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# Mal'cev Functions on Smalgebras <sup>\*</sup>

*Dedicated to László Megyesi on his sixtieth birthday*

KRISZTINA BALOG<sup>1</sup>, GÁBOR CZÉDLI<sup>2</sup>

*JATE Bolyai Institute,*

*Szeged, Aradi vértanúk tere 1, Hungary, H-6720*

<sup>1</sup>*e-mail: krisz@math.u-szeged.hu*

<sup>2</sup>*e-mail: czedli@math.u-szeged.hu*

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## Abstract

Given a nine-element set  $A$  and a lattice  $L$  of permuting equivalences on  $A$ , it is shown that there exists a Mal'cev function  $A^3 \rightarrow A$  that preserves all members of  $L$ . The same statement was previously known to hold for  $|A| \leq 8$  and to fail for  $|A| \geq 25$ , and it remains open for  $10 \leq |A| \leq 24$ .

**Key words:** Mal'cev function, Mal'cev term, equivalence lattice, congruence permutability.

**1991 Mathematics Subject Classification:** 08B05

## 1 Introduction and the main result

Given a set  $A$ , a function  $p : A^3 \rightarrow A$  is called a Mal'cev function on  $A$  if  $p(x, y, y) = p(y, y, x) = x$  holds for all  $x, y \in A$ . If, in addition,  $p(x_1, x_2, x_3) = p(x_{1\sigma}, x_{2\sigma}, x_{3\sigma})$  holds for all  $x_1, x_2, x_3 \in A$  and any permutation  $\sigma$  then  $p$  is said to be commutative. If an algebra  $A$  has a Mal'cev function compatible with all congruences of  $A$  then  $A$  is known to be congruence permutable. A classical result of Mal'cev [5] asserts that the converse is also true when we consider a variety of algebras rather than a single algebra, and Gumm [3] points

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out that this is not the case for a single algebra. Remarkably enough, Pixley [6] proves that there is another congruence property, the arithmeticity, when the known Malcev characterization for varieties works for single finite algebras, and Gumm [3] shows that arithmeticity is, in some sense, the only congruence property where the passage from varieties to single algebras is possible.

For single algebras with a limited number of elements the situation is more pleasant. (The title of the paper refers to single small algebras, in short *smallgebras*.) Chajda [1] and later Chajda and Czédli [2] proved that if an algebra  $A$  has permuting congruences and  $|A| \leq 4$  resp.  $|A| \leq 8$  then there exists a Malcev function  $A^3 \rightarrow A$  preserving all congruences of  $A$ . These proofs make heavy use of Pixley's ideas from [6]. In fact, [2] contains a bit stronger statement, namely

**Theorem A** ([2]) *Let  $A$  be a set with  $|A| \leq 8$  and let  $L$  be a sublattice of the lattice of equivalences on  $A$ . Then the equivalences belonging to  $L$  permute (i.e.,  $\rho \circ \nu = \nu \circ \rho$  holds for all  $\rho, \nu \in L$ ) iff there exists a commutative Malcev function on  $A$  which is compatible with every member of  $L$ .*

The authors have the feeling that numbers of the form  $k^m$  with integers  $k \geq 2$  and  $m \geq 2$  may play a distinguished role when investigating the existence of Malcev functions. This feeling is supported by the proofs presented here and in [2], in particular by Lemmas 6 and 7 in the present paper, by the fact that commutativity from Theorem A must surely be dropped when  $|A|$  exceeds  $2^3$ , cf. [2], and by Gumm's example showing that Malcev functions need not exist when  $|A| \geq 25$ , cf. [3] and [2]. This leads to the question if the smallest number for which Theorem A without commutativity fails is of the form  $k^m$  ( $k, m \geq 2$ ); this motivates the present investigation, which can also be of some interest in studying intersections of certain maximal clones on a finite set with less than ten elements.

We intend to prove the following result.

