Michael Tischendorf; Jiří Tůma Announcements of new results

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ANNOUNCEMENTS OF NEW RESULTS

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CHARACTERIZING CONGRUENCE LATTICES OF LATTICES

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It has been known since the fourties that the congruence lattice of a lattice L is algebraic and satisfies the distributive law. In this paper we announce a proof of the converse:

Theorem. Every distributive algebraic lattice is isomorphic to the congruence lattice of some lattice.

Let **S** be the semilattice of compact elements of a distributive algebraic lattice **L**. We take an upward directed system $S = \{\mathbf{S}_A : A \in I\}$ of finite distributive subsemilattices of **S** such that $S = \bigcup_{A \in I} S_A$. Thus **S** is isomorphic to the colimit of the system S together with the inclusion embeddings $\phi_{A,B} : \mathbf{S}_A \to \mathbf{S}_B$, where $A \leq B$ in I. Then we construct a system $\mathcal{L} = \{\mathbf{L}_A : A \in I\}$ of finite lattices, a corresponding system $\{\chi_{A,B} : \mathbf{L}_A \to \mathbf{L}_B : A \leq B, A, B \in I\}$ of lattice embeddings, and for every $A \in I$ an isomorphism ι_A from \mathbf{S}_A to the semilattice **Con** \mathbf{L}_A of compact congruences of \mathbf{L}_A satisfying the commuting identity

(1) $\operatorname{con}(\chi_{A,B}) \circ \iota_A = \iota_B \circ \phi_{A,B}.$ Here $\operatorname{con}(\chi_{A,B})$ is the mapping from $\operatorname{Con} \mathbf{L}_A$ to $\operatorname{Con} \mathbf{L}_B$ assigning to every compact congruence θ of \mathbf{L}_A the congruence of \mathbf{L}_B generated by $\{(\chi_{A,B}(a), \chi_{A,B}(b)) : (a,b) \in \theta\}$. We refer to a system \mathcal{L} satisfying these conditions as a simultaneous representation of \mathcal{S} . The following result is crucial for the method (originally proposed by P. Pudlák).

Lemma 1. If $\mathcal{L} = {\mathbf{L}_A : A \in I}$ is a simultaneous representation of $\mathcal{S} = {\mathbf{S}_A : A \in I}$ then the semilattice of compact congruences of the colimit of \mathcal{L} is isomorphic to the colimit of \mathcal{S} , i.e. to \mathbf{S} .

We start by defining the limit system S. As the index set I we choose the set of all finite subsets of nonzero elements of S ordered by inclusion. We set $S_{\emptyset} = \{0\}$ and $S_{\{z\}} = \{0, z\}$ for every $z \in S$. If B is a finite subset of S containing more than one element and if \mathbf{S}_A has already been defined for every proper subset A of B, then we choose \mathbf{S}_B as an arbitrary finite distributive subsemilattice of \mathbf{S} containing $\bigcup_{A \subset B} S_A$.

Next we construct a simultaneous representation of the limit system S. First of all we define finite atomistic lattices \mathbf{L}_B , for $B \in I$. By $J(\mathbf{S}_A)$ we denote the set of join-irreducible elements of \mathbf{S}_A .

We choose $\mathbf{L}_{\emptyset} = \{0\}$. If $B \neq \emptyset$, then the atoms of \mathbf{L}_B are of the form

$$\langle (A_0, p_0, i_0), \ldots, (A_n, p_n, i_n) \rangle,$$

where

(i) $\emptyset \neq A_0 \prec A_1 \prec \ldots \prec A_n = B$,

(ii) $p_k \in \{0, 1, 2\},\$

(iii) $i_k \in J(\mathbf{S}_{A_k})$ and $i_{k+1} \leq i_k$ for k < n.

For a sequence b as above we define $\mu_B(b) = i_n$. Note also that the initial part of length k + 1 of any atom is an atom of the corresponding \mathbf{L}_{A_k} .

Next we specify the defining inequalities Φ_B for the lattices \mathbf{L}_B . We shall proceed by induction on B. If $B = \{z\}$, then we set $\mathbf{L}_{\{z\}}$ to be isomorphic to \mathbf{M}_3 . Suppose now that $|B| \ge 2$ and that for every proper subset A of B, the lattice \mathbf{L}_A has already been defined by a set of minimal inequalities Φ_A . If $a \le \sum_{w \in W} b_w$ is an inequality of Φ_A and $i \in J(\mathbf{S}_B)$ satisfying $i \le \mu_A(a)$, then we add to Φ_B the inequality

(2)
$$\langle a, (B, p, i) \rangle \leq \sum_{w,q} \langle b_w, (B, q, i) \rangle$$

Suppose now A_1, A_2 are distinct proper maximal subsets of B and $a \in At(\mathbf{L}_{A_1 \cap A_2})$. For every $j \leq \mu_{A_1 \cap A_2}(a)$ we add to Φ_B the inequalities

(3)
$$\sum_{\text{To assure that Con } \mathbf{L}_B} \{ \langle a, (A_1, p_1, i_1), (B, p, j) \rangle \} = \sum_{A_1} \{ \langle a, (A_2, p_2, i_2), (B, q, j) \rangle \}$$

 $\begin{array}{l} (4) & \langle (B,p,i)\rangle \leq \langle (B,q,i)\rangle + \langle (B,r,j)\rangle, \\ \text{where } i,j \in J(\mathbf{S}_B), \, i \leq j \text{ and } p \neq q \neq r \neq p, \text{ and} \end{array}$

