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COMMUTATIVE IDEMPOTENT RESIDUATED LATTICES

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Abstract. We investigate the variety of residuated lattices with a commutative and idempotent monoid reduct.

Keywords: residuated lattice, semilattice, finitely based variety, minimal variety

MSC 2000: 06F05

A residuated lattice is an algebra $\mathbf{A} = (A, \vee, \wedge, \cdot, e, /, \setminus)$ such that (A, \vee, \wedge) is a lattice, (A, \cdot, e) is a monoid and for every $a, b, c \in A$

$$ab \leqslant c \Leftrightarrow a \leqslant c/b \Leftrightarrow b \leqslant a \setminus c$$
.

The last condition is equivalent to the fact that $(A, \vee, \wedge, \cdot, e)$ is a lattice-ordered monoid and for every $a, b \in A$ there is a greatest c such that $cb \leq a$ (denoted a/b) and a greatest d such that $bd \leq a$ (denoted $b \setminus a$). It is easy to see that the class \mathcal{RL} of all residuated lattices is a variety. We are concerned about the variety \mathcal{CIdRL} of commutative idempotent (CI) residuated lattices, i.e. the subvariety of \mathcal{RL} given by the equations

$$xy \approx yx$$
 and $xx \approx x$.

In other words, residuated lattices whose semigroup reduct is a semilattice. For example, every Heyting algebra is a CI residuated lattice, where $ab = a \wedge b$ and $a/b = b \setminus a = b \rightarrow a$ for every a, b (see e.g. [3, p. 30]).

Foundation of the theory of residuated lattices goes as far back as 1930's, when Dilworth and Ward [5] studied lattices of ring ideals. A recent introduction can be

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found in [4] and [10] and commutative residuated lattices were particularly studied in [9]. We will use the notation and terminology of these papers. We also assume a basic familiarity with universal algebra, standard references are [3] and [12].

In CI residuated lattices, we drop the operation \setminus , since owing to the commutativity $x/y \approx y \setminus x$. The lattice order will be denoted by \leqslant . We put $a \leq b$ iff ab = a; hence \leq is the semilattice order, where \cdot is regarded as the meet; e is its top element. When referring to an order, we mean the lattice order \leqslant , unless explicitly stated otherwise. We put $A^+ = \{a \in A : a \geqslant e\}$ and $A^- = \{a \in A : a \leqslant e\}$ and we call \mathbf{A}^+ the positive cone and \mathbf{A}^- the negative cone of \mathbf{A} (regarded as lattice-ordered monoids; indeed, they may not be closed under residuation).

The bottom element (in the lattice order) is denoted by 0 and the top element is denoted by 1, if they exist; it is easy to see that, in any residuated lattice, if 0 exists, then 1 exists, 0a = a0 = 0 and a/0 = 1/a = 1 (see also [4]); in particular, 0 is also the bottom element of the semilattice order in any CI residuated lattice.

1. MOTIVATION

Our interest in this particular variety comes from the following observation.

- 1.1. Observation. Let V be a non-trivial subvariety of residuated lattices based (relatively to \mathcal{RL}) by equations in the language of monoids. Then V contains \mathcal{CIdRL} as a subvariety. (In other words, any monoid equation with a non-trivial residuated lattice model is implied by commutativity and idempotency.)
- Proof. Let $u \approx v$ be an equation in the language of monoids valid in \mathcal{V} . In order to prove that every CI residuated lattice is in \mathcal{V} , it is enough to show that $u \approx v$ holds in every semilattice. Indeed, this happens iff the terms u and v contain the same variables. Hence, suppose that a variable x occurs in the term u and does not occur in the term v. Put all the other variables equal to e and obtain an equation $x^n \approx e$ for some n, valid in \mathcal{V} . However, this implies that \mathcal{V} is trivial, because any non-trivial lattice-ordered monoid contains an element a comparable to e and we get a contradiction either by $e < a \leqslant a^2 \leqslant \ldots \leqslant a^n = e$ if a > e, or similarly if a < e. \square

Our motivation was the following result of Bahls, Cole, Galatos, Jipsen and Tsinakis [1].

