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# CYCLE-FREE CUTS OF MUTUAL RANK PROBABILITY RELATIONS

KAREL DE LOOF, BERNARD DE BAETS AND HANS DE MEYER

It is well known that the linear extension majority (LEM) relation of a poset of size  $n \geq 9$  can contain cycles. In this paper we are interested in obtaining minimum cutting levels  $\alpha_m$  such that the crisp relation obtained from the mutual rank probability relation by setting to 0 its elements smaller than or equal to  $\alpha_m$ , and to 1 its other elements, is free from cycles of length m. In a first part, theoretical upper bounds for  $\alpha_m$  are derived using known transitivity properties of the mutual rank probability relation. Next, we experimentally obtain minimum cutting levels for posets of size  $n \leq 13$ . We study the posets requiring these cutting levels in order to have a cycle-free strict cut of their mutual rank probability relation. Finally, a lower bound for the minimum cutting level  $\alpha_4$  is computed. To accomplish this, a family of posets is used that is inspired by the experimentally obtained 12-element poset requiring the highest cutting level to avoid cycles of length 4.

Keywords: partially ordered set, linear extension majority cycle, mutual rank probability

relation, minimum cutting level, cycle-free cut

Classification: 06A06, 06A07

#### 1. INTRODUCTION

When considering the probability space consisting of the set of linear extensions (also called topological orderings) of a given poset P equipped with the uniform probability measure, the mutual rank probability relation appears naturally. It expresses the probability that an element  $x \in P$  has a higher position than an element  $y \in P$  in a linear extension of P sampled uniformly at random. This relation plays an important role, both from an application [6] as well as from a theoretical [5, 12, 17] point of view. Although the study of the type of transitivity exhibited by mutual rank probability relations has received considerable attention [5, 12, 19, 23], this transitivity remains far from characterized. It is, however, well known that mutual rank probability relations are in general not weakly stochastic transitive [5], allowing for the occurrence of cycles in the linear extension majority (LEM) relation of posets of size  $n \geq 9$ .

Quite some attention has been given to such LEM cycles in the literature. Examples of posets with LEM cycles are given in [1, 11, 12, 13, 14, 16, 18], frequency estimates for LEM cycles have been reported in [15, 17], and the occurrence of LEM cycles in

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certain subclasses of posets has been studied in [2, 9]. Moreover, in previous work [7], the present authors have succeeded in counting the posets of size  $n \leq 13$  with LEM cycles. Besides the fact that the existence of LEM cycles is an intriguing phenomenon in its own right, a better understanding of LEM cycles might help in the ongoing quest to characterize the transitivity of mutual rank probability relations.

In this paper we are interested in obtaining minimum cutting levels  $\alpha_m$  such that the strict  $\alpha_m$ -cut of the mutual rank probability relation  $M_P$ , obtained by setting to 0 its elements smaller than or equal to  $\alpha_m$  and to 1 its other elements, is free from cycles of size m. In other words, we want to obtain the smallest number  $\alpha_m$  such that at least one mutual rank probability in any LEM cycle of size m is smaller than or equal to  $\alpha_m$ .

The outline of this paper is as follows. In Section 3, we invoke known transitivity properties to establish theoretical upper bounds for the minimum cutting level  $\alpha_m$ . In Section 4, minimum cutting levels for posets of size  $n \leq 13$  are obtained experimentally. Moreover, posets requiring these minimum cutting levels in order to have a cycle-free strict cut of their mutual rank probability relation are studied in a modest attempt to deepen the understanding of the occurrence of LEM cycles. Finally, in Section 5 a lower bound for the minimum cutting level  $\alpha_4$  to avoid LEM cycles of length 4 is computed. This lower bound for  $\alpha_4$  implies that the theoretical upper bound for  $\alpha_4$  is quite tight.

#### 2. PRELIMINARIES

A binary relation  $\leq_P$  on a set P is called an order relation if it is reflexive  $(x \leq_P x)$ , antisymmetric  $(x \leq_P y)$  and  $y \leq_P x$  imply  $x =_P y$  and transitive  $(x \leq_P y)$  and  $y \leq_P x$  imply  $x \leq_P z$ . A linear order relation  $\leq_P$  is an order relation in which every two elements are comparable  $(x \leq_P y)$  or  $y \leq_P x$ . If  $x \leq_P y$  and  $x \neq y$ , we write  $x <_P y$ . If neither  $x \leq_P y$  nor  $x \geq_P y$ , we say that x and y are incomparable and write  $x \mid_P y$ . A couple  $(P, \leq_P)$ , where P is a set of objects and  $\leq_P$  is an order relation on P, is called a partially ordered set or poset for short. The size of a poset  $(P, \leq_P)$  is defined as the cardinality of P. A poset of size p will be called an p-element poset for short. The dual poset of  $(P, \leq_P)$ , denoted as  $(P, \leq_P^\top)$ , is the poset consisting of the same set P and the converse relation  $\leq_P^\top$  of  $\leq_P$ , i. e.  $x \leq_P^\top y$  if and only if  $y \leq_P x$ , for all  $x, y \in P$ .

The binary relation  $\prec_P$ , for which it holds that  $(x,y) \in \prec_P$  if and only if  $x <_P y$  and there exists no  $z \in P$  such that  $x <_P z <_P y$ , is called the *covering relation* of  $(P, \leq_P)$ . The covering relation  $\prec_P$  of a poset  $(P, \leq_P)$  can be conveniently represented by a so-called *Hasse diagram* where a sequence of connected lines upwards from x to y is present if and only if  $x <_P y$ . Examples of representations of posets by such Hasse diagrams can be found in the appendix of this paper.

Let Q be a set and R and S two binary relations on Q. If  $R \subset S$ , then (Q, S) is called an extension of (Q, R). A linear extension of a poset  $(P, \leq_P)$  is an extension  $(P, \leq_L)$  for which  $\leq_L$  is a linear order relation. The mutual rank probability p(x > y) of two elements x and y of a poset  $(P, \leq_P)$  is defined as the probability that  $x >_L y$  in a linear extension  $(P, \leq_L)$  that has been sampled uniformly at random from the set of linear extensions of  $(P, \leq_P)$ . Stated differently, it is the number of linear extensions of  $(P, \leq_P)$  in which  $x >_L y$ , divided by the number of linear extensions of  $(P, \leq_P)$ . The mutual rank probability relation  $M_P$  is the [0,1]-valued binary relation on P defined by  $M_P(x,y) = p(x > y)$  for all  $x, y \in P$  where  $x \neq y$  and  $M_P(x,x) = 1/2$  for all  $x \in P$ .

Note that  $M_P$  is a so-called reciprocal relation since  $M_P(x,y) + M_P(y,x) = 1$ .

The linear extension majority (LEM) relation [20] of a poset P is the antisymmetric binary relation  $\succ_{\text{LEM}}$  on P such that  $x \succ_{\text{LEM}} y$  if p(x > y) > p(y > x). Due to the reciprocity of the mutual rank probability relation, it is equivalent to state that  $x \succ_{\text{LEM}} y$  if p(x > y) > 1/2. It is well known [10] that the linear extension majority relation  $\succ_{\text{LEM}}$  can contain cycles, i. e. subsets  $\{x_1, x_2, \ldots, x_m\}$  of elements of P such that  $x_1 \succ_{\text{LEM}} x_2 \succ_{\text{LEM}} x_m \succ_{\text{LEM}} x_1$ , and thus is not transitive. These cycles are referred to as LEM cycles on m elements, or m-cycles for short.

