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ON UNCONDITIONAL CONVERGENCE OF SERIES IN BANACH LATTICES

PAVEL KOSTYRKO

In the theory of real functions the following assertion of W. Sierpiński is known (see [3], [4] and [5] p. 89): A series $\sum_{n=1}^{\infty} f_n$ of bounded real functions is unconditionally uniformly convergent, i.e. it is uniformly convergent regardless of the ordering of its terms if and only if the series $\sum_{n=1}^{\infty} |f_n|$ is uniformly convergent. The aim of the present paper is to give a generalization of the above mentioned assertion for a class of Banach lattices.

The family M(T) of all bounded real functions on $T \neq \emptyset$ with the product ordering (i.e. $x \leq y$ whenever $x(t) \leq y(t)$ for each $t \in T$) and with a norm $||x|| = \sup_{t \in T} \{|x(t)|\}$ is a Banach lattice. The mentioned result of W. Sierpiński can be formulated as follows: The series $\sum_{n=1}^{\infty} x_n$ is (in M(T)) unconditionally convergent if and only if the series $\sum_{n=1}^{\infty} |x_n|$ is convergent ($|x| = x \lor (-x)$). This result raises a further problem: To give a characterization of those normed lattices in which a series $\sum_{n=1}^{\infty} x_n$ is unconditionally convergent if and only if the series $\sum_{n=1}^{\infty} |x_n|$ is convergent.

In the following we shall deal only with a Banach lattice E. To simplify our notation let us introduce: S — the family of all series in E, i.e. $S = \{\Sigma x_n : x_n \in E\}$, $B = \{\Sigma x_n \in S: \Sigma x_n \text{ is unconditionally convergent}\}$ and $C = \{\Sigma x_n \in S: \Sigma x_n \text{ is convergent}\}$. Obviously $B \subset C$.

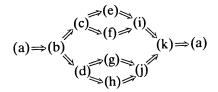
Theorem 1. Let E be a Banach lattice and let B and C have the introduced meaning. Then the following statements are equivalent:

(a) $\Sigma |x_n| \in C$, (b) $\Sigma x_n^+ \in B$ and $\Sigma x_n^- \in B$, (c) $\Sigma x_n \in B$ and $\Sigma x_n^- \in B$, (d) $\Sigma x_n \in B$ and $\Sigma x_n^- \in B$, (e) $\Sigma x_n \in B$ and $\Sigma x_n^+ \in C$, (f) $\Sigma x_n \in C$ and $\Sigma x_n^+ \in B$, (g) $\Sigma x_n \in B$ and $\Sigma x_n^- \in C$, (j) $\Sigma x_n \in C$ and $\Sigma x_n \in C$,

- (h) $\Sigma x_n \in C$ and $\Sigma x_n^- \in B$, (k) $\Sigma x_n^+ \in C$ and $\Sigma x_n^- \in C$,
- (i) $\Sigma x_n \in C$ and $\Sigma x_n^+ \in C$,

where $x^+ = x \lor o(x^- = (-x) \lor o)$ is the positive (negative) part of x (o — the additive zero element; see e.g. [2], p. 230).

Proof. The statement can be proved according to the following scheme



The proof will be given for the next implications: (a) \Rightarrow (b) \Rightarrow (c) \Rightarrow (e) \Rightarrow (i) \Rightarrow (k) \Rightarrow (a). In the other cases the proof is analogical.

(a) \Rightarrow (b): According to the Cauchy criterion ([5], p. 86) it follows from the convergence of the series $\sum_{k=1}^{\infty} |x_k|$ that for each $\varepsilon > 0$ there is a positive integer n_0 such that $\left\|\sum_{k=m+1}^{n} |x_k|\right\| < \varepsilon$ holds for each $n > m \ge n_0$. If $\sum_{j=1}^{\infty} x_{k_j}^+$ is any subseries of the series $\sum_{k=1}^{\infty} x_{k_j}^+$ then

$$\left\|\sum_{m < k_j \le n} x_{k_j}^+\right\| \le \left\|\sum_{m < k_j < n} x_{k_j}^+ + x_{k_j}^-\right\| = \left\|\sum_{m < k_j \le n} |x_{k_j}|\right\| \le \left\|\sum_{k - m + 1}^n |x_k|\right\| < \varepsilon$$

holds, i. e. according to the Cauchy criterion the series $\sum_{j=1}^{\infty} x_{k_j}^+$ is convergent. Hence it follows from the Orlicz criterion of the unconditional convergence ([5], p. 86) that the series $\sum_{k=1}^{\infty} x_k^+$ is unconditionally convergent. Analogically we can verify that the series $\sum_{k=1}^{\infty} x_k^-$ is unconditionally convergent.