**Theorem 1** *Let  $A$  be a set with  $|A| \leq 9$ , and let  $L$  be a sublattice of the lattice of all equivalences on  $A$ . Then the following two conditions are equivalent:*

- (i) *the members of  $L$  permute;*
- (ii) *there is a Malcev function on  $A$  which is compatible with each member of  $L$ .*

## 2 Lemmas and proofs

While (ii)  $\implies$  (i) is well-known, cf. e.g. Malcev [5], the converse implication follows less easily. Firstly, we recall six lemmas from [2]. Notice that the proofs of Lemmas 1, 3, 4, 5 and 6 did not use the condition  $|A| \leq 8$ . The original proof of Lemma 2 settles  $|A| = 9$ , which is sufficient for the present paper. (Note that a more or less straightforward modification of the original proof yields Lemma 2 for  $|A| > 9$ .) The general assumption in our lemmas is that  $A$  is a finite set and each permutable equivalence lattice on a set with less than  $|A|$  elements permits a compatible Malcev function. We will often consider diamonds, i.e., five-element non-distributive modular (sub)lattices, their elements will be denoted by  $\omega, \alpha, \beta, \gamma$  and  $\iota$  such that  $\omega < \alpha < \iota, \omega < \beta < \iota$  and  $\omega < \gamma < \iota$ .

**Lemma 1** *If there exists a  $\mu \in L \setminus \{0\}$  such that  $\mu \leq \omega$  holds for every diamond  $\{\omega, \alpha, \beta, \gamma, \iota\}$  in  $L$  then we are done. (I.e., then there is an  $A^3 \rightarrow A$  Malcev function which is compatible with all members of  $L$ ).*

Let us call an equivalence  $\mu \in L$  *semicentral* if  $\mu \circ \nu = \mu \cup \nu$  (set theoretic union) holds for every  $\nu \in L$ . (Note that  $\mu \circ \nu = \mu \vee \nu$  by permutability.) All references to the following lemma will use the fact that if  $\mu \in L$  is not semicentral then  $\nu \parallel \mu$  holds for some  $\nu \in L$ .

**Lemma 2** *If there exists a semicentral  $\mu \in L \setminus \{0, 1\}$  then we are done.*

Although the following assertion is evident, its notation, which comes from “shifting principle”, gives an economic way of reference and of exploiting permutability.

**Lemma 3** *Let  $\mu, \rho \in L$ , let  $B$  and  $C$  be distinct  $\mu$ -blocks, and suppose  $(B \times C) \cap \rho \neq \emptyset$ . Then*

$$\text{SP}(\mu, \rho) : \quad (\forall b \in B)(\exists c \in C)(b \rho c), \text{ and } (\forall c \in C)(\exists b \in B)(b \rho c).$$

For positive integers  $i_1 \geq i_2 \geq \dots \geq i_t$  we say that an equivalence is of *pattern*  $i_1 + i_2 + \dots + i_t$  if it has exactly  $t$  blocks and these blocks consist of  $i_1, i_2, \dots, i_t$  elements. Blocks with more than one element are called *nontrivial blocks*.

**Lemma 4** *If  $L \setminus \{0, 1\}$  has a member of pattern  $j + 1 + \dots + 1$  or a member of pattern  $3 + 2 + 1 + \dots + 1$  then we are done.*

**Lemma 5** *If there are  $\mu, \nu \in L$  such that*

- $\mu < \nu$ ,
- $\nu$  has exactly two blocks,  $B$  and  $C$ ,
- $|B| > 1$  and  $|C| > 1$ ,
- $C$  is a block of  $\mu$  as well, and
- $\mu$  has a singleton block

*then we are done.*

**Lemma 6** *Let  $M_3 = \{\omega, \alpha, b, \gamma, \iota\}$  be a diamond in  $L$  such that  $|A/\omega| \leq 8$ . Then the following three statements are true:*

- (a) *Each block  $B$  of  $\iota/\omega$  consists of a square number of elements.*
- (b) *If  $|B| = 4$  then the restriction of any of  $\alpha/\omega$ ,  $\beta/\omega$  and  $\gamma/\omega$  to  $B$  is of pattern  $2 + 2$ .*
- (c) *If  $|B| = 4$  and  $B$  is the only nontrivial block of  $\iota/\omega$  then the interval  $[\omega, \iota]$  of  $L$  coincides with  $M_3$ .*

Let  $\mathbf{Z}_3 = (\{0, 1, 2\}, +)$  be the cyclic group of order three. Denoting the elements of  $\mathbf{Z}_3 \times \mathbf{Z}_3$  by  $xy$  or sometimes by  $(x, y)$ ,  $x, y \in \mathbf{Z}_3$ , we generalize the previous lemma as follows.

