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ON THE CONTINUITY OF MINIMIZERS FOR QUASILINEAR FUNCTIONALS

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Abstract. In this paper we establish a continuity result for local minimizers of some quasilinear functionals that satisfy degenerate elliptic bounds. The non-negative function which measures the degree of degeneracy is assumed to be exponentially integrable. The minimizers are shown to have a modulus of continuity controlled by $\log \log(1/|x|)^{-1}$. Our proof adapts ideas developed for solutions of degenerate elliptic equations by J. Onninen, X. Zhong: Continuity of solutions of linear, degenerate elliptic equations, Ann. Sc. Norm. Super. Pisa Cl. Sci. (5) 6 (2007), 103–116.

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1. INTRODUCTION

In this paper we investigate the continuity of minimizers of variational integrals with quadratic growth. More precisely, we consider functionals of the form

$$\mathcal{F}(u,\Omega) = \int_{\Omega} f(x,\nabla u) \,\mathrm{d}x,$$

where Ω is a domain in \mathbb{R}^2 and $u: \Omega \to \mathbb{R}$. We assume that $f: \Omega \times \mathbb{R}^2 \to \mathbb{R}$ is a Carathéodory function such that for almost every $x \in \Omega$ and every $\xi \in \mathbb{R}^2$,

(1.1)
$$\frac{|\xi|^2}{K(x)} \leqslant f(x,\xi)$$

and

(1.2)
$$f(x,0) = 0.$$

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We will also assume that the function $K: \Omega \to [1, +\infty)$ belongs to the exponential class $\text{Exp}(\Omega)$; i.e., for some $\lambda > 0$,

(1.3)
$$\int_{\Omega} \exp\left(\frac{K(x)}{\lambda}\right) \mathrm{d}x < \infty$$

By a local minimizer of the functional \mathcal{F} we mean a (non-trivial) function $u \in W^{1,p}_{\text{loc}}(\Omega)$ for some $p \ge 1$, such that for all $\varphi \in W^{1,p}_{\text{loc}}(\Omega)$ with $\text{supp}(\varphi) \subset \subset \Omega$,

$$\mathcal{F}(u, \operatorname{supp}(\varphi)) \leq \mathcal{F}(u + \varphi, \operatorname{supp}(\varphi)).$$

If u is a local minimizer, then hypotheses (1.1) and (1.3) will give us higher regularity: we will show that $|\nabla u| \in L^2(\log L)^{-1}$ locally. We will then use this to establish our main result, which is the continuity of minimizers.

Theorem 1. Let $u \in W_{\text{loc}}^{1,1}(\Omega)$ be a local minimizer of the functional \mathcal{F} . If conditions (1.1)–(1.3) are satisfied, then u is continuous. More precisely, if the ball $B_{r_0} = B(x_0, r_0)$ is compactly contained in Ω , then there exist constants $C_1 = C_1(\lambda)$ and $C_2 = C_2(K, \lambda)$ such that for all r,

(1.4)
$$r \leqslant \sqrt{\frac{T}{2\pi}} \exp\left(\frac{-1}{2}\log\left(\frac{T}{2\pi(r_0/e^3)^2}\right)^2\right) < \frac{r_0}{e^3},$$

and for all $x, y \in B_r$,

$$|u(x) - u(y)|^2 \leq \frac{C_1}{\log \log(C_2 r^{-2})} \int_{B_{r_0}} f(z, \nabla u(z)) \, \mathrm{d}z.$$

The continuity of minimizers was proved in [1] when $u \in W^{1,2}(B_R, \mathbb{R}^2)$. In the related case of degenerate elliptic equations, the continuity of solutions of $Lu = \operatorname{div} A(x) \nabla u(x) = 0$ has been considered under the assumption that

$$\frac{|\xi|^2}{K(x)} \leqslant \langle A(x)\xi,\xi\rangle \leqslant |\xi|^2$$

for all $\xi \in \mathbb{R}^2$ and for almost every $x \in \Omega$. If K is essentially bounded, then A is uniformly elliptic (see [2]) and in this case Morrey [4], [5] proved that the solutions are Hölder continuous. More recently, Onninen and Zhong [6] have shown that weak solutions of this equation (again when n = 2) are continuous if $\sqrt{K(x)}$ satisfies condition (1.3) for some $\lambda > 1$. Our approach is modeled on theirs. They were able to use properties of the elliptic equation that are not available in our more general setting to replace K by \sqrt{K} ; it is an open question whether minimizers are continuous with this weaker hypothesis.