The strict  $\alpha$ -cut, with  $\alpha \in [1/2, 1[$ , of a reciprocal relation Q on a set A is the crisp relation  $Q^{\alpha}$  on A defined by

$$Q^{\alpha}(x,y) = \begin{cases} 1, & \text{if } Q(x,y) > \alpha, \\ 0, & \text{otherwise.} \end{cases}$$

Note that the LEM relation is nothing else but the strict 1/2-cut of  $M_P$ .

We define the minimum cutting level  $\alpha_m$  as the smallest number such that for any finite poset the strict  $\alpha_m$ -cut of the corresponding mutual rank probability relation is free of cycles of length m.

#### 3. THEORETICAL UPPER BOUNDS FOR $\alpha_m$

The problem of characterizing the transitivity exhibited by the mutual rank probability relation  $M_P$  was originally raised by Fishburn [12]. For any  $u, v \in [0, 1]$ , define  $\delta(u, v)$  as

$$\delta(u, v) = \inf\{M_P(a, c) \mid M_P(a, b) \ge u \land M_P(b, c) \ge v\},$$
 (1)

where the infimum is taken over all finite posets  $(P, \leq_P)$  and all  $(a, b, c) \in P^3$ . His problem can be elegantly reformulated as to identify the conjunctor  $\delta : [0, 1]^2 \to [0, 1]$  such that the inequality

$$\delta(M_P(a,b), M_P(b,c)) \le M_P(a,c)$$

holds for all finite posets  $(P, \leq_P)$  and all  $(a, b, c) \in P^3$ . A non-trivial lower bound for  $\delta(u, v)$  was obtained by Kahn and Yu [19] via geometric arguments. For any  $u, v \in [0, 1]$ , define  $\delta^*(u, v)$  as

$$\delta^*(u,v) = \inf\{\operatorname{Prob}(Y_i > Y_k) \mid \operatorname{Prob}(Y_i > Y_j) \ge u \, \wedge \, \operatorname{Prob}(Y_j > Y_k) \ge v\}\,,$$

where the infimum is taken over all random  $Y = (Y_1, Y_2, ..., Y_n)$  chosen uniformly from some n-dimensional compact convex subset of  $\mathbb{R}^n$ . Kahn and Yu have shown that

$$\delta^*(u,v) = \begin{cases} 0, & \text{if } u+v < 1, \\ \min(u,v), & \text{if } u+v \ge 1 + \min(u^2, v^2), \\ \frac{(1-u)(1-v)}{u+v-2\sqrt{u+v-1}}, & \text{otherwise.} \end{cases}$$
 (2)

A reciprocal relation Q on a set A is called  $\delta^*$ -transitive if it holds for all  $a, b, c \in A$  that

$$\delta^*(Q(a,b), Q(b,c)) \le Q(a,c). \tag{3}$$

As Kahn and Yu have shown that Fishburn's problem can be embedded in this more general setting, the function  $\delta^*$  provides a lower bound for  $\delta$ . Thus, for any poset P the mutual rank probability relation  $M_P$  is  $\delta^*$ -transitive. Clearly, the set of mutual rank probability relations  $M_P$  of all finite posets P is a subset of the set of all  $\delta^*$ -transitive reciprocal relations. Therefore, an upper bound  $\bar{\alpha}_m$  for the minimum cutting level for the latter type of relations is necessarily an upper bound for  $\alpha_m$ .

Let  $\Delta=\{(u,v)\in[0,1]^2\mid u+v\geq 1\}$  and consider the mapping  $\gamma:\Delta\to[0,1]$  defined by

$$\gamma(u,v) = \frac{(1-u)(1-v)}{u+v-2\sqrt{u+v-1}}.$$

We first prove the following lemmas.

**Lemma 3.1.** For every  $u, v, x, y \in [0, 1]$  such that x > u, y > v and  $u + v \ge 1$ , it holds that  $\delta^*(x, y) > \delta^*(u, v)$ .

Proof.

- (i) Assume that  $1 \le u + v < 1 + \min(u^2, v^2)$ . It follows that  $\delta^*(u, v) = \gamma(u, v)$ . It can be shown easily that the partial derivative of  $\gamma$  w.r.t. u is strictly positive if and only if  $1 \le u + v < 1 + v^2$ , which is satisfied by assumption. Analogously, it can be shown that the partial derivative of  $\gamma$  w.r.t. v is strictly positive if and only if  $1 \le u + v < 1 + u^2$ . It follows that  $\gamma$  is strictly increasing in each variable, and a fortiori that  $\delta^*(x,y) > \delta^*(u,v)$ .
- (ii) Assume that  $u+v \ge 1 + \min(u^2, v^2)$ . It follows that  $\delta^*(u, v) = \min(u, v)$  and thus immediately that  $\delta^*(x, y) > \delta^*(u, v)$ .

Finally, we prove that  $\delta^*$  is continuous on the set  $\{(u,v) \in [0,1]^2 \mid u+v=1+\min(u^2,v^2)\}$ , i.e. that on this set it holds that

$$\frac{(1-u)(1-v)}{u+v-2\sqrt{u+v-1}} = \min(u,v).$$

(i) Assume that u < v. As  $u + v = 1 + u^2$ , it holds that  $v = 1 + u^2 - u$ . This implies

$$\gamma(u,v) = \frac{u(1-u)^2}{(1-u)^2} = u = \min(u,v).$$

(ii) Assume that  $u \ge v$ . As  $u + v = 1 + v^2$ , it holds that  $u = 1 + v^2 - v$ . This implies

$$\gamma(u,v) = \frac{v(1-v)^2}{(1-v)^2} = v = \min(u,v).$$

**Lemma 3.2.** It holds that  $\gamma \leq \delta^*$  on  $\Delta$ .

Proof. We first prove that  $\gamma(u,v) \leq u$ . Expressing that  $\gamma(u,v) \leq u$  is equivalent to the condition

$$u(u+v) - 2u\sqrt{u+v-1} \ge (1-u)(1-v)$$
,

which can be rewritten as

$$u^{2} + (u + v - 1) - 2u\sqrt{u + v - 1} \ge 0$$

or, equivalently,

$$(u - \sqrt{u + v - 1})^2 \ge 0,$$

which is trivially satisfied on  $\Delta$ . The case  $\gamma(u,v) \leq v$  is completely analogous.

**Lemma 3.3.** An upper bound on the minimum cutting level for a  $\delta^*$ -transitive reciprocal relation  $Q_4$  on a set  $\{x_0, x_1, x_2, x_3\}$  is given by  $\bar{\alpha}_4 = 2 - \sqrt{2}$ .

Proof. We will use shorthands  $t_i = Q_4(x_i, x_{(i+1) \mod 4})$  and  $s_i = Q_4(x_i, x_{(i+2) \mod 4})$  for  $i \in \{0, 1, 2, 3\}$ .

