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Analytic functions are \mathcal{I} -density continuous

Krzysztof Ciesielski, Lee Larson

Abstract. A real function is \mathcal{I} -density continuous if it is continuous with the \mathcal{I} -density topology on both the domain and the range. If f is analytic, then f is \mathcal{I} -density continuous. There exists a function which is both C^{∞} and convex which is not \mathcal{I} -density continuous.

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Let $\mathcal{T}_{\mathcal{N}}$ stand for the density topology on the real line, \mathbb{R} . A function $f: \mathbb{R} \to \mathbb{R}$ is density continuous at the point x if it is continuous at x when $\mathcal{T}_{\mathcal{N}}$ is used on both the domain and the range. The class of all everywhere density continuous functions is written as $\mathcal{C}_{\mathcal{N}\mathcal{N}}$. It is known that all locally convex functions are density continuous, and it follows quite easily from this that all analytic functions are in $\mathcal{C}_{\mathcal{N}\mathcal{N}}$. But, there are C^{∞} functions which are not in $\mathcal{C}_{\mathcal{N}\mathcal{N}}$ [2].

W. Wilczyński [4] introduced the \mathcal{I} -density topology on \mathbb{R} , which has many properties in common with the density topology, except that it is based upon category instead of measure. (For its definition see [4] or [3].) The \mathcal{I} -density topology is denoted here by $\mathcal{T}_{\mathcal{I}}$. The \mathcal{I} -density continuous functions, $\mathcal{C}_{\mathcal{I}\mathcal{I}}$, are those functions $f:\mathbb{R} \to \mathbb{R}$ which are continuous when the domain and range are both given the topology $\mathcal{T}_{\mathcal{I}}$.

It is natural to ask if the known properties of the density continuous functions can be proved in the case of the \mathcal{I} -density continuous functions. It turns out that some properties can and some cannot be proved. Theorem 7, given below, establishes that analytic functions are \mathcal{I} -density continuous, but the proof is necessarily different from the case of the density continuous functions because we also exhibit in Example 10, a convex and C^{∞} function which is not \mathcal{I} -density continuous.

The notation used here is fairly standard. The set of subsets of \mathbb{R} with the Baire property is written as \mathcal{B} . \mathcal{I} stands for the ideal of first category subsets of \mathbb{R} . C^{∞} is the set of all functions $f: \mathbb{R} \to \mathbb{R}$ which are infinitely differentiable at every point and \mathcal{A} stands for the collection of all real analytic functions. A set E is a right interval set at a point $a \in \mathbb{R}$, if $E = \bigcup_{n \in \mathbb{N}} [a_n, b_n]$ or $E = \bigcup_{n \in \mathbb{N}} (a_n, b_n)$ where $a_n \to a$ and $a_n > b_{n+1} > a_{n+1}$ for all $n \in \mathbb{N}$. The definition of a left interval set at a is similar. The set E is an interval set at a, if it is the union of a right and left interval set at a. Any interval set at 0 is just called an interval set.

An open set S is said to be *regular*, if S = int(cl(S)). In particular, it can be shown that for any $B \in \mathcal{B}$, there is a unique regular open set, \tilde{B} such that $B \triangle \tilde{B} \in \mathcal{I}$. This observation is important below because it often enables us to replace an arbitrary $B \in \mathcal{T}_{\mathcal{I}}$ by \tilde{B} without losing any generality in a proof.

We begin by stating several known results which are needed below. The first is essentially the same as [5, Theorem 2].

Lemma 1. Let $\{c_n\}_{n \in \mathbb{N}}$ be a decreasing sequence of positive numbers converging to zero and, for each $n \in \mathbb{N}$, let (a_n, b_n) be an open interval centered at c_n . If

$$\lim_{n \to \infty} \frac{c_{n+1}}{c_n} = 0 \quad and \quad \lim_{n \to \infty} \frac{b_n - a_n}{c_n} = 0,$$

then 0 is an \mathcal{I} -dispersion point of

$$\bigcup_{n\in\mathbb{N}}[a_n,b_n].$$

Theorem 2. Let *B* be a regular open set. The following statements are equivalent:

- (i) 0 is an \mathcal{I} -dispersion point of B.
- (ii) For every increasing sequence $\{t_k\}$ of positive numbers diverging to infinity there exists a subsequence $\{t_{k_i}\}$ such that

(1)
$$\limsup_{i \to \infty} t_{k_i} B \cap (-1, 1) \in \mathcal{I}.$$

(iii) For every increasing sequence $\{t_k\}$ of positive numbers diverging to infinity and every nonempty interval $(a,b) \subset (-1,1)$ there exists a nonempty subinterval $(c,d) \subset (a,b)$ and a subsequence $\{t_{k_i}\}$ such that for every $i \in \mathbb{N}$

$$(c,d) \cap t_{k_i} B = \emptyset.$$

PROOF: The fact that (i) and (ii) are equivalent is known [3, Theorem 1].

Assume that (ii) is true, but that there exists an interval $(a, b) \subset (-1, 1)$ for which (iii) fails. Then every subinterval $(c, d) \subset (a, b)$ has the property that $\{k : (c, d) \cap t_k B = \emptyset\}$ is finite. From this it is apparent that $\limsup_i t_{k_i} B$ is a dense \mathbf{G}_{δ} subset of $(a, b) \subset (-1, 1)$ for every subsequence $\{t_{k_i}\}$ of $\{t_k\}$. This contradicts (1), so (iii) must be true.

Finally, suppose that (iii) is true. Let d_n be a countable dense subset of (-1, 1)and suppose I_n is a sequential representation of the set $\{(d_n, d_m) : n, m \in \mathbb{N}, d_n < d_m\}$. Applying (iii), there must exist an interval $J_1 \subset I_1$ and a subsequence $\{t_{k_m^1}\}$ of $\{t_k\}$ so that $t_{k_m^1} B \cap J_1 = \emptyset$ for all m. Proceeding inductively, for each $i \in \mathbb{N}$ there must exist an interval $J_{i+1} \subset I_{i+1}$ and a subsequence $t_{k_m^{i+1}}$ of $t_{k_m^i}$ such that $t_{k_m^{i+1}} B \cap J_{i+1} = \emptyset$ for each m. Since $\{d_n : n \in \mathbb{N}\}$ is dense in (-1, 1) it is clear that $\limsup_i t_{k_i^i} B \cap (-1, 1) \in \mathcal{I}$, and (ii) follows. \Box

The following theorem is a consequence of [1, Corollary 1].

Theorem 3. If $f: \mathbb{R} \to \mathbb{R}$ is monotone and satisfies the Lipschitz condition

$$0 < \alpha |b-a| < |f(b) - f(a)| < \beta |b-a| < \infty$$

for all distinct a and b in some interval I, then f is \mathcal{I} -density continuous on I.

The first order of business is to prove that $\mathcal{A} \subset \mathcal{C}_{\mathcal{II}}$. The following two technical lemmas are needed for the proof.

Lemma 4. Let $f, h: [0, +\infty) \to [0, +\infty)$ be homeomorphisms such that

$$\lim_{x \to 0^+} \frac{h^{-1}(x)}{f^{-1}(x)} = 1.$$

Then for every 0 < c < c' < d' < d there exists $\varepsilon_0 > 0$ such that for every $\varepsilon \in (0, \varepsilon_0)$,

$$f((\varepsilon c', \varepsilon d')) \subset h((\varepsilon c, \varepsilon d)).$$

PROOF: Since c/c' < 1 and d/d' > 1 we can find $\delta_0 > 0$ such that for every $x \in (0, \delta_0)$

(2)
$$\frac{c}{c'} < \frac{h^{-1}(x)}{f^{-1}(x)} < \frac{d}{d'}.$$

Using the continuity of f^{-1} at 0 we can find $\varepsilon_0 > 0$ such that $f((0, \varepsilon_0 d)) \subset (0, \delta_0)$.

Now let $\varepsilon \in (0, \varepsilon_0)$ and $x \in f((\varepsilon c', \varepsilon d')) \subset f((0, \varepsilon_0 d)) \subset (0, \delta_0)$. So, (2) holds and $f^{-1}(x) \in (\varepsilon c', \varepsilon d')$; i.e.,

$$\varepsilon c' < f^{-1}(x) < \varepsilon d'.$$

Multiplying the above inequality by (2), we obtain

$$\varepsilon c < h^{-1}(x) < \varepsilon d,$$

which implies $x \in h((\varepsilon c, \varepsilon d))$.