**Lemma 7** *Let  $M_3 = \{\omega, \alpha, b, \gamma, \iota\}$  be a diamond in  $L$ . Then (a), (b) and (c) of the previous lemma hold. Now let  $B$  be a nine-element block of  $\iota/\omega$ , then the following two statements are also valid:*

(d)  *$B$  is, up to a bijection,  $\mathbf{Z}_3 \times \mathbf{Z}_3$ , and we have*

$$xy \alpha x'y' \iff x = x', \quad xy \beta x'y' \iff y = y',$$

and

$$xy \gamma x'y' \iff x - y = x' - y'.$$

(e) *If  $B$  is the only nontrivial block of  $\iota/\omega$  then the interval  $[\omega, \iota]$  of  $L$  is either  $M_3$  or  $M_4 = M_3 \cup \{\delta\}$  where*

$$xy \delta x'y' \iff x + y = x' + y'.$$

**Proof** The argument given for Lemma 6 in [2] proves (a), (b) and (c) of the present lemma as well. We can assume that  $\omega = 0$ , for otherwise  $A/\omega$  and  $\{\rho/\omega : \rho \in L, \omega \leq \rho\}$  could be considered instead of  $A$  and  $L$ . Now Gumm [4, Lemma 2.3], and the fact that three-element loops are (isomorphic to)  $\mathbf{Z}_3$  yield (d). (Notice that Gumm prefers  $\delta$  to  $\gamma$ , but using the automorphism  $x \mapsto -x$  for the second component of  $\mathbf{Z}_3 \times \mathbf{Z}_3$  we can swap  $\gamma$  and  $\delta$ .)

Now, to prove (e), we can assume that, in addition to  $\omega = 0$ ,  $\iota = 1$ . Indeed, if  $B \neq A$  then, by  $SP(\iota, \dots)$ , the members of  $L|_B = \{\rho|_B : \rho \in L\}$  permute, so we can work with  $B$  and  $L|_B$  rather than  $A$  and  $L$ . We claim that

$$\alpha, \beta \text{ and } \gamma \text{ are atoms in } L. \tag{1}$$

It suffices to show that  $\alpha$  is an atom. Then so is  $\beta$  by symmetry, and we can argue for  $\gamma$  as follows. Let  $(ab, cd) \in \gamma \setminus \nu$  for some  $\nu \in L$  with  $0 < \nu < \gamma$ . Then  $a \neq c$  or  $b \neq d$ . Let  $a \neq c$ ; the other case is similar by  $\alpha$ - $\beta$  symmetry. Then  $a0 \alpha ab \nu cd \alpha c0$  and  $a0 \beta c0$  shows that  $\beta \wedge (\alpha \vee \nu) \neq 0$ . But  $\beta$  is an atom, so  $\beta \leq \alpha \vee \nu$ . Using modularity and the description of  $M_3$  let us compute:  $\gamma = \gamma \wedge (\alpha \vee \beta) \leq \gamma \wedge (\alpha \vee \alpha \vee \nu) = \gamma \wedge (\alpha \vee \nu) = \nu \vee (\alpha \wedge \gamma) = \nu \vee 0 = \nu$ , whence  $\nu = \gamma$  and  $\gamma$  is an atom.

Now, to show that  $\alpha$  is an atom, suppose that  $(xy, xz) \in \nu \leq \alpha$  for some  $\nu \in L \setminus \{0\}$  and  $x, y, z \in \mathbf{Z}_3$ ,  $y \neq z$ . Then  $SP(\beta, \nu)$  and  $\nu \leq \alpha$  give  $(yy, yz) \in \nu$ . From  $(00, yy) \in \gamma$ ,  $SP(\gamma, \nu)$  and  $\nu \leq \alpha$  we infer  $(00, 0u) \in \nu$  where  $u = z - y \neq 0$ . Repeating the previous ideas,  $SP(\gamma, \nu)$  gives  $(uu, (u, 2u)) \in \nu$ , then  $SP(\beta, \nu)$  yields  $(0u, (0, 2u)) \in \nu$ . Hence  $[00]\nu \supseteq \{00, 0u, (0, 2u)\} = [00]\alpha$ , and  $SP(\beta, \nu)$  implies  $\nu = \alpha$ , proving (1).