We first assume that  $t_0=t_1=t_2=t_3=t>0.5$ . In order for  $Q_4$  to be  $\delta^*$ -transitive, i.e. to fulfill condition (3), it is necessary that  $s_0 \geq \delta^*(t,t)$  and  $s_2 \geq \delta^*(t,t)$ , or, due to reciprocity, that  $s_0 \leq 1-\delta^*(t,t)$ . Therefore, it has to hold that  $\delta^*(t,t) \leq 1-\delta^*(t,t)$ , or, equivalently, that  $\delta^*(t,t) \leq 0.5$ . Lemma 3.2 implies that  $\gamma(t,t) \leq 0.5$ , yielding an upper bound  $\bar{\alpha}_4=2-\sqrt{2}$  on t. It is easily shown that  $\delta^*(t,t)=0.5$  and that  $Q_4$  is  $\delta^*$ -transitive when  $t=\bar{\alpha}_4$ .

We will now show that  $Q_4$  is not  $\delta^*$ -transitive in the case where  $t_i > t = \bar{\alpha}_4$  for every  $i \in \{0, 1, 2, 3\}$ . Assume to the contrary that  $Q_4$  is transitive. This implies that  $s_0 \geq \delta^*(t_0, t_1)$ . From  $t_i > t$  for every  $i \in \{0, 1, 2, 3\}$ , it follows using Lemma 3.1 that

$$s_0 \ge \delta^*(t_0, t_1) > \delta^*(t, t) = 0.5$$
,

and, due to reciprocity of  $Q_4$ , that

$$s_2 < 0.5$$
.

However,  $\delta^*$ -transitivity of  $Q_4$  implies that

$$s_2 \ge \delta^*(t_2, t_3) > \delta^*(t, t) = 0.5$$
,

which is a contradiction.

As the minimum cutting level can only be determined by the minimum of the  $t_i$ 's, no additional cases need to be considered. Therefore,  $\bar{\alpha}_4 = 2 - \sqrt{2}$  is an upper bound on the minimum cutting level for  $Q_4$ .

**Lemma 3.4.** An upper bound on the minimum cutting level for a  $\delta^*$ -transitive reciprocal relation  $Q_5$  on a set  $\{x_0, x_1, \dots, x_4\}$  is given by  $\bar{\alpha}_5 \approx 0.6057$ .

Proof. We will use the shorthands  $t_i = Q_5(x_i, x_{(i+1) \mod 5})$  and  $s_i = Q_5(x_i, x_{(i+2) \mod 5})$  for  $i \in \{0, 1, ..., 4\}$ .

We first assume that  $t_0 = t_1 = \ldots = t_4 = t > 0.5$ . In order for  $Q_5$  to be  $\delta^*$ -transitive, it is necessary that  $s_0 \geq \delta^*(t,t)$  and that  $1-s_3 \geq \delta^*(s_0,t)$ , implying  $1-s_3 \geq \delta^*(\delta^*(t,t),t)$  as  $\delta^*$  is increasing. Moreover, it should hold that  $s_3 \geq \delta^*(t,t)$ , implying the condition  $\delta^*(\delta^*(t,t),t) \leq 1-\delta^*(t,t)$ . Due to Lemma 3.2, it thus should hold that  $\gamma(\gamma(t,t),t) \leq 1-\gamma(t,t)$ , yielding an upper bound  $\bar{\alpha}_5$  on t, with  $\bar{\alpha}_5 \approx 0.6057$  the root of  $\gamma(\gamma(t,t),t) = 1-\gamma(t,t)$  in the interval [0.5,1]. Furthermore, it is easily shown that  $Q_5$  is  $\delta^*$ -transitive when  $t=\bar{\alpha}_5$ .

We will now show that  $Q_5$  is not  $\delta^*$ -transitive in the case where  $t_i > t = \bar{\alpha}_5$  for every  $i \in \{0, 1, ..., 4\}$ . Assume to the contrary that  $Q_5$  is  $\delta^*$ -transitive. This implies that  $s_0 \geq \delta^*(t_0, t_1)$ . From  $t_i > t$  for every  $i \in \{0, 1, ..., 4\}$ , it follows using Lemma 3.1 that

$$s_0 \ge \delta^*(t_0, t_1) > \delta^*(t, t) = \delta^*(\bar{\alpha}_5, \bar{\alpha}_5),$$

and, again using Lemma 3.1, that

$$1 - s_3 \ge \delta^*(s_0, t_2) > \delta^*(\delta^*(\bar{\alpha}_5, \bar{\alpha}_5), \bar{\alpha}_5),$$

and, due to the reciprocity of  $Q_5$ , that

$$s_3 < 1 - \delta^*(\delta^*(\bar{\alpha}_5, \bar{\alpha}_5), \bar{\alpha}_5).$$

However,  $\delta^*$ -transitivity of  $Q_5$  implies that

$$s_3 \ge \delta^*(t_3, t_4) > \delta^*(t, t) = \delta^*(\bar{\alpha}_5, \bar{\alpha}_5),$$

a contradiction as

$$\delta^*(\delta^*(\bar{\alpha}_5,\bar{\alpha}_5),\bar{\alpha}_5) = 1 - \delta^*(\bar{\alpha}_5,\bar{\alpha}_5).$$

As the minimum cutting level can only be determined by the minimum of the  $t_i$ 's, no additional cases need to be considered. Therefore,  $\bar{\alpha}_5 \approx 0.6057$  is an upper bound on the minimum cutting level for  $Q_5$ .

The upper bounds  $\bar{\alpha}_m$  for the minimum cutting levels  $\alpha_m$  with  $m \geq 6$  can be obtained in a similar way. In Table 1 the upper bounds  $\bar{\alpha}_m$  are shown for  $m \in \{1, 2, ..., 13\}$ .

Finally, it should be mentioned that it is known from the work of Yu [23] that the strict  $\rho$ -cut of any mutual rank probability relation at the value

$$\rho = \frac{1 + (\sqrt{2} - 1)\sqrt{2\sqrt{2} - 1}}{2} \approx 0.7800$$

yields a crisp relation that is transitive, and thus is obviously free of m-cycles for any m > 0. Therefore, it must hold that

$$\lim_{m \to \infty} \alpha_m \le \rho.$$

m	$\bar{\alpha}_m$
3	0.5556
4	0.5858
5	0.6057
6	0.6201
7	0.6312
8	0.6400
9	0.6473
10	0.6535
11	0.6587
12	0.6632
13	0.6672

**Tab. 1.** The upper bounds  $\bar{\alpha}_m$  on the minimum cutting levels  $\alpha_m$  for  $m \in \{1, 2, ..., 13\}$ .

#### 4. MINIMUM CUTTING LEVELS FOR POSETS OF SIZE $n \le 13$

Note that the theoretical considerations on the minimum cutting levels from the previous section concern all  $\delta^*$ -transitive reciprocal relations, a superset of the set of mutual rank probability relations  $M_P$  of all finite posets. It can therefore be expected that the given bounds are not tight when we restrict to posets of some given size. In this section we will experimentally compute the exact minimum cutting levels for posets of size  $n \leq 13$ .