The implication (b) \Rightarrow (c) follows immediately from the mentioned Orlicz criterion and from the fact $x = x^+ - x^-$. The implications (c) \Rightarrow (e) \Rightarrow (i) \Rightarrow (k) \Rightarrow (a) are obvious.

Theorem 2. Let E be a Banach lattice and let sets B and C have the introduced meaning. Then the following statements are equivalent:

- (i) $\Sigma |x_n| \in C$ if and only if $\Sigma x_n \in B$;
- (ii) $\Sigma x_n \in B$ implies $\Sigma x_n^+ \in C$;
- (iii) $\Sigma x_n \in B$ implies $\Sigma x_n^- \in C$.

Proof. We prove the equivalence of statements (i) and (ii): $\Sigma x_n \in B$ according to (i) implies $\Sigma |x_n| \in C$, and $\Sigma |x_n| \in C$ according to Theorem 1 (i) implies $\Sigma x_n^+ \in C$. (ii) \Rightarrow (i): $\Sigma |x_n| \in C$ with respect to Theorem 1 (c) implies $\Sigma x_n \in B$. If $\Sigma x_n \in B$, then according to (ii) $\Sigma x_n^+ \in C$, and Theorem 1 (e) implies $\Sigma |x_n| \in C$.

The equivalence of the statements (i) and (iii) can be proved analogously.

Further we shall deal with Banach lattices of finite real functions defined on a set $T \neq \emptyset$ (with usual addition, scalar multiplication and the product ordering).

Definition. A Banach lattice of real functions is said to be a lattice with concentrated norm whenever:

$$\bigvee_{\varepsilon>0} \underset{\delta>0}{\exists} \bigvee_{x\geq o} ||x|| \geq \varepsilon \Rightarrow \left(\underset{t \in T}{\exists} x(t) \geq \delta \right),$$
 (1)

$$\bigvee_{\delta>0} \underbrace{\exists}_{\eta>0} \bigvee_{x\geq o} \left(\underset{t \in T}{\exists} x(t) \geq \delta \right) \Rightarrow ||x|| \geq \eta.$$
 (2)

Example 1. The Banach lattice M(T) of all bounded real functions defined on the set $T \neq \emptyset$ with the norm $||x|| = \sup_{t \in T} \{|x(t)|\}$ is a lattice with the concentrated norm.

Example 2. The Banach lattice L of all finite real Lebesgue integrable functions defined on the interval $\langle 0, 1 \rangle$ with the norm $||x|| = \int_0^1 |x(t)| dt$ is not a lattice with the concentrated norm. Condition (1) is fulfilled, but condition (2) is not fulfilled.

Theorem 3. Let E be a Banach lattice of finite real functions (defined on the set $T \neq \emptyset$) with the concentrated norm and let B and C be sets of the introduced meaning. Then $\Sigma |x_n| \in C$ if and only if $\Sigma x_n \in B$.

Proof. It follows from the above Theorem 2 that it is sufficient to prove that $\Sigma x_n \in B$ implies $\Sigma x_n^* \in C$. We shall do it by a contradiction. Let $\Sigma x_n^* \notin C$. Then, according to the Cauchy criterion, there exists $\varepsilon > 0$ such that for each positive integer number n_0 there are m and $m_0(m > m_0 \ge n_0)$ such that $||x_{m_0+1}^+ + \ldots + x_m^+|| \ge \varepsilon$. It follows from the property (1) of the concentrated norm that there are $\delta > 0$ and $t \in T$ such that $x_{m_0+1}^+(t) + \ldots + x_m^+(t) \ge \delta$. Let n_k be indices for which $x_{n_k}^+(t) > 0$.