Lemma 5. If $f, h: [0, \infty) \to [0, \infty)$ are homeomorphisms satisfying

(3)
$$\lim_{x \to 0^+} \frac{h^{-1}(x)}{f^{-1}(x)} = 1,$$

then h is right \mathcal{I} -density continuous at 0 iff f is right \mathcal{I} -density continuous at 0.

PROOF: Without loss of generality we may assume that both functions are increasing, as the decreasing case is essentially the same.

So assume that h is right \mathcal{I} -density continuous at 0. It will be shown that f is right \mathcal{I} -density continuous at 0. This will finish the proof, as the converse implication follows by exchanging f with h.

Let us choose $B \in \mathcal{B}$, $0 \notin B$, which has 0 as an \mathcal{I} -dispersion point. We will use Theorem 2 to prove that 0 is a right \mathcal{I} -dispersion point of $f^{-1}(B)$.

First, notice that since f and h are both homeomorphisms, we may assume that B is a regular open set. Choose a divergent increasing sequence of positive real numbers $\{t_k\}_{k\in\mathbb{N}}$ and a nonempty interval $(a,b) \subset (0,1)$. Since 0 is a right \mathcal{I} -dispersion point of $h^{-1}(B)$, there exists a nonempty interval $(c,d) \subset (a,b)$ and a subsequence $\{t_k\}_{k\in\mathbb{N}}$ of $\{t_k\}_{k\in\mathbb{N}}$ such that for every $p \in \mathbb{N}$

$$(c,d) \cap t_{k_p} h^{-1}(B) = \emptyset.$$

But this last condition is equivalent to

$$h\left(\left(\frac{1}{t_{k_p}}c,\frac{1}{t_{k_p}}d\right)\right)\cap B=\emptyset.$$

Now let 0 < c < c' < d' < d. Then, by Lemma 4,

$$f\left(\frac{1}{t_{k_p}}c',\frac{1}{t_{k_p}}d'\right) \subset h\left(\frac{1}{t_{k_p}}c,\frac{1}{t_{k_p}}d\right)$$

for almost all $p \in \mathbb{N}$. This implies that for almost all $p \in \mathbb{N}$

$$f\left(\left(\frac{1}{t_{k_p}}c',\frac{1}{t_{k_p}}d'\right)\right)\cap B=\emptyset,$$

or

$$(c',d') \cap t_{k_p} f^{-1}(B) = \emptyset$$

This finishes the proof of Lemma 5.

The following theorem, which is interesting in its own right, is also needed in what follows. Its analogue for ordinary density continuity is also known to be true [2].

Theorem 6. For any $\alpha \in \mathbb{R}$, the function $f(x) = x^{\alpha}$ is \mathcal{I} -density continuous on its domain.

PROOF: If $x \neq 0$ and f(x) exists, then it is clear that on a neighborhood of x, f satisfies the conditions of Theorem 3, so f is \mathcal{I} -density continuous at x.

Suppose x = 0 and $\alpha > 0$. It suffices to show f is right \mathcal{I} -density continuous at 0. Let $B \in \mathcal{B}$ such that 0 is an \mathcal{I} -dispersion point of B. It must be shown that 0 is a right \mathcal{I} -dispersion point of $f^{-1}(B)$.

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To do this, first note that f is a homeomorphism on $(0, \infty)$, so $f^{-1}(S) \in \mathcal{I}$ whenever $S \in \mathcal{I}$ and there is no generality lost with the assumption that B is a regular open set. Choose any nonempty interval $(a, b) \subset (0, 1)$ and an increasing sequence $\{s_k\}_{k \in \mathbb{N}}$ of positive numbers diverging to infinity. Let (a', b') = f((a, b))and define the increasing sequence

$$t_k = \frac{1}{f(1/s_k)} \to \infty$$

Using Theorem 2, there exists an interval $(c', d') \subset (a', b')$ and a subsequence $\{t_{k_i}\}$ of $\{t_k\}$ such that