The present authors have shown in [8] that the mutual rank probability relation can be computed using the lattice of ideals representation of a poset, without necessitating the enumeration of all linear extensions. Although this approach requires additional memory for storing the lattice of ideals, due to the fact that the size of this lattice for small posets remains limited, it is ideally suited for obtaining the mutual rank probability relation of posets of size  $n \leq 13$  quickly. A combination of the poset generation algorithm of Brinkmann and McKay [3] and the algorithm to compute the mutual rank probability relation for a given poset enabled us to obtain exact counts for posets of size  $n \leq 13$  [7].

We adapted this algorithm to keep track of the minimum cutting levels avoiding m-cycles in any poset of size n, which we will denote as  $\alpha_m^n$ . In Table 2 these minimum cutting levels  $\alpha_m^n$  are shown. Note that since no posets of size  $n \leq 13$  exist with 8-cycles, the minimum cutting level for m = 8 is 0.5.

In order to verify the correctness of the implementation of the algorithms used, for posets of size  $n \leq 9$  all mutual rank probability relations were compared with the results obtained by an independent approach based on enumerating all linear extensions for each poset by means of the Varol–Rotem algorithm [22]. As an additional verification of the implementation of the algorithms, the mutual rank probabilities of all posets requiring the highest cutting levels shown in Table 2 have been verified using an implementation of an algorithm of Pruesse et al. [21] based on the generation of all linear extensions of a poset.

Since one can trivially construct a poset of size n+1 from a poset of size n with an

$n \backslash m$	3	4	5	6	7
9	0.5031		0.5	0.5	0.5
10	0.5040	0.5028	0.5	0.5	0.5
11	0.5062	0.5028	0.5	0.5	0.5
12	0.5074	0.5087	0.5004	0.5024	0.5
13	0.5089	0.5087	0.5029	0.5025	0.5002

**Tab. 2.** Minimum cutting level  $\alpha_m^n$  to avoid m-cycles in posets of size  $n = 9, \ldots, 13$  for  $m = 3, \ldots, 7$ .

equal minimum cutting level by adding an element that is either smaller than, larger than or incomparable to the given n elements, the minimum cutting levels  $\alpha_m^n$  are increasing in n. In Table 2 one can observe that for n=11 no higher cutting level for avoiding 4-cycles is found than for n=10 since  $\alpha_4^{11}=\alpha_4^{10}$ , and similarly it is found that  $\alpha_4^{13}=\alpha_4^{12}$ . Further, note that a cutting level  $\alpha_m^n=0.5$  indicates that no LEM cycles of length m are possible in n-element posets.

In Figures 6–19 the posets requiring the non-trivial minimum cutting levels indicated in boldface in Table 2 are depicted by their Hasse diagrams. Note that the dual of a poset has an equal minimum cutting level, and is therefore not shown. However, four depicted posets are identical to their dual posets (Figures 6, 10, 11 and 19). We also mention that some posets have multiple LEM cycles with an identical cutting level, while others have LEM cycles of different lengths. The 9-element poset in Figure 6, for example, has three 3-cycles with identical probabilities, while for the 12-element poset in Figure 10, aside from the cycle with length 3, a 4-cycle is present, since it holds that

$$p(5 > 7) = p(7 > 8) = \frac{6184}{12244} \,.$$

The 12-element poset in Figure 12 has cycles of length 3, 4, 5 and 6. The poset in Figure 19 even has cycles of length 3, 4, 5, 6 and 7. Furthermore, the poset in Figure 13 also has a 3-cycle, the poset in Figure 16 has cycles of length 3 and 4, and the posets in Figures 17 and 18 both have 3-cycles. For some minimum cutting levels multiple posets, aside from their dual versions, are found. This is the case for the posets of size 13 in Figures 14 and 15 which attain the minimum cutting level for 3-cycles. The same is true for 6-cycles in Figures 17 and 18.

One of the aims of this experiment was to find common properties for posets with LEM cycles or to see a common structure emerging in the posets requiring the minimum cutting level. Indeed, if some common properties are found it might be possible to confine the search space to one or more subclasses of posets, or at least to rule out several hopefully large enough subclasses. By doing so, one could hope to take a step further and to find all posets with LEM cycles for n = 14 or maybe even n = 15. However, to our surprise, the posets have little in common. Because there are no common (sub)structures, even for posets of small size, it is not clear whether a small number of large subclasses to be ruled out can be identified. However, the symmetric and relatively simple structure of the 12-element poset in Figure 11 requiring the minimum cutting level

 $\alpha_4$  will inspire us in the next section to generalize it and to find a lower bound for  $\alpha_4$  for increasing poset size. Note that it might be possible to derive even better lower bounds for  $\alpha_4$  from other posets yet to be identified.

#### 5. A LOWER BOUND FOR $\alpha_4$

Consider in Figure 1 a generalization of the poset in Figure 11 requiring the minimum cutting level  $\alpha_4^{12}$  to avoid cycles of length 4 in posets with 12 elements. Note that the parameters p and q represent numbers of elements and that setting p=1 and q=0 yields the original poset in Figure 11.

We observed that by increasing q, the minimum cutting level to avoid cycles of length 4 increases as well. The same observation was made for increasing p. Moreover, although we will not prove it here, there is exactly one cycle of length 4, consisting of the elements  $\omega_1, \omega_2, \omega_3$  and  $\omega_4$ , requiring this cutting level. For the purpose of this paper, a proof is not needed. A minimum cutting level that avoids this cycle is a lower bound for the minimum cutting level. Due to symmetry it holds that  $p(\omega_2 > \omega_1) = p(\omega_4 > \omega_3)$  and  $p(\omega_1 > \omega_4) = p(\omega_3 > \omega_2)$ . If we denote the strict order relation of the dual poset as  $p(\omega_1) = p(\omega_1) = p(\omega_1)$ 

As a first step, we count the number of linear extensions of the poset, as this number will be the denominator of the rational value of  $p(\omega_2 > \omega_1)$ . Note that the four indicated elements a, b, c and d in Figure 1 can appear in four different orders in a linear extension:

$$\begin{aligned} & a < b < c < d \,, \\ & a < b < d < c \,, \\ & b < a < c < d \,, \\ & b < a < d < c \,. \end{aligned}$$

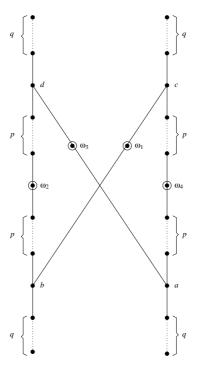
Again due to symmetry, the number of linear extensions where a < b is identical to that where b < a. We can therefore restrict to the orders a < b < c < d and a < b < d < c and multiply the expression found by 2 in order to obtain the total number of linear extensions.

Since we will often need the number of linear extensions of the poset consisting of two disjoint chains with lengths i and j with no comparabilities between them, in what follows we will denote this number as  $\kappa(i,j)$ , i.e. we define

$$\kappa(i,j) = \binom{i+j}{j}.$$

The total number of linear extensions N(p,q) can now be written as the following summation

$$\sum_{i_1=0}^{q} \kappa(i_1, q) \sum_{i_2=0}^{2p+1} \kappa(q - i_1, i_2) \left[ N^{cd}(p, q, i_1, i_2) + N^{dc}(p, q, i_1, i_2) \right]. \tag{4}$$



**Fig. 1.** Generalization of the poset in Figure 11 requiring the minimum cutting level  $\alpha_4^{12}$  to avoid cycles of length  $l \leq 4$  in posets with 12 elements.