1.2. Theorem. Let V be a non-trivial subvariety of residuated lattices based (relatively to \mathcal{RL}) by equations in the language of lattices. Then V does not satisfy any non-trivial monoid equation (more precisely, for every equation ε in the language \cdot , e, if $V \models \varepsilon$, then all monoids satisfy ε).

Proof. Let **L** be a bounded lattice. We construct a residuated lattice \mathbf{L}' , whose monoid reduct is the free monoid over the alphabet L and whose lattice reduct satisfies the same lattice equations as **L** (it generates the same variety as **L**). We identify words of length n over L with n-tuples of elements of L and define a lattice structure on the free monoid to be the ordinal sum of \mathbf{L}^0 (consisting of the empty word), $\mathbf{L}^1, \mathbf{L}^2, \mathbf{L}^3, \ldots$ (with the empty word on top). One can check that the resulting structure becomes a residuated lattice. Now, if a monoid identity holds in \mathcal{V} , it holds in \mathbf{L}' for every \mathbf{L} satisfying the relative base of \mathcal{V} . Hence it holds in free monoids and thus in every monoid. See [1] for details.

Is there a similar theorem, with the role of lattice and monoid reducts interchanged?

- **1.3.** Theorem. The variety \mathcal{CIdRL} does not satisfy any non-trivial lattice equation (more precisely, for every equation ε in the language \vee , \wedge , if $\mathcal{CIdRL} \vDash \varepsilon$, then all lattices satisfy ε).
- Proof. Let **L** be a bounded lattice. We construct a CI residuated lattice **L**', whose lattice reduct satisfies the same lattice equations as **L** (it generates the same variety as **L**). Let us denote by 1 the top element of **L** and by e the bottom element of **L**. Let L' be the disjoint union of L and $\{0\}$. The lattice structure on L' is defined so that 0 is added to **L** as a new bottom element. We define the multiplication by 00 = 0a = a0 = 0 for every $a \in L$ and $ab = a \vee b$ for every $a, b \in L$. It is easy to check that this is a lattice-ordered CI monoid and it admits residuation as follows: a/0 = 1, 0/a = 0, a/b = a for $b \leq a$ and a/b = 0 for $b \not\leq a$, $a, b \in L$. Now, if a lattice identity holds in \mathcal{CIdRL} , it holds in \mathbf{L}' for every bounded lattice **L** and thus it holds in all lattices.
- **1.4. Corollary.** Let V be a non-trivial subvariety of residuated lattices based (relatively to \mathcal{RL}) by equations in the language of monoids. Then V does not satisfy any non-trivial lattice equation.
- Proof. According to Observation 1.1, the variety \mathcal{CIdRL} is a subvariety of \mathcal{V} and thus Theorem 1.3 applies.

2. Basic properties

- **2.1. Lemma.** Let **A** be a lattice-ordered idempotent monoid and $a, b \in A$.
- (1) $a \wedge b \leq ab \leq a \vee b$.
- (2) If $a, b \ge e$, then $ab = a \lor b$.

- (3) If $a, b \leq e$, then $ab = a \wedge b$.
- (4) If $a \leq e \leq ab$, then ab = b.
- (5) If $ab \leqslant e \leqslant a$, then ab = b.

Proof. (1) $a \wedge b \leq a, b \leq a \vee b$, hence $a \wedge b = (a \wedge b)(a \wedge b) \leq ab \leq (a \vee b)(a \vee b) = a \vee b$.

- (2) If $a \ge e$, then $ab \ge eb = b$ and similarly also $ab \ge a$. Thus $ab \ge a \lor b$. The other inequality was proven in (1). Similarly for (3).
 - (4) $b = eb \leqslant abb = ab \leqslant eb = b$. Similarly for (5).

The following two statements about congruence lattices of CI residuated lattices are immediate consequences of results in [4] and [9]. The second sentence of Proposition 2.2 appears also in [8] (in a more general setting).