$$(c', d') \cap t_{k_i} B = \emptyset \text{ for all } i \in \mathbb{N}.$$

Suppose that $(c, d) = f^{-1}((c', d'))$. Then a straightforward calculation shows

$$\begin{split} \emptyset &= f^{-1} \left((c', d') \cap t_{k_i} B \right) \\ &= (c, d) \cap f^{-1} \left(\frac{1}{f(1/s_{k_i})} B \right) \\ &= (c, d) \cap \left(s_{k_i}^{-\alpha} B \right)^{-1/\alpha} \\ &= (c, d) \cap s_{k_i} (B)^{-1/\alpha} \\ &= (c, d) \cap s_{k_i} f^{-1} (B). \end{split}$$

From Theorem 2, we see that 0 is a right \mathcal{I} -dispersion point of $f^{-1}(B)$, and the theorem follows.

Theorem 7. $\mathcal{A} \subset \mathcal{C}_{\mathcal{II}}$.

PROOF: Let $h \in \mathcal{A}$. It is enough to prove that h is \mathcal{I} -density continuous at 0. We prove that h is right \mathcal{I} -density continuous at 0. The left-hand argument is similar.

Let $h(x) = \sum_{n=0}^{\infty} a_n x^n$. We can assume that $a_0 = 0$. Since the \mathcal{I} -density topology is closed under homothetic transformations of its open sets, we can also assume that for $i = \min\{n: a_n \neq 0\}$ we have $a_i = 1$. Now let $f(x) = x^i$. Because h is analytic, h^{-1} exists on some right neighborhood of 0. Let us assume that h^{-1} is positive on this neighborhood, the other case being similar. Then

$$1 = \lim_{x \to 0^+} \frac{h(x)}{x^i} = \lim_{x \to 0^+} \frac{h(h^{-1}(x))}{(h^{-1}(x))^i}$$
$$= \lim_{x \to 0^+} \left(\frac{x^{\frac{1}{i}}}{h^{-1}(x)}\right)^i$$
$$= \left(\lim_{x \to 0^+} \frac{f^{-1}(x)}{h^{-1}(x)}\right)^i.$$

Hence,

$$\lim_{x \to 0^+} \frac{h^{-1}(x)}{f^{-1}(x)} = 1$$

and, by Lemma 5 and Theorem 6, h is \mathcal{I} -density continuous at 0.

After seeing that $\mathcal{A} \subset \mathcal{C}_{\mathcal{II}}$, it is natural to ask whether the same can be claimed for C^{∞} . This turns out not to be true. The lemma and theorem given below are used to establish this fact.

Lemma 8. Let $f \in C^{\infty}$ be such that for every $n \ge 0$

$$f^{(n)}(0) = 0$$
 and $f^{(n)}((0,\varepsilon_n)) \subset (0,\infty)$, for some $\varepsilon_n > 0$.

Then

$$\lim_{x \to 0^+} \frac{f(ax)}{f(x)} = 0,$$

for every $a \in (0, 1)$.

PROOF: Let $a \in (0,1)$ and $n \in \mathbb{N}$. Moreover, let us choose $\varepsilon > 0$ such that $0 < \varepsilon < \varepsilon_k$ for every $k \le n + 1$. In particular, $f^{(n)}$ is increasing on $(0, \varepsilon)$, and so

$$\left|\frac{f^{(n)}(a\xi)}{f^{(n)}(\xi)}\right| < 1 \quad \text{for every} \ \xi \in (0,\varepsilon).$$

Now let $x \in (0, \varepsilon)$ and let g(x) = f(ax). Using Cauchy's Theorem *n*-times we can find $\xi \in (0, x)$ such that

$$\left|\frac{f(ax)}{f(x)}\right| = \left|\frac{g(x)}{f(x)}\right| = \left|\frac{g^{(n)}(\xi)}{f^{(n)}(\xi)}\right| = |a^n| \left|\frac{f^{(n)}(a\xi)}{f^{(n)}(\xi)}\right| < a^n.$$

Thus,

$$\lim_{x \to 0^+} \frac{f(ax)}{f(x)} = 0.$$

Theorem 9. Let $f \in C^{\infty}$ be such that for every $n \ge 0$

$$f^{(n)}(0) = 0$$
 and $f^{(n)}((0,\varepsilon_n)) \subset (0,\infty)$ for some $\varepsilon_n > 0$.