Then
$$x_{n_k}(t) = 0$$
. Obviously $\delta \leq \sum_{m_0 < n_k \leq m} x_{n_k}^+(t) = \sum_{m_0 < n_k \leq m} (x_{n_k}^+(t) - x_{n_k}^-(t))$

 $= \sum_{m_0 < n_k \le m} x_{n_k}(t) = \left| \sum_{m_0 < n_k \le m} x_{n_k}(t) \right|.$ It follows from the property (2) of the concentrated norm and from the fact |y(t)| = |y|(t) that there exists $\eta > 0$ such that $\eta \le \left\| \left\| \sum_{m_0 < n_k \le m} x_{n_k} \right\| \right\| = \left\| \sum_{m_0 < n_k \le m} x_{n_k} \right\|.$ Hence there is a subseries $\sum x_{n_k}$ of the series $\sum x_{n_k}$

which is not convergent. Consequently, it follows from the Orlicz criterion that $\sum x_n \notin B$ — a contradiction.

Theorem 4. Let E be any finite dimensional Banach lattice and let B and C have the introduced meaning. Then $\Sigma |x_n| \in C$ if and only if $\Sigma x_n \in B$.

Proof. It is well known that each normed lattice is Archimedean (see e.g. [6], p. 129). It is also known that if a vector lattice of a finite dimension n ($n \ge 1$) is Archimedean, then it is isomorphic to the vector lattice E_n of all *n*-tuples of real numbers with the product order (see [2], p. 229; [6], p. 89).

Hence it is sufficient to verify the statement of Theorem 4 for E_n . E_n is a lattice of finite real functions on $T = \{1, ..., n\}$. We show that it has the concentrated norm. Let $u_1 = (\varepsilon_1, 0, ..., 0), ..., u_n = (0, ..., 0, \varepsilon_n)$ be a base for E_n . Without loss of generality we can assume $u_1 \ge 0, ..., u_n \ge 0$ and $||u_1|| = ... = ||u_n|| = 1$. Let $\varepsilon > 0$ and $x = \sum_{i=1}^n \xi_i u_i \ge 0$. From facts that $x^+ = \sum_{i \in I} \xi_i u_i$ where $I = \{i: \xi_i \ge 0\}$, and $x \ge 0$ if and only if $x = x^+$, it follows $\xi_i \ge 0$ for each i = 1, 2, ..., n.

The property (1) of the concentrated norm: If $\varepsilon \leq ||x|| \leq \sum_{i=1}^{n} ||\xi_i u_i|| = \sum_{i=1}^{n} \xi_i$, then there exists $r, 1 \leq r \leq n$, such that $\varepsilon/n \leq \xi_r$. Indeed, in the opposite case we have $\varepsilon \leq \sum_{i=1}^{n} \xi_i < n(\varepsilon/n) = \varepsilon$ — a contradiction. Hence it is sufficient to put $\delta = \varepsilon/n$. If $\delta > 0, x = \sum_{i=1}^{n} \xi_i u_i \ge o$ and $\xi_r \ge \delta > 0$ $(1 \leq r \leq n)$, then $\delta \leq \xi_r = ||\xi_r u_r|| \leq \left\|\sum_{i=1}^{n} \xi_i u_i\right\| =$ ||x||. Hence for $\eta = \delta$ we can verify that the property (2) of the concentrated norm is fulfilled.

In the following we use notions of M and L spaces, of the unit and of the spectrum of an M space with unit, according to the monograph [2].

Lemma 1. Each M space with unit is metrically, algebraically and lattice isomorphic to the space of all continuous real valued functions on its spectrum

T with the norm
$$||x|| = \sup_{t \in T} \{|x(t)|\}$$
 ([2], p. 242; [6], p. 202).

Theorem 5. Let E be an M space with unit and let sets B and C have the introduced meaning. Then $\Sigma |x_n| \in C$ if and only if $\Sigma x_n \in B$.

Proof. The statement of Theorem 5 is an immediate consequence of Lemma 1, of the fact that the norm $||x|| = \sup_{t \in T} \{|x(t)|\}$ is concentrated, and of Theorem 3.

Theorem 6. Let E be an infinite dimensional L space. Then there exists an unconditionally convergent series $\sum x_n$ in E such that the series $\sum |x_n|$ is not convergent.

