Svatopluk Poljak Coloring digraphs by iterated antichains

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Svatopluk Poljak

Abstract. We show that the minimum chromatic number of a product of two n-chromatic graphs is either bounded by 9, or tends to infinity. The result is obtained by the study of coloring iterated adjoints of a digraph by iterated antichains of a poset.

Keywords: graph product, chromatic number, antichain Classification: 05C15, 06A10

This note is motivated by a conjecture by Hedetniemi on the chromatic number of the product of two graphs. (The product  $G \times H$  of two unoriented graphs G and H is the graph on the vertex set  $V(G) \times V(H)$  and with the edges  $((u_1, u_2), (v_1, v_2))$ for  $u_1v_1 \in E(G_1)$  and  $u_2v_2 \in E(G_2)$ .) Hedetniemi [H] conjectured that

$$\chi(G \times H) = \min(\chi G, \chi H)$$

for any pair G and H of graphs. The conjecture is also sometimes called the Lovász– Hedetniemi conjecture. The inequality ' $\leq$ ' in the conjecture is obvious, and it is also easy to see that the conjecture is valid for 1-, 2-, and 3-chromatic graphs. The validity for 4-chromatic graphs has been proved in [ES]. On the other hand, no lower bound on  $\chi(G \times H)$  is known. It is even not known whether the function f(n)defined by  $f(n) = \min{\{\chi(G \times H) \mid \chi G = \chi H = n\}}$  tends to infinity for  $n \to \infty$ . However, it has been proved in [PR] that if the function is bounded, then  $f(n) \leq 16$ for all n. The purpose of this note is to decrease the bound from 16 to 9.

A survey of other known results on Hedetniemi's conjecture can be found in [DSW], and some further related results have been published in [HHMN]. A special case was proved also in [T].

The result here is obtained by extending the technique of coloring digraphs by antichains (see [HE] and [PR]) to coloring iterated adjoints of digraphs by iterated antichains.

Let L be a poset and let A(L) be the set of all (not necessarily maximal) antichains of L. We introduce a partial order on A(L) as follows. For  $a, a' \in A(L)$ , we write a < a', if for every  $x \in a$  there is some  $y \in a'$  such that x < y. It is easy to check that if a < a' and a' < a, then a = a', and a < a' and a' < a'' give a < a''. For i > 0, we define  $A^i(L) = A(A^{i-1}(L))$ . (Note that our construction of a poset on antichains slightly differs from that of Dilworth [D], where only maximum sized antichains were considered.)

Let G = (V, E) be a digraph. We say that a mapping f from V to a poset L is a homomorphism, if f(u) < f(v) for every edge  $uv \in E$ .

The adjoint  $\delta G$  of a digraph G is the digraph whose vertex set is E(G), and edges of  $\delta G$  are the pairs of consecutive edges of G, i.e.  $E(\delta G) = \{(uv, vw) \mid uv, vw \in E(G)\}$ . For i > 0, we define the *i*-th adjoint  $\delta^i G = \delta(\delta^{i-1}G)$ .

**Lemma 1.** Let G be a digraph and L be a poset. Then there is a homomorphism f from G to A(L), if and only if there is a homomorphism  $\phi$  from  $\delta G$  to L.

PROOF: Let f be a homomorphism from G to A(L). We define  $\phi$  as follows. Given  $e = uv \in V(\delta G)$ , where e is an edge of G, choose an arbitrary  $x \in f(u)$  for which  $\{x\} < f(v)$ , and set  $\phi(e) = x$ . (A suitable x must exist since f(u) < f(v).) We check that the mapping  $\phi$  is a homomorphism from  $\delta G$  to L. Let  $ee' \in E(\delta G)$ , where e = uv and e' = vw are edges of G. We have  $\phi(e) < \phi(e')$  since  $\phi(e) \in f(u), \phi(e') \in f(v)$  and  $\{\phi(e)\} < f(v)$ .

Conversely, let  $\phi$  be a homomorphism from  $\delta G$  to L. We define a homomorphism f as follows. Given  $u \in V(G)$ , let  $S(u) = \{\phi(uv) \mid uv \in E(G)\}$ . Since S(u) is not necessarily an antichain, we define f(u) as the set of the maximal elements of S(u). It is straightforward to check that f(u) < f(v) for  $uv \in E(G)$ .

The chromatic number  $\chi G$  of a digraph G is the chromatic number of the graph obtained from G after forgetting the orientation of the edges. Equivalently, it is the minimum k for which there is a homomorphism from G to  $D_k$ , where  $D_k$  denotes the discrete poset on k elements. A digraph G = (V, E) is said to be symmetric, if for every edge uv it contains also the reversed edge vu. For a poset L,  $\alpha(L)$  denotes the size of the maximum antichain in L.

**Theorem 2.** Let G be a symmetric digraph, and i a nonnegative positive integer. Then  $\chi(\delta^i G)$  is equal to the minimum k for which  $\chi G$  is less or equals  $\alpha(A^i(D_k))$ .

