j_k})$, where the indices i_k form an increasing finite sequence and the indices j_k form a decreasing finite sequence, both of the same length, and $i_k + j_k > n$ for all k.

Proof. By Lemma 1, every element $d \in D$ can be represented in the form $d = \bigvee_{m} (a_{e_m} \wedge b_{f_m})$, where all pairs of indices $[e_m, f_m]$ are maximal *n*-significant in $\{[e_m, f_m]\}_m$. By ordering all binomials in this representation by indices e, the needed representation can be obtained. Q.E.D.

Notation. The representation from Lemma 2 will be denoted by a tilde over \bigvee , i.e. \bigvee .

Proposition. In the case of L(n + 1), the representation mentioned in Lemma 2 is unique.

Proof. Let $d = \widetilde{\nabla}_{k}(a_{i_k} \wedge b_{j_k})$ be such a representation. Then it holds

$$d^{i_{k}} \neq 0, \quad d^{i_{k}+1} \leq d^{i_{k}},$$

because

$$d^{i_k} = b^{i_k}_{j_k} > 0 , \quad d^{i_k+1} = \bigvee_{l>k} (a_{i_l} \wedge b_{j_l}) = \bigvee_{l>k} b^{i_k+1}_{j_l} < b^{i_k+1}_{j_k} = d^{i_k} + 1 .$$

Conversely, let d^i satisfy (*), i.e. $d^i \neq 0$, $d^{i+1} \leq d^i$. Then there exists a k such that $i = i_k$ and $b^i_{j_k} = d^i$, because a k must exist such that $i \leq i_k$ and $b^i_{j_k} = d^i$, and if $i < i_k$, then $d^{i+1} = d^i + 1$. Hence i_k and j_k are uniquely determined by the coordinates of the element d. Q.E.D.

Theorem. L(n+1) is a free distributive lattice over U_n .

Proof. Let D be a distributive lattice, φ a homomorphism of the partial lattice U_n into D. Clearly, the only possible homomorphic extension of φ on the whole L(n+1) is the mapping

$$\overline{\varphi} = (\widetilde{\nabla}_{k} (a_{i_{k}} \wedge b_{j_{k}}) \mapsto \widetilde{\nabla}_{k} (\varphi a_{i_{k}} \wedge \varphi b_{j_{k}})).$$

 $\bar{\varphi}$ is a lattice homomorphism: Join:

$$\overline{\varphi}(\widetilde{\bigvee}_{\mathbf{k}}(a_{i_{\mathbf{k}}} \wedge b_{j_{\mathbf{k}}}) \vee \widetilde{\bigvee}_{\mathbf{l}}(a_{g_{\mathbf{l}}} \wedge b_{h_{\mathbf{l}}})) = \overline{\varphi}(\widetilde{\bigvee}_{\mathbf{m}}(a_{e_{m}} \wedge b_{f_{m}})) = \widetilde{\bigvee}_{\mathbf{m}}(\varphi a_{e_{m}} \wedge \varphi b_{f_{m}}),$$

where $[e_m, f_m]$ are exactly all maximal *n*-significant elements in $\{[i_k, j_k]\}_k \cup \{[g_l, h_l]\}_l$.

$$\begin{split} \overline{\varphi} \big(\widecheck{\bigvee}_{k} \big(a_{i_{k}} \wedge b_{j_{k}} \big) \big) \, \vee \, \overline{\varphi} \big(\widecheck{\bigvee}_{l} \big(a_{g_{1}} \wedge b_{h_{l}} \big) \big) = \\ = \, \widecheck{\bigvee}_{k} \big(\varphi a_{i_{k}} \wedge \varphi b_{j_{k}} \big) \, \vee \, \widecheck{\bigvee}_{l} \big(\varphi a_{g_{1}} \wedge \varphi b_{h_{l}} \big) = \, \widecheck{\bigvee}_{m} \big(\varphi a_{e_{m}} \wedge \varphi b_{f_{m}} \big) \,, \end{split}$$

where $[e_m, f_m]$ are exactly all maximal *n*-significant elements in $\{[i_k, j_k]\}_k \cup \{[g_l, h_l]\}_l$. Hence $\bar{\varphi}$ is join-preserving.

Meet:

$$\overline{\varphi}(\widetilde{\bigvee}(a_{i_k} \wedge b_{j_k}) \wedge \widetilde{\bigvee}_{i}(a_{g_i} \wedge b_{h_i})) = \overline{\varphi}(\widetilde{\bigvee}_{m}(a_{e_m} \wedge b_{f_m})) = \widetilde{\bigvee}_{m}(\varphi a_{e_m} \wedge \varphi b_{f_m}),$$

where $[e_m, f_m]$ are exactly all maximal *n*-significant elements in $\{[\min(i_k, g_i), \min(j_k, h_i)]\}_{k,l}$.

$$\begin{split} \overline{\varphi}(\widetilde{\bigvee}_{k}(a_{i_{k}} \wedge b_{j_{k}})) \wedge \overline{\varphi}(\widetilde{\bigvee}_{l}(a_{g_{l}} \wedge b_{h_{l}})) = \\ = \widetilde{\bigvee}_{k}(\varphi a_{i_{k}} \wedge \varphi b_{j_{k}}) \wedge \widetilde{\bigvee}_{k}(\varphi a_{g_{l}} \wedge \varphi b_{h_{l}}) = \widetilde{\bigvee}_{m}(\varphi a_{e_{m}} \wedge \varphi b_{f_{m}}), \end{split}$$

where $[e_m, f_m]$ are exactly all maximal *n*-significant elements in $\{[\min(i_k, g_l), \min(j_k, h_l)]\}_{k,l}$. Hence $\bar{\varphi}$ is meet-preserving. Q.E.D.

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