- **2.2. Proposition.** The congruence lattice of **A** is isomorphic to the lattice of filters on A^- . In particular, if A is finite, then $Con(A) \simeq (A^-)^{\partial}$.
- Proof. Blount and Tsinakis described in [4] a correspondence between congruences of a residuated lattice \mathbf{A} and convex normal submonoids of \mathbf{A}^- . We prove that convex normal submonoids in CI residuated lattices are precisely filters.

Let $M \subseteq A^-$. Since $a \wedge b = ab$ for all $a, b \leqslant e$, M is closed under meet iff it is closed under multiplication. If $e \in M$ (it indeed is, whenever \mathbf{M} is a submonoid or a filter), then M is convex iff it is an upper set. Hence, it remains to show that every filter is normal. Since $(ba)/b = (ab)/b \geqslant a$ for all a, b, every conjugation mapping $\gamma(x) = ((bx)/b) \wedge e$ maps a negative element onto a greater one. Consequently, congruences of a CI residuated lattice correspond to filters.

2.3. Corollary. A CI residuated lattice **A** is simple if $|A^-| = 2$. It is subdirectly irreducible if f e is completely join-irreducible.

It is well-known that residuated lattices are congruence distributive and congruence permutable. In particular, the negative cone of a non-trivial CI residuated lattice is always distributive (in fact, it is a Heyting algebra) and contains at least two elements.

3. Finitely and non-finitely based subvarieties

- **3.1. Proposition.** CI residuated lattices have definable principle congruences.
- Proof. Principal congruences correspond to principal filters, which are, of course, first-order definable. It can be checked easily that a congruence corresponding to a definable convex normal submonoid is also definable (generally for residuated lattices).

In fact, N. Galatos proved a stronger result in [8]: principal congruences in commutative n-potent residuated lattices are equationally definable. This result is indeed more complicated.

3.2. Corollary. A subvariety V of CIdRL is finitely based if f the class of subdirectly irreducible algebras in V is first-order definable.

Proof. This is an immediate consequence of a theorem of K. Baker and J. Wang [2]. \Box

A non-finitely based variety of lattices was found by R. McKenzie in [11]. He constructed an infinite independent family $\varepsilon_1, \varepsilon_2, \ldots$ of lattice equations and finite lattices $\mathbf{B}_1, \mathbf{B}_2, \ldots$ such that $\mathbf{B}_n \not\vDash \varepsilon_n$ and $\mathbf{B}_n \vDash \varepsilon_m$ for every $m \neq n$. We modify his construction to get an example of a non-finitely based subvariety of CI residuated lattices.

3.3. Proposition. Let \mathcal{V} be a variety with a lattice reduct and assume that for every finite lattice \mathbf{L} there is an algebra $\mathbf{A_L} \in \mathcal{V}$ such that \mathbf{L} and $(A_{\mathbf{L}}, \vee, \wedge)$ satisfy the same lattice equations. Then the subvariety of \mathcal{V} based (relatively to \mathcal{V}) by $\varepsilon_1, \varepsilon_2, \ldots$ is not finitely based.

Proof. Let us denote the subvariety by \mathcal{W} . If there were a finite base Σ of \mathcal{W} , by the compactness theorem, only finitely many ε_i 's would be necessary to prove that Σ holds in \mathcal{W} . Thus there is n such that $\mathcal{CIdRL}, \varepsilon_1, \ldots, \varepsilon_n \vDash \Sigma$. Hence, since Σ is a base of \mathcal{W} , a CI residuated lattice is in \mathcal{W} iff it satisfies $\varepsilon_1, \ldots, \varepsilon_n$. But it means that $\mathbf{A}_{\mathbf{B}_{m+1}} \in \mathcal{W}$, because \mathbf{B}_{m+1} satisfies all the equations $\varepsilon_1, \ldots, \varepsilon_m$. On the other hand, $\mathcal{W} \vDash \varepsilon_{m+1}$ and $\mathbf{A}_{\mathbf{B}_{m+1}} \not\vDash \varepsilon_{m+1}$. This is a contradiction.