Now we formulate the “dual” of (1):

$$\alpha, \beta \text{ and } \gamma \text{ are dual atoms in } L. \tag{2}$$

Indeed, suppose that  $\alpha < \nu < 1$  for some  $\nu \in L$ . Then  $\nu$  collapses two  $\alpha$ -blocks  $[a0]\alpha$  and  $[b0]\alpha$ ,  $a \neq b$ . Since  $(a0, b0) \in \beta$ ,  $\beta \wedge \nu \neq 0$ . From (1) we obtain  $\beta \leq \nu$ , and  $1 = \alpha \vee \beta \leq \nu \vee \nu = \nu$  is a contradiction. The treatment for the rest of (2) is similar.

Let  $\widehat{M}_4$  denote the six-element (abstract) modular lattice of length 2. From (1), (2) and modularity we conclude that  $L$  is also of length 2. Hence we obtain that

$$\text{for any } \nu \in L \setminus M_3, \quad M_3 \cup \{\nu\} \cong \widehat{M}_4. \quad (3)$$

Now we claim that for any  $\nu \in L$

$$\nu < \delta \implies \nu = 0; \quad (4)$$

here we do not assume that  $\delta \in L$ . Suppose  $0 < \nu < \delta$ . Since  $\delta$ -blocks consist of three elements,  $\nu$  has a singleton block  $\{xy\}$ . Hence  $[xy](\alpha \vee \nu) = [xy](\nu \circ \alpha) = [xy]\alpha \neq A$ , albeit  $\alpha \vee \nu = 1$  by (3). This shows (4).

It is easy to check that for each  $xy \in A$ ,

$$[xy]\delta = \{xy\} \cup \left( A \setminus ([xy]\alpha \cup [xy]\beta \cup [xy]\gamma) \right). \quad (5)$$

Finally, let  $\nu \in L \setminus M_3$ . Since  $\nu \wedge \alpha = \nu \wedge \beta = \nu \wedge \gamma = 0$  by (3), we obtain  $\nu \leq \delta$  from (5), and (4) gives  $\nu = \delta$ . This proves the lemma.  $\square$

An element  $a \in A$  will be called *separated* (with respect to  $L$ ) if  $[a]\nu$  is a singleton for all  $\nu \in L \setminus \{1\}$ . Given an equivalence  $\nu \in L$  and a subset  $X \subseteq A$ ,  $X$  is said to be  $\nu$ -closed if  $[y]\nu \subseteq X$  for every  $y \in X$ .

**Lemma 8** *If  $A$  has a separated element then we are done.*

**Proof** Let  $z \in A$  be a separated element, and let  $B = A \setminus \{z\}$ . Since  $L|_B = \{\nu|_B : \nu \in L\}$  is a lattice of permuting equivalences over  $B$  and  $|B| < |A|$ , there is a Mal'cev function  $q : B^3 \rightarrow B$  which is compatible with  $L|_B$ . Let us define  $p : A^3 \rightarrow A$  by the following properties:  $p$  extends  $q$ ,  $p(a, b, z) = p(a, z, b) = p(z, a, b) = z$  for all  $a, b \in B$ , and  $p(x, z, z) = p(z, x, z) = p(z, z, x) = x$  for all  $x \in A$ . Then  $p$  is a Mal'cev function, which is compatible with  $L$ .  $\square$

Armed with the previous lemmas, the proof of Theorem 1 runs as follows. We can assume that  $L$  includes a diamond, for otherwise Lemma 1 is applicable with  $\mu = 1$ . Let us fix a diamond  $M_3 = \{\omega, \alpha, \beta, \gamma, \iota\}$  in  $L$  for which  $\omega$  is minimal. By Lemmas 6 and 7 we do not have too many possibilities for  $M_3$ . Moreover, if we disregard from those settled by Lemma 4 (for  $\iota$  or  $\omega$ ) or Lemma 5 (for  $\iota$  and  $\omega$ ) then it is easy to list the rest, and eleven cases remain. These cases are depicted in Figures 1–11. These figures indicate the  $\iota$ -blocks by closed polygons and the  $\omega$ -blocks by closed curves, however, singleton blocks are never indicated. Whenever the elements of  $A$  are labelled, we always assume

$$\{(a, d), (b, c)\} \subseteq \alpha, \quad \{(a, c), (b, d)\} \subseteq \beta \quad \text{and} \quad \{(a, b), (c, d)\} \subseteq \gamma.$$

Hence our figures determine  $\alpha$ ,  $\beta$  and  $\gamma$ , provided  $a, b, c$  and  $d$  occur as labels. Some  $\iota$ -blocks are denoted by capital letters. Equivalences will often be given

by partitions, so formulas like  $\rho = \{\{a, b, c, d, e\}, \{f, g, h, i\}\}$  should not cause any confusion.