Note that the index  $i_1$  represents the number of elements below b that are also below a in the linear extension, and that the index  $i_2$  represents the elements of the chain of length 2p+1 between a and c that are below b in the linear extension. The functions  $N^{cd}$  and  $N^{dc}$  cover the case that c < d and c > d, respectively, and are defined as follows:

$$\begin{split} N^{cd}(p,q,i_1,i_2) & = \sum_{i_3=0}^{2p+1} \kappa(i_3,2p+1-i_2) \sum_{i_4=0}^q n^{cd} \kappa(2p+1-i_3,i_4) \kappa(q,q-i_4) \,, \\ N^{dc}(p,q,i_1,i_2) & = \sum_{i_3=0}^{2p+1-i_2} \kappa(2p+1,i_3) \sum_{i_4=0}^q n^{dc} \kappa(i_4,2p+1-i_2-i_3) \kappa(q-i_4,q) \,. \end{split}$$

The factors  $n^{cd}$  and  $n^{dc}$  will be defined below and count the number of ways  $\omega_1$  and  $\omega_3$  can be placed in the linear extensions. In the case c < d, i.e. in the function  $N^{cd}$ , the index  $i_3$  represents the number of elements from the chain between b and d of length 2p+1 that are below c in the linear extension. The index  $i_4$  represents the number of elements above c that are below d in the linear extension. In the case d < c, i.e. in the function  $N^{dc}$ , the index  $i_3$  represents the number of elements in the chain of length 2p+1 between a and c that are above b and below d in the linear extension. The index a0 represents the number of elements above b1 that are below b2 in the linear extension.

We denote the minimal number of elements in the poset that are smaller than  $\omega_3$  in all linear extensions under consideration as  $\underline{\omega}_3$ . In other words,  $\underline{\omega}_3$  is a lower bound for the position of  $\omega_3$ . Similarly, we denote the upper bound on the position of  $\omega_3$  when c < d as  $\overline{\omega}_3^{cd}$  and the upper bound on the position of  $\omega_3$  when d < c as  $\overline{\omega}_3^{dc}$ . These bounds are given as follows:

$$\underline{\omega}_3 = q + i_1 + 1,$$
 $\overline{\omega}_3^{cd} = 4p + 2q + i_4 + 5,$ 
 $\overline{\omega}_3^{dc} = 2p + 2q + i_2 + i_3 + 3.$ 

Analogously, we obtain a lower bound  $\underline{\omega}_1$  and two upper bounds  $\overline{\omega}_1^{cd}$  and  $\overline{\omega}_1^{dc}$  on the position of  $\omega_1$ ,

$$\underline{\omega}_1 = 2q + i_2 + 2,$$
 $\overline{\omega}_1^{cd} = 2p + 2q + i_3 + 3,$ 
 $\overline{\omega}_1^{dc} = 4p + 2q + i_4 + 5.$ 

Consider the case c < d. The element  $\omega_1$  can be freely inserted between positions  $\underline{\omega}_1$  and  $\overline{\omega}_1^{cd}$ , and similarly, the element  $\omega_3$  can be inserted between  $\underline{\omega}_3$  and  $\overline{\omega}_3^{cd}$ . However, as can be seen in Figure 2, between  $\underline{\omega}_1$  and  $\overline{\omega}_1^{cd}$  an additional position for  $\omega_3$  appears due to the insertion of  $\omega_1$ . In order to account for all possible positions of the two elements  $\omega_1$  and  $\omega_3$  in all linear extensions, we therefore have to add the term  $\overline{\omega}_1^{cd} - \underline{\omega}_1 + 1$  as to obtain

$$n^{cd} = (\overline{\omega}_1^{cd} - \underline{\omega}_1 + 1)(\overline{\omega}_3^{cd} - \underline{\omega}_3 + 1) + \overline{\omega}_1^{cd} - \underline{\omega}_1 + 1.$$
 (5)

When d < c a similar argument holds as shown in Figure 3:

$$n^{dc} = (\overline{\omega}_1^{dc} - \underline{\omega}_1 + 1)(\overline{\omega}_3^{dc} - \underline{\omega}_3 + 1) + \overline{\omega}_3^{dc} - \underline{\omega}_1 + 1.$$
 (6)



Fig. 2. Lower and upper bounds on the positions of  $\omega_1$  and  $\omega_3$  when c < d.



Fig. 3. Lower and upper bounds on the positions of  $\omega_1$  and  $\omega_3$  when d < c.

After simplifying expressions (5) and (6), we obtain

$$n^{cd} = (2p - i_2 + i_3 + 2)(4p + q - i_1 + i_4 + 6),$$
  

$$n^{dc} = (4p - i_2 + i_4 + 4)(2p + q - i_1 + i_2 + i_3 + 3) + (2p + i_3 + 2).$$

By noting that

$$\binom{j+i}{i} = (-1)^i \binom{-j-1}{i}, \tag{7}$$

it can be easily proven that the equality

$$\sum_{i_1=0}^{q} \kappa(q, i_1) \kappa(i_2, q - i_1) = \kappa(q + i_2 + 1, q)$$
(8)

holds, where we have used the well-known Chu–Vandermonde identity (see e. g. page 44 of [4]), valid for  $r, s \in \mathbb{R}$  and  $n \in \mathbb{N}$ :

$$\sum_{m=0}^{n} \binom{r}{m} \binom{s}{n-m} = \binom{r+s}{n}.$$

Analogously, it can be proven that the following equality holds

$$\sum_{i_1=0}^{q} (q - i_1 + i_2 + 1) \kappa(q, i_1) \kappa(i_2, q - i_1) = (i_2 + 1) \kappa(q + i_2 + 2, q),$$
 (9)

such that if we define t = 2p + 1, it is easily verified that N(p, q) in expression (4) can be rewritten as

$$\sum_{i_{2}=0}^{t} \sum_{i_{3}=0}^{t} \kappa(t-i_{2}, i_{3}) \sum_{i_{4}=0}^{q} \kappa(i_{4}, t-i_{3}) \kappa(q-i_{4}, q)$$

$$\cdot \left[ (t-i_{2}+i_{3}+1)(i_{2}+1) \kappa(q+i_{2}+2, q) + (t-i_{2}+i_{3}+1)(2t-i_{2}+i_{4}+3) \kappa(q+i_{2}+1, q) \right]$$

$$+ \sum_{i_{2}=0}^{t} \sum_{i_{3}=0}^{t-i_{2}} \kappa(t, i_{3}) \sum_{i_{4}=0}^{q} \kappa(i_{4}, t-i_{2}-i_{3}) \kappa(q-i_{4}, q)$$

$$\cdot \left[ (2t-i_{2}+i_{4}+2)(i_{2}+1) \kappa(q+i_{2}+2, q) + (2t-i_{2}+i_{4}+3)(t+i_{3}+1) \kappa(q+i_{2}+1, q) \right]. \tag{10}$$