Proposition 3.3 applies to the variety \mathcal{CIdRL} ; we can take, for example, $\mathbf{A_L} = \mathbf{L'}$ from the proof of Theorem 1.3. It applies also to the variety of cancellative residuated lattices, if we take $\mathbf{A_L} = \mathbf{L'}$ from the proof of Theorem 1.2.

4. More examples

A complete lattice **L** is called *infinitely join distributive*, if $\bigvee_{x \in X} (x \wedge y) = (\bigvee_{x \in X} x) \wedge y$ holds for any $X \subseteq L$ and $y \in L$.

Example. Let **D** be a complete infinitely join distributive lattice. Then the algebra $(D, \vee, \wedge, \wedge, 1, /)$ is a CI residuated lattice, where $a/b = \bigvee\{c \colon c \wedge b \leqslant a\}$. (Indeed, since a/b is the greatest c such that $c \wedge b \leqslant a$, we must have $\bigvee\{c \colon c \wedge b \leqslant a\}$. And the big join is less than a, if **D** is infinitely join distributive.)

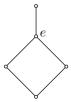
Example. Let **L** be a bounded lattice and **D** a complete infinitely join distributive lattice; suppose $L \cap D = \emptyset$. We construct a CI residuated lattice $\mathbf{L} \sqcup \mathbf{D}$ on the set $L \cup D$. Let **L**, **D** be sublattices of $\mathbf{L} \sqcup \mathbf{D}$ with all elements of L greater then any element of D. Denote e the bottom element of **L** and t the top element of **D**, while 0,1 refer to the top and bottom of $\mathbf{L} \sqcup \mathbf{D}$. Put $ab = a \vee b$ for $a,b \in L$, $ab = a \wedge b$ for $a,b \in D$ and ab = ba = b for $a \in L$, $b \in D$. It is easy to check that this is a lattice-ordered CI monoid and that it admits residuation as follows:

- a/b = a for $e \le b \le a$.
- a/b = 1 for $b \leqslant a, b \leqslant e$.
- a/b = a for $a \le e \le b$.
- a/b = t for $b \nleq a, a, b \geqslant e$.
- $a/b = \bigvee \{c \in D : c \land b \leqslant a\} \text{ for } b \nleq a, a, b \leqslant e.$

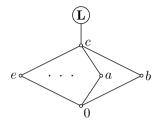
Consequently, for every bounded lattice **L** and complete infinitely join distributive lattice **D**, there is a CI residuated lattice **A** with $(A^+, \vee, \wedge) = \mathbf{L}$, $(A^-, \vee, \wedge) = \mathbf{D} + \{e\}$ and all elements comparable to e. Note that the lattice $\mathbf{L} \sqcup \mathbf{D}$ is subdirectly irreducible.

In particular, there exists a simple CI residuated lattice \mathbf{L}' with $(L'^+, \vee, \wedge) = \mathbf{L}$ (take \mathbf{D} trivial). By Lemma 2.1(2), any simple CI residuated lattice with no elements incomparable to the unit is some \mathbf{L}' . Also, by Jónsson's lemma, \mathbf{L}' 's are the only subdirectly irreducible algebras in the variety they generate, hence they generate a proper subvariety of \mathcal{CIdRL} . This variety is finitely based, according to Corollary 3.2. In fact, one can use the Galatos algorithm [7] and find a basis: it is based (relatively to \mathcal{CIdRL}) by the single equation $((e/x) \wedge e) \vee ((y/x) \wedge e) \approx e$.

It is easy to check that there is (up to isomorphism) one 2-element CIRL, two 3-element CIRLs and four 4-element CIRLs. Using a computer, on can compute that there are twenty 5-element CIRLs; every 5-element lattice is a reduct of a CIRL; and in any 5-element lattice, one can choose $e \neq 0, 1$ arbitrarily, except for the following case:



We proved that every bounded lattice is a subreduct of a CI residuated lattice. However, there is a 6-element lattice, which is not a reduct of a CI residuated lattice. **4.1. Proposition.** Let **L** be a lattice and \mathbf{M}_n be the (n+2)-element lattice with n atoms, $n \ge 3$. Then the ordinal sum \mathbf{L}' of **L** and \mathbf{M}_n (with **L** on top) is not a reduct of a CI residuated lattice.



