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NON-MODULAR AND NON-DISTRIBUTIVE PRIMITIVE ORDERED SUBSETS OF LATTICES

JIŘÍ RACHŮNEK

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Abstract

Lattices not containing an isomorphic copy of a member from a given set of finite ordered sets as a primitive subset form a variety of lattices. In the paper some collections of primitive ordered sets characterising the variety of distributive lattices and two small non-distributive varieties of lattices are shown.

Key words: Primitive ordered subset of a lattice, lattice variety, distributive (modular) ordered set, distributive (modular) lattice.

MS Classification: 06B20, 06A99

In the paper [4] modular and distributive ordered sets are introduced and some of their properties are shown. Forbidden subsets of these types of ordered sets are described in [1]; these configurations are isomorphic to the sets $R_1, R_2, R_3, R_4, R_5, R_6, S_1, S_2, S_3, S_4$ and S_5 . (See Figures 1 and 4.) R. Wille in [6] defined the notion of a primitive subset of a lattice and showed that the lattices not containing an isomorphic copy of a member from a given set of finite ordered sets as a primitive set form a variety of lattices.

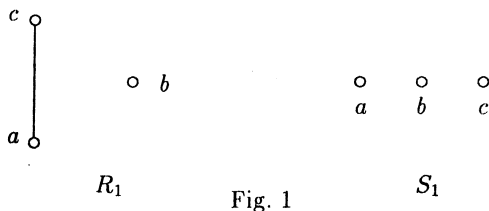
In this paper there is shown which of the ordered sets $R_1, \dots, R_4, S_1, \dots, S_4$, characterize the class of all distributive lattices \mathcal{D} and there are studied the varieties characterized by the sets R_1 and S_1 .

If L is a lattice, $g, h \in L$, then $\theta(g, h)$ will denote the principal congruence relation on L generated by the pair $\langle g, h \rangle$. The trivial congruence relation on L will be denoted by ω .

Let L be a lattice, P a finite non-void subset of L . Then P is called a primitive subset of L if

$$\bigwedge (\theta(x, x \vee y); x, y \in P, x \neq x \vee y) \neq \omega.$$

Lemma 1 *If L is a distributive lattice, then it contains no primitive subset isomorphic to the ordered set R_1 or to the set S_1 (See Fig. 1.)*



Proof Let L be a distributive lattice.

a) Let us suppose that L contains a subset $P_1 = \{x, y, z\}$ isomorphic to R_1 (Fig. 2).

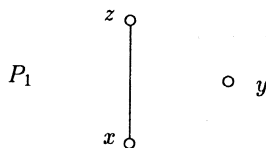


Fig. 2

Consider the congruence relations

$$\theta(x, z), \theta(x, x \vee y), \theta(y, x \vee y), \theta(y, y \vee z), \theta(z, y \vee z)$$

and denote by ψ_1 their intersection.

Let $p, q \in L$, $p \equiv q(\psi_1)$. Then by [2, Theorem II.3.3] we have

$$p \vee z = q \vee z \quad \text{and} \quad p \wedge z = q \wedge z,$$

therefore $p = q$. This implies $\psi_1 = \omega$, and so P_1 is not a primitive subset of L .

b) Let L contain a subset $P_2 = \{x, y, z\}$ isomorphic to S_1 . (Fig. 3.)

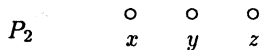


Fig. 3

Denote by ψ_2 the intersection of the congruence relations

$$\theta(x, x \vee y), \theta(y, x \vee y), \theta(x, x \vee z), \theta(z, x \vee z), \theta(y, y \vee z), \theta(z, y \vee z).$$

Let $p, q \in L$, $p \equiv q(\psi_2)$. Then e.g.

$$p \wedge x = q \wedge x, \quad p \wedge z = q \wedge z, \quad p \vee (x \vee z) = q \vee (x \vee z),$$

hence we have

$$p \wedge (x \vee z) = q \wedge (x \vee z),$$

and therefore $p = q$. This means $\psi_2 = \omega$, thus P_2 is not a primitive subset of L . \square