Proof. It is easy to verify that the characteristic property of L spaces is equivalent to the condition: If $x_1 \ge 0, ..., x_k \ge 0$, then $||x_1 + ... + x_k|| =$ $||x_1|| + ... + ||x_k||$ (k — a positive integer number, $k \ge 2$). In every infinite dimensional Banach space there exists an unconditionally convergent series $\sum x_n$ which is not absolutely convergent (see [1]). If p is a positive integer number, then it follows that $||x_{m+1}|| + ... + ||x_{m+p}|| = |||x_{m+1}||| + ... + |||x_{m+p}||| =$ $|||x_{m+1}| + ... + ||x_{m+p}|||$. The Cauchy condition for the divergent series $\sum ||x_n||$ is not fulfilled, hence according to the last equality, it is not fulfilled for the series $\sum ||x_n||$, i.e. $\sum ||x_n||$ is not convergent.

Corollary 1. The Banach lattice E_1 is the only Banach lattice which is either an M space with unit and an L space.

Proof. The Banach lattice E_1 is obviously either an M space with unit and an L space. Suppose indirectly that there is a Banach lattice E, which is not isomorphic to E_1 , such that it is either an M space with unit and an L space. If E is infinite dimensional, then according to Theorem 5 $\Sigma |x_n| \in C$ if and only if $\Sigma x_n \in B$, and according to Theorem 6 there exists $\Sigma x_n \in B$ such that $\Sigma |x_n| \notin C$ — a contradiction. Hence E is necessary finite dimensional.

Let E be an n-dimensional Banach lattice. Hence it is sufficient to investigate E_n , $n \ge 2$. It follows from the assumptions of Corollary 1 and from the identity $x + y = x \lor y + x \land y$ that $||x|| + ||y|| = ||x + y|| = ||x \lor y|| + ||x \land y|| = ||x|| \lor ||y|| + ||x \land y|| (x \ge 0, y \ge 0)$. Put $x = (\varepsilon_1, 0, 0, ..., 0)$, $y = (0, \varepsilon_2, 0, ..., 0)$ and choose numbers $\varepsilon_1 > 0$ and $\varepsilon_2 > 0$ such that ||x|| = ||y|| = 1. Then it follows from the last equality that 2 = 1 + ||(0, 0, ..., 0)||, i.e. 2 = 1 — a contradiction.

In the following we shall deal with the set of series S. To the introduced subsets of S, B and C, we add the set A of all absolutely convergent series in E, i.e. $A = \{\sum x_n \in S \colon \Sigma ||x_n|| < +\infty\}$. It is known that $A \subset B \subset C$ ([5], p. 88). In Banach lattices it is possible to introduce the next kind of convergence, the convergence in the absolute value, in the following way: The series $\sum_{n=1}^{\infty} x_n$ converges in the absolute value if the series $\sum_{n=1}^{\infty} |x_n|$ converges. Let A^* stand for the set of all series which are convergent in the absolute value, i.e. $A^* = \{\sum x_n \in S \colon \Sigma |x_n| \in C\}$.

Theorem 7. Let E be a Banach lattice and let A, A^* and B have the introduced meaning. Then

- (i) $A \subset A^* \subset B$,
- (ii) if E is either a finite dimensional Banach lattice or an M space with unit, then $A^* = B$,
- (iii) if E is an L space, then $A^* = A$.

Proof. (i): Let p be a natural number. The inclusion $A \subset A^*$ follows from the Cauchy criterion and from the relations $||x_{m+1}| + ... + |x_{m+p}|| \le ||x_{m+1}|| + ... + ||x_{m+p}|| = ||x_{m+1}|| + ... + ||x_{m+p}||$. The inclusion $A^* \subset B$ is a consequence of Theorem 1, (a) \Rightarrow (c).

(ii): A proof of this statement is given in Theorem 4 and Theorem 5.

(iii): In the proof of Theorem 6 it is shown that the equality $||x_{m+1}|| + ... + ||x_{m+p}|| = |||x_{m+1}|| + ... + ||x_{m+p}|||$ holds for each L space. Hence it follows from the Cauchy criterion that $\sum |x_n| \in C$ if and only if $\sum ||x_n|| < +\infty$.

The set of all the series S of a Banach lattice E can be investigated as a metric space (S, ϱ) , where $\varrho(\Sigma x_n, \Sigma y_n) = \sum_{n=1}^{\infty} 2^n \min \{ \|x_n - y_n\|, 1 \}$. It is known that the metric space (S, ϱ) is a locally convex linear topological space. The sequence of the series $\{\Sigma x_n^{(r)}\}_{r=1}^{\infty}$ converges to the series Σx_n^i if and only if $\|x_n^{(r)} - x_n\| \to 0$ for each n = 1, 2, ... ([5], p. 100, ex. 16).