2. Preliminary results

To prove Theorem 1 we need two preliminary results. First, we establish the higher integrability mentioned above.

Lemma 2. Given our hypotheses on \mathcal{F} and K, if $/ u \in W^{1,1}_{\text{loc}}(\Omega)$ is a local minimizer of \mathcal{F} , then $u \in W^{1,p}_{\text{loc}}(\Omega)$, 1 .

Proof. By the Sobolev embedding theorem, $u \in L^2_{loc}(\Omega)$. Further, by our hypotheses and Hölder's inequality in the scale of Orlicz spaces, for any bounded set $\Omega' \subset \Omega$,

$$\begin{aligned} \|\nabla u\|_{L^{2}(\log L)^{-1}(\Omega')} &= \|\nabla u K^{-1/2} K^{1/2}\|_{L^{2}(\log L)^{-1}(\Omega')} \\ &\leqslant C \|\nabla u K^{-1/2}\|_{L^{2}(\Omega')} \|K\|_{\mathrm{Exp}(\Omega')}. \end{aligned}$$

By (1.3), the second norm on the right-hand side is finite. By (1.1) and the fact that u is a local minimizer, the first norm is finite as well. Hence, for any p < 2, $u \in W_{\text{loc}}^{1,p}(\Omega)$.

Next we recall the definition of weakly monotone functions due to Manfredi [3].

Definition 3. A function $u \in W^{1,p}_{\text{loc}}(\Omega)$, $1 , is weakly monotone if for every compact subset <math>\Omega'$ of Ω and for all constants $m \leq M$ such that

$$(m-u)^+, (u-M)^+ \in W_0^{1,p}(\Omega'),$$

we have that for a.e. $x \in \Omega'$,

$$(2.1) m \leqslant u(x) \leqslant M$$

Lemma 4. Let $u \in W^{1,p}_{loc}(\Omega)$, $1 , be a local minimizer of the functional <math>\mathcal{F}$. If conditions (1.1)-(1.3) are satisfied, then u is weakly monotone.

Proof. Let $\Omega' \subset \subset \Omega$ and let m, M be a pair of constants such that $m \leq M$ and

$$(m-u)^{+} = \begin{cases} 0, & u \ge m \\ m-u, & u < m \end{cases}$$

and

$$(u - M)^+ = \begin{cases} u - M, & u > M, \\ 0, & u \leq M \end{cases}$$

are both in $W_0^{1,p}(\Omega')$.

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We first prove the second inequality in (2.1). By condition (1.2) and the fact that u is a local minimizer of the functional \mathcal{F} , we have that

$$\int_{\Omega'} f(x, \nabla u) \, \mathrm{d}x \leq \int_{\Omega'} f(x, \nabla u - \nabla (u - M)^+) \, \mathrm{d}x$$
$$= \int_{\Omega' \cap \{x \colon u \leq M\}} f(x, \nabla u) \, \mathrm{d}x \leq \int_{\Omega'} f(x, \nabla u) \, \mathrm{d}x.$$

It follows immediately that

(2.2)
$$\int_{\Omega' \cap \{x \colon u > M\}} f(x, \nabla u) \, \mathrm{d}x = 0.$$

By conditions (1.1) and (2.2) we therefore have

$$0 \leqslant \int_{\{x \colon u > M\}} \frac{|\nabla u|^2}{K(x)} \, \mathrm{d}x \leqslant \int_{\Omega' \cap \{x \colon u > M\}} f(x, \nabla u) \, \mathrm{d}x = 0.$$

Hence,

$$|\{x: \ u > M\}| = 0,$$

and so $u(x) \leq M$ for a.e. $x \in \Omega'$. The proof of the first inequality in (2.1) is essentially the same, and so we have the desired result.

As a consequence of the previous two lemmas we get the following inequality.