Lemma 2 *If L is a lattice, $P \subseteq Q \subseteq L$, and if P is not a primitive subset of L , then Q is not a primitive subset of L , too.* \square

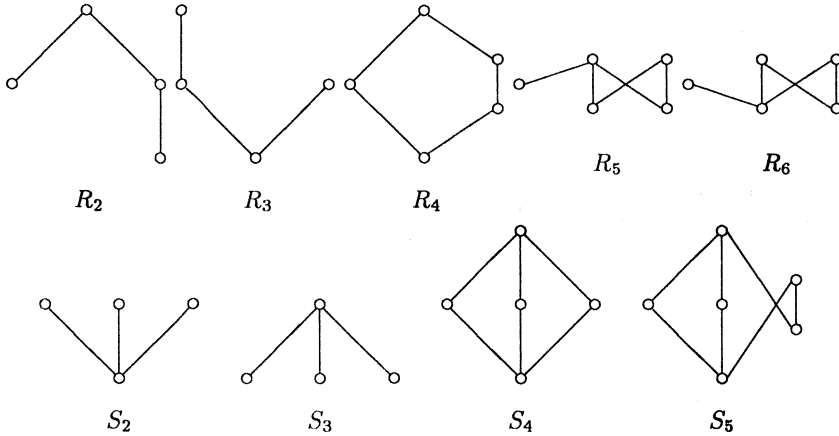


Fig. 4

Corollary 3 *If L is a distributive lattice, then it contains no primitive subset isomorphic to some of the ordered sets $R_1, \dots, R_6, S_1, \dots, S_5$.* \square

Let \mathcal{P} be a set of finite ordered sets. Denote $Equ(\mathcal{P})$ the class of all lattices that do not contain an isomorphic copy of a member of \mathcal{P} as a primitive subset. By [6] (see also [2, Theorem V.2.6]), $Equ(\mathcal{P})$ is a variety of lattices.

Let us denote

$$\mathcal{P}_{i,j} = \{R_i, S_j\}, \quad i = 1, \dots, 6; \quad j = 1, \dots, 5.$$

The variety of all distributive lattices will be denoted by \mathcal{D} .

Theorem 4 $Equ(\mathcal{P}_{i,j}) = \mathcal{D}$, $i = 1, 2, 3, 4; \quad j = 1, 2, 3, 4$.

Proof By Corollary 3, $\mathcal{D} \subseteq Equ(\mathcal{P}_{i,j})$, $i = 1, 2, 3, 4$; $j = 1, 2, 3, 4$.
 Let L be a non-distributive lattice.

a) First, let L contain a sublattice $L_1 = \{x, y, z, u, v\}$ isomorphic to the pentagon R_4 . (See Fig. 5.)

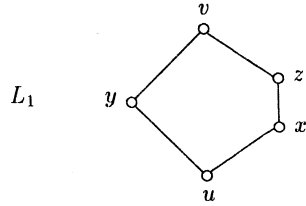


Fig. 5

If $\psi_1 = \bigwedge(\theta(r, r \vee s); r, s \in L_1, r \neq r \vee s)$, then we have $x \equiv z(\psi_1)$. This means that L_1 is a primitive subset of L . Hence, by Lemma 2,

$$Q_1 = \{x, y, z\}, \quad Q_2 = \{x, y, z, v\} \quad \text{and} \quad Q_3 = \{x, y, z, u\}$$

are primitive subsets of L , too.

b) Now, let L contain a sublattice $L_2 = \{x, y, z, u, v\}$ isomorphic to the diamond S_4 (See Fig. 6.)