Lemma 2. The metric space (S, ϱ) is complete.

Proof. Let $\{\Sigma x_n^{(r)}\}_{r=1}^{\infty}$ be a Cauchy sequence of series. We show that for each $m = 1, 2, ..., \{x_m^{(r)}\}_{r=1}^{\infty}$ is a Cauchy sequence. Let *m* be a natural number and let ε be a positive number, $\varepsilon < 1$. There exists a natural number r_0 such that $\varrho(\Sigma x_n^{(r)}, \Sigma x_n^{(v)}) < \varepsilon/2^m$ holds for all natural numbers *r* and *s*, $r_0 \le r < s$. Then $2^{-m} \min\{\|x_m^{(r)} - x_m^{(s)}\|, 1\} < \varepsilon/2^m$ and $\|x_m^{(r)} - x_m^{(s)}\| < \varepsilon$. Hence $\{x_m^{(r)}\}_{r=1}^{\infty}$ is a Caucshy sequence and there exists a limit $\lim_{r \to \infty} x_m^{(r)} = x_m$ in the Banach lattice *E*. It follows from the above characterization of the convergence with respect to the metric ϱ that $\lim_{r \to \infty} \Sigma x_n^{(r)} = \Sigma x_n$.

Further we shall deal with the sets A, A^* , B and C from a topological point of view.

Theorem 8. The sets A, A^* , B and C and their complements in S are dense sets in S.

Proof. With respect to the inclusions $A \subset A^* \subset B \subset C$ it is sufficient to prove that the sets A and S - C are dense.

It is easy to verify that the set K_1 of all the series $\sum x_n$ with the property that $x_n \neq o$ holds only for a finite number of indices is a dense set in S. Indeed if $K(\sum y_n, \delta)$ is an open sphere with the center $\sum y_n$ and the radius $\delta > 0$, then it is sufficient to choose m such that $\sum_{n=m+1}^{\infty} 2^{-n} = 2^{-m} < \delta$ and put $x_n = y_n$ for n = 1, 2, ..., m and $x_n = o$ for n = m + 1, m + 2, ..., C obviously $\sum x_n \in K_1 \cap K(\sum y_n, \delta)$ and $K_1 \subset A$.

Let K_2 be the set of all the series $\sum x_n$ for which $x_n = x \neq o$ holds with the exception of a finite number of indices. With respect to the Cauchy criterion no

series in K_2 is convergent, hence $K_2 \subset S - C$. Analogously to the first part of the proof it can be shown that K_2 is dense in S.

Theorem 9. The sets A, A^* and C are $F_{\sigma\delta}$ sets in S.

Proof. We prove the statement of Theorem 9 for the set C. First we show that the function $\varphi_{mn}: S \to E_1(\varphi_{mn}(\Sigma x_n) = ||x_{m+1} + ... + x_n||)$ defined for every pair of natural numbers m and n (m < n) is continuous. We show that for each positive ε , $\varepsilon < 1$, and $\Sigma x_n \in S$ there is $\delta > 0$ such that $|\varphi_{mn}(\Sigma y_n) - \varphi_{mn}(\Sigma x_n)| < \varepsilon$ holds for each series $\Sigma y_n \in K(\Sigma x_n, \delta)$. It is sufficient to put $\delta = \varepsilon/(n-m)2^n$. Then for each k = 1, 2, ..., n || $y_k - x_k$ || $< \varepsilon/(n-m)$. Hence $|\varphi_{mn}(\Sigma y_n) - \varphi_{mn}(\Sigma x_n)| < \varepsilon$. The each $L = ||y_{m+1} + ... + y_n|| - ||x_{m+1} + ... + x_n|| |\le ||y_{m+1} - x_{m+1}|| + ... + ||y_n - x_n|| < \varepsilon$.