(5)
$$\langle a, (B, p, i) \rangle \leq \langle a, (B, q, i) \rangle + \langle (B, r, i) \rangle, \\ \langle (B, p, i) \rangle \leq \langle a, (B, q, i) \rangle + \langle a, (B, r, i) \rangle,$$

Now we define \mathbf{L}_B as the atomistic lattice defined on $At(\mathbf{L}_B)$ by inequalities (2)–(5). It is straightforward to prove that the mapping $\iota_B : \mathbf{S}_B \to \mathbf{Con} \mathbf{L}_B$ assigning to every order ideal J of $J(\mathbf{S}_B)$ the least congruence of \mathbf{L}_B identifying with 0 every atom $b \in L_B$ such that $\mu_B(b) \in J$ is an isomorphism. It is considerably more difficult to prove that the formula $\chi_{A,B}(a) = \sum \{b \in At(\mathbf{L}_B) : b = \langle a, (B, p, i) \rangle \}$ determines a lattice embedding $\chi_{A,B} : \mathbf{L}_A \to \mathbf{L}_B$ for every maximal proper subset A of B.

If $A \subset B$ is not maximal, then we compose an embedding $\chi_{A,B} : \mathbf{L}_A \to \mathbf{L}_B$ from the embeddings of the previous paragraph. The inequalities (3) imply that this definition is correct. A straightforward verification of the commuting identity leads to the following lemma. Combined with Lemma 1 it proves the theorem.

Lemma 2. The family $\mathcal{L} = \{L_A : A \in I\}$ with the embeddings $\{\chi_{A,B} : A, B \in I, A \subseteq B\}$ and isomorphisms $\{\iota_A : A \in I\}$ is a simultaneous representation of S.

Complete proofs are given in

M. Tischendorf, J. Tůma, *The Characterization of Congruence Lattices of Lattices*, Preprint 1559, TH Darmstadt, 1993.

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A PERTURBATION THEOREM FOR LINEAR EQUATIONS

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We describe here explicit formulae for componentwise bounds on solution of a system of linear equations

Ax = b

(A square) under perturbation of all data. To make the result numerically tractable, we avoid the use of exact inverses, using instead some matrices R and M required only to satisfy certain inequalities. Hansen's optimality result [1], [2] is a special case of our theorem. Notations used: I is the unit matrix, ρ denotes the spectral radius, for $A = (a_{ij})$ we denote $|A| = (|a_{ij}|)$ and inequalities are understood componentwise.

Theorem. Let $A, \Delta \in \mathbb{R}^{n \times n}$, $b, \delta \in \mathbb{R}^n$, $\Delta \ge 0$, $\delta \ge 0$ and let \mathbb{R} and M be arbitrary matrices satisfying

(1)
$$\begin{aligned} MG + I \le M, \\ M \ge 0, \end{aligned}$$

where

$$G = |I - RA| + |R|\Delta.$$

Then for each A' and b' such that

$$|A' - A| \le \Delta_{\mathbf{x}}$$

A'x' = b'

 $|b'-b| \leq \delta$, A' is nonsingular and the solution of the system

for each $i \in \{1, \ldots, n\}$ satisfies

(2)
$$\min\left\{\frac{\underline{x}_i}{\alpha_i}, \frac{\underline{x}_i}{\beta_i}\right\} \le x'_i \le \max\left\{\frac{\overline{x}_i}{\alpha_i}, \frac{\overline{x}_i}{\beta_i}\right\},$$
where

$$\begin{split} & \underset{i}{x_{i}} = -(M(|Rb| + |R|\delta))_{i} + m_{i}(Rb + |Rb|)_{i} \\ & \underset{i}{\tilde{x}_{i}} = (M(|Rb| + |R|\delta))_{i} + m_{i}(Rb - |Rb|)_{i} \\ & \alpha_{i} = 1 + (|r_{i}| - r_{i})m_{i} + h_{i} \\ & \beta_{i} = 2m_{i} - 1 - (|r_{i}| + r_{i})m_{i} - h_{i} \\ & m_{i} = M_{ii} \\ & r_{i} = (I - RA)_{ii} \\ & h_{i} = (M - MG - I)_{ii} \end{split}$$

and

$$\beta_i \ge \alpha_i \ge 1$$

Moreover, if A = I and $\varrho(\Delta) < 1$, and if we take R := I and $M := (I - \Delta)^{-1}$, then the bounds (2) are exact (i.e. achieved).

The proof employs the ideas of the proofs of Theorems 1 and 3 in [2]; details are omitted here.

Comments. The quantities r_i and h_i correct the influence of the approximate inverses R and M; they vanish if $R = A^{-1}$ and $M = (I-G)^{-1} \ge 0$ are used. The last statement of the theorem is Hansen's optimality result [1] as reformulated in [2]. It can be shown that matrices R and $M \ge 0$ satisfying (1) exist if and only if

$$\varrho\left(|A^{-1}|\Delta\right) < 1$$

holds. In this case, if R is chosen sufficiently close to A^{-1} to achieve $\varrho(G) < 1$, then M can be computed by the following *finite* algorithm:

$$\begin{split} F &:= \text{a (small) positive matrix; } M' := 0;\\ \textbf{repeat } M &:= M'; \ M' := MG + I + F \text{ until } |M' - M| < F;\\ \{\text{then the last } M \text{ is positive and satisfies (1)}\}. \end{split}$$

References

- Hansen E.R., Bounding the solution of interval linear equations, SIAM J. Numer. Anal. 29 (1992), 1493–1503.
- [2] Rohn J., Cheap and tight bounds: the recent result by E. Hansen can be made more efficient, to appear in Interval Computations.