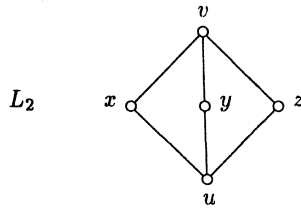


Fig. 6

Then for each elements $e, f, g, h \in L_2$, $e \neq f$, we have $g \equiv h(\theta(e, f))$, thus L_2 is a primitive subset of L . And therefore, by Lemma 2,

$$P_1 = \{x, y, z\}, \quad P_2 = \{x, y, z, u\} \quad \text{and} \quad P_3 = \{x, y, z, v\}$$

are primitive subsets of L , too. □

Denote $\mathcal{P}_{0,1} = \{S_1\}$, $\mathcal{P}_{1,0} = \{R_1\}$. Let \mathcal{N}_5 be the smallest non-modular lattice variety, i.e. the variety generated by the lattice $N_5 = R_4$, and \mathcal{M}_3 the variety generated by the lattice $M_3 = S_4$.

Theorem 5 $Equ(\mathcal{P}_{0,1}) = \mathcal{N}_5$.

Proof By Theorem 4, we have $\mathcal{D} \subseteq Equ(\mathcal{P}_{0,1})$. Lattice N_5 contains no primitive subset isomorphic to S_1 , thus $N_5 \subseteq Equ(\mathcal{P}_{0,1})$. Moreover, $M_3 \notin Equ(\mathcal{P}_{0,1})$.

Hence, let us consider the lattices L_1 - L_{15} . (See Fig. 7.)

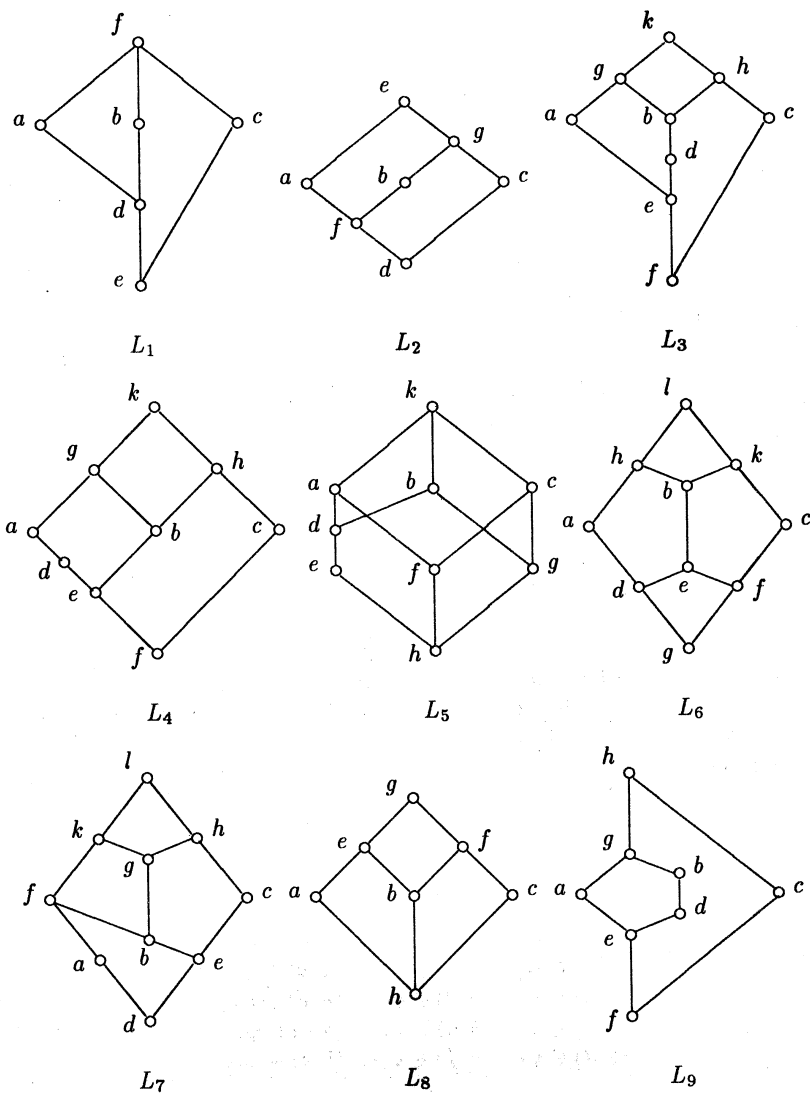


Fig. 7 (part 1)