The set C can be expressed by using the Cauchy criterion in the following form (p, q, m and n are natural numbers):

$$C = \left\{ \sum x_n : \bigvee_{p \ge 1} \underbrace{\exists}_{q \ge 1} \underbrace{\forall}_{m \ge q} \underbrace{\forall}_{n \ge m+1} \|x_{m+1} + \dots + x_n\| \le 1/p \right\} =$$
$$= \bigcap_{p=1}^{\infty} \bigcup_{q=1}^{\infty} \bigcap_{m=q}^{\infty} \bigcap_{n=m+1}^{\infty} C_{pqmn}, \qquad (3)$$

where $C_{pqmn} = \{ \sum x_n : ||x_{m+1} + ... + x_n|| \le 1/p \} = \varphi_{mn}^{-1}((-\infty, 1/p))$. The set C_{pqmn} is closed because the function φ_{mn} is continuous. The fact that the set C is an $F_{\sigma\delta}$ set in S is an easy consequence of the equality (3).

The statement of Theorem 9 for the set $A(A^*)$ can be proved analogously. This follows from the continuity of functions $\psi_{mn}(\Sigma x_n) = ||x_{m+1}|| + ... + ||x_n||(\tau_{mn}(\Sigma x_n)) = |||x_{m+1}|| + ... + ||x_n|||)$ which are defined for every pair of natural numbers *m* and *n*, m < n, and from the expression

$$A = \bigcap_{p=1}^{\infty} \bigcup_{q=1}^{\infty} \bigcap_{m=q}^{\infty} \bigcap_{n=m+1}^{\infty} \{\Sigma x_n \colon ||x_{m+1}|| + \dots + ||x_n|| \le 1/p\}$$
$$\left(A^* = \bigcap_{p=1}^{\infty} \bigcup_{q=1}^{\infty} \bigcap_{m=q}^{\infty} \bigcap_{n=m+1}^{\infty} \{\Sigma x_n \colon ||x_{m+1}| + \dots + |x_n||| \le 1/p\}\right)$$

Theorem 10. The sets A, A^* , B and C are of the first category in S.

Proof. Since each of the sets A, A* and B is contained in C it is sufficient to prove Theorem 10 for the set C. If for each p = 1, 2, ... we put $C_p = \{\sum x_n: \exists \forall \forall \forall || x_{m+1} + ... + x_n || \leq 1/p\}$ (q, m and n are natural numbers), then

$$C = \bigcap_{p=1}^{\infty} C_p \text{ and } C_p = \bigcup_{q=1}^{\infty} \bigcap_{m=q}^{\infty} \bigcap_{n=m+1}^{\infty} C_{pqmn}, \qquad (4)$$

where C_{pqmn} are sets introduced in the proof of Theorem 9.

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It follows from (4) that the set C_p is an F_σ set for each p = 1, 2, ... For the set K_2 introduced in the proof of Theorem 8 there holds $K_2 \subset S - C_p$. Indeed, for each series $\sum x_n \in K_2$ and for each natural number q there exist $m \ge q$ and n > m such that $||x_{m+1} + ... + x_n|| = (n-m)||x|| > 1/p$. Hence the complement of the set C_p is dense. Each F_σ set, the complement of which is dense, is a set of the first category and from (4) it follows that C is also of the first category.

Corollary 2. Each of the sets S - A, $S - A^*$, S - B and S - C is residual of the second category in S.

Problem 1. Theorem 3, Theorem 4 and Theorem 5 give only sufficient conditions for the Banach lattice to have the property (P): $\sum x_n \in B$ if and only if $\sum |x_n| \in C$. The problem to characterize Banach lattices (normed lattices) with the property (P) is open.

Problem 2. The method used in the proof of Theorem 9 is not applicable in general for the set B to give its Borel classification in S. It follows from Theorem 7 (ii) and from Theorem 9 that if E is either a finite dimensional Banach lattice or an M space with unit, then B is an $F_{\sigma\delta}$ set in S. Is the set B an $F_{\sigma\delta}$ set in S for every Banach lattice E?

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О БЕЗУСЛОВНОЙ СХОДИМОСТИ РЯДОВ В СТРУКТУРАХ БАНАХА

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Резюме

В работе определены достаточные условия на структуру Банаха *E*, при которых имеет место соотношение: Ряд $\sum_{n=1}^{\infty} x_n$ безусловно сходится в *E* тогда и только тогда, когда ряд $\sum_{n=1}^{\infty} |x_n|$ сходится в *E* (|x| — модуль элемента *x*).