Proof. Assume there is a CI residuated lattice **A** with the lattice reduct L'. First of all, note that the unit element must be one of the atoms—otherwise, **A**⁻ is not a non-trivial distributive lattice. Let us denote by e, a, b three distinct atoms and assume that e is the unit element. Let $c = e \lor a \lor b$ be the top element of \mathbf{M}_n . It is well known (see [4]) and easy to prove that in any residuated lattice multiplication distributes over joins, in symbols

$$x(y \lor z) \approx (xy) \lor (xz).$$

Using this identity, we get for every atom $x \neq e$ in \mathbf{L}' that $xc = x(e \lor x) = x \lor x = x$. Another use of this identity yields $a = ac = a(e \lor b) = a \lor (ab)$ and similarly $b = b \lor (ab)$, so $ab \leqslant a$ and $ab \leqslant b$ and thus ab = 0. Now, choose $d \in L$. We have $(da) \lor (db) = d(a \lor b) = dc = d$ (because multiplication coincides with the join on positive elements). Hence, at least one of da, db must be greater than c; assume it is da. Then $c(db) \leqslant (da)(db) = d(ab) = d0 = 0$. However, this is possible iff db = 0, because $cx \geqslant c$ for every x positive and we have proved above that cx = x for every atom $x \neq e$. But $db \geqslant eb = b$, a contradiction.

A different argument yields examples of infinite lattices which are not reducts of any CI residuated lattice. Let \mathbf{L} be an arbitrary simple atomless lattice (e.g. the dual of the lattice of subspaces of an infinite-dimensional vector space) and let \mathbf{A} be a CI residuated lattice with the lattice reduct \mathbf{L} . By adding operations to a simple algebra, one gets again a simple algebra. Hence \mathbf{A} is simple, but \mathbf{A}^- cannot have two elements, because there are no atoms in \mathbf{A} , which contradicts Corollary 2.3.

The following propositions describe all totally ordered CI residuated lattices (i.e. those where the lattice reduct is a chain).

- **4.2. Proposition.** Let $\mathbf{A} = (A, \vee, \wedge, \cdot, e)$ be a structure such that (A, \vee, \wedge) is a chain and (A, \cdot, e) is a semilattice with a unit. Then the following are equivalent.
- (1) A is a lattice-ordered monoid.
- (2) $ab = a \lor b$ for every $a, b \in A^+$, $ab = a \land b$ for every $a, b \in A^-$ and the semilattice reduct is a chain.
- Proof. (1) \Rightarrow (2) follows from Lemma 2.1. If a, b are both positive or both negative, 2.1 (2) or 2.1 (3) applies. Otherwise, since \leq is a chain, we may assume that $a \leq e \leq b$. In this case, either $e \leq ab$ and 2.1 (4) applies, or $ab \leq e$ and 2.1 (5) applies.
- $(2)\Rightarrow (1)$. Note that on the positive cone, $a\leqslant b$ iff $b\preceq a$, and on the negative cone, $a\leqslant b$ iff $a\preceq b$. Let $a\leqslant b$. We need to prove that $ac\leqslant bc$ for every $c\in A$. Since (A,\preceq) is a chain, $ac\in\{a,c\}$ and $bc\in\{b,c\}$. Hence the only bad situation is either (a) ac=a, bc=c and a>c, or (b) ac=c, bc=b and c>b. We prove that none of them is actually possible. In (a), we have c< a< b and $a\prec c\prec b$. The element a can't be positive, because in this case b is also positive and a< b implies $b\prec a$. On the other hand, a can't be negative, because then c is also negative and c< a implies $c\prec a$. This is a contradiction. In (b), we have a< b< c and $b\prec c\prec a$ and a similar argument works.
- **4.3.** Corollary. Let $\mathbf{A} = (A, \vee, \wedge, \cdot, e)$ be a structure such that (A, \vee, \wedge) is a chain and (A, \cdot, e) is a semilattice with a unit. Then the following are equivalent.
- (1) $(A, \vee, \wedge, \cdot, e, /)$ is a residuated lattice for some /.
- (2) $ab = a \lor b$ for every $a, b \in A^+$, $ab = a \land b$ for every $a, b \in A^-$, the semilattice reduct is a chain and for every a, b there is the greatest c such that $ac \leqslant b$. In particular, for A finite, the conditions are equivalent to
- (3) $ab = a \lor b$ for every $a, b \ge e$, $ab = a \land b$ for every $a, b \le e$ and the semilattice reduct is a chain with 0 in bottom.
- Proof. (1) \Leftrightarrow (2) follows obviously from the previous proposition. If (1), (2) are true, then (3) follows from the fact that 0 exists and 0a = a0 = 0 for all a in any residuated lattice with 0. And if (3) holds, then there is always some c, namely c = 0, such that $ac \leqslant b$, and thus there is also the greatest such c. (Note that it is enough to assume that the dual of (A, \vee, \wedge) is well-ordered with a top element, not necessarily finite.)