In analogy to equalities (8) and (9), as q in the first argument of the first function  $\kappa$  in (8) could have been any arbitrary number, it is found that

$$\sum_{i_4=0}^{q} \kappa(i_4, t - i_3) \kappa(q - i_4, q) = \sum_{i_4=0}^{q} \kappa(q - i_4, t - i_3) \kappa(i_4, q)$$
$$= \kappa(t + q - i_3 + 1, q),$$

and, by replacing  $i_3$  with  $i_2 + i_3$ ,

$$\sum_{i_4=0}^{q} \kappa(i_4, t - i_2 - i_3) \kappa(q - i_4, q) = \sum_{i_4=0}^{q} \kappa(q - i_4, t - i_2 - i_3) \kappa(i_4, q)$$
$$= \kappa(t + q - i_2 - i_3 + 1, q).$$

Moreover, by taking  $i_2 = t - i_3$  in (9) and by reindexing  $i_4 = q - i_1$ ,

$$\begin{split} \sum_{i_4=0}^q (t-i_3+i_4+1)\kappa(i_4,t-i_3)\kappa(q-i_4,q) \\ &= \sum_{i_4=0}^q (t+q-i_3-i_4+1)\kappa(q-i_4,t-i_3)\kappa(i_4,q) \\ &= (t-i_3+1)\kappa(t+q-i_3+2,q) \end{split}$$

and, by replacing  $i_3$  with  $i_2 + i_3$ ,

$$\sum_{i_4=0}^{q} (t - i_2 - i_3 + i_4 + 1)\kappa(i_4, t - i_2 - i_3)\kappa(q - i_4, q)$$

$$= \sum_{i_4=0}^{q} (t + q - i_2 - i_3 - i_4 + 1)\kappa(q - i_4, t - i_2 - i_3)\kappa(i_4, q)$$

$$= (t - i_2 - i_3 + 1)\kappa(t + q - i_2 - i_3 + 2, q),$$

such that expression (10) simplifies to

$$\sum_{i_2=0}^{t} \sum_{i_3=0}^{t} \kappa(t-i_2, i_3)$$

$$\cdot \left[ (t-i_2+i_3+1)(i_2+1)\kappa(t+q-i_3+1, q)\kappa(q+i_2+2, q) \right.$$

$$+ \left. (t-i_2+i_3+1)(t-i_3+1)\kappa(t+q-i_3+2, q)\kappa(q+i_2+1, q) \right.$$

$$+ \left. (t-i_2+i_3+1)(t-i_2+i_3+2)\kappa(t+q-i_3+1, q)\kappa(q+i_2+1, q) \right]$$

$$+\sum_{i_{2}=0}^{t}\sum_{i_{3}=0}^{t-i_{2}}\kappa(t,i_{3})$$

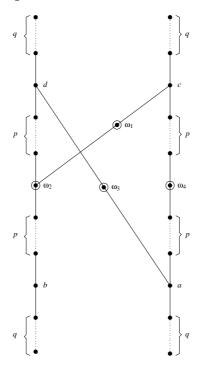
$$\cdot\left[(t-i_{2}-i_{3}+1)(i_{2}+1)\kappa(t+q-i_{2}-i_{3}+2,q)\kappa(q+i_{2}+2,q)\right.$$

$$+\left.(t+i_{3}+1)(i_{2}+1)\kappa(t+q-i_{2}-i_{3}+1,q)\kappa(q+i_{2}+2,q)\right.$$

$$+\left.(t+i_{3}+1)(t-i_{2}-i_{3}+1)\kappa(t+q-i_{2}-i_{3}+2,q)\kappa(q+i_{2}+1,q)\right.$$

$$+\left.(t+i_{3}+1)(t+i_{3}+2)\kappa(t+q-i_{2}-i_{3}+1,q)\kappa(q+i_{2}+1,q)\right]. \tag{11}$$

As a next step we calculate the number of linear extensions where  $\omega_2 < \omega_1$ , which is identical to the number of linear extensions of the poset in Figure 4. We will use an analogous technique, but since symmetry is lost in this case, it is necessary to consider both cases  $a < \omega_2$  and  $a > \omega_2$ .



**Fig. 4.** Addition of the ordered pair  $\omega_2 < \omega_1$  to the poset in Figure 1.

The number of linear extensions where  $a < \omega_2$ , denoted as  $M_{a\omega_2}(p,q)$ , is given by

$$\sum_{i_1=0}^{q+p+1} \kappa(i_1,q) \sum_{i_2=0}^{2p+1} \kappa(p+q+1-i_1,i_2) \left[ M_{a\omega_2}^{cd}(p,q,i_1,i_2) + M_{a\omega_2}^{dc}(p,q,i_1,i_2) \right] . \tag{12}$$

Note that the index  $i_1$  represents the number of elements below  $\omega_2$  that are below a in the linear extension. The index  $i_2$  represents the number of elements in the chain of length 2p+1 between a and c that are below  $\omega_2$  in the linear extension. The two functions  $M^{cd}_{a\omega_2}$  and  $M^{dc}_{a\omega_2}$  cover the case that c < d and c > d, respectively:

$$\begin{split} M^{cd}_{a\omega_2}(p,q,i_1,i_2) &= \sum_{i_3=0}^p \kappa(i_3,2p+1-i_2) \sum_{i_4=0}^q m^{cd}_{a\omega_2} \kappa(p-i_3,i_4) \kappa(q,q-i_4) \,, \\ M^{dc}_{a\omega_2}(p,q,i_1,i_2) &= \sum_{i_3=0}^{2p+1-i_2} \kappa(p,i_3) \sum_{i_4=0}^q m^{dc}_{a\omega_2} \kappa(i_4,2p+1-i_2-i_3) \kappa(q-i_4,q) \,. \end{split}$$

The factors  $m^{cd}_{a\omega_2}$  and  $m^{dc}_{a\omega_2}$  will be defined below and count the number of ways  $\omega_1$  and  $\omega_3$  can be placed in the linear extension. In the case c < d, i.e. in the function  $M^{cd}_{a\omega_2}$ , the index  $i_3$  represents the number of elements in the chain of length p between  $\omega_2$  and d that are below c in the linear extension. The index  $i_4$  represents the number of elements above c that are below d in the linear extension. In the case c > d, i.e. in the function  $M^{dc}_{a\omega_2}$ , the index  $i_3$  represents the number of elements in the chain of length p between  $\omega_4$  and c that are below d in a linear extension. The index  $i_4$  represents the number of elements above d that are below c in the linear extension.