Proposition 5. Given our hypotheses on \mathcal{F} and K, if $u \in W^{1,1}_{loc}(\Omega)$ is a local minimizer of \mathcal{F} and if $B_{r_0} = B(x_0, r_0)$ is compactly contained in Ω , then for almost every $t \in (0, r_0)$ and almost every $x, y \in B_t = B(x_0, t)$,

(2.3)
$$|u(x) - u(y)| \leq \int_{\partial B_t} |\nabla u(z)| \, \mathrm{d}\sigma.$$

Proposition 5 is stated without proof in [6]. A slightly different inequality is proved in [3, proof of Theorem 1], with the L^1 norm on the right-hand side of (2.3) replaced by an L^p norm. But the argument readily adapts to the case p = 1.

3. Proof of theorem 1

Our proof requires an inequality that is a special case of a result in [6, Lemma 2.1]. For brevity, fix $\lambda > 0$ as in (1.3) and let

$$T = T(K, \lambda) = \int_{\Omega} \exp\left(\frac{K(x)}{\lambda}\right) dx < \infty.$$

Lemma 6. Given Ω and K as in the hypotheses of Theorem 1, and given any ball $B(x_0, r_0) \subset \Omega$, then for all $r, 0 < r < r_0/e^3$,

$$2\pi \int_{r}^{r_0} \frac{\mathrm{d}t}{\int_{\partial B_{(x_0,t)}} K(z) \,\mathrm{d}\sigma} \ge F(r) - F\left(\frac{r_0}{e^3}\right),$$

where

$$F(s) = \frac{1}{2\lambda} \log \log \left(\frac{T}{2\pi s^2}\right)$$

Proof of Theorem 1. Fix a ball $B_{r_0} = B(x_0, r_0)$ that is compactly contained in Ω . By Proposition 5 and Hölder's inequality, for almost every $t \in (0, r_0)$ and $x, y \in B_t$,

$$\begin{aligned} |u(x) - u(y)|^2 &\leqslant \left(\int_{\partial B_t} |\nabla u(z)| K(z)^{-1/2} K(z)^{1/2} \,\mathrm{d}\sigma\right)^{1/2} \\ &\leqslant \left(\int_{\partial B_t} K(z) \,\mathrm{d}\sigma\right) \int_{\partial B_t} \frac{|\nabla u(z)|^2}{K(z)} \,\mathrm{d}\sigma. \end{aligned}$$

Thus, by condition (1.1),

$$2\pi \frac{|u(x) - u(y)|^2}{\int_{\partial B_t} K(z) \, \mathrm{d}\sigma} \leqslant 2\pi \int_{\partial B_t} f(z, \nabla u(z)) \, \mathrm{d}\sigma.$$

Now integrate both sides of this inequality with respect to the variable t over the interval (r, r_0) , where r satisfies (1.4). Then for almost every $x, y \in B_r$,

(3.1)
$$2\pi |u(x) - u(y)|^2 \int_r^{r_0} \frac{\mathrm{d}t}{\int_{\partial B_t} K(z) \,\mathrm{d}\sigma} \leq 2\pi \int_{B_{r_0}} f(z, \nabla u(z)) \,\mathrm{d}z.$$

Now by Lemma 6,

(3.2)
$$\left[F(r) - F\left(\frac{r_0}{e^3}\right)\right] |u(x) - u(y)|^2 \leq 2\pi \int_{B_{r_0}} f(z, \nabla u(z)) \,\mathrm{d}z.$$

A straightforward computation shows that r satisfies $\frac{1}{2}F(r) \ge F(r_0/e^3)$. Hence, if we combine (3.1) and (3.2) we get

$$\begin{split} |u(x) - u(y)|^2 &\leqslant \frac{2\pi}{\frac{1}{2}F(rt)} \int_{B_{r_0}} f(z, \nabla u(z)) \, \mathrm{d}z \\ &= \frac{8\pi\lambda}{\log\log(\frac{1}{2}Te^6/\pi r^2)} \int_{B_{r_0}} f(z, \nabla u(z)) \, \mathrm{d}z \\ &= \frac{C_1}{\log\log(C_2 r^{-2})} \int_{B_{r_0}} f(z, \nabla u(z)) \, \mathrm{d}z. \end{split}$$

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