PROOF: Let  $\chi(\delta^i G) = k$ . Then there is a homomorphism f from  $\delta^i G$  to  $D_k$ , and hence also a homomorphism  $\phi$  from G to  $A^i(D_k)$  by the repeated use of Lemma 1. Since G is symmetric,  $\phi(u)$  and  $\phi(v)$  are incomparable elements of  $A^i(D_k)$  for every edge uv of G. Let H be the complement of the comparability graph of  $A^i(D_k)$ . The existence of  $\phi$  implies that  $\chi(\delta^i G) \leq \chi H$ . Since H is a perfect graph,  $\chi H$  equals the size of the maximum clique of H, which is the size of the maximum antichain in  $A^i(D_k)$ . Hence the inequality  $\chi G \leq \alpha(A^i(D_k))$  is established.

Conversely, let  $\chi G \leq \alpha(A^i(D_k))$ . Then there is a homomorphism  $\phi$  from  $\delta^i G$  to  $A^i(D_k)$ . By a repeated use of Lemma 1, there is a homomorphism f from  $\delta^i G$  to  $D_k$ . Clearly, f is a coloring of  $\delta^i G$  since  $D_k$  is discrete. Hence  $\chi(\delta^i G) \leq k$ .

We recall that  $D_k$  is a discrete poset. Then  $A(D_k)$  is the set of all subsets of  $\{1, 2, \ldots, k\}$  ordered by inclusion, and  $A^2(D_k)$  is the set of the Sperner systems on the underlying k-element set.

**Lemma 3.** We have  $\alpha(A^2(D_3)) = 4$ .

PROOF: The following four sets  $\{\{1,2\}\}, \{\{2,3\}\}, \{\{1,3\}\}$  and  $\{\{1\}, \{2\}, \{3\}\}$  form an antichain in  $A^2(D_3)$ . It is easy to check that it is an antichain of the maximum size.

## **Lemma 4** ([HE]). We have $\chi(\delta G) \ge \log_2 \chi G$ .

The product  $G_1 \times G_2$  of two digraph  $G_1$  and  $G_2$  is the digraph with the vertex set  $V(G_1) \times V(G_2)$  and the edges  $((u_1, u_2), (v_1, v_2))$  for  $u_1v_1 \in E(G_1)$  and  $u_2v_2 \in E(G_2)$ .

We define g(n) as the minimum chromatic number of the product of two *n*-chromatic digraphs. It has been proved in [PR] that the function g is either bounded by 4, or tends to infinity. Here we present an improvement of that result.

**Theorem 5.** The function g(n) is either bounded by 3, or tends to infinity.

PROOF: Assume that the function g is bounded by a constant c, i.e. for all n sufficiently large, say  $n > n_0$ , we have g(n) = c. It has been proved in [PR] that  $c \leq 4$ . For a contradiction, assume that c = 4. Let  $n > 2^{2^{n_0}}$ , and  $G_1$  and  $G_2$  be a pair of n-chromatic digraphs for which  $\chi(G_1 \times G_2) = \chi H = 4$ , where  $H = G_1 \times G_2$ . Since  $\alpha A^2(D_3) = 4$  by Lemma 3, we have  $\chi(\delta^2 H) \leq 3$  by Theorem 2.

On the other hand, we have  $\chi(\delta^2 G_1), \chi(\delta^2 G_2) > n_0$  by Lemma 4, and hence  $\chi(\delta^2 G_1 \times \delta^2 G_2) \ge 4$  by our assumption on g. Since  $\delta^2 H = \delta^2(G_1 \times G_2) = \delta^2 G_1 \times \delta^2 G_2$  (the latter equality is easy to see, cf. Proposition 2.2 of [PR]), we get  $\chi(\delta^2 H) = 4$ , which is a contradiction.

Let  $h(n) = \min\{\max(\chi(G_1 \times G_2), \chi(G_1 \times G_2^{-1})) \mid G_1 \text{ and } G_2 \text{ are digraphs with } \chi G_1 = \chi G_2 = n\}$ , where  $G_2^{-1}$  denotes the digraph obtained from  $G_2$  by reversing the edges. Quite analogously as above, it is possible to show that h(n) is either bounded by 3 or tends to infinity. However, it is not yet excluded that g(n) is bounded, while h(n) is not.

**Theorem 6.** The minimum chromatic number of a product of two *n*-chromatic graphs is either bounded by 9, or tends to infinity.

PROOF: Let f(n) be the minimum chromatic number of a product of two (undirected) *n*-chromatic graphs. The statement follows from the inequality  $h(n) \leq f(n) \leq h^2(n)$  established in the proof of Theorem 3.6 of [PR].

I have been recently informed by V. Rödl that the possibility of improving the construction of [PR]was also observed by J. Schmerl.

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FACULTY OF MATHEMATICS AND PHYSICS, CHARLES UNIVERSITY, MALOSTRANSKÉ NÁM. 25, 118 00 PRAGUE 1, CZECHOSLOVAKIA

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