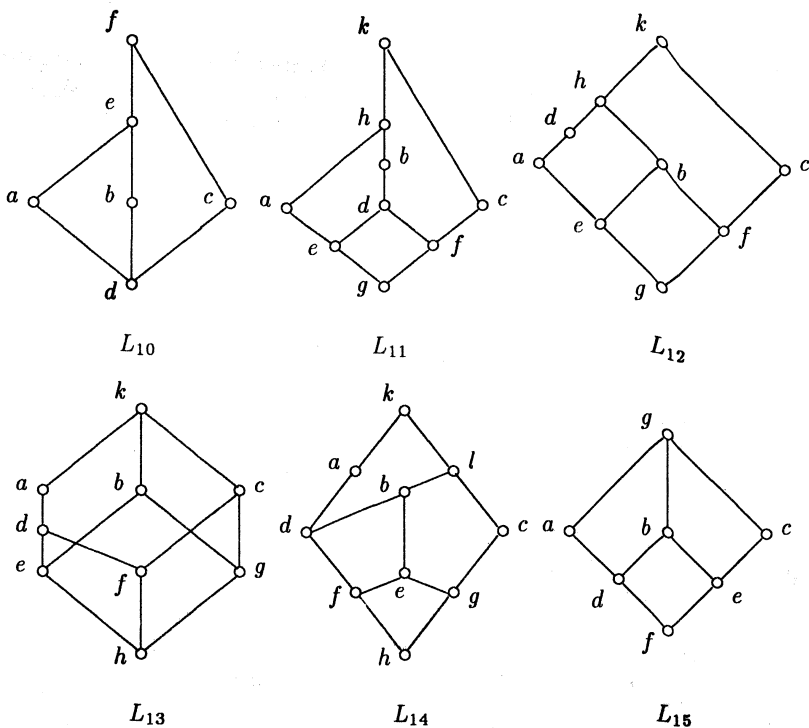


Fig. 7 (part 2)

By [5], each of these lattices generates a variety covering \mathcal{N}_5 in the lattice of varieties of lattices. And by [3], every variety of lattices that properly contains \mathcal{N}_5 includes one of the lattices M_3, L_1, \dots, L_{15} .

For L_i ($i = 1, \dots, 15$) put

$$\psi_i = \bigwedge (\theta(x, x \vee y); x, y \in \{a, b, c\}, x \neq x \vee y).$$

Then we have e.g.

$$\begin{array}{lll} (e, c) \in \psi_1 & (e, b) \in \psi_6 & (b, d) \in \psi_{11} \\ (f, b) \in \psi_2 & (b, g) \in \psi_7 & (a, d) \in \psi_{12} \\ (e, d) \in \psi_3 & (b, d) \in \psi_8 & (a, d) \in \psi_{13} \\ (a, d) \in \psi_4 & (b, d) \in \psi_9 & (b, e) \in \psi_{14} \\ (e, d) \in \psi_5 & (c, f) \in \psi_{10} & (b, g) \in \psi_{15}. \end{array}$$

But this means that $\psi_i \neq \omega$ for each $i = 1, \dots, 15$, hence $L_i \notin Equ(\mathcal{P}_{0,1})$, $i = 1, \dots, 15$. Therefore $\mathcal{N}_5 = Equ(\mathcal{P}_{0,1})$. \square

Let \mathcal{M}_4 denote the variety of lattices generated by the lattice M_4 , and $\mathcal{M}_{3,3}$ the variety of lattices generated by the lattice $M_{3,3}$. (See Fig. 8.)

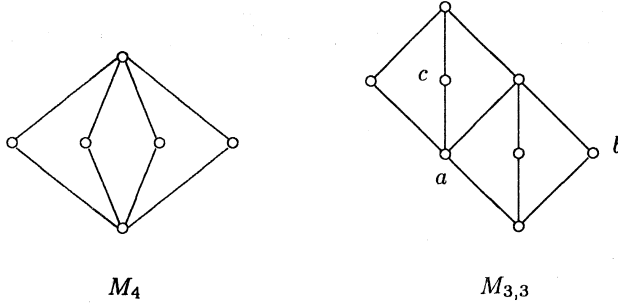


Fig. 8

Theorem 6 $Equ(\mathcal{P}_{1,0}) \supseteq \mathcal{M}_4$, $Equ(\mathcal{P}_{1,0}) \supseteq \mathcal{M}_{3,3}$.