The bounds on  $\omega_1$  and  $\omega_3$  are as follows

$$\begin{split} &\underline{\omega}_3 = q + i_1 + 1\,, \\ &\overline{\omega}_3^{cd} = 4p + 2q + i_4 + 5\,, \\ &\overline{\omega}_3^{dc} = 2p + 2q + i_2 + i_3 + 3\,, \\ &\underline{\omega}_1 = p + 2q + i_2 + 3\,, \\ &\overline{\omega}_1^{cd} = 3p + 2q + i_3 + 4\,, \\ &\overline{\omega}_1^{dc} = 4p + 2q + i_4 + 5\,, \end{split}$$

while

$$\begin{split} m^{cd}_{a\omega_2} &= (\overline{\omega}^{cd}_1 - \underline{\omega}_1 + 1)(\overline{\omega}^{cd}_3 - \underline{\omega}_3 + 1) + \overline{\omega}^{cd}_1 - \underline{\omega}_1 + 1\,,\\ m^{dc}_{a\omega_2} &= (\overline{\omega}^{dc}_1 - \underline{\omega}_1 + 1)(\overline{\omega}^{dc}_3 - \underline{\omega}_3 + 1) + \overline{\omega}^{dc}_3 - \underline{\omega}_1 + 1\,. \end{split}$$

After simplification, we obtain  $M_{a\omega_2}(p,q) =$ 

$$\begin{split} \sum_{i_2=0}^{2p+1} \sum_{i_3=0}^{p} \kappa(i_3, 2p+1-i_2) \left[ (2p+i_3-i_2+2) \right. \\ & \cdot \left. \left\{ (i_2+1)\kappa(p+q-i_3+1, q)\kappa(p+q+1, q+i_2+2) \right. \\ & + \left. (2p-i_2+i_3+3)\kappa(p+q+1, q+i_2+1)\kappa(p+q-i_3+1, q) \right. \\ & + \left. (p-i_3+1)\kappa(q+i_2+1, p+q+1)\kappa(p+q-i_3+2, q) \right\} \right] \end{split}$$

$$+\sum_{i_{2}=0}^{2p+1}\sum_{i_{3}=0}^{2p+1-i_{2}}\kappa(i_{3},p)\left[(p+i_{3}+1)\right] \\ \cdot \left\{(2p-i_{2}-i_{3}+2)\kappa(q+i_{2}+1,p+q+1)\kappa(2p+q-i_{2}-i_{3}+3,q)\right. \\ \left. + (p+i_{3}+2)\kappa(q+i_{2}+1,p+q+1)\kappa(2p+q-i_{2}-i_{3}+2,q)\right\} \\ \left. + (i_{2}+1)\right. \\ \cdot \left\{(p+i_{3}+1)\kappa(q+i_{2}+2,p+q+1)\kappa(2p+q-i_{2}-i_{3}+2,q)\right. \\ \left. + (2p-i_{2}-i_{3}+2)\kappa(q+i_{2}+2,p+q+1)\kappa(2p+q-i_{2}-i_{3}+3,q)\right\}\right]. \quad (13)$$

The number of linear extensions where  $a > \omega_2$ , denoted as  $M_{\omega_2 a}(p,q)$ , is given by

$$\sum_{i_1=0}^{q} \kappa(p+q+1, i_1) \sum_{i_2=0}^{p} \kappa(i_2, q-i_1) \left[ M_{\omega_2 a}^{cd}(p, q, i_1, i_2) + M_{\omega_2 a}^{dc}(p, q, i_1, i_2) \right] . \tag{14}$$

Note that the index  $i_1$  represents the number of elements below a that are below  $\omega_2$  in the linear extension. The index  $i_2$  represents the number of elements in the chain of length p between  $\omega_2$  and d that are below a in the linear extension. The two functions  $M_{\omega_2 a}^{cd}$  and  $M_{\omega_2 a}^{dc}$  cover the case that c < d and c > d, respectively:

$$\begin{split} M^{cd}_{\omega_2 a}(p,q,i_1,i_2) &= \sum_{i_3=0}^{p-i_2} \kappa(i_3,2p+1) \sum_{i_4=0}^q m^{cd}_{\omega_2 a} \kappa(p-i_2-i_3,i_4) \kappa(q,q-i_4) \,, \\ M^{dc}_{\omega_2 a}(p,q,i_1,i_2) &= \sum_{i_3=0}^{2p+1} \kappa(p-i_2,i_3) \sum_{i_4=0}^q m^{dc}_{\omega_2 a} \kappa(i_4,2p-i_3+1) \kappa(q-i_4,q) \,. \end{split}$$

The factors  $m^{cd}_{\omega_2 a}$  and  $m^{dc}_{\omega_2 a}$  will be defined below and count the number of ways  $\omega_1$  and  $\omega_3$  can be placed in the linear extension. In the case c < d, i.e. in the function  $M^{cd}_{\omega_2 a}$ , the index  $i_3$  represents the number of elements in the chain between  $\omega_2$  and d that are below c in the linear extension. The index  $i_4$  represents the number of elements above c that are below d in the linear extension. In the case c > d, i.e. in the function  $M^{dc}_{\omega_2 a}$ , the index  $i_3$  represents the number of elements in the chain of length 2p+1 between a and c that are below d in a linear extension. The index  $i_4$  represents the number of elements above d that are below c in the linear extension.

The bounds on  $\omega_1$  and  $\omega_3$  are as follows

$$\begin{split} &\underline{\omega}_1 = p + q + i_1 + 2\,, \\ &\overline{\omega}_1^{cd} = 3p + 2q + i_2 + i_3 + 4\,, \\ &\overline{\omega}_1^{dc} = 4p + 2q + i_4 + 5\,, \\ &\underline{\omega}_3 = p + 2q + i_2 + 3\,, \\ &\overline{\omega}_3^{cd} = 4p + 2q + i_4 + 5\,, \\ &\overline{\omega}_3^{dc} = 2p + 2q + i_3 + 3\,, \end{split}$$

while

$$\begin{split} m^{cd}_{\omega_2 a} &= (\overline{\omega}_1^{cd} - \underline{\omega}_1 + 1)(\overline{\omega}_3^{cd} - \underline{\omega}_3 + 1) + \overline{\omega}_1^{cd} - \underline{\omega}_1 + 1\,,\\ m^{dc}_{\omega_2 a} &= (\overline{\omega}_1^{dc} - \underline{\omega}_1 + 1)(\overline{\omega}_3^{dc} - \underline{\omega}_3 + 1) + \overline{\omega}_3^{dc} - \underline{\omega}_1 + 1\,. \end{split}$$

After simplification, we obtain  $M_{\omega_2 a}(p,q) =$ 

$$\sum_{i_2=0}^{p} \sum_{i_3=0}^{2p+1} \kappa(p-i_2,i_3) \left[ (p-i_2+i_3+1) \right.$$

$$\cdot \left. \left\{ (2p-i_3+2)\kappa(p+q+i_2+2,q)\kappa(2p+q-i_3+3,q) \right.$$

$$\left. + (p-i_2+i_3+2)\kappa(p+q+i_2+2,q)\kappa(2p+q-i_3+2,q) \right.$$

$$\left. + (i_2+1)\kappa(p+q+i_2+3,q)\kappa(2p+q-i_3+2,q) \right\} \right]$$

$$\left. + \sum_{i_2=0}^{p} \sum_{i_3=0}^{p-i_2} \kappa(2p+1,i_3) \left[ (2p+i_3+2) \right.$$

$$\left. \cdot \left\{ (p-i_2-i_3+1)\kappa(p+q-i_2-i_3+2,q)\kappa(p+q+i_2+2,q) \right. \right.$$

$$+ (2p + i_3 + 3)\kappa(p + q - i_2 - i_3 + 1, q)\kappa(p + q + i_2 + 2, q)$$

$$+ (i_2 + 1) \cdot$$

$$\{ (p - i_2 - i_3 + 1)\kappa(p + q - i_2 - i_3 + 2, q)\kappa(p + q + i_2 + 3, q)$$

$$+ (2p + i_3 + 2)\kappa(p + q - i_2 - i_3 + 1, q)\kappa(p + q + i_2 + 3, q) \} ] .$$

$$(15)$$

It is clear that for arbitrary p and q, the mutual rank probability  $p(\omega_2 > \omega_1)$  is given by the expression

$$p(\omega_2 > \omega_1) = 1 - \frac{M_{a\omega_2}(p, q) + M_{\omega_2 a}(p, q)}{2N(p, q)}.$$
 (16)