5. Minimal varieties

Minimal subvarieties of residuated lattices were investigated by several authors, particularly by N. Galatos in [6]. He found also minimal subvarieties of \mathcal{CIdRL} —they are just two. We briefly reprove his result.

A residuated lattice is called *integral* if all its elements are negative. Let \mathbf{C}_2 be the two-element CI residuated lattice, $C_2 = \{0,1\}$, e = 1. Let \mathbf{C}_3 be the three-element non-integral CI residuated lattice, $C_3 = \{0,e,1\}$, 0 < e < 1. (Note that, in fact, \mathbf{C}_2 is the only two-element residuated lattice and \mathbf{C}_3 is the only non-integral three-element residuated lattice.) Let \mathcal{V}_2 , \mathcal{V}_3 be the varieties generated by \mathbf{C}_2 , \mathbf{C}_3 , respectively. It is clear from Jónsson's lemma that \mathcal{V}_2 and \mathcal{V}_3 are minimal varieties.

5.1. Theorem. V_2 and V_3 are the only minimal subvarieties of CIdRL.

Proof. We show that every non-trivial subvariety \mathcal{V} of \mathcal{CIdRL} contains \mathbf{C}_2 or \mathbf{C}_3 . According to the well known Magari theorem, \mathcal{V} contains a (non-trivial) simple algebra \mathbf{A} . Indeed, $|A^-|=2$, so \mathbf{A} has the bottom and thus also the top element. We show that $B=\{0,e,1\}$ is a subalgebra of \mathbf{A} —then it is isomorphic to one of \mathbf{C}_2 , \mathbf{C}_3 , depending on whether e=1 or not. The set B is indeed closed under join, meet and multiplication. In any bounded residuated lattice the equations $x/0 \approx 1$, $x/e \approx x$ and $1/x \approx 1$ hold and $0/1 \leqslant e/1 < e$. Hence in a simple CI residuated lattice 0/1 = e/1 = 0 and we are done.

 \mathcal{V}_2 is known as the variety of generalized Boolean algebras and it is based (relatively to \mathcal{CIdRL}) by $x \leq e$ and $y/(y/x) \approx x \vee y$. A finite base for the variety \mathcal{V}_3 can be found in [6] (or computed by the Galatos algorithm).

In fact, N. Galatos proved in [6] that C_2 or C_3 is a subalgebra of any idempotent residuated lattice **A** satisfying $e/x \approx x \setminus e$. If **A** is integral, then $\{a, e\}$ is a subalgebra isomorphic to C_2 for every $a \neq e$ and if **A** is not integral, then $\{e/a, e, e/(e/a)\}$ is a subalgebra isomorphic to C_3 for every a > e. Consequently, every subvariety of \mathcal{CIdRL} is either integral, or contains C_3 (in other words, C_3 is a splitting algebra).

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