Now *Case 1*, cf. Figure 1, is clearly settled by Lemma 7, for the Malcev function  $p(x, y, z) = x - y + z$  on the Abelian group  $\mathbf{Z}_3 \times \mathbf{Z}_3$  preserves the group congruences  $\alpha, \beta, \gamma$  and  $\delta$  described in the lemma.

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

*In Cases 2, 3, 4 and 5* we are going to show that for any other diamond  $M'_3 = \{\omega', \alpha', \beta', \gamma', \iota'\}$  in  $L$  we have  $\omega \leq \omega'$ ; then Lemma 1 applies with  $\mu = \omega$ .

Suppose  $\omega \not\leq \omega'$ . By the minimality of  $\omega, \omega' \parallel \omega$ , so we can choose a pair  $(x, y) \in \omega' \setminus \omega$ . Using  $\text{SP}(\alpha, \omega')$  or  $\text{SP}(\beta, \omega')$  we can assume that  $x = d$ . If  $y \in [a]\omega$  then  $\text{SP}(\omega, \omega')$  yields  $[d]\omega' \supseteq [a]\omega \cup \{d\}$ , and we infer from  $\text{SP}(\beta, \omega')$  that  $\omega'$  has at most  $\lfloor [c]\omega \rfloor + 1 \leq 3$  blocks, which contradicts Lemma 6. Similarly,  $y \in [b]\omega$  implies  $[d]\omega' \supseteq [b]\omega \cup \{d\}$  by  $\text{SP}(\omega, \omega')$ , whence  $\omega'$  has at most  $\lfloor [c]\omega \rfloor + 1 \leq 3$  blocks by  $\text{SP}(\alpha, \omega')$ . Hence  $[d]\omega' \setminus \{d\} \subseteq [c]\omega$ . Repeating the previous argument with  $\text{SP}(\omega, \omega')$  and  $\text{SP}(\alpha, \omega')$  we obtain that  $[d]\omega' = [c]\omega \cup \{d\}$  and  $\omega'$  has at most  $\lfloor [b]\omega \rfloor + 1$  blocks. This settles Cases 2 and 4 by Lemma 6. Moreover, in Cases 3 and 5,  $\omega'$  has exactly four blocks:  $[d]\omega'$  and the  $\omega'$ -blocks of elements of  $[b]\omega$ . Clearly,  $\omega \wedge \omega'$  is of pattern  $2 + 1 + \dots + 1$ , and Lemma 4 applies.

Figure 6

Figure 7

*In Cases 6 and 7* we can assume that  $h$  is not separated, for otherwise Lemma 8 is applicable. Hence there is a  $\nu \in L \setminus \{1\}$  such that  $[h]\nu \cap (B \cup C) \neq \emptyset$ . We infer from  $\text{SP}(\iota, \nu)$  that  $[h]\nu \cap B \neq \emptyset$  implies  $[h]\nu \supseteq B \cup \{h\}$  and  $[h]\nu \cap C \neq \emptyset$  implies  $[h]\nu \supseteq C \cup \{h\}$ . Hence  $B \cup \{h\}$  or  $C \cup \{h\}$  is a block of  $\nu$ , and Lemma 5 applies for  $\iota$  and  $\iota \vee \nu$ .

Figure 8

*In Case 8* we claim that, for any  $\rho \in L \setminus \{1\}$ ,

$$\text{if } B \text{ is not } \rho\text{-closed then } [a]\rho = B \cup C \text{ or } [a]\rho = B \cup D. \quad (6)$$



Indeed, suppose the contrary. Since the role of  $C$  and  $D$  is symmetric,  $\rho \cap (B \times C) \neq \emptyset$  can be assumed. From  $\text{SP}(\iota, \rho)$  we conclude  $[b]\rho \cap C \neq \emptyset$ , whence  $\text{SP}(\omega, \rho)$  yields  $[b]\rho \supseteq \{b, f, g\}$ . Resorting to  $\text{SP}(\iota, \rho)$  again we obtain  $B \cup C \subseteq [b]\rho = [a]\rho$ . This inclusion cannot be proper, for otherwise  $\text{SP}(\iota, \rho)$  would lead to  $\rho = 1$ .