We will now consider the case where  $q \to \infty$ . We remark that for the functions in expressions (11), (13) and (15) having the form

$$\kappa(q+i, q+j) = \binom{2q+i+j}{q+j} = \frac{(2q+i+j)!}{(q+i)! \cdot (q+j)!}$$

Stirling's approximation can be used, i. e.

$$f(q)! \approx \sqrt{2\pi \cdot f(q)} \cdot f(q)^{f(q)} \cdot e^{-f(q)}$$
 when  $q \to \infty$ ,

leading to

$$\kappa(q+i,q+j) \approx \frac{2^{2q+i+j}}{\sqrt{\pi \cdot n}} \quad \text{when } q \to \infty.$$

Due to the nature of the fraction in expression (16), it is equivalent to substitute

$$\kappa(q+i,q+j) \quad \text{by} \quad 2^{i+j} \,.$$
(17)

It is now feasible to compute  $p(\omega_2 > \omega_1)$  for given p when  $q \to \infty$ . Some values are given in Table 3 and a plot is shown in Figure 5.

p	$p(\omega_2 > \omega_1)$	
1	8/15	$\approx 0.5333$
20	419/731	$\approx 0.5732$
40	1051/1826	$\approx 0.5756$
60	1158/2009	$\approx 0.5764$
80	12223/21190	$\approx 0.5768$
100	3163/5481	$\approx 0.5771$
120	9071/15714	$\approx 0.5773$
140	18464/31979	$\approx 0.5774$
160	16041/27778	$\approx 0.5775$
180	10133/17545	$\approx 0.5775$
200	74953/129766	$\approx 0.5776$

**Tab. 3.** The mutual rank probabilities  $p(\omega_2 > \omega_1)$  for given p when  $q \to \infty$ .

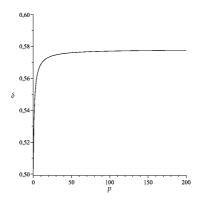


Fig. 5. A plot of the mutual rank probabilities  $p(\omega_2 > \omega_1)$  for  $q \to \infty$ .

As can be seen, the minimum cutting level quickly increases for increasing values of p, but soon the rate at which the function increases diminishes to attain values slightly below 0.58. Recall that the upper bound  $\bar{\alpha}_4$  on  $\alpha_4$  is approximately 0.5858, such that we obtain a quite narrow interval for the minimum cutting level  $\alpha_4$ . It comes as no surprise that we do not attain  $\bar{\alpha}_4$  since, as already mentioned, this upper bound is obtained by using  $\delta^*$ -transitivity exhibited by a more general setting in which mutual rank probability relations can be embedded. Moreover, it can be expected that posets with more than 12 elements that do not fall into this family of posets provide tighter lower bounds. Nevertheless, the narrow interval between lower and upper bound is an indication that  $\delta^*$ -transitivity is situated quite closely to the transitivity exhibited by mutual rank probability relations.

### 6. APPENDIX: POSETS REQUIRING MINIMUM CUTTING LEVELS $\alpha_M^N$

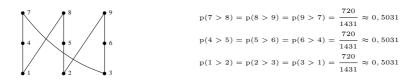


Fig. 6. 9-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_3^9$ .

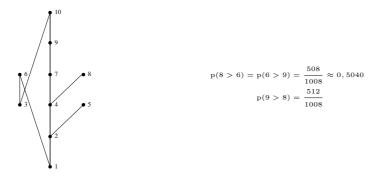
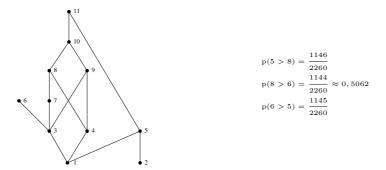


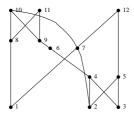
Fig. 7. 10-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_3^{10}$ .



**Fig. 8.** 10-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_4^{10}$ .

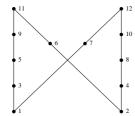


**Fig. 9.** 11-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_3^{11}$ .



$$p(8 > 6) = p(6 > 5) = \frac{6214}{12244}$$
 
$$p(5 > 8) = \frac{6212}{12244} \approx 0,5074$$

Fig. 10. 12-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_3^{12}$ .



$$p(5 > 7) = p(7 > 8) = p(8 > 6) = p(6 > 5) = \frac{7396}{14540} \approx 0,5087$$

Fig. 11. 12-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_4^{12}$ .

$$p(5 > 4) = p(4 > 3) = \frac{60400}{120640}$$
$$p(3 > 6) = p(6 > 8) = p(8 > 5) = \frac{60368}{120640} \approx 0,5004$$

Fig. 12. 12-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_5^{12}$ .

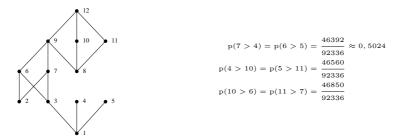


Fig. 13. 12-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_6^{12}$ .

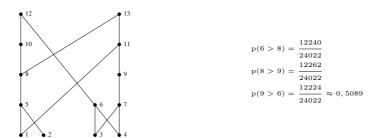


Fig. 14. First 13-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_3^{13}$ .

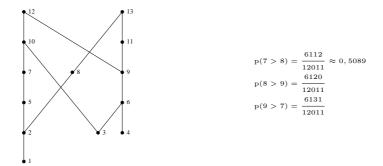


Fig. 15. Second 13-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_3^{13}$ .

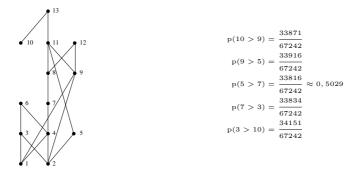


Fig. 16. 13-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_5^{13}$ .

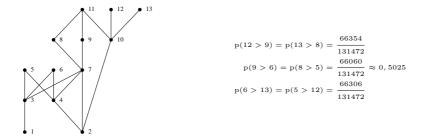


Fig. 17. First 13-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_6^{13}$ .

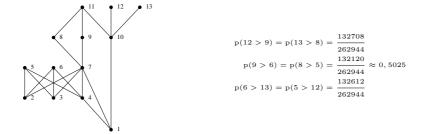


Fig. 18. Second 13-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_6^{13}$ .

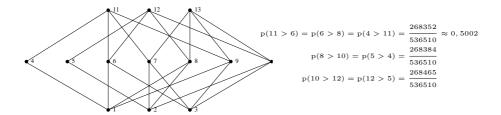


Fig. 19. 13-element poset with a LEM cycle requiring the minimum cutting level  $\alpha_7^{13}$ .

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