Proof Obviously, M_4 contains no subset isomorphic to R_1 . The lattice M_3 is congruence trivial, hence $\{a, b, c\}$ is a primitive subset of $M_{3,3}$. \square

Let \mathcal{M} denote the variety of all modular lattices.

Corollary 7 $\mathcal{M}_3 \subset Equ(\mathcal{P}_{1,0}) \subset \mathcal{M}$. \square

Remark 1 Now, let us consider $Equ(\mathcal{P}_{0,5})$, the variety of lattices not containing a primitive subset isomorphic to the ordered set S_5 . It is clear that if a lattice contains a primitive subset isomorphic to S_5 , then it also contains a subset isomorphic to the lattice L_{16} . (See Fig. 9.)

Therefore every lattice having at most eight elements belongs to $Equ(\mathcal{P}_{0,5})$. It means that $Equ(\mathcal{P}_{0,5})$ contains e.g. all three varieties covering the variety \mathcal{M}_3 .

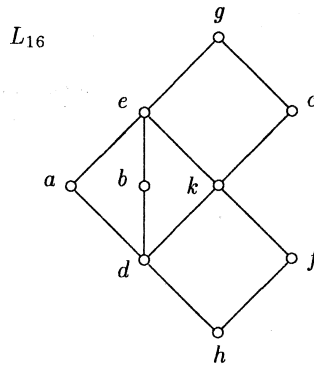


Fig. 9

Moreover, a unique subset of L_{16} isomorphic to S_5 is $A = \{a, b, d, e, c, f\}$. But in L_{16} ,

$$\begin{aligned} \theta(a, e) &= \{\{a, b, k, d, e\}, \{h, f\}, \{c, g\}\}, \\ \theta(e, e \vee c) &= \{\{e, g\}, \{k, c\}, \{a\}, \{b\}, \{d\}, \{h\}, \{f\}\}, \end{aligned}$$

hence

$$\theta(a, e) \wedge \theta(e, e \vee c) = \omega,$$

and this means that A is not a primitive subset of L_{16} .

Therefore $L_{16} \in Equ(\mathcal{P}_{0,5})$ and thus every lattice which fails to belong to $Equ(\mathcal{P}_{0,5})$ has at least ten elements.

Remark 2 Consider $Equ(\mathcal{P}_{5,0})$, the variety of lattices not containing a primitive subset isomorphic to R_5 . We have

$$Equ(\mathcal{P}_{0,1}) \subseteq Equ(\mathcal{P}_{5,0}),$$

$$Equ(\mathcal{P}_{1,0}) \subseteq Equ(\mathcal{P}_{5,0}).$$

In addition, every lattice which does not belong to $Equ(\mathcal{P}_{5,0})$ contains a subset isomorphic to the lattice L_{17} . (See Fig. 10.) Hence every lattice L such that $L \notin Equ(\mathcal{P}_{5,0})$ has at least eight elements.

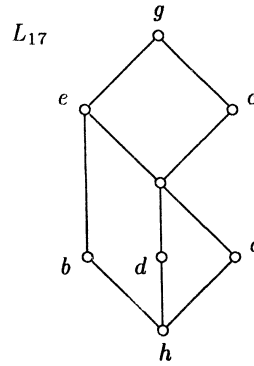


Fig. 10

Moreover, in L_{17} ,

$$(c, f) \in \bigwedge (\theta(x, x \vee y); x, y \in \{b, d, a, e, c\}, x \neq x \vee y)$$

hence $\{b, d, a, e, c\}$ is a primitive subset of L_{17} , and so $L_{17} \notin Equ(\mathcal{P}_{5,0})$.

The ordered set R_6 is the dual case of R_5 , hence for R_6 we obtain analogical results as for R_5 .

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