Now let us observe that

$$\text{if } B \text{ is not } \rho\text{-closed for some } \rho \in L \setminus \{1\} \text{ then we are done.} \quad (7)$$

Indeed, by (6) we can suppose  $[a]\rho = B \cup C$ . Then  $\iota \vee \rho = \{B \cup C, D\}$ , and Lemma 5 applies for  $\omega$  and  $\iota \vee \rho$ .

Now Case 8 will be settled rapidly. By Lemma 2 we may suppose that  $\iota$  is not semicentral and, by (7), this is witnessed by some  $\rho$  ( $\rho \parallel \iota$ ,  $\rho \in L$ ) such that  $B$  is  $\rho$ -closed. Then  $\iota \vee \rho = \{B, C \cup D\}$  is either semicentral and Lemma 2 applies or  $B$  is not  $\nu$ -closed for some  $\nu \in L \setminus \{1\}$  and we invoke (7).

Figure 9

Figure 10

Figure 11

*Now we are left with Cases 9, 10 and 11.* In virtue of Lemma 1 and the fact that Cases 1,  $\dots$ , 8 have been settled we can assume that  $L$  includes two diamonds  $M_3 = \{\omega, \alpha, \beta, \gamma, \iota\}$  and  $M'_3 = \{\omega', \alpha', \beta', \gamma', \iota'\}$  such that  $\omega \parallel \omega'$  and both  $M_3$  and  $M'_3$  belong to Cases 9, 10 and 11. Apart from  $M_3$ - $M'_3$  symmetry,

this gives rise to six possibilities, which will be handled separately. In what follows, figures 9, 10 and 11 will describe  $M_3$  while the elements  $a', b', \dots$  and subsets  $B', C', \dots$  of  $A$  together with the corresponding figure refer to  $M'_3$ .

*Cases 9–10 and 9–11:* when  $M_3$  is of type 9 and  $M'_3$  is of type 10 or 11. Let us take a pair  $(x, y) \in \omega' \setminus \omega$ . Apart from labelling,  $(x, y) = (a, b)$  or  $(x, y) = (b, c)$ . When  $(x, y) = (a, b) \in \omega'$  then  $\text{SP}(\omega, \omega')$  cannot hold, for  $\omega'$  has two-element nontrivial blocks only. Hence  $(x, y) = (b, c) \in \omega'$ , and  $\text{SP}(\beta, \omega')$  leads to a contradiction, for  $(b, c) \notin \beta$  and there are (numerous) singleton  $\omega'$ -blocks.

*Cases 10–10 and 10–11,* when  $M_3$  is of type 10 and  $M'_3$  is of type 10 or 11. By Lemma 4,  $\omega \wedge \omega' = 0$  can be assumed. If  $(x, y) \in \omega' \setminus 0$  then  $\{x, y\} \subseteq B$  or  $\{x, y\} = \{h, i\}$ , for otherwise  $\text{SP}(\iota, \omega')$  would enlarge  $\omega'$ . Hence if  $(h, i) \in \omega'$  then  $\iota \wedge \omega'$  is of pattern  $2 + 1 + \dots + 1$  and Lemma 4 applies. Therefore both two-element  $\omega'$ -blocks are included in  $B$ . So either  $|[a]\omega'| = 2$  or  $|[e]\omega'| = 2$ , which contradicts  $\text{SP}(\omega, \omega')$  and  $\omega \wedge \omega' = 0$ .

*Case 9–9,* when both  $M_3$  and  $M'_3$  are of type 9. We focus our attention at the three-element blocks  $[a]\omega$  and  $[a']\omega'$ .

If  $[a]\omega = [a']\omega'$  then  $\omega \wedge \omega'$  is one of the following patterns:  $3 + 1 \dots + 1$ ,  $3 + 2 + 1 + \dots + 1$  and  $3 + 2 + 2 + 2$ . However, the last one is impossible by  $\omega \neq \omega'$ , and the first two are settled by Lemma 4.