Then f is not \mathcal{I} -density continuous.

PROOF: We start with a proof that f is not right \mathcal{I} -density continuous at 0. Let $D_n = \{\frac{i}{2^n}: i = 1, 2, ..., 2^n\}$ for $n \in \mathbb{N}$. First notice that if a sequence $\{n_k\}_{k \in \mathbb{N}}$ is such that

(4)
$$n_{k+1} > 2^k n_k \text{ for every } k \in \mathbb{N},$$

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 \square

then

$$\min \frac{1}{n_k} D_k = \frac{1}{n_k} \frac{1}{2^k} > \frac{1}{n_{k+1}} = \max \frac{1}{n_{k+1}} D_{k+1}.$$

This means that if $\{s_i\}_{i>1}$ is a decreasing ordering of $D = \bigcup_{k \in \mathbb{N}} \frac{1}{n_k} D_k$, then

$$\frac{1}{n_k}D_k = \{s_i: 2^k \le i < 2^{k+1}\}.$$

We also define a sequence $\{n_k\}_{k\in\mathbb{N}}$ by induction on k such that it will satisfy condition (4) and for every k>0

(5)
$$\frac{f(s_i)}{f(s_{i-1})} \le \frac{1}{k} \text{ for } 2^k \le i < 2^{k+1}$$

Put $n_1 = 1$ and assume that n_{k-1} has already been chosen for some k > 1. Choose $n_k > 2^{k-1}n_{k-1}$ such that

$$\frac{f(\frac{2^k-1}{2^k}x)}{f(x)} < \frac{1}{k}, \text{ for all } x \in (0, \frac{1}{n_k}).$$

Such a choice is possible by Lemma 8. Then, the above condition obviously implies condition (5) for $2^k < i < 2^{k+1}$. Increasing n_k , if necessary, we can also obtain condition (5) for $i = 2^k$. This finishes the construction of D.

Now let $\{(a_n, b_n)\}_{n \in \mathbb{N}}$ be a sequence of pairwise disjoint intervals such that every interval (a_n, b_n) is centered at $c_n = f(s_n)$ and that

$$\lim_{n \to \infty} \frac{b_n - a_n}{c_n} = 0.$$

By (5),

$$\lim_{n \to \infty} \frac{c_{n+1}}{c_n} = 0$$

so, by Lemma 1, 0 is an \mathcal{I} -dispersion point of the interval set

$$E = \bigcup_{n \in \mathbb{N}} (a_n, b_n).$$

On the other hand, we notice that for every subsequence $\{n_{k_i}\}_{i\in\mathbb{N}}$ of $\{n_k\}_{k\in\mathbb{N}}$, the set

$$\bigcup_{i\in\mathbb{N}}n_{k_i}f^{-1}(E)\supset\bigcup_{i\in\mathbb{N}}D_{k_i}$$

is dense and open in [0, 1]. So, 0 is not a right \mathcal{I} -dispersion point of $f^{-1}(E)$ and f is not \mathcal{I} -density continuous at 0.

Example 10. There exists a convex C^{∞} function that is not \mathcal{I} -density continuous.

PROOF: Define $g: (-\infty, 0.5) \to \mathbb{R}$ by

$$g(x) = \begin{cases} e^{-x^{-2}} & x \in (0, 1/2) \\ 0 & x \in (-\infty, 0] \end{cases}$$

Examining the second derivative of g it is easy to see that g is convex on $(-\infty, 1/2)$. It is well-known that $f \in C^{\infty}$ and that $f^{(n)}(0) = 0$ for all n. Repeated differentiation of f makes it apparent that for each n there is an $\varepsilon_n > 0$ such that $f^{(n)}(x) > 0$ whenever $0 < x < \varepsilon_n$. Now an application of Theorem 9 finishes the argument.

It is also not difficult to see that the function described in Theorem 9 does not preserve \mathcal{I} -density points. In particular, the function g from Example 10 does not preserve \mathcal{I} -density points.

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