If  $|[a]\omega \cap [a']\omega'| = 2$  then we can assume that  $a = a'$ ,  $e' = e$  and  $f' = c$ , i.e.,  $[a']\omega' = \{a, e, c\}$ . It follows from  $\text{SP}(\alpha, \omega')$  that  $\omega$  and  $\omega'$  have no two-element block in common. Thus  $\omega \wedge \omega'$  is of pattern  $2 + 1 + \dots + 1$ , and Lemma 4 applies.

If  $|[a]\omega \cap [a']\omega'| = 1$  then let  $x \in [a']\omega' \setminus [a]\omega$  and let  $y$  be the unique element of  $[x]\omega \setminus \{x\}$ . We have  $(x, y) \notin \omega'$ , for otherwise  $\text{SP}(\omega, \omega')$  would enlarge  $\omega'$ . Then, however,  $\text{SP}(\omega, \omega')$  yields  $|[y]\omega' \cap [a]\omega| = 2$ , contradicting  $|[y]\omega'| < |[a']\omega'| = 3$ .

If  $[a]\omega \cap [a']\omega' = \emptyset$  then there is an  $x \in [a]\omega$  such that  $|[x]\omega' \cap [a]\omega| = 1$  and  $|[x]\omega'| = 2$ . Let  $y \in [x]\omega' \setminus \{x\}$ , working with  $[a]\omega$  and  $[y]\omega$  we get a contradiction by  $\text{SP}(\omega, \omega')$ .

*Case 11–11,* when both  $M_3$  and  $M'_3$  are of type 11. By Lemma 4 we can assume that  $\omega \wedge \omega' = 0$ . First we show that

$$B = B' \quad \text{or} \quad B \cap B' = \emptyset. \quad (8)$$

Indeed, suppose the contrary. Then there are elements  $x_1 \in B \cap B'$ ,  $x_2 \in B \setminus B'$  and  $x_3 \in B' \setminus B$ . Then  $|[x_3]\iota| = 2$ , for otherwise  $\text{SP}(\iota, \iota')$  would enlarge  $\iota'$ . Let  $x_4 \in [x_3]\iota \setminus \{x_3\}$ . Then  $(x_3, x_4) \in \omega$ , and  $\text{SP}(\iota, \iota')$  gives  $(x_2, x_4) \in \iota'$ . Hence  $x_4 \notin B'$ , and  $\text{SP}(\iota', \omega)$  clearly leads to a contradiction. This proves (8).

Now let us assume that  $|[i]\iota'| > 1$ . From  $\text{SP}(\iota, \iota')$  we easily infer that  $|[i]\iota'| \neq 2$ , whence  $[i]\iota' = B'$ . By (8) we can assume that  $B' = \{i, e, f, g\}$ . Then  $h \notin B'$ , and  $\text{SP}(\iota', \omega)$  leads to a contradiction. Therefore  $|[i]\iota'| = 1$ , i.e.,  $i = i'$ .

By Lemma 8 we can assume that  $i$  is not separated. Hence there is a  $\nu \in L \setminus \{1\}$  with  $|[i]\nu| > 1$ . Suppose first that  $B \cap B' = \emptyset$ , i.e.,  $B' = \{e, f, g, h\}$ . Since the role of  $M_3$  and  $M'_3$  is symmetric,  $[i]\nu \cap B \neq \emptyset$  can be assumed. Then  $[i]\nu \supseteq B$  by  $\text{SP}(\iota, \nu)$ . Since  $[i]\nu \cap B' \neq \emptyset$  would similarly imply  $[i]\nu \supseteq B'$  albeit  $\nu \neq 1$ ,  $[i]\nu = B \cup \{i\}$  and Lemma 5 applies for  $\nu \vee \iota' = \{\{a, b, c, d, i\}, \{e, f, g, h\}\}$

and  $\iota \vee \iota' = \{\{a, b, c, d\}, \{i\}, \{e, f, g, h\}\}$ . Secondly, let  $B \cap B' \neq \emptyset$ , then  $B = B'$  by (8). Now, taking  $\omega \wedge \omega' = 0$  into account,  $B$  is the only nontrivial block of  $\iota \wedge \iota'$ , and Lemma 4 applies. This proves Case 11–11, and also